



**AGENDA
REGULAR MEETING OF THE
BOARD OF DIRECTORS**

**WEDNESDAY, MARCH 17, 2021
10:00 A.M.**

**INLAND EMPIRE UTILITIES AGENCY*
VIEW THE MEETING LIVE ONLINE AT IEUA.ORG
TELEPHONE ACCESS: (415) 856-9169 / Conf Code: 811 284 110#**

PURSUANT TO THE PROVISIONS OF EXECUTIVE ORDER N-25-20 ISSUED BY GOVERNOR GAVIN NEWSOM ON MARCH 12, 2020, AND EXECUTIVE ORDER N-29-20 ISSUED BY GOVERNOR GAVIN NEWSOM ON MARCH 17, 2020 AND IN AN EFFORT TO PROTECT PUBLIC HEALTH AND PREVENT THE SPREAD OF COVID-19, THERE WILL BE NO PUBLIC LOCATION FOR ATTENDING IN PERSON.

The public may participate and provide public comment during the meeting by dialing into the number provided above. Alternatively, public comments may be emailed to the Board Secretary/Office Manager Denise Garzaro at dgarzaro@ieua.org no later than 24 hours prior to the scheduled meeting time. Comments will be read into the record during the meeting.

CALL TO ORDER OF THE INLAND EMPIRE UTILITIES AGENCY BOARD OF DIRECTORS MEETING

FLAG SALUTE

PUBLIC COMMENT

Members of the public may address the Board on any item that is within the jurisdiction of the Board; however, no action may be taken on any item not appearing on the agenda unless the action is otherwise authorized by Subdivision (b) of Section 54954.2 of the Government Code. Those persons wishing to address the Board on any matter, whether or not it appears on the agenda, are requested to email the Board Secretary no later than 24 hours prior to the scheduled meeting time or address the Board during the public comments section of the meeting. Comments will be limited to three minutes per speaker. Thank you.

ADDITIONS TO THE AGENDA

In accordance with Section 54954.2 of the Government Code (Brown Act), additions to the agenda require two-thirds vote of the legislative body, or, if less than two-thirds of the members are present, a unanimous vote of those members present, that there is a need to take immediate action and that the need for action came to the attention of the local agency subsequent to the agenda being posted.

NEW, PROMOTED AND RECLASSIFIED EMPLOYEE INTRODUCTIONS

1. **PUBLIC HEARING**

A. **ADOPTION OF REGIONAL WASTEWATER ORDINANCE NO. 109**

Staff recommends that the Board:

1. Hold a Public Hearing to receive public comments prior to the adoption of Ordinance No. 109, regulating the availability and use of the Regional Sewerage System; and
2. After closing the Public Hearing, adopt Regional Wastewater Ordinance No. 109.

2. **CONSENT ITEMS**

NOTICE: All matters listed under the Consent Calendar are considered to be routine and non-controversial and will be acted upon by the Board by one motion in the form listed below. There will be no separate discussion on these items prior to the time the Board votes unless any Board members, staff or the public requests specific items be discussed and/or removed from the Consent Calendar for separate action.

A. **MINUTES**

Approve minutes of the February 3, 2021 Board Workshop/Meeting and the February 17, 2021 Board Meeting.

B. **REPORT ON GENERAL DISBURSEMENTS** *(Finance & Admin)*

Staff recommends that the Board approve the total disbursements for the month of January 2021, in the amount of \$12,101,038.58.

C. **EXTERNAL FINANCIAL AUDIT SERVICES AND SINGLE AUDIT SERVICES CONTRACT AWARD** *(Audit)*

Staff recommends that the Board:

1. Award a contract for the annual financial audit and single audit services for Inland Empire Utilities Agency to CliftonLarsonAllen, LLP, for an 3-year term and two single year options to complete audit services beginning in Fiscal Year 2021/22, for a not-to-exceed amount of \$115,115; and
2. Authorize the General Manager or his designee to execute the contract.

D. **ADOPT POSITIONS ON VARIOUS STATE BILLS** *(Community & Leg)*

Staff recommends that the Board:

1. Adopt a position of "Oppose" for the following bills: AB 1434 (Friedman) and AB 377 (Rivas); and
2. Adopt a position of "Support" for the following bills: AB 818 (Bloom); SB 230 (Portantino); and SB 273 (Hertzberg).

E. ADOPT POSITION OF SUPPORT FOR H.R. 535/S. 91 SPECIAL DISTRICTS PROVIDE ESSENTIAL SERVICES ACT (*Community & Leg*)

Staff recommends that the Board adopt a "Support" position for H.R. 535/ S. 91, the Special Districts Provide Essential Services Act.

F. REQUEST FOR WAIVER OF LATE FEE PENALTY

Staff recommends that the Board waive the late fee penalty in the amount of \$6,002.49 for Ventura Foods, LLC and authorize staff to credit their account accordingly.

3. ACTION ITEMS

A. APPROVAL FOR SUBMITTAL OF WATER INFRASTRUCTURE FINANCE AND INNOVATION ACT LOAN APPLICATION (*Finance & Admin*)

Staff recommends that the Board:

1. Approve to apply for a WIFIA loan for the Regional Wastewater Improvements Program for the maximum 49 percent of total project cost allowable by the WIFIA program; and
2. Authorize payment of processing costs, including application submittal fee, credit rate fees, and reimbursement of credit processing fees to the EPA, for a not-to-exceed amount of \$950,000.

B. LOCAL STORAGE LIMITATIONS SOLUTION PROGRAM ENVIRONMENTAL IMPACT REPORT ADDENDUM NO. 2

Staff recommends that the Board:

1. Adopt Addendum No. 2 to the Optimum Basin Management Project; and
2. Authorize the Filing of Notice of Determination (NOD) with the County Clerk and State Clearinghouse, Governor's Office of Planning and Research.

4. INFORMATION ITEMS

A. WATER STORAGE INVESTMENT PROGRAM/CHINO BASIN PROGRAM UPDATE (ORAL)

B. ENGINEERING AND CONSTRUCTION MANAGEMENT PROJECT UPDATES (POWERPOINT) (*Eng/Ops/WR*)

C. FISCAL YEAR 2020/21 SECOND QUARTER BUDGET VARIANCE, PERFORMANCE GOAL UPDATES, AND BUDGET TRANSFERS (WRITTEN/POWERPOINT) (*Finance & Admin*)

D. GRANTS DEPARTMENT SEMI-ANNUAL UPDATE (POWERPOINT)

RECEIVE AND FILE INFORMATION ITEMS

- E. **REPORT ON THE AUDIT FUNCTION (WRITTEN/POWERPOINT)** *(Audit)*
- F. **INTERNAL AUDIT DEPARTMENT QUARTERLY STATUS REPORT FOR MARCH 2021 (WRITTEN)** *(Audit)*
- G. **REGULATORY UPDATE: WATER USE EFFICIENCY (POWERPOINT)** *(Community & Leg) (Eng/Ops/WR)*
- H. **RP-5 EXPANSION PROJECT UPDATE: MARCH 2021 (POWERPOINT)** *(Eng/Ops/WR)*
- I. **TREASURER'S REPORT OF FINANCIAL AFFAIRS (WRITTEN/POWERPOINT)** *(Finance & Admin)*
- J. **PUBLIC OUTREACH AND COMMUNICATION (WRITTEN)** *(Community & Leg)*
- K. **STATE LEGISLATIVE REPORT AND MATRIX FROM WEST COAST ADVISORS (WRITTEN)** *(Community & Leg)*
- L. **FEDERAL LEGISLATIVE REPORT AND MATRIX FROM INNOVATIVE FEDERAL STRATEGIES (WRITTEN)** *(Community & Leg)*
- M. **CALIFORNIA STRATEGIES, LLC MONTHLY ACTIVITY REPORT (WRITTEN)** *(Community & Leg)*

5. AGENCY REPRESENTATIVES' REPORTS

- A. **SANTA ANA WATERSHED PROJECT AUTHORITY REPORT (WRITTEN)**
March 16, 2021 SAWPA Commission meeting
- B. **METROPOLITAN WATER DISTRICT OF SOUTHERN CALIFORNIA REPORT (WRITTEN)**
March 9, 2021 MWD Board meeting
- C. **REGIONAL SEWERAGE PROGRAM POLICY COMMITTEE REPORT (WRITTEN)**
March 4, 2021 Regional Sewerage Program Policy Committee meeting
- D. **CHINO BASIN WATERMASTER REPORT (WRITTEN)**
February 25, 2021 CBWM Board meeting
- E. **CHINO BASIN DESALTER AUTHORITY (WRITTEN)**
March 4, 2021 Special CDA Board meeting
- F. **INLAND EMPIRE REGIONAL COMPOSTING AUTHORITY (WRITTEN)**
The next IERCA Board meeting is scheduled for May 3, 2021.

6. **GENERAL MANAGER'S REPORT (WRITTEN)**
7. **BOARD OF DIRECTORS' REQUESTED FUTURE AGENDA ITEMS**
8. **DIRECTORS' COMMENTS**

A. CONFERENCE REPORTS

This is the time and place for the Members of the Board to report on prescheduled Committee/District Representative Assignment meetings, which were held since the last regular Board meeting, and/or any other items of interest.

9. CLOSED SESSION

**A. PURSUANT TO GOVERNMENT CODE SECTION 54957.6 –
CONFERENCE WITH LABOR NEGOTIATORS**

Successor Negotiations – All Bargaining Units
Negotiating Parties: Michael Dueñas, Employee and Labor Relations
Advocate and Recognized Employee Organizations

**B. PURSUANT TO GOVERNMENT CODE SECTION 54956.9(a) –
CONFERENCE WITH LEGAL COUNSEL – EXISTING LITIGATION**

Raymond Scott Walker vs. IEUA, Case No. CIVSB 2026701

**C. PURSUANT TO GOVERNMENT CODE SECTION 54956.9(d)(2)(e)1
CONFERENCE WITH LEGAL COUNSEL - ANTICIPATED LITIGATION**

One Case

ADJOURN

*A Municipal Water District

In compliance with the Americans with Disabilities Act, if you need special assistance to participate in this meeting, please contact the Board Secretary/Office Manager (909) 993-1736, 48 hours prior to the scheduled meeting so that the Agency can make reasonable arrangements.

Declaration of Posting

I, Denise Garzaro, Board Secretary/Office Manager of the Inland Empire Utilities Agency*, A Municipal Water District, hereby certify that a copy of this agenda has been posted by 5:30 p.m. on the Agency's website at www.ieua.org and at the Agency's main office, 6075 Kimball Avenue, Building A, Chino, CA on Thursday, March 11, 2021.



Denise Garzaro, CMC

PUBLIC HEARING

1A

Date: March 17, 2021

To: The Honorable Board of Directors

SD
From: Shivaji Deshmukh, General Manager

Committee:

Executive Contact: Christiana Daisy, Deputy General Manager

Subject: Regional Wastewater Ordinance No. 109

Executive Summary:

The federal pretreatment regulations in 40 Code of Federal Regulations (CFR) 403.8 (f) require that every Publicly Owned Treatment Works (POTW) subject to the national pretreatment program have the necessary legal authority to apply and enforce section 307 (b) and (c) and section 402(b)(8) of the Clean Water Act. The Inland Empire Utilities Agency's (IEUA) current Ordinance No. 97 provides the legal authority regulating the availability and use of the Regional Sewerage System. In 2018, the Regional Water Quality Control Board (RWQCB) provided a report of findings from their Pretreatment Compliance Audit (PCA) of IEUA's pretreatment program. The report identified several discrepancies between IEUA's Ordinance No. 97 and the federal regulations. The proposed Ordinance No. 109 addresses the PCA findings and if approved will replace Ordinance No. 97 in its entirety.

On August 10, 2020, staff sent a copy of the proposed Ordinance No. 109 to the RWQCB. As staff considers the Ordinance revisions a Non-Substantial Pretreatment Program Modification, the Ordinance does not require formal approval by the RWQCB. The Ordinance No. 109 follows the EPA Model Pretreatment Ordinance guidelines and has been reviewed by IEUA's General Counsel.

Staff's Recommendation:

It is recommended that the Board of Directors:

1. Hold a Public Hearing to receive public comments prior to the adoption of Ordinance No. 109, regulating the availability and use of the Regional Sewerage System; and
2. After closing the Public Hearing, adopt Regional Wastewater Ordinance No. 109.

Budget Impact *Budgeted (Y/N):* N *Amendment (Y/N):* Y *Amount for Requested Approval:*

Account/Project Name:

Fiscal Impact (explain if not budgeted):

N/A

Prior Board Action:

The Board held a public hearing to adopt Ordinance No. 109 on January 20, 2021. Subsequent to adoption, staff was notified that the required newspaper publications for the notice of public hearing were not published due to a technical error. Therefore, a new public hearing is being held after proper notice to the public.

On October 15, 2014, the Board of Directors adopted Regional Wastewater Ordinance No. 97.

Environmental Determination:

Not Applicable

Business Goal:

The Regional Wastewater Ordinance No. 109 is consistent with IEUA's Business Goal of Environmental Stewardship by meeting federal, state and local pretreatment regulations within the IEUA service area and safeguarding public health and the environment.

Attachments:

Attachment 1 - PowerPoint

Attachment 2 - Background

Attachment 3 - Ordinance No. 109

Regional Wastewater Ordinance No. 109



Regional Wastewater Ordinance No. 109

- Purpose
 - Regulates availability and use of the Regional Sewerage System
 - Legal authority document required by Code of Federal Regulations
 - Establishes terms, limits, and conditions for use
 - Provides procedures for regulatory compliance
- Objective
 - Protect public health and the environment
 - Comply with Regional Board requirements
 - Prevent Interference at wastewater treatment process
 - Protect recycled water and biosolids quality



Proposed Ordinance Revisions

- Definitions
 - Updates and adds additional definitions
- Prohibited Discharge Standards
 - Update's prohibitions to align with EPA Model Ordinance
 - Adds prohibitions for: unused or expired pharmaceuticals; bromide containing wastewater; 2,3,7,8 TCDD (Dioxin); 1,2,3 TCP; hydrolysate resulting from hydrolysis; chemical or portable toilet wastes



Proposed Ordinance Revisions

- Notification of the Discharge of Hazardous Waste
- Approving analytical techniques
- Requirement to install flow monitoring equipment
- Tampering with monitoring equipment
- Emergency suspension
- Affirmative defenses to discharge violations



Recommendation

1. Hold a Public Hearing to receive public comments prior to adoption of Ordinance No. 109 regulating the availability and use of the Regional Sewerage System; and
2. After closing the Public Hearing, adopt the Regional Ordinance No. 109.

The Regional Wastewater Ordinance No. 109 is consistent with the Agency's Business Goal of Environmental Stewardship by meeting federal, state and local pretreatment regulations within the IEUA service area and safeguarding public health and the environment.

Background

Subject: Regional Wastewater Ordinance No. 109

The proposed revisions to the Ordinance are summarized below:

1. Section 1.4(Y) Definitions – Hydrolysate definition added.
2. Section 1.4(Z) Definitions – Hydrolysis definition added.
3. Section 1.4(BB) Definitions – IEUA flow measurement requirements has been added to the definitions section.
4. Section 1.4(XX) Definitions – Septic Tank Waste has been updated to include cesspools, seepage pit waste. Chemical toilet waste has been removed.
5. Section 2.1(A) Prohibited Discharge Standards, General Prohibitions - General prohibition definition has been updated to align with Model Ordinance.
6. Section 2.1(B)(6) Prohibited Discharge Standards, Specific Prohibitions – Specific prohibition for oils and grease updated to describe specific oils and greases that are prohibited.
7. Section 2.1(B)(18) Prohibited Discharge Standards, Specific Prohibitions – Specific prohibition added for bromide-containing wastewater which caused IEUA to violate Chlorodibromomethane/Dichlorobromomethane NPDES permit limits.
8. Section 2.1(B)(19) Prohibited Discharge Standards, Specific Prohibitions - Specific prohibition added to prohibit 2,3,7,8-TCDD (Dioxin).
9. Section 2.1(B)(20) Prohibited Discharge Standards, Specific Prohibitions - Specific prohibition added to prohibit hydrolysate (resulting from hydrolysis) discharge into sewer.
10. Section 2.1(B)(21) Prohibited Discharge Standards, Specific Prohibitions - Specific prohibition added to prohibit discharge of unused/expired pharmaceuticals into sewer and to comply BMPs.
11. Section 2.1(B)(22) Prohibited Discharge Standards, Specific Prohibitions - Specific prohibition added to prohibit chemical toilet or portable toilet waste.
12. Section 2.1(B)(23) Prohibited Discharge Standards, Specific Prohibitions – Specific prohibition added for wastewater flow in excess of permitted limits or purchased capacity.

13. Section 2.1(B)(24) Prohibited Discharge Standards, Specific Prohibitions - Specific prohibition added to prohibit wastewater containing 1,2,3-TCP.
14. Section 3.4 Bypass – Bypass Section has been reformatted to align with Model Ordinance.
15. Section 4.3 Individual Wastewater Discharge Permitting: Existing Connections – Individual wastewater discharge permitting for existing connections section has been updated to more closely align with the EPA Model Ordinance.
16. Section 6.5 Reports of Changed Conditions – Section has been updated to clarify what is considered significant changes for a User to report changed conditions.
17. Section 6.9 Notification of the Discharge of Hazardous Waste – This section has been inserted into the Ordinance as it was inadvertently omitted from the previous Ordinance. The section text aligns with the EPA Model Ordinance.
18. Section 6.10 Analytical Requirements – Section has been updated to clarify which agencies can approve analytical techniques when 40 CFR 136 does not contain a method or technique that is appropriate.
19. Section 7.1(C) Compliance Monitoring, Right of Entry: Inspection & Sampling – Section has been updated to insert the requirement that IEUA may require the User to install flow measurement equipment as necessary per the IEUA Wastewater Flow Measurement Requirements.
20. Section 10.7(A)(6&9) Permit Suspension – This section has been updated to clarify language for violations involving falsifying and tampering of monitoring equipment or sample collection methods.
21. Section 10.8(A)(5) Permit Revocation – This section has been updated to clarify language for violations involving falsifying and tampering of monitoring equipment or sample collection methods.
22. Section 10.9 Emergency Suspension – This section has been updated to include language that IEUA shall not incur liability as the result of suspension events.
23. Section 13 Affirmative Defenses to Discharge Violations – This section has been added to align with the Model Ordinance.

REGIONAL WASTEWATER ORDINANCE

ORDINANCE NO. 109

AN ORDINANCE OF THE BOARD OF DIRECTORS OF INLAND EMPIRE UTILITIES AGENCY, A MUNICIPAL WATER DISTRICT, REGULATING THE AVAILABILITY AND USE OF THE REGIONAL SEWERAGE SYSTEM IN THE INLAND EMPIRE UTILITIES AGENCY, SAN BERNARDINO COUNTY, STATE OF CALIFORNIA.

BE IT ORDAINED by the Board of Directors of Inland Empire Utilities Agency as follows:

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SECTION 1 - GENERAL PROVISIONS

1.1 PURPOSE AND POLICY

This Ordinance sets forth uniform requirements for Users of the Regional Sewerage System, a Publicly Owned Treatment Works (POTW), in the Inland Empire Utilities Agency (IEUA) in San Bernardino County, State of California and enables the IEUA to comply with all applicable State and Federal laws, including the Clean Water Act (33 United States Code [U.S.C.] Section 1251 et seq.), the General Pretreatment Regulations (Title 40 of the *Code of Federal Regulations* [CFR] Part 403), and the California Water Code as amended. The objectives of this Ordinance are:

- A. To prevent the introduction of pollutants into the Publicly Owned Treatment Works that will interfere with its operation;
- B. To prevent the introduction of pollutants into the Publicly Owned Treatment Works that will pass through the Publicly Owned Treatment Works, inadequately treated, into receiving waters, or otherwise be incompatible with the Publicly Owned Treatment Works;
- C. To protect both Publicly Owned Treatment Works personnel who may be affected by wastewater and sludge in the course of their employment and the general public;
- D. To promote reuse and recycling of industrial wastewater and sludge from the Publicly Owned Treatment Works; and
- E. To enable IEUA to comply with its National Pollutant Discharge Elimination System permit conditions, sludge use and Disposal requirements, and any other Federal or State laws to which the Publicly Owned Treatment Works is subject;
- F. To extend the use of recycled water in place of more costly imported water for industrial, irrigation, landscaping, and replenishment of groundwater; and
- G. To beneficially reuse 100 percent of the organic biosolids generated by IEUA facilities.

This ordinance shall apply to all Users of the Publicly Owned Treatment Works. The ordinance authorizes the issuance of individual wastewater discharge permits; provides for monitoring, compliance, and enforcement activities; establishes administrative review procedures; and requires User reporting.

It is the intent of this Ordinance to recognize that IEUA with its approved pretreatment program is designated as the primary Control Authority over wastewater Discharges within its service area including the Cities of Chino, Chino Hills, Fontana, Montclair, Ontario, Upland, and the Cucamonga Valley Water District, collectively known as Contracting Agencies, to administer and enforce pretreatment regulations. Inland Empire Utilities Agency in cooperation with the Contracting Agencies have the primary responsibility for permitting, compliance monitoring, and enforcement of the federal, state and locally mandated pretreatment regulations.

1.2 ADMINISTRATION

Except as otherwise provided herein, the General Manager of the IEUA shall administer, implement, and enforce the provisions of this Ordinance. Any powers granted to or duties imposed upon the General Manager may be delegated by the General Manager to a Duly Authorized IEUA Employee.

1.3 ABBREVIATIONS

The following abbreviations, when used in this Ordinance, shall have the designated meanings:

BOD – Biochemical Oxygen Demand
BMP – Best Management Practice
BMR – Baseline Monitoring Report
CFR – *Code of Federal Regulations*
CIU – Categorical Industrial User
CWA – Clean Water Act
EPA – U.S. Environmental Protection Agency
gpd – gallons per day
IEUA – Inland Empire Utilities Agency
IU – Industrial User
mg/l – milligrams per liter
NPDES – National Pollutant Discharge Elimination System
POTW – Publicly Owned Treatment Works
RCRA – Resource Conservation and Recovery Act
SIU – Significant Industrial User
SNC – Significant Non-compliance
TSS – Total Suspended Solids
U.S.C. – United States Code

1.4 DEFINITIONS

Unless a provision explicitly states otherwise, the following terms and phrases, as used in this Ordinance, shall have the meanings hereinafter designated.

- A. ACT OR “THE ACT” – The Federal Water Pollution Control Act, also known as the Clean Water Act, as amended, 33 U.S.C. Section 1251 et seq.
- B. APPROVAL AUTHORITY – The California Regional Water Quality Control Board, Santa Ana Region.
- C. AUTHORIZED OR DULY AUTHORIZED REPRESENTATIVE OF THE USER
 - 1) If the User is a corporation:
 - a. The president, secretary, treasurer, or a vice-president of the corporation in charge of a principal business function, or any other person who performs similar policy or decision-making functions for the corporation; or

- b. The manager of one or more manufacturing, production, or operating facilities, provided the manager is authorized to make management decisions that govern the operation of the regulated facility including having the explicit or implicit duty of making major capital investment recommendations, and initiate and direct other comprehensive measures to assure long-term environmental compliance with environmental laws and regulations; can ensure that the necessary systems are established or actions taken to gather complete and accurate information for individual wastewater discharge permit requirements; and where authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures.
 - 2) If the User is a partnership or sole proprietorship: a general partner or proprietor, respectively.
 - 3) If the User is a Federal, State, or local governmental facility: a director or highest official appointed or designated to oversee the operation and performance of the activities of the government facility, or their designee.
 - 4) The individuals described in paragraphs 1 through 3, above, may designate a Duly Authorized Representative if the authorization is in writing, the authorization specifies the individual or position responsible for the overall operation of the facility from which the Discharge originates or having overall responsibility for environmental matters for the company and the written authorization is submitted to IEUA.
- D. **BEST MANAGEMENT PRACTICES OR BMPs** - Schedules of activities, prohibitions of practices, maintenance procedures, and other management practices to implement the prohibitions listed in Section 2.1 (40 CFR 403.5(a)(1) and (b)). BMPs include treatment requirements, operating procedures, and practices to control plant site runoff, spillage or leaks, sludge or waste Disposal, or drainage from raw materials storage.
 - E. **BIOCHEMICAL OXYGEN DEMAND OR BOD** - The quantity of oxygen utilized in the biochemical oxidation of organic matter under standard laboratory procedures for five (5) days at 20 degrees Celsius, usually expressed as a concentration (e.g., mg/l).
 - F. **BOARD** - Board of Directors of Inland Empire Utilities Agency
 - G. **BYPASS** - Intentional diversion of wastestreams from any portion of a User's treatment facility.
 - H. **CATEGORICAL INDUSTRIAL USER** - An Industrial User subject to a categorical Pretreatment Standard or categorical Standard.
 - I. **CLEAN WATER ACT** - Federal Water Pollution Control Act, also known as the Clean Water Act, as amended, 33 U.S.C. 1251, et seq., and the regulations adopted thereto.

- J. COMMUNITY SEWER SYSTEM - All facilities owned, controlled or operated by a Contracting Agency for the purpose of collecting and conducting Sewage to a Delivery Point, including collector sewers conducting Sewage from the originating premises, trunk sewers conveying sewer from tributary collector sewers or other trunk sewers and any facilities appurtenant to the foregoing.
- K. CONTRACTING AGENCY - Any Sewage collection agency located, in whole or in part, within the boundaries of IEUA which has entered into a service contract with IEUA.
- L. CONTROL AUTHORITY - Inland Empire Utilities Agency
- M. DAILY MAXIMUM - The arithmetic average of all effluent samples for a pollutant collected during a calendar day.
- N. DAILY MAXIMUM LIMIT - The maximum allowable discharge limit of a pollutant during a calendar day. Where Daily Maximum Limits are expressed in units of mass, the daily discharge is the total mass discharged over the course of the day. Where Daily Maximum Limits are expressed in terms of a concentration, the daily discharge is the arithmetic average measurement of the pollutant concentration derived from all measurements taken that day.
- O. DELIVERY POINT - Transfer point at which Sewage is delivered from a Community Sewer System into the Regional Sewerage System.
- P. DISPOSAL FACILITY - All facilities owned, controlled and operated by IEUA to meet effluent Discharge requirements, excluding water recycling facilities operated by IEUA to meet obligations under the judgment entered in the action entitled Orange County Water District v. City of Chino, et al. (Case No. 117628, Superior Court, County of Orange), or to meet the requirements of contracting agencies exercising the right of first purchase of recycled effluent.
- Q. DISPOSAL OR DISPOSE - Any process or method for the elimination of beneficial use of Sewage and any effluent or solid waste residuals thereof, including exportation from the Chino Basin.
- R. DOMESTIC WASTE HAULER - Person transporting Septic Tank Waste in a properly permitted vehicle equipped with a tank(s).
- S. DULY AUTHORIZED REPRESENTATIVE - An IEUA employee designated by the General Manager to act on his behalf in the administration of this Ordinance.
- T. ENVIRONMENTAL PROTECTION AGENCY OR EPA - The U.S. Environmental Protection Agency or, where appropriate, the Regional Water Management Division Director, the Regional Administrator, or other duly authorized official of said agency.
- U. EXISTING SOURCE - Any source of discharge that is not a "New Source."

- V. **FEDERAL CATEGORICAL PRETREATMENT STANDARDS OR CATEGORICAL STANDARDS** - Any regulation containing Pollutant discharge limits promulgated by the EPA in accordance with Section 307 (b) and (c) of the Clean Water Act (33 U.S.C. 1317) which apply to a specific category of Industrial User and which appear in 40 CFR Chapter I, Subchapter N, Parts 405- 471 and as amended thereto.
- W. **GENERAL MANAGER** - The person designated by IEUA to oversee and manage the operation of the POTW, and who is charged with certain duties and responsibilities by this Ordinance. The term also means a Duly Authorized Representative of the General Manager.
- X. **GRAB SAMPLE** - A sample that is taken from a wastestream without regard to the flow in the wastestream and over a period of time not to exceed fifteen (15) minutes.
- Y. **HYDROLYSATE** – the resultant liquid from the hydrolysis of human or animal remains.
- Z. **HYDROLYSIS** – the reduction of the body of a deceased person or animal to its essential organic components and bone fragments by using heat or heat and applied pressure, water, and potassium hydroxide or sodium hydroxide either before or after processing of the remains after removal from the hydrolysis chamber.
- AA. **IEUA** - Inland Empire Utilities Agency and its duly authorized officers, agents, and representatives.
- BB. **IEUA FLOW MEASUREMENT REQUIREMENTS** – The document that establishes requirements and criteria for Users to provide IEUA with wastewater flow measurement data.
- CC. **INDIRECT DISCHARGE OR DISCHARGE** - The introduction of pollutants into the POTW from any non-domestic source or Septic Tank Wastes.
- DD. **INDUSTRIAL WASTEWATER** – All non-domestic, including all wastewater from any producing, manufacturing, processing, institutional, governmental, commercial, service, agricultural, or other operation.
- EE. **INTERFERENCE** - A Discharge that, alone or in conjunction with a Discharge or Discharges from other sources, inhibits or disrupts the POTW, its treatment processes or operations or its sludge processes, use or Disposal; and therefore, is a cause of a violation of IEUA’s NPDES permit or of the prevention of Sewage sludge use or Disposal in compliance with any of the following statutory/regulatory provisions or permits issued thereunder, or any more stringent State or local regulations: Section 405 of the Act; the Solid Waste Disposal Act, including Title II commonly referred to as the Resource Conservation and Recovery Act (RCRA); any State regulations contained in any State sludge management plan prepared pursuant to Subtitle D of the Solid Waste Disposal Act; the Clean Air Act; the Toxic Substances Control Act; and the Marine Protection, Research, and Sanctuaries Act.

FF. LOCAL LIMIT - Specific Discharge limits developed and enforced by IEUA upon industrial or commercial facilities to implement the general and specific Discharge prohibitions listed in 40 CFR 403.5(a)(1) and (b).

GG. MAY - Permissive

HH. MONTHLY AVERAGE - The sum of all “daily discharges” measured during a calendar month divided by the number of “daily discharges” measured during that month.

II. NEW SOURCE -

- 1) Any building, structure, facility, or installation from which there is (or may be) a Discharge of pollutants, the construction of which commenced after the publication of proposed Pretreatment Standards under Section 307(c) of the Act that will be applicable to such source if such Standards are thereafter promulgated in accordance with that Section, provided that:
 - a. The building, structure, facility, or installation is constructed at a site at which no other source is located; or
 - b. The building, structure, facility, or installation totally replaces the process or production equipment that causes the Discharge of pollutants at an Existing Source; or
 - c. The production or wastewater generating processes of the building, structure, facility, or installation are substantially independent of an Existing Source at the same site. In determining whether these are substantially independent, factors such as the extent to which the new facility is integrated with the existing plant, and the extent to which the new facility is engaged in the same general type of activity as the Existing Source, should be considered.
- 2) Construction on a site at which an Existing Source is located results in a modification rather than a New Source if the construction does not create a new building, structure, facility, or installation meeting the criteria of Section (1)(b) or (c) above but otherwise alters, replaces, or adds to existing process or production equipment.
- 3) Construction of a New Source as defined under this paragraph has commenced if the owner or operator has:
 - a. Begun, or caused to begin, as part of a continuous onsite construction program
 - i. any placement, assembly, or installation of facilities or equipment; or
 - ii. significant site preparation work including clearing, excavation, or removal of existing buildings, structures, or facilities which is necessary for the placement, assembly, or installation of New Source facilities or equipment; or
 - b. Entered into a binding contractual obligation for the purchase of facilities or equipment which is intended to be used in its operation within a reasonable time.

Options to purchase or contracts which can be terminated or modified without substantial loss, and contracts for feasibility, engineering, and design studies do not constitute a contractual obligation under this paragraph.

- JJ. NON-CONTACT COOLING WATER - Water used for cooling that does not come into direct contact with any raw material, intermediate product, waste product, or finished product.
- KK. ORDINANCE - This Ordinance, unless otherwise specified.
- LL. PASS THROUGH - A Discharge which exits the POTW into waters of the United States in quantities or concentrations which, alone or in conjunction with a Discharge or Discharges from other sources, is a cause of a violation of any requirement of IEUA's NPDES permit, including an increase in the magnitude or duration of a violation.
- MM. PERSON - Any individual, partnership, co-partnership, firm, company, corporation, association, joint stock company, trust, estate, governmental entity, or any other legal entity; or their legal representatives, agents, or assigns. This definition includes all Federal, State, and local governmental entities.
- NN. pH - A measure of the acidity or alkalinity of a solution, expressed in standard units.
- OO. POLLUTANT - Dredged spoil, solid waste, incinerator residue, filter backwash, Sewage, garbage, Sewage sludge, munitions, chemical wastes, biological materials, radioactive materials, heat, wrecked or discarded equipment, rock, sand, cellar dirt, municipal, agricultural and industrial wastes, and certain characteristics of wastewater (e.g., pH, temperature, TSS, turbidity, color, BOD, toxicity, or odor).
- PP. PREMISES - Any lot, parcel of land, building or establishment, either residential, commercial, or industrial, both public and private, including schools, churches, and institutions without limitation.
- QQ. PRETREATMENT - The reduction of the amount of Pollutants, the elimination of Pollutants, or the alteration of the nature of Pollutant properties in wastewater prior to, or in lieu of, introducing such Pollutants into the POTW. This reduction or alteration can be obtained by physical, chemical, or biological processes; by process changes; or by other means, except by diluting the concentration of the Pollutants unless allowed by an applicable Pretreatment Standard.
- RR. PRETREATMENT REQUIREMENTS - Any substantive or procedural requirement related to pretreatment imposed on a User, other than a Pretreatment Standard.
- SS. PRETREATMENT STANDARDS OR STANDARDS - Pretreatment Standards shall mean Prohibited Discharge standards, categorical Pretreatment Standards, and Local Limits.

- TT. **PROHIBITED DISCHARGE STANDARDS OR PROHIBITED DISCHARGES** - Absolute prohibitions against the Discharge of certain substances; these prohibitions appear in Section 2.1 of this Ordinance.
- UU. **PUBLICLY OWNED TREATMENT WORKS OR POTW** - A treatment works, as defined by Section 212 of the Act (33 U.S.C. Section 1292), which is owned by IEUA or Contracting Agency. This definition includes any devices or systems used in the collection, storage, treatment, recycling, and reclamation of Sewage or industrial wastes of a liquid nature and any conveyances, which convey wastewater to a treatment plant.
- VV. **REGIONAL TREATMENT PLANT** - Regional Water Recycling Plant operated by IEUA as part of the Regional Sewerage System.
- WW. **SELF-REGENERATING WATER SOFTENING APPLIANCE** - Water softening device located within, or adjacent to, a User located within the IEUA service area and which discharges to a Community Sewer System that is tributary to the Regional Sewerage System owned and operated by IEUA, whereby the capability of the appliance to remove hardness from water is renewed by the on-site application of a salt-containing brine solution to the active softening or conditioning material contained therein, followed by a subsequent rinsing of the active softening or conditioning material.
- XX. **SEPTIC TANK WASTE** - Any Sewage from holding tanks such as vessels, campers, trailers, cesspools, seepage pit waste, and septic tanks.
- YY. **SEVERE PROPERTY DAMAGE** – Substantial physical damage to property, damage to treatment facilities, which causes them to become inoperable, or substantial and permanent loss of natural resources which can reasonably be expected to occur in the absence of a bypass. Severe Property Damage does not mean economic loss caused by delays in production.
- ZZ. **SEWAGE** - Human excrement and gray water (household showers, dishwashing operations, etc.).
- AAA. **SHALL** - Mandatory
- BBB. **SIGNIFICANT INDUSTRIAL USER OR SIU** -
- Except as provided in paragraphs (3) and (4) of this Section, a Significant Industrial User is:
- (1) An Industrial User subject to Categorical Pretreatment Standards; or
 - (2) An Industrial User that:
 - (i) Discharges an average of twenty-five thousand (25,000) gpd or more of process wastewater to the POTW (excluding sanitary, non-contact cooling and boiler blowdown wastewater);

(ii) Contributes a process wastestream which makes up five (5) percent or more of the average dry weather hydraulic or organic capacity of the POTW treatment plant; or

(iii) Is designated as such by IEUA and/or the Contracting Agency on the basis that it has a reasonable potential for adversely affecting the POTW's operation or for violating any Pretreatment Standard or Requirement.

CCC. SLUG LOAD OR SLUG DISCHARGE - Any Discharge at a flow rate or concentration, which could cause a violation of the Prohibited Discharge standards in Section 2.1 of this Ordinance. A Slug Discharge is any Discharge of a non-routine, episodic nature, including but not limited to an accidental spill or a non-customary batch Discharge, which has a reasonable potential to cause Interference or Pass Through, or in any other way violate the POTW's regulations, Local Limits or Permit conditions.

DDD. STORM WATER - Any flow occurring during or following any form of natural precipitation, and resulting from such precipitation, including snowmelt.

EEE. TOTAL SUSPENDED SOLIDS OR SUSPENDED SOLIDS - The total suspended matter that floats on the surface of, or is suspended in, water, wastewater, or other liquid, and that is removable by laboratory filtering.

FFF. USER OR SIGNIFICANT INDUSTRIAL USER - A source of Indirect Discharge.

GGG. WASTEWATER - Liquid and water-carried industrial wastes and Sewage from residential dwellings, commercial buildings, industrial and manufacturing facilities, and institutions, whether treated or untreated, which are contributed to the POTW.

HHH. WASTEWATER TREATMENT PLANT OR TREATMENT PLANT - That portion of the POTW which is designed to provide treatment of municipal Sewage and industrial waste.

1.5 PROTECTION FROM DAMAGE

No Person shall maliciously, willfully, or negligently break, damage, destroy, impair the usefulness, uncover, deface, or tamper with any structure, appurtenance, or equipment which is a part of the Regional Sewerage System.

1.6 NOTICE PROCEDURE

Unless otherwise provided herein, any notice required to be given by the General Manager under this Ordinance shall be in writing and served in person or by certified mail, return receipt requested. The notice shall be served upon an Authorized Representative, at the last address known to the General Manager or the occupants or owners, or owners of record of property upon which the alleged violations occurred.

1.7 FALSIFYING INFORMATION

No Person shall knowingly make false statements, representation, or certification in any application, record, report, plan, or other document provided to the IEUA or required to be maintained pursuant to this Ordinance or Permit, or falsify, tamper with, or knowingly render inaccurate any monitoring device or method required under this Ordinance. The reports and other documents required to be submitted or maintained by this Ordinance shall be subject to the provisions of 18 U.S.C. Section 1001 relating to fraud and false statements, Section 309(c) (4) of the Act, as amended, governing false statements, representation or certification and Section 309 (c) (6) of the Act regarding Responsible Corporate Officers.

SECTION 2 - GENERAL SEWER USE REQUIREMENTS

2.1 PROHIBITED DISCHARGE STANDARDS

A. General Prohibitions.

No User shall introduce or cause to be introduced into the POTW any Pollutant or wastewater which causes Pass Through or Interference or would cause IEUA to violate any federal, state, or local regulatory requirement. These general prohibitions apply to all Users of the POTW whether or not they are subject to categorical Pretreatment Standards or any other National, State, or local Pretreatment Standards or Requirements.

B. Specific Prohibitions.

No User shall introduce or cause to be introduced into the POTW the following Pollutants, substances, or wastewater:

- 1) Pollutants which create a fire or explosive hazard in the POTW, including, but not limited to, wastestreams with a closed-cup flashpoint of less than 140 degrees Fahrenheit (60 degrees Celsius) using the test methods specified in 40 CFR 261.21;
- 2) Wastewater having a pH less than 5.0 or more than 12.5, or otherwise causing corrosive structural damage to the POTW or equipment;
- 3) Solid or viscous substances in amounts which will cause obstruction of the flow in the POTW resulting in Interference, but in no case solids greater than three-eighths inches (3/8") in any dimension, including, but not limited to, asphalt, concrete, dead animals, ashes, mud, straw, shavings, stone or marble dust, spent lime, diatomaceous earth, metal, glass, rags, spent grains, spent hops, feathers, grass clippings, tar, plastics, wood, paunch manure, bones, hair, fleshings, animal guts and tissues, waste paper.
- 4) Pollutants, including oxygen-demanding Pollutants (BOD, etc.), released in a Discharge at a flow rate and/or Pollutant concentration which, either singly or by interaction with other Pollutants, will cause Interference with the POTW;
- 5) Wastewater having a temperature greater than 140 degrees Fahrenheit (60 degrees Celsius), or which will inhibit biological activity in the treatment plant resulting in

Interference, but in no case wastewater which causes the temperature at the introduction into the treatment plant to exceed 104 degrees Fahrenheit (40 degrees Celsius);

- 6) Any wastes containing petroleum oil, non-biodegradable cutting oil, refined petroleum products, dispersed biodegradable oils, fats and greases, such as lard, tallow, vegetable oil, or products of mineral oil origin, in amounts that will cause Interference or Pass Through, obstruct flows within the collection system, or contributes to or causes a sanitary sewer overflow;
- 7) Pollutants which result in the presence of toxic gases, vapors, or fumes within the POTW in a quantity that may cause acute worker health and safety problems;
- 8) Trucked or hauled Pollutants, except at discharge points designated by the General Manager in accordance with Section 3.4 of this Ordinance;
- 9) Noxious or malodorous liquids, gases, solids, or other wastewater which, either singly or by interaction with other wastes, are sufficient to create a public nuisance or a hazard to life, or to prevent entry into the sewers for maintenance or repair;
- 10) Wastewater which imparts color which cannot be removed by the treatment process, such as, but not limited to, dye wastes and vegetable tanning solutions, which consequently imparts color to the treatment plant's effluent, thereby violating IEUA's NPDES permit;
- 11) Wastewater containing any radioactive wastes or isotopes except in compliance with applicable State or Federal regulations;
- 12) Storm Water, surface water, ground water, artesian well water, roof runoff, subsurface drainage, swimming pool drainage, condensate, deionized water, Non-contact Cooling Water, and unpolluted wastewater, unless specifically authorized by the General Manager;
- 13) Sludges, screenings, or other residues from the pretreatment of industrial wastes;
- 14) Solid wastes from hospitals, clinics, offices of medical doctors, convalescent homes, medical laboratories or other medical facilities including, but not limited to, hypodermic needles, syringes, instruments, utensils, paper or plastic items of a disposable nature, or recognizable portions of the human anatomy or laboratory animals;
- 15) Wastewater causing, alone or in conjunction with other sources, the treatment plant's effluent to fail toxicity test;
- 16) Detergents, surface-active agents (surfactants), or other substances that causes excessive foaming in the POTW;
- 17) Waste generated outside the IEUA service area unless otherwise approved by the Board.

- 18) Wastewater containing excessive quantities of bromide causing, alone or in conjunction with other sources, the treatment plant's effluent to violate the NPDES permit for Chlorodibromomethane or Dichlorobromomethane.
- 19) Wastewater containing excessive quantities of 2,3,7,8-TCDD (Dioxin).
- 20) Hydrolysate, Wastes, or wastewater resulting from Hydrolysis.
- 21) Unused, unwanted, or expired pharmaceuticals (both over the counter and prescription-only medications), except in accordance with federal and state regulations, or in the absence of such regulations, using Best Management Practices.
- 22) Septic Waste originating from portable toilets or chemical toilets.
- 23) Any quantity of wastewater flow in excess of permitted limits or purchased capacity.
- 24) Wastewater containing excessive quantities of 1,2,3 Trichloropropane.

Pollutants, substances, or wastewater prohibited by this Section shall not be processed or stored in such a manner that they could be discharged to the POTW.

2.2 NATIONAL CATEGORICAL PRETREATMENT STANDARDS

Users must comply with the categorical Pretreatment Standards found at 40 CFR Chapter I, Subchapter N, Parts 405–471.

- A. When the limits in a categorical Pretreatment Standard are expressed only in terms of mass of Pollutant per unit of production, the General Manager may convert the limits to equivalent limitations expressed either as mass of Pollutant discharged per day or effluent concentration for purposes of calculating effluent limitations applicable to individual Significant Industrial Users.
- B. When wastewater subject to a categorical Pretreatment Standard is mixed with wastewater not regulated by the same Standard, the General Manager shall impose an alternate limit in accordance with 40 CFR 403.6(e).
- C. The General Manager may convert the mass limits of the categorical Pretreatment Standards of 40 CFR Parts 414, 419, and 455 to concentration limits for purposes of calculating limitations applicable to individual Industrial Users. The conversion is at the discretion of the General Manager.
- D. Once included in its permit, the Industrial User must comply with the equivalent limitations developed in this Section 2.2 in lieu of the promulgated categorical Standards from which the equivalent limitations were derived
- E. Many categorical Pretreatment Standards specify one limit for calculating maximum daily discharge limitations and a second limit for calculating maximum Monthly Average, or 4-day average, limitations. Where such Standards are being applied, the same production or flow figure shall be used in calculating both the average and the maximum equivalent limitation.

- F. Any Industrial User operating under a permit incorporating equivalent mass or concentration limits calculated from a production-based Standard shall notify the General Manager within two (2) business days after the User has a reasonable basis to know that the production level will significantly change within the next calendar month. Any User not notifying the General Manager of such anticipated change will be required to meet the mass or concentration limits in its permit that were based on the original estimate of the long-term average production rate.

2.3 LOCAL LIMITS

- A. The General Manager is authorized to establish Local Limits pursuant to 40 CFR 403.5(c).
- B. Local Limits are established to protect against Pass Through and Interference. No Significant Industrial User shall discharge wastewater containing in excess of the limits established by the General Manager and adopted by the Board Resolution.
- C. The Local Limits apply at the point where the wastewater is discharged to the POTW. All concentrations for metallic substances are for total metal unless indicated otherwise. The General Manager may impose mass limitations in addition to the concentration-based limitations.
- D. The General Manager may develop BMP, by ordinance or in individual wastewater discharge permits to implement Local Limits and the requirements of Section 2.1.

2.4 IEUA'S RIGHT OF REVISION

IEUA reserves the right to establish, by ordinance or in individual wastewater discharge permits, more stringent Standards or Requirements on discharges to the POTW consistent with the purpose of this Ordinance.

2.5 DILUTION

No User shall ever increase the use of process water, or in any way attempt to dilute a discharge, as a partial or complete substitute for adequate treatment to achieve compliance with a discharge limitation unless expressly authorized by an applicable Pretreatment Standard or Requirement. The General Manager may impose mass limitations on Users who are using dilution to meet applicable Pretreatment Standards or Requirements, or in other cases when the imposition of mass limitations is appropriate.

2.6 RESTRICTIONS ON SELF-REGENERATING WATER SOFTENING APPLIANCES

The installation, replacement, or enlargement of any self-regenerating water softening appliance which discharges into the Community Sewer System that is tributary to the Regional Sewerage System shall not be allowed. Each Contracting Agency shall adopt a local ordinance to implement this restriction. This Section shall not apply to any portable exchange water softener of the type which is regenerated off-site at a lawfully regulated location. IEUA may make available to residential owners of (operational) self-regenerating water softeners a voluntary rebate program to

compensate them for the reasonable value for removal and Disposal of the self-regenerating water softener appliance.

SECTION 3 - PRETREATMENT OF WASTEWATER

3.1 PRETREATMENT FACILITIES

Users shall provide wastewater treatment as necessary to comply with this Ordinance and shall achieve compliance with all categorical Pretreatment Standards, Local Limits, and the prohibitions set out in Section 2.1 of this Ordinance within the time limitations specified by EPA, the State, or the General Manager, whichever is more stringent. Any facilities necessary for compliance shall be provided, operated, and maintained at the User's expense. Detailed plans describing such facilities and operating procedures shall be signed by a California Registered Engineer, submitted to the General Manager for review, and shall be acceptable to the General Manager before such facilities are constructed. The review of such plans and operating procedures shall in no way relieve the User from the responsibility of modifying such facilities as necessary to produce a discharge acceptable to IEUA under the provisions of this Ordinance.

3.2 ADDITIONAL PRETREATMENT MEASURES

- A. Whenever deemed necessary, the General Manager may require Users to restrict their discharge during peak flow periods, designate that certain wastewater be discharged only into specific sewers, relocate and/or consolidate points of discharge, separate Sewage wastestreams from industrial wastestreams, and such other conditions as may be necessary to protect the POTW and determine the User's compliance with the requirements of this Ordinance.
- B. The General Manager may require any Person discharging into the POTW to install and maintain, on their property and at their expense, a suitable storage and flow-control facility to ensure equalization of flow. An individual wastewater discharge permit may be issued solely for flow equalization.
- C. The User shall at all times properly operate and maintain all facilities and systems of treatment and control (and related appurtenances) which are installed or used by the User to achieve compliance with the conditions of the Permit. Proper operation and maintenance also includes adequate laboratory controls and appropriate quality assurance procedures. This provision requires the operation of back-up or auxiliary facilities or similar systems which are installed by an Industrial User when the operation is necessary to achieve compliance with the conditions of the Permit.
- D. Users with the potential to discharge flammable substances may be required to install and maintain an approved combustible gas detection meter.
- E. Waste solids and/or liquids containing pollutants removed in the course of the Users pretreatment processes shall be properly disposed of in a manner such as to prevent any pollutant from such materials from entering the Regional Sewerage System.

3.3 ACCIDENTAL DISCHARGE/SLUG DISCHARGE CONTROL PLANS

The General Manager shall evaluate whether each SIU needs an accidental discharge/slug discharge control plan or other action to control Slug Discharges. The General Manager may require any User to develop, submit for approval, and implement such a plan or take such other action that may be necessary to control Slug Discharges. Alternatively, the General Manager may develop such a plan for any User. An accidental Discharge/Slug Discharge control plan shall address, at a minimum, the following:

- A. Description of discharge practices, including non-routine batch discharges;
- B. Description of stored chemicals;
- C. Procedures for immediately notifying the General Manager of any accidental or Slug Discharge, as required by Section 6.6 of this Ordinance; and
- D. Procedures to prevent adverse impact from any accidental or Slug Discharge. Such procedures include, but are not limited to, inspection and maintenance of storage areas, handling and transfer of materials, loading and unloading operations, control of plant site runoff, worker training, building of containment structures or equipment, measures for containing toxic organic pollutants, including solvents, and/or measures and equipment for emergency response.

3.4 BYPASS

- A. For the purposes of this Section,
 - 1) Bypass means the intentional diversion of wastestreams from any portion of a User's treatment facility.
 - 2) Severe property damage means substantial physical damage to property, damage to the treatment facilities which causes them to become inoperable, or substantial and permanent loss of natural resources which can reasonably be expected to occur in the absence of a bypass. Severe property damage does not mean economic loss caused by delays in production.
- B. A User may allow any bypass to occur which does not cause Pretreatment Standards or Requirements to be violated, but only if it also is for essential maintenance to assure efficient operation. These bypasses are not subject to the provision of paragraphs (C), and (D) of this Section.
- C. Bypass Notifications
 - 1) If a User knows in advance of the need for a bypass, it shall submit prior notice to the General Manager, at least ten (10) days before the date of the bypass, if possible.
 - 2) A User shall submit oral notice to the General Manager of an unanticipated bypass that exceeds applicable Pretreatment Standards within twenty-four (24) hours from the time it becomes aware of the bypass. A written submission shall

also be provided within five (5) days of the time the User becomes aware of the bypass. The written submission shall contain a description of the bypass and its cause; the duration of the bypass, including exact dates and times, and, if the bypass has not been corrected, the anticipated time it is expected to continue; and steps taken or planned to reduce, eliminate, and prevent recurrence of the bypass. The General Manager may waive the written report on a case-by-case basis if the oral report has been received within twenty-four (24) hours.

- 3) Notification provided pursuant to paragraph C. 2 shall not relieve the User of liability for any expense, loss, damage, or other liability which may be incurred as a result of damage or loss to the IEUA or any other damage or loss to Person or property; nor shall such notification relieve the User of any fines, penalties, or other liability which may be imposed under this Ordinance or other applicable law.

D. Bypass

1. Bypass is prohibited, and the General Manager may take an enforcement action against a User for a bypass, unless:
 - a. Bypass was unavoidable to prevent loss of life, personal injury, or severe property damage
 - b. There were no feasible alternatives to the bypass, such as the use of auxiliary treatment facilities, retention of untreated wastes, or maintenance during normal periods of equipment downtime. This condition is not satisfied if adequate back-up equipment should have been installed in the exercise of reasonable engineering judgment to prevent a bypass which occurred during normal periods of equipment downtime or preventive maintenance; and
 - c. The User submitted notices as required under paragraph (C) of this section.
2. The General Manager may approve an anticipated bypass, after considering its adverse effects, if the General Manager determines that it will meet the three conditions listed in paragraph (D)(1) of this section.

3.5 HAULED WASTEWATER

- A. Septic Tank Waste may be introduced into the POTW only at locations designated by the General Manager, and at such times as are established by the General Manager. The General Manager shall notify Haulers of any change in the locations. Haulers shall be the responsible and liable to discharge in such a manner as to keep the IEUA designated area clean and free from spills or other debris. Discharge to any point in the Regional Sewerage System other than a designated location is prohibited. Such waste shall not violate Section SECTION 2 of this Ordinance including Local Limits established by the General Manager and adopted by the Board or any other requirements established by IEUA. The General Manager will

require Septic Tank Waste haulers to obtain individual wastewater discharge permits and any required permit or license from the San Bernardino County Department of Environmental Health Services.

- B. A manifest and/or chain-of-custody of a type prescribed by the IEUA, shall be used by the Hauler to track the Septic Tank Waste from its originating point through any transfers to another Hauler vehicle or Hauler's on-site tank to the IEUA Disposal location. The manifest shall accompany the Septic Tank Waste on the transport vehicle, through any transfers, and until the Septic Tank Waste is discharged at the Disposal location.
- C. Haulers of Septic Tank Waste shall, prior to removing the wastes from the Premises, have the Person give written consent of inspection by the IEUA in order to verify compliance with the provisions of this Ordinance. IEUA staff and other authorized personnel are required to provide identification to Persons when entering any Premises for inspection or sampling purposes.
- D. Haulers shall maintain all manifests and records in an organized manner, indicating the number of loads, the source of the loads, the volume of the loads and the type of Septic Tank Waste discharged into the Regional Sewerage System. A Hauler shall retain all records and transport manifests for three (3) years.
- E. IEUA reserves the right to perform sample collection and testing of any and all Septic Tank Waste to determine its acceptability for discharge into the Regional Sewerage System. IEUA may require a Hauler to have the Septic Tank Wastes analyzed at their own expense by an independent certified laboratory approved by IEUA.
- F. IEUA reserves the right to inspect the vehicles used to transport Septic Tank Wastes to the Regional Sewerage System, take photographs and/or take samples of the wastes discharged to the Regional Sewerage System. Such inspection shall also include the right to inspect and copy records required to be maintained by the hauler under federal, State of California, or local requirements.

SECTION 4 - INDIVIDUAL WASTEWATER DISCHARGE PERMITS

4.1 WASTEWATER ANALYSIS

When requested by the General Manager, a User must submit information on the nature and characteristics of its wastewater within thirty (30) days of the request. The General Manager is authorized to prepare a form for this purpose and may periodically require Users to update this information.

4.2 INDIVIDUAL WASTEWATER DISCHARGE PERMIT REQUIREMENTS

- A. No Significant Industrial User shall discharge wastewater into the POTW without first obtaining an individual wastewater discharge permit from the General Manager, except that a Significant Industrial User that has filed a timely application

pursuant to Section 4.3 of this Ordinance may continue to discharge for the time period specified therein.

- B. The General Manager may require other Users to obtain individual wastewater discharge permits as necessary to carry out the purposes of this Ordinance.
- C. Any violation of the terms and conditions of an individual wastewater discharge permit shall be deemed a violation of this Ordinance and subjects the wastewater discharge permittee to the sanctions set out in Section 10 through Section 12 of this Ordinance. Obtaining an individual wastewater discharge permit does not relieve a permittee of its obligation to comply with all Federal Pretreatment Standards or Requirements or with any other requirements of Federal, State, and local law.
- D. The Significant Industrial User shall furnish to the General Manager, within the time specified below or a reasonable time as determined by the General Manager, any documents or records maintained by the Significant Industrial User which the General Manager may request to determine whether cause exists for modifying, revoking, reissuing, or to determine compliance with the Permit. The Significant Industrial User shall also furnish to the General Manager upon request, copies of records required to be kept by the Significant Industrial User.

4.3 INDIVIDUAL WASTEWATER DISCHARGE PERMITTING: EXISTING CONNECTIONS

Any existing Significant Industrial User without an individual wastewater discharge permit discharging wastewater into the POTW prior to the effective date of this Ordinance and who wishes to continue such discharges, shall, within sixty (60) days after said date, apply to the General Manager for an individual wastewater discharge permit in accordance with Section 4.5. The existing Significant Industrial User shall not cause or allow discharges to the POTW to continue after ninety (90) days of the filing of the wastewater discharge permit application, except in accordance with an individual wastewater discharge permit issued by the General Manager.

4.4 INDIVIDUAL WASTEWATER DISCHARGE PERMITTING: NEW CONNECTIONS

Any Significant Industrial User required to obtain an individual wastewater discharge permit who proposes to begin or recommence discharging into the POTW must obtain such permit prior to the beginning or recommencing of such discharge. An application for this individual wastewater discharge permit, in accordance with Section 4.5 of this Ordinance, must be filed at least ninety (90) days prior to the date upon which any discharge will begin or recommence.

4.5 INDIVIDUAL WASTEWATER DISCHARGE PERMIT APPLICATION CONTENTS

- A. All Users required to obtain an individual wastewater discharge permit must submit a permit application. The General Manager may require Users to submit all or some of the following information as part of a permit application:

(1) Identifying Information.

- a. The name and address of the facility, including the name of the operator, Duly Authorized Representative, and owner.
- b. Copies of business licenses; tax or utility bills; vehicle licenses and capacity of waste hauler tank; general, automobile, workers compensation, and employer's liability insurances;
- c. Contact information, description of activities, facilities, and plant production processes on the premises;

(2) Environmental Permits. A list of any environmental control permits held by or for the facility including, but not limited to permits issued by the San Bernardino County Department of Environmental Health Services, State of California, and South Coast Air Quality Control Board.

(3) Description of Operations.

- a. A brief description of the nature, average rate of production (including each product produced by type, amount, processes, and rate of production), and standard industrial classifications of the operation(s) carried out by such User. This description should include a schematic process diagram, which indicates points of water usage, wastewater generation, treatment, and discharge to the POTW from the regulated processes.
- b. Types of wastes generated, and a list of all raw materials and chemicals used or stored at the facility which are, or could accidentally or intentionally be, discharged to the POTW;
- c. Number and type of employees, hours of operation, and proposed or actual hours of operation;
- d. Type and amount of raw materials processed (average and maximum per day);
- e. Site plans, floor plans, mechanical and plumbing plans, and details to show all sewers, floor drains, and appurtenances by size, location, and elevation, and all points of discharge;

(4) Time and duration of discharges;

(5) The location for monitoring all wastes covered by the permit;

(6) Flow Measurement. Information showing the measured average daily and maximum daily flow, in gallons per day, to the POTW from regulated process streams and other streams, as necessary, to allow use of the combined wastestream formula set out in Section 2.2B (40 CFR 403.6(e)).

(7) Measurement of Pollutants.

- a. The categorical Pretreatment Standards applicable to each regulated process and any new categorically regulated processes for Existing Sources.
- b. The results of sampling and analysis identifying the nature and concentration, and/or mass, where required by the Standard or by the General Manager, of regulated pollutants in the discharge from each regulated process.
- c. Daily Maximum and long-term average concentrations, or mass, where required, shall be reported.
- d. The sample shall be representative of daily operations and shall be analyzed in accordance with procedures set out in Section 6.10 of this Ordinance. Where the Standard requires compliance with a BMP or pollution prevention alternative, the User shall submit documentation as required by the General Manager or the applicable Standards to determine compliance with the Standard.
- e. Sampling must be performed in accordance with procedures set out in Section 6.11 of this Ordinance.

(8) Special studies may be required in the processing of an application, or an individual wastewater discharge permit update. In the event a special study is required, the IEUA and/or Contracting Agency shall notify the applicant or the User in writing, of the need for the special study, and what parameters the study should address. If the IEUA and/or Contracting Agency perform the study, the applicant or User shall deposit with the IEUA and/or Contracting Agency the estimated cost of performing the study. All costs shall be borne by the applicant or User. Final costs will be based upon actual costs incurred by the IEUA.

(9) Any other information as may be deemed necessary by the General Manager to evaluate the permit application.

- B. Incomplete or inaccurate applications will not be processed and will be returned to the User for revision.

4.6 APPLICATION SIGNATORIES AND CERTIFICATIONS

- A. All wastewater discharge permit applications, User reports and certification statements must be signed by an Authorized Representative of the User, contain the certification statement in Section 6.14, identify the name and contact information of the Authorized Representative.
- B. If the designation of an Authorized Representative is no longer accurate because a different individual or position has responsibility for the overall operation of the

facility or overall responsibility for environmental matters for the company, a new written authorization satisfying the requirements of this Section must be submitted to the General Manager prior to or together with any reports to be signed by an Authorized Representative.

4.7 INDIVIDUAL WASTEWATER DISCHARGE PERMIT DECISIONS

- A. The General Manager will evaluate the data furnished by the User and may require additional information. Within sixty (60) days of receipt of a complete permit application, the General Manager will determine whether to issue an individual wastewater discharge permit.
- B. The General Manager shall deny or condition new or increased contributions of pollutants, or changes in the nature of pollutants, to the POTW by Industrial Users where such contributions do not meet applicable Pretreatment Standards and Requirements or where such contributions would cause the POTW to violate its NPDES permit.

SECTION 5 - INDIVIDUAL WASTEWATER DISCHARGE PERMIT ISSUANCE

5.1 INDIVIDUAL WASTEWATER DISCHARGE PERMIT DURATION

An individual wastewater discharge permit shall be issued for a specified time period, not to exceed five (5) years from the effective date of the permit. An individual wastewater discharge permit may be issued for a period less than five (5) years, at the discretion of the General Manager. Each individual wastewater discharge permit will indicate a specific date upon which it will expire.

5.2 INDIVIDUAL WASTEWATER DISCHARGE PERMIT CONTENTS

An individual wastewater discharge permit shall include such conditions as are deemed reasonably necessary by the General Manager to prevent Pass Through or Interference, protect the quality of the water body receiving the treatment plant's effluent, protect worker health and safety, facilitate sludge management and Disposal, and protect against damage to the POTW.

- A. Individual wastewater discharge permits must contain:
 - (1) A statement that indicates the wastewater discharge permit issuance date, expiration date and effective date;
 - (2) A statement that the wastewater discharge permit is non-transferable without prior notification to IEUA in accordance with Section 5.4 of this Ordinance, and provisions for furnishing the new owner or operator with a copy of the existing wastewater discharge permit;
 - (3) Effluent limits, including Best Management Practices, based on applicable Pretreatment Standards;
 - (4) Self-monitoring, sampling, reporting, notification, and record-keeping requirements. These requirements shall include an identification of

pollutants (or Best Management Practice) to be monitored, sampling location, sampling frequency, and sample type based on Federal, State, and local law.

- (5) A statement of applicable civil and criminal penalties for violation of Pretreatment Standards and Requirements, and any applicable compliance schedule. Such schedule may not extend the time for compliance beyond that required by applicable Federal, State, or local law.
- (6) Requirements to control Slug Discharge, if determined by the General Manager to be necessary.
- (7) Predetermined rates or values for Wastewater strength characteristics
- (8) Requirements to submit copies of tax and/or water bills
- (9) Requirement to furnish to the General Manager, within a reasonable time, any documents or records maintained by the User and/or required to be kept by the User which the General Manager may request to determine whether cause exists for modifying, revoking, reissuing, or to determine compliance with the Permit.

B. Individual wastewater discharge permits may contain, but need not be limited to, the following conditions:

- (1) Limits on the average and/or maximum rate of discharge, time of discharge, and/or requirements for flow regulation and equalization;
- (2) Requirements for the installation of pretreatment technology, pollution control, or construction of appropriate containment devices, designed to reduce, eliminate, or prevent the introduction of pollutants into the treatment works;
- (3) Requirements for the development and implementation of spill control plans or other special conditions including management practices necessary to adequately prevent accidental, unanticipated, or non-routine discharges;
- (4) Development and implementation of waste minimization plans to reduce the amount of pollutants discharged to the POTW;
- (5) Requirements for installation and maintenance of inspection and sampling facilities and equipment, including flow measurement devices and/or combustible gas metering devices;
- (6) A statement that compliance with the individual wastewater discharge permit does not relieve the Permittee of responsibility for compliance with all applicable Federal and State Pretreatment Standards, including those which become effective during the term of the individual wastewater discharge permit; and

- (7) Other conditions as deemed appropriate by the General Manager to ensure compliance with this Ordinance, and State and Federal laws, rules, and regulations.
- (8) The requirement to comply with all aspects of the individual wastewater discharge permit, or all requirements of this Ordinance shall not be stayed pending during the appeal.

5.3 PERMIT MODIFICATION

A. The General Manager may modify an individual wastewater discharge permit for good cause, including, but not limited to, the following reasons:

- (1) To incorporate any new or revised Federal, State, or local Pretreatment Standards or Requirements;
- (2) To address significant alterations or additions to the User's operation or wastewater volume or character since the time of the individual wastewater discharge permit issuance;
- (3) A change in the POTW that requires either a temporary or permanent reduction or elimination of the authorized discharge;
- (4) Information indicating that the permitted discharge poses a threat to the POTW, beneficial use of the biosolids, IEUA personnel, or the receiving waters;
- (5) Violation of any terms or conditions of the individual wastewater discharge permit;
- (6) Misrepresentations or failure to fully disclose all relevant facts in the wastewater discharge permit application or in any required reporting;
- (7) Revision of or a grant of variance from categorical Pretreatment Standards pursuant to 40 CFR 403.13;
- (8) To correct typographical or other errors in the individual wastewater discharge permit.

5.4 INDIVIDUAL WASTEWATER DISCHARGE PERMIT TRANSFER

Individual wastewater discharge permits may not be transferred to a new owner or operator. Any change in ownership or operator requires that the new owner/operator apply for a new permit using the requirements set forth in Section 4.4 and 4.5. The new owner/operator is prohibited from discharging without a valid permit.

5.5 INDIVIDUAL WASTEWATER DISCHARGE PERMIT REVOCATION

The General Manager may revoke an individual wastewater discharge permit for good cause, including, but not limited to, the following reasons, as set forth in Section 10.8:

Individual wastewater discharge permits shall be voidable upon cessation of operations or transfer of business ownership. All individual wastewater discharge permits issued to a User are void upon the issuance of a new individual wastewater discharge permit to that User.

5.6 INDIVIDUAL WASTEWATER DISCHARGE PERMIT REISSUANCE

A User with an expiring individual wastewater discharge permit shall apply for individual wastewater discharge permit reissuance by submitting a complete permit application, in accordance with Section 4.5 of this Ordinance, a minimum of one hundred eighty (180) days prior to the expiration of the User's existing individual wastewater discharge permit.

5.7 REGULATION OF WASTE RECEIVED FROM OTHER JURISDICTIONS

- A. IEUA accepts wastes from the Contracting Agencies under agreements with each of the Contracting Agencies. The agreements allow for IEUA to administer the pretreatment program within the agency's boundaries or for IEUA to allow the Contracting Agency to administer the pretreatment program under IEUA review.
- B. If another municipality, agency, or User located within another municipality or agency, contributes wastewater to the POTW, the General Manager shall enter into an interjurisdictional agreement with the contributing municipality or agency.
- C. For those pretreatment programs administered by the Contracting Agency and as part of the interjurisdictional agreement, the General Manager shall require the Contracting Agency or contributing agency to submit annually or as requested by the General Manager, the following information:
 - (1) A description of the quality and volume of wastewater discharged to the IEUA POTW by the contributing municipality;
 - (2) An inventory of all Users located within the contributing municipality that are discharging to the POTW; and
 - (3) Such other information as the General Manager may deem necessary.
- D. An interjurisdictional agreement, as required by paragraph B, above, shall contain the following conditions:
 - (1) A requirement for the contributing municipality to adopt a sewer use ordinance which is at least as stringent as this Ordinance and Local Limits, including required Baseline Monitoring Reports (BMRs) which are at least as stringent as those set out in Section 2.3 of this Ordinance and as established by the General Manager and adopted by Board Resolution. The requirement shall specify that such ordinance and limits must be revised as necessary to reflect changes made to IEUA's Ordinance or Local Limits;
 - (2) A requirement for the contributing municipality to submit a revised Significant Industrial User inventory on at least an annual basis;

- (3) A provision specifying which pretreatment implementation activities, including individual wastewater discharge permit issuance, inspection and sampling, and enforcement, will be conducted by the contributing municipality; which of these activities will be conducted by the General Manager; and which of these activities will be conducted jointly by the contributing municipality and the General Manager;
- (4) A requirement for the contributing municipality to provide the General Manager with access to all information that the contributing municipality obtains as part of its pretreatment activities;
- (5) Limits on the nature, quality, and volume of the contributing municipality's wastewater at the point where it discharges to the POTW;
- (6) Requirements for monitoring the contributing municipality's discharge;
- (7) A provision ensuring the General Manager access to the facilities of Users located within the contributing municipality's jurisdictional boundaries for the purpose of inspection, sampling, and any other duties deemed necessary by the General Manager; and
- (8) A provision specifying remedies available for breach of the terms of the interjurisdictional agreement.

SECTION 6 - REPORTING REQUIREMENTS

6.1 BASELINE MONITORING REPORTS

- A. Within either one hundred eighty (180) days after the effective date of a categorical Pretreatment Standard, or the final administrative decision on a category determination under 40 CFR 403.6(a)(4), whichever is later, existing Categorical Industrial Users currently discharging to or scheduled to discharge to the POTW shall submit to the General Manager a report which contains the information listed in paragraph B, below. At least ninety (90) days prior to commencement of their discharge, New Sources, and sources that become Categorical Industrial Users subsequent to the promulgation of an applicable categorical Standard, shall submit to the General Manager a report which contains the information listed in paragraph B, below. A New Source shall report the method of pretreatment it intends to use to meet applicable categorical Standards. A New Source also shall give estimates of its anticipated flow and quantity of pollutants to be discharged.
- B. Users described above shall submit the information set forth below.
 - (1) All information required in Section 4.5A(1)(a), Section 4.5A(2), Section a, and Section 4.5A(6).
 - (2) Measurement of pollutants.

- a. The User shall provide the information required in Section 4.5(7) a through e.
 - b. The User shall take a minimum of one representative sample to compile that data necessary to comply with the requirements of this paragraph.
 - c. Samples should be taken immediately downstream from pretreatment facilities if such exist or immediately downstream from the regulated process if no pretreatment exists. If other wastewaters are mixed with the regulated wastewater prior to pretreatment the User should measure the flows and concentrations necessary to allow use of the combined wastestream formula in 40 CFR 403.6(e) to evaluate compliance with the Pretreatment Standards. Where an alternate concentration or mass limit has been calculated in accordance with 40 CFR 403.6(e) this adjusted limit along with supporting data shall be submitted to the Control Authority;
 - d. Sampling and analysis shall be performed in accordance with Section 6.11;
 - e. The General Manager may allow the submission of a Baseline Monitoring Report which utilizes only historical data so long as the data provides information sufficient to determine the need for industrial pretreatment measures;
 - f. The Baseline Monitoring Report shall indicate the time, date and place of sampling and methods of analysis, and shall certify that such sampling and analysis is representative of normal work cycles and expected pollutant Discharges to the POTW.
- (3) Compliance Certification - A statement, reviewed by the User's Authorized Representative as defined in Section 1.4C and certified by a Registered California professional engineer, indicating whether Pretreatment Standards are being met on a consistent basis, and, if not, whether additional operation and maintenance (O&M) and/or additional pretreatment is required to meet the Pretreatment Standards and Requirements.
- (4) Compliance Schedule - If additional pretreatment and/or O&M will be required to meet the Pretreatment Standards, the shortest schedule by which the User will provide such additional pretreatment and/or O&M must be provided. The completion date in this schedule shall not be later than the compliance date established for the applicable Pretreatment Standard. A compliance schedule pursuant to this Section must meet the requirements set out in Section 6.2 of this Ordinance.

- (5) Signature and Report Certification - All Baseline Monitoring Reports must be certified in accordance with Section 6.14 of this Ordinance and signed by an Authorized Representative as defined in Section 1.4C.

6.2 COMPLIANCE SCHEDULE PROGRESS REPORTS

The following conditions shall apply to the compliance schedule required by Section 6.1B(4) of this Ordinance:

- A. The schedule shall contain progress increments in the form of dates for the commencement and completion of major events leading to the construction and operation of additional pretreatment required for the User to meet the applicable Pretreatment Standards (such events include, but are not limited to, hiring an engineer, completing preliminary and final plans, executing contracts for major components, commencing and completing construction, and beginning and conducting routine operation);
- B. No increment referred to above shall exceed nine (9) months;
- C. The User shall submit a progress report to the General Manager no later than fourteen (14) days following each date in the schedule and the final date of compliance including, as a minimum, whether or not it complied with the increment of progress, the reason for any delay, and, if appropriate, the steps being taken by the User to return to the established schedule; and
- D. In no event shall more than nine (9) months elapse between such progress reports to the General Manager.

6.3 REPORTS ON COMPLIANCE WITH CATEGORICAL PRETREATMENT STANDARD DEADLINE

Within ninety (90) days following the date for final compliance with applicable categorical Pretreatment Standards, or in the case of a New Source following commencement of the introduction of wastewater into the POTW, any User subject to such Pretreatment Standards and Requirements shall submit to the General Manager a report containing the information described in Section 4.5A(6) and (7) and 6.1B(2) of this Ordinance. For Users subject to equivalent mass or concentration limits established in accordance with the procedures in Section 2.2, this report shall contain a reasonable measure of the User's long-term production rate. For all other Users subject to categorical Pretreatment Standards expressed in terms of allowable pollutant discharge per unit of production (or other measure of operation), this report shall include the User's actual production during the appropriate sampling period. All compliance reports must be signed and certified in accordance with Section 6.14 of this Ordinance. All sampling will be done in conformance with Section 6.11.

6.4 PERIODIC COMPLIANCE REPORTS

- A. All Users must, at a frequency determined by the General Manager submit no less than twice per year (July and January) reports indicating the nature, concentration of pollutants in the discharge which are limited by Pretreatment Standards and the

measured or estimated average and maximum daily flows for the reporting period. In cases where the Pretreatment Standard requires compliance with a Best Management Practice (BMP) or pollution prevention alternative, the User must submit documentation required by the General Manager or the Pretreatment Standard necessary to determine the compliance status of the User.

- B. All periodic compliance reports must be signed and certified in accordance with Section 6.14 of this Ordinance.
- C. All wastewater samples must be representative of the User's discharge. Wastewater monitoring and flow measurement facilities shall be properly operated, kept clean, and maintained in good working order at all times. The failure of a User to keep its monitoring facility in good working order shall not be grounds for the User to claim that sample results are unrepresentative of its discharge.
- D. If a User subject to the reporting requirement in this Section monitors any regulated pollutant at the appropriate sampling location more frequently than required by the General Manager, using the procedures prescribed in Section 6.11 of this Ordinance, the results of this monitoring shall be included in the report.

6.5 REPORTS OF CHANGED CONDITIONS

Each User must notify the General Manager of any significant changes to the User's operations or system which might alter the nature, quality, or volume of its wastewater at least thirty (30) days before the change. For the purposes of this section, "significant changes" shall include any sustained twenty (20) percent increase or decrease in industrial wastewater flow or strength discharged or in production capacity, changes including additions or deletions to processes or equipment, and experimentation with new processes and/or equipment that will affect the quantity or quality of wastewater discharged.

- A. The General Manager may require the User to submit such information as may be deemed necessary to evaluate the changed condition, including the submission of a wastewater discharge permit application under Section 4.5 of this Ordinance.
- B. The General Manager may issue an individual wastewater discharge permit under Section 5.6 of this Ordinance or modify an existing wastewater discharge permit under Section 5.3 of this Ordinance in response to changed conditions or anticipated changed conditions.

6.6 REPORTS OF POTENTIAL PROBLEMS

- A. In the case of any discharge, including, but not limited to, accidental discharges, discharges of a non-routine, episodic nature, a non-customary batch discharge, a Slug Discharge or Slug Load, that might cause potential problems for the POTW, the User shall immediately telephone and notify the General Manager of the incident. This notification shall include the location of the discharge, type of waste, concentration and volume, if known, and corrective actions taken or planned by the User.

- B. Within five (5) days following such discharge, the User shall, unless waived by the General Manager, submit a detailed written report describing the cause(s) of the discharge and the measures to be taken by the User to prevent similar future occurrences. Such notification shall not relieve the User of any expense, loss, damage, or other liability which might be incurred as a result of damage to the POTW, natural resources, or any other damage to person or property; nor shall such notification relieve the User of any fines, penalties, or other liability which may be imposed pursuant to this Ordinance.
- C. A notice shall be permanently posted on the User's bulletin board or other prominent place advising employees who to call in the event of a discharge described in paragraph A, above. Employers shall ensure that all employees, who could cause such a discharge to occur, are advised of the emergency notification procedure.
- D. Significant Industrial Users are required to notify the General Manager immediately of any changes at its facility affecting the potential for a Slug Discharge.
- E. User shall notify the General Manager at least 10 days in advance of any planned production, operational change, maintenance activity that may cause a violation of the User's permit or the Ordinance. The notification shall describe the potential problem, actions the User is taking to prevent a discharge violation, and the contingency plans that will be used if a violation were to occur.

6.7 REPORTS FROM UNPERMITTED USERS

All Users not required to obtain an individual wastewater discharge permit shall provide appropriate reports to the General Manager as the General Manager may require.

6.8 NOTICE OF VIOLATION/REPEAT SAMPLING AND REPORTING

If sampling performed by a User indicates a violation, the User must notify the General Manager or, when a Contracting Agency is administering the pretreatment program under IEUA review, the Contracting Agency within twenty-four (24) hours of becoming aware of the violation. The User shall also repeat the sampling and analysis and submit the results of the repeat analysis to the General Manager or, when a Contracting Agency is administering the pretreatment program under IEUA review, the Contracting Agency within thirty (30) days after becoming aware of the violation. Resampling by the User is not required if the IEUA or Contracting Agency performs sampling at the User's facility at least once a month, or if the IEUA or Contracting Agency performs sampling at the User's facility between the time when the initial sampling was conducted and the time when the User or the Contracting Agency receives the results of this sampling, or if the IEUA or Contracting Agency has performed the sampling and analysis in lieu of the User.

6.9 NOTIFICATION OF THE DISCHARGE OF HAZARDOUS WASTE

- A. Any User who commences the discharge of hazardous waste shall notify the Agency, the EPA Regional Waste Management Division Director, and State hazardous waste authorities, in writing, of any discharge into the POTW of a

substance which, if otherwise disposed of, would be a hazardous waste under 40 CFR Part 261. Such notification must include the name of the hazardous waste as set forth in 40 CFR Part 261, the EPA hazardous waste number, and the type of discharge (continuous, batch, or other). If the User discharges more than one hundred (100) kilograms of such waste per calendar month to the Agency, the notification also shall contain the following information to the extent such information is known and readily available to the User: an identification of the hazardous constituents contained in the wastes, an estimation of the mass and concentration of such constituents in the wastestream discharged during that calendar month, and an estimation of the mass of constituents in the wastestream expected to be discharged during the following twelve (12) months. All notifications must take place no later than one hundred and eighty (180) days after the discharge commences. Any notification under this paragraph need be submitted only once for each hazardous waste discharged. However, notifications of changed conditions must be submitted under Section 6.5 of this ordinance. The notification requirement in this Section does not apply to pollutants already reported by Users subject to categorical Pretreatment Standards under the self-monitoring requirements of this Ordinance.

- B. Dischargers are exempt from the requirements of paragraph A, above, during a calendar month in which they discharge no more than fifteen (15) kilograms of hazardous wastes, unless the wastes are acute hazardous wastes as specified in 40 CFR 261.30(d) and 261.33(e). Discharge of more than fifteen (15) kilograms of nonacute hazardous wastes in a calendar month, or of any quantity of acute hazardous wastes as specified in 40 CFR 261.30(d) and 261.33(e), requires a one-time notification. Subsequent months during which the User discharges more than such quantities of any hazardous waste do not require additional notification.
- C. In the case of any new regulations under section 3001 of RCRA (42 U.S.C. § 6921) identifying additional characteristics of hazardous waste or listing any additional substance as a hazardous waste, the User must notify the General Manager, the EPA Regional Waste Management Waste Division Director, and State hazardous waste authorities of the discharge of such substance within ninety (90) days of the effective date of such regulations.
- D. In the case of any notification made under this Section, the User shall certify that it has a program in place to reduce the volume and toxicity of hazardous wastes generated to the degree it has determined to be economically practical.
- E. This provision does not create a right to discharge any substance not otherwise permitted to be discharged by this Ordinance, a permit issued thereunder, or any applicable Federal or State law.

6.10 ANALYTICAL REQUIREMENTS

All pollutant analyses, including sampling techniques, to be submitted as part of a wastewater discharge permit application or report shall be performed in accordance with the techniques prescribed in 40 CFR Part 136 and amendments thereto, unless otherwise specified in an applicable

categorical Pretreatment Standard. If 40 CFR Part 136 does not contain sampling or analytical techniques for the pollutant in question, or where the EPA determines that the Part 136 sampling and analytical techniques are inappropriate for the pollutant in question, sampling and analyses shall be performed by using validated analytical methods or any other applicable sampling and analytical procedures, including procedures suggested by the General Manager and may require approval by RWQCB and EPA.

6.11 SAMPLE COLLECTION

Samples collected to satisfy reporting requirements must be based on data obtained through appropriate sampling and analysis performed during the period covered by the report, based on data that is representative of conditions occurring during the reporting period.

- A. Except as indicated in Section B and C below, the User must collect wastewater samples using 24-hour flow-proportional composite sampling techniques, unless time-proportional composite sampling or grab sampling is authorized by the General Manager. Where time-proportional composite sampling or grab sampling is authorized by IEUA, the samples must be representative of the discharge. Using protocols (including appropriate preservation) specified in 40 CFR Part 136 and appropriate EPA guidance, multiple Grab Samples collected during a 24-hour period may be composited prior to the analysis as follows: for cyanide, total phenols, and sulfides the samples may be composited in the laboratory or in the field; for volatile organics and oil and grease, the samples may be composited in the laboratory. Composite samples for other parameters unaffected by the compositing procedures as documented in approved EPA methodologies may be authorized by IEUA, as appropriate. In addition, Grab Samples may be required to show compliance with Limits.
- B. Samples for oil and grease, temperature, pH, cyanide, total phenols, sulfides, and volatile organic compounds must be obtained using grab collection techniques.
- C. For sampling required in support of baseline monitoring and 90-day compliance reports required in Section 6.1 and 6.3 (40 CFR 403.12(b) and (d)), a minimum of four (4) Grab Samples must be used for pH, cyanide, total phenols, oil and grease, sulfide and volatile organic compounds for facilities for which historical sampling data do not exist; for facilities for which historical sampling data are available, the General Manager may authorize a lower minimum. For the reports required by paragraphs Section 6.4 (40 CFR 403.12(e) and 403.12(h)), the Industrial User is required to collect the number of Grab Samples necessary to assess and assure compliance by with applicable Pretreatment Standards and Requirements.

6.12 DATE OF RECEIPTS OF REPORTS

Written reports will be deemed to have been submitted on the date postmarked if mailed, postage prepaid, into a mail facility serviced by the United States Postal Service. For reports, which are not mailed, the date of receipt of the report shall govern.

6.13 RECORDKEEPING

Users subject to the reporting requirements of this Ordinance shall retain, and make available for inspection and copying, all records of information obtained pursuant to any monitoring activities required by this Ordinance, any additional records of information obtained pursuant to monitoring activities undertaken by the User independent of such requirements, and documentation associated with Best Management Practices established under Section 2.3D. Records shall include the date, exact place, method, and time of sampling, and the name of the Person(s) taking the samples; the dates analyses were performed; who performed the analyses; the analytical techniques or methods used; and the results of such analyses. These records shall remain available for a period of at least three (3) years. This period shall be automatically extended for the duration of any litigation concerning the User or IEUA, or where the User has been specifically notified of a longer retention period by the General Manager.

6.14 CERTIFICATION STATEMENTS

Certification of Permit Applications, User Reports and Initial Monitoring Waiver—The following certification statement is required to be signed and submitted by Users submitting permit applications in accordance with Section 4.5; Users submitting Baseline Monitoring Reports under Section 6.1B(5); Users submitting reports on compliance with the categorical Pretreatment Standard deadlines under Section 6.3; Users submitting periodic compliance reports required by Section 6.4 A–D. The following certification statement must be signed by an Authorized Representative as defined in Section 1.4C and include the printed name of the Authorized Representative, signature date, and contact information:

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

SECTION 7 - COMPLIANCE MONITORING

7.1 RIGHT OF ENTRY: INSPECTION AND SAMPLING

The General Manager shall have the right to enter the premises of any User to determine whether the User is complying with all requirements of this Ordinance and any individual wastewater discharge permit or order issued hereunder. Users shall allow the General Manager ready access to all parts of the premises for the purposes of inspection, sampling, records examination and copying, photographing, and the performance of any additional duties.

- A. Where a User has security measures in force which require proper identification and clearance before entry into its premises, the User shall make necessary arrangements with its security guards so that, upon presentation of suitable

identification, the General Manager shall be permitted to enter without delay for the purposes of performing specific responsibilities.

- B. The General Manager shall have the right to set up on the User's property, or require installation of, such devices as are necessary to conduct sampling and/or metering of the User's operations.
- C. The General Manager may require the User to install monitoring equipment as necessary. Ample room in or near a monitoring facility to allow accurate sampling and preparation of samples for analysis whether the monitoring facility is constructed on public or private property shall be provided. Plans for construction of a monitoring facility shall be prepared by a California Registered Professional Engineer and submitted to the IEUA for approval prior to construction. The monitoring facility shall be constructed in accordance with IEUA, local construction standards and specifications.

The General Manager may require the User to install flow measurement equipment as necessary. The design and installation of the flow measurement equipment shall comply with the conditions and requirements in the IEUA Wastewater Flow Measurement Requirements.

The facility's sampling and monitoring equipment shall be maintained at all times in a safe and proper operating condition by the User at its own expense. All devices used to measure wastewater flow and quality shall be calibrated as specified in the User's permit and the IEUA Wastewater Flow Measurement Requirements to ensure their accuracy.

- D. Any temporary or permanent obstruction to safe and easy access to the facility to be inspected and/or sampled shall be promptly removed by the User at the written or verbal request of the General Manager and shall not be replaced. The costs of clearing such access shall be borne by the User.
- E. Unreasonable delays in allowing the General Manager access to the User's premises shall be a violation of this Ordinance.
- F. When the Contracting Agency is administering the pretreatment program for IEUA and if there is a need to enter and inspect a User in a Contracting Agency's jurisdiction, the General Manager will notify the Contracting Agency of the reason to inspect and/or sample the User, and work cooperatively with the Contracting Agency to perform the inspection and/or sample the User.

7.2 SEARCH WARRANTS

If the General Manager has been refused access to a building, structure, or property, or any part thereof, and is able to demonstrate probable cause to believe that there may be a violation of this Ordinance, or that there is a need to inspect and/or sample as part of a routine inspection and sampling program of IEUA designed to verify compliance with this Ordinance or any permit or order issued hereunder, or to protect the overall public health, safety and welfare of the community, the General Manager may seek issuance of a search warrant from a court of competent jurisdiction.

SECTION 8 - CONFIDENTIAL INFORMATION

Information and data on a User obtained from reports, surveys, wastewater discharge permit applications, individual wastewater discharge permits, and monitoring programs, and from the General Manager's inspection and sampling activities, shall be available to the public without restriction, unless the User specifically requests, and is able to demonstrate to the satisfaction of the General Manager, that the release of such information would divulge information, processes, or methods of production entitled to protection as trade secrets under applicable State law. Any such request must be asserted at the time of submission of the information or data. When requested and demonstrated by the User furnishing a report that such information should be held confidential, the portions of a report which might disclose trade secrets or secret processes shall not be made available for inspection by the public, but shall be made available immediately upon request to governmental agencies for uses related to the NPDES program or pretreatment program, and in enforcement proceedings involving the person furnishing the report. Wastewater constituents and characteristics and other effluent data, as defined at 40 CFR 2.302 shall not be recognized as confidential information and shall be available to the public without restriction.

SECTION 9 - PUBLICATION OF USERS IN SIGNIFICANT NON-COMPLIANCE

The General Manager shall publish annually, in a newspaper of general circulation that provides meaningful public notice within the jurisdictions served by the IEUA, a list of the Users which, at any time during the previous twelve (12) months, were in Significant Non-Compliance with applicable Pretreatment Standards and Requirements. The term Significant Non-Compliance shall be applicable to all Significant Industrial Users (or any other Industrial User that violates paragraphs (C), (D) or (H) of this Section) and shall mean:

- A. Chronic violations of wastewater discharge limits, defined here as those in which sixty-six percent (66%) or more of all the measurements taken for the same pollutant parameter taken during a six (6) month period exceed (by any magnitude) a numeric Pretreatment Standard or Requirement, including Instantaneous Limits as defined in Section SECTION 2;
- B. Technical Review Criteria (TRC) violations, defined here as those in which thirty-three percent (33%) or more of wastewater measurements taken for each pollutant parameter during a six (6) month period equals or exceeds the product of the numeric Pretreatment Standard or Requirement including Instantaneous Limits, as defined by Section SECTION 2 multiplied by the applicable criteria (1.4 for BOD, TSS, fats, oils and grease, and 1.2 for all other pollutants except pH);
- C. Any other violation of a Pretreatment Standard or Requirement as defined by Section SECTION 2 (Daily Maximum, long-term average, Instantaneous Limit, or narrative standard) that the General Manager determines has caused, alone or in combination with other discharges, Interference or Pass Through, including endangering the health of POTW personnel or the general public;
- D. Any discharge of a pollutant that has caused imminent endangerment to the public or to the environment, or has resulted in the General Manager's exercise of its emergency authority to halt or prevent such a discharge;

- E. Failure to meet, within ninety (90) days of the scheduled date, a compliance schedule milestone contained in an individual wastewater discharge permit or enforcement order for starting construction, completing construction, or attaining final compliance;
- F. Failure to provide within forty-five (45) days after the due date, any required reports, including Baseline Monitoring Reports, reports on compliance with categorical Pretreatment Standard deadlines, periodic self-monitoring reports, and reports on compliance with compliance schedules;
- G. Failure to accurately report non-compliance; or
- H. Any other violation(s), which may include a violation of Best Management Practices, which the General Manager determines will adversely affect the operation or implementation of the local pretreatment program.

SECTION 10 - ADMINISTRATIVE ENFORCEMENT REMEDIES

10.1 NOTICE OF VIOLATION/ORDER FOR CORRECTIVE ACTION

When the General Manager finds that a User has violated, or continues to violate, any provision of this Ordinance, an individual wastewater discharge permit, or order issued hereunder, or any other Pretreatment Standard or Requirement, the General Manager may serve upon that User a written Notice of Violation. Within ten (10) business days of the receipt of such notice, an explanation of the violation and a plan for the satisfactory correction and prevention thereof, to include specific required actions, shall be submitted by the User to the General Manager. Submission of such a plan in no way relieves the User of liability for any violations occurring before or after receipt of the Notice of Violation. Nothing in this Section shall limit the authority of the General Manager to take any action, including emergency actions or any other enforcement action, without first issuing a Notice of Violation.

10.2 COMPLIANCE MEETING

A Compliance Meeting shall be required of all Users who have failed to achieve compliance after the issuance of a Notice of Violation, or violation(s) resulting in significant noncompliance. This meeting shall be for the General Manager to consider drafting a Consent Order or Compliance Order and for the User to propose solutions, request time extensions, or file an appeal.

10.3 CONSENT ORDER

The General Manager may enter into Consent Orders, assurances of compliance, or other similar documents establishing an agreement with any User responsible for non-compliance. Such documents shall include specific action to be taken by the User to correct the non-compliance within a time period specified by the document. Such documents shall have the same force and effect as the administrative orders issued pursuant to Sections 10.5 and 10.6 of this Ordinance and shall be judicially enforceable.

10.4 SHOW CAUSE HEARING

The General Manager may order a User which has violated, or continues to violate, any provision of this Ordinance, an individual wastewater discharge permit, or order issued hereunder, or any other Pretreatment Standard or Requirement, to appear before the General Manager and show cause why the proposed enforcement action should not be taken. Notice shall be served on the User specifying the time and place for the hearing, the proposed enforcement action, the reasons for such action, and a request that the User show cause why the proposed enforcement action should not be taken. The notice of the hearing shall be served personally or by certified mail at least thirty (30) business days prior to the hearing. Such notice may be served on any Authorized Representative of the User as defined in Section 1.4C and required by Section 4.6A. A show cause hearing shall not be a bar against, or prerequisite for, taking any other action against the User.

10.5 COMPLIANCE ORDER

When the General Manager finds that a User has violated, or continues to violate, any provision of this Ordinance, an individual wastewater discharge permit, or order issued hereunder, or any other Pretreatment Standard or Requirement, the General Manager may issue an order to the User responsible for the discharge directing that the User come into compliance within a specified time. If the User does not come into compliance within the time provided, sewer service may be discontinued unless adequate treatment facilities, devices, or other related appurtenances are installed and properly operated. Compliance orders also may contain other requirements to address the non-compliance, including additional self-monitoring and management practices designed to minimize the amount of pollutants discharged to the sewer. A compliance order may not extend the deadline for compliance established for a Pretreatment Standard or Requirement, nor does a compliance order relieve the User of liability for any violation, including any continuing violation. Issuance of a compliance order shall not be a bar against, or a prerequisite for, taking any other action against the User.

10.6 CEASE AND DESIST ORDER

When the General Manager finds that a User has violated, or continues to violate, any provision of this Ordinance, an individual wastewater discharge permit, or order issued hereunder, or any other Pretreatment Standard or Requirement, or that the User's past violations are likely to recur, the General Manager may issue an order to the User directing it to cease and desist all such violations and directing the User to:

- A. Immediately comply with all requirements; and
- B. Take such appropriate remedial or preventive action as may be needed to properly address a continuing or threatened violation, including halting operations and/or terminating the discharge. Issuance of a cease and desist order shall not be a bar against, or a prerequisite for, taking any other action against the User.

10.7 PERMIT SUSPENSION

- A. The General Manager may suspend an individual wastewater discharge permit for any violation of any provision of the Ordinance. These violations can include but are not limited to:

- (1) Failure to comply with the terms and conditions of an Administrative Order;
 - (2) Failure to notify the General Manager of significant changes to the wastewater prior to the changed discharge;
 - (3) Failure to provide prior notification to the General Manager of changed conditions pursuant to Section 6.5 of this Ordinance;
 - (4) Misrepresentation or failure to fully disclose all relevant facts in the wastewater discharge permit application;
 - (5) Falsifying self-monitoring reports and certification statements;
 - (6) Falsifying, tampering with, or knowingly rendering inaccurate any monitoring equipment or sample collection method;
 - (7) Refusing to allow the General Manager timely access to the facility premises and records;
 - (8) Failure to meet effluent limitations;
 - (9) Failure to pay non-compliance fees or fines;
 - (10) Failure to meet compliance schedules;
 - (11) Discharging a slug load to the Regional Sewerage System.
 - (12) Violation of any Pretreatment Standard or Requirement, or any terms of the wastewater discharge permit or this Ordinance.
- B. Upon determination that there are reasonable grounds for permit suspension, the General Manager shall give written notice thereof to the User setting forth a statement of the facts and grounds deemed to exist, together with the time and place where the charges shall be heard by the General Manager or their designee. The hearing date shall be not less than fifteen (15) calendar days and not more than forty-five (45) calendar days after the mailing of such notice.
- C. At the hearing, the User shall have an opportunity to respond to the allegations set forth in the notice by presenting written or oral evidence. The hearing shall be conducted in accordance with procedures established by the General Manager and approved by the IEUA's General Counsel.
- D. After the conclusion of the hearing, the General Manager shall make a determination as to whether grounds exist for suspension of Users permit. The General Manager shall issue his/her decision within fifteen (15) calendar days after the hearing. The written decision shall be sent to the User or its legal counsel/representative at the User's business address.
- E. Upon an order of suspension by the General Manager becoming final, the User shall have no right to discharge any industrial wastewater directly or indirectly to the

Regional Sewerage System for the duration of the suspension. All costs for physically terminating and reinstating service shall be paid by the User.

- F. Any owner or responsible management employee of the User shall be bound by the order of suspension.
- G. An order of permit suspension issued by the General Manager shall be deemed final in all respects sixteen (16) days after it is mailed to the Permittee unless a request for hearing is filed with the Board pursuant to Section 10.11 (B), within fifteen (15) days after mailing to the User.

10.8 PERMIT REVOCATION

- A. A permit may be revoked for any violation of any provision of the Ordinance. These violations can include but are not limited to:
 - (1) Failure to notify the General Manager of significant changes to the wastewater prior to the changed discharge;
 - (2) Failure to provide prior notification to the General Manager of changed conditions pursuant to Section 6.5 of this Ordinance;
 - (3) Misrepresentation or failure to fully disclose all relevant facts in the wastewater discharge permit application;
 - (4) Falsifying self-monitoring reports and certification statements;
 - (5) Falsifying, tampering with, or knowingly rendering inaccurate any monitoring equipment or sample collection method;
 - (6) Refusing to allow the General Manager timely access to the facility premises and records;
 - (7) Failure to meet effluent limitations;
 - (8) Failure to pay fines;
 - (9) Failure to meet compliance schedules;
 - (10) Failure to complete a wastewater survey or the wastewater discharge permit application;
 - (11) Failure to provide advance notice of the transfer of business ownership of a permitted facility; or
 - (12) Violation of any Pretreatment Standard or Requirement, or any terms of the wastewater discharge permit or this Ordinance.
- B. Upon determination that there are reasonable grounds for permit revocation, the General Manager shall give written notice thereof to the User setting forth a statement of the facts and grounds deemed to exist, together with the time and place

where the charges shall be heard by the General Manager or their designee. The hearing date shall be not less than fifteen (15) calendar days and not more than forty-five (45) calendar days after the mailing of such notice.

- C. At the hearing, the User shall have an opportunity to respond to the allegations set forth in the notice by presenting written or oral evidence. The hearing shall be conducted in accordance with procedures established by the General Manager and approved by the IEUA's General Counsel.
- D. After the conclusion of the hearing, the General Manager shall make a determination as to whether grounds exist for revocation of Users permit. The General Manager shall issue his/her decision within fifteen (15) calendar days after the hearing. The written decision shall be sent to the User or its legal counsel/representative at the User's business address.
- E. Upon an order of revocation by the General Manager becoming final, the User shall permanently lose all rights to discharge any industrial wastewater directly or indirectly to the Regional Sewerage System. All costs for physical termination shall be paid by the User.
- F. Any owner or responsible management employee of the User shall be bound by the order of revocation.
- G. An order of permit revocation issued by the General Manager shall be deemed final in all respects upon delivery to the User, unless appealed to the Board pursuant to Section 10.11 (B), within fifteen (15) days after mailing to the User.

10.9 EMERGENCY SUSPENSION

The General Manager may immediately suspend a User's discharge, after informal notice to the User, whenever such suspension is necessary to stop an actual or threatened discharge, which reasonably appears to present, or cause an imminent or substantial endangerment to the health or welfare of persons. The General Manager may also immediately suspend a User's discharge, after notice and opportunity to respond, that threatens to interfere with the operation of the POTW, or which presents, or may present, an endangerment to the environment. IEUA shall not incur liability as a result of suspension events.

- A. Any User notified of a suspension of its discharge shall immediately stop or eliminate its contribution. In the event of a User's failure to immediately comply voluntarily with the suspension order, the General Manager may take such steps as deemed necessary, including immediate severance of the sewer connection, to prevent or minimize damage to the POTW, its receiving stream, or endangerment to any individuals. The General Manager may allow the User to recommence its discharge when the User has demonstrated to the satisfaction of the General Manager that the period of endangerment has passed, unless the termination proceedings in Section 10.10 of this Ordinance are initiated against the User.

- B. A User that is responsible, in whole or in part, for any discharge presenting imminent endangerment shall submit a detailed written statement, describing the causes of the harmful contribution and the measures taken to prevent any future occurrence, to the General Manager prior to the date of any show cause or termination hearing under Sections 10.4 or 10.10 of this Ordinance.

Nothing in this Section shall be interpreted as requiring a hearing prior to any Emergency Suspension under this Section.

10.10 TERMINATION OF SERVICE

In addition to the provisions in Section 10.7 and 10.8 of this Ordinance, any User who violates the following conditions is subject to discharge termination:

- A. Violation of individual wastewater discharge permit conditions;
- B. Failure to accurately report the wastewater constituents and characteristics of its discharge;
- C. Failure to report significant changes in operations or wastewater volume, constituents, and characteristics prior to discharge;
- D. Refusal of reasonable access to the User's premises for the purpose of inspection, monitoring, or sampling; or
- E. Violation of the Pretreatment Standards in Section SECTION 2 of this Ordinance.

Such User will be notified of the proposed termination of its discharge and be offered an opportunity to show cause under Section 10.4 of this Ordinance why the proposed action should not be taken. Exercise of this option by the General Manager shall not be a bar to, or a prerequisite for, taking any other action against the User.

10.11 APPEALS

- A. Administrative Hearings before the General Manager
 - (1) Any Permit applicant, permittee, or User affected by any decision, action or determination made by the General Manager's authorized representative may file with the General Manager a written request for an administrative hearing regarding such action. The request shall be made within fifteen (15) days of mailing of the original decision. The request for hearing shall set forth in detail all facts supporting the request.
 - (2) The General Manager shall, within fifteen (15) days of receiving the request for hearing, designate himself/herself or another Duly Authorized Representative to hear the matter and provide written notice to the applicant or User of the hearing date, time and place. The hearing date shall not be more than thirty (30) days from the mailing of such notice to the applicant or User unless a later date is agreed to by the applicant or

User. If the hearing is not held within said time, due to actions or inactions of the applicant or User, then the staff decision shall be deemed final.

- (3) At the hearing, the applicant or User shall have the opportunity to present information supporting its position concerning the original decision, action or determination. The hearing shall be conducted in accordance with procedures established by the General Manager and approved by the IEUA's General Counsel.
- (4) After the conclusion of the hearing, the General Manager or the Duly Authorized Representative shall prepare and approve a written report to the General Manager setting forth a brief statement of facts found to be true, a determination of the issues presented, conclusions, and a recommendation whether to uphold, modify or reverse the original decision, action or determination. The General Manager shall issue his/her decision and order within thirty (30) calendar days of the hearing. The written decision and order of the General Manager shall be sent to the applicant or User or its legal counsel/representative, at the applicant's or User's business address.
- (5) The order of the General Manager shall be final in all respects sixteen (16) days after it is mailed to the applicant or User unless a request for hearing is filed with the Board pursuant to Section 10.11B, within fifteen (15) days after mailing to the applicant or User.

B. Appeals to the Board

- (1) If the General Manager's order is adverse to the Permit applicant or User, it may, prior to the date that the General Manager's order becomes final, file a written request for hearing to the Board. The request for hearing shall set forth in detail all the issues in dispute for which the appellant seeks determination and all facts supporting appellant's request.
 - a. No later than sixty (60) days after receipt of the request for hearing, the Board shall either set the matter for a hearing or deny the request for a hearing.
 - b. The Board shall grant all requests for a hearing on appeals concerning Permit suspension or revocation. Whether to grant or deny the request for a hearing on appeals of other decisions of the General Manager shall be within the sole discretion of the Board.
 - c. A hearing shall be held by the Board within sixty-five (65) days from the date of determination granting a hearing, unless a later date is agreed to by the User or Permit applicant and the Board. If the matter is not heard within the required time, due to actions or inactions of the appellant, the General Manager's order shall be deemed final.

- (2) The Board Secretary shall, within fifteen (15) days of the Board's determination, provide written notice to the appellant of the denial or acceptance of a hearing. If a hearing is accepted then a hearing date, time, and place will be indicated. If a hearing is denied, the General Manager's decision shall be final fifteen (15) days after the date such notice is mailed.
- (3) At the hearing, the appellant shall have the opportunity to present written or oral evidence supporting its position concerning the original decision, action or determination, in accordance with procedures established by the Board.
- (4) After the hearing, the Board shall make a determination whether to uphold, modify, or reverse the staff's original decision, action, or determination as ordered by the General Manager.
- (5) The decision of the Board shall be set forth in writing within sixty-five (65) days after the close of the hearing and shall contain a finding of the facts found to be true, the determination of issues presented, and the conclusions. The written decision and order of the Board shall be sent to the appellant or its legal counsel/representative at the appellant's business address.
- (6) The order of the Board shall be final upon its adoption. In the event the Board fails to reverse or modify the General Manager's order, it shall be deemed affirmed.
- (7) IEUA's determination may also include issuance of an order to a User responsible for violations, directing that following a specified period of time, sewer service be discontinued unless adequate Pretreatment facilities, devices or other related appurtenances have been installed and are properly operated. Such an order shall not relieve the violator of other penalties assessed for the period of violation. Further orders and directives as necessary and appropriate may be used. The IEUA may seek injunctive relief in order to require compliance with all provisions of this Ordinance.

- C. The requirement to comply with all aspects of the individual wastewater discharge permit, or all requirements of this Ordinance shall not be stayed pending during any appeal.

SECTION 11 - JUDICIAL ENFORCEMENT REMEDIES

11.1 INJUNCTIVE RELIEF

When the General Manager finds that a User has violated, or continues to violate, any provision of this Ordinance, an individual wastewater discharge permit, or order issued hereunder, or any other Pretreatment Standard or Requirement, the General Manager may petition the Superior Court for the issuance of a temporary or permanent injunction, as appropriate, which restrains or compels the specific performance of the individual wastewater discharge permit, order, or other

requirement imposed by this Ordinance on activities of the User. The General Manager may also seek such other action as is appropriate for legal and/or equitable relief, including a requirement for the User to conduct environmental remediation. A petition for injunctive relief shall not be a bar against, or a prerequisite for, taking any other action against a User.

11.2 CIVIL LIABILITY

A User who has violated, or continues to violate, any provision of this Ordinance, an individual wastewater discharge permit, or order issued hereunder, or any other Pretreatment Standard or Requirement shall be liable to IEUA for a maximum civil penalty of \$25,000 per violation, per day pursuant to the authority of California Government Code Section 54739 et seq. In the case of a monthly or other long-term average discharge limit, penalties shall accrue for each day during the period of the violation.

11.3 ADMINISTRATIVE CIVIL PENALTIES

- A. Pursuant to the authority of California Government Code Sections 54740.5 and 54740.6, IEUA may issue an administrative complaint to any Person who violates any provision of this Ordinance, any Permit condition, prohibition or effluent limit, any Permit suspension or revocation order, or any requirement adopted or ordered by IEUA pursuant to paragraph (1) or (2) of subdivision (a) of Section 54739. The administrative complaint shall allege the act or failure to act that constitutes the violation of IEUA's requirements, the provisions of law authorizing civil liability to be imposed, and the proposed civil penalty.
- B. The administrative complaint shall be served by personal delivery or certified mail on the person subject to IEUA's discharge requirements and shall inform the person served that a hearing shall be conducted within sixty (60) days after the person has been served. The hearing shall be before a hearing officer designated by the IEUA Board of Directors. The person who has been issued an administrative complaint may waive the right to a hearing, in which case the local agency shall not conduct a hearing. A person dissatisfied with the decision of the hearing officer may appeal to the IEUA Board of Directors within thirty (30) days of notice of the hearing officer's decision.
- C. If after the hearing, or appeal, if any, it is found that the person has violated reporting or discharge requirements, the hearing officer or board may assess a civil penalty against that person. In determining the amount of the civil penalty, the hearing officer or Board may take into consideration all relevant circumstances, including, but not limited to, the extent of harm caused by the violation, the economic benefit derived through any noncompliance, the nature and persistence of the violation, the length of time over which the violation occurs and corrective action, if any, attempted or taken by the discharger.
- D. Civil penalties may be imposed by the local agency as follows:
 - (1) In an amount which shall not exceed two-thousand dollars (\$2,000) for each day for failing or refusing to furnish technical or monitoring reports.

- (2) In an amount which shall not exceed three-thousand dollars (\$3,000) for each day for failing or refusing to timely comply with any compliance schedule established by the local agency.
 - (3) In an amount which shall not exceed five-thousand dollars (\$5,000) per violation for each day for discharges in violation of any waste discharge limitation, permit condition, or requirement issued, reissued, or adopted by the local agency.
 - (4) In an amount which does not exceed ten dollars (\$10) per gallon for discharges in violation of any suspension, cease and desist order or other orders, or prohibition issued, reissued, or adopted by a local agency.
 - (5) The amount of any civil penalties imposed under this Section which have remained delinquent for a period of sixty (60) days shall constitute a lien against the real property of the discharger from which the discharge originated resulting in the imposition of the civil penalty. The lien provided herein shall have no force and effect until recorded with the county recorder and when recorded shall have the force and effect and priority of a judgment lien and continue for ten (10) years from the time of recording unless sooner released, and shall be renewable in accordance with the provisions of Sections 683.110 to 683.220, inclusive, of the Code of Civil Procedure.
- E. All moneys collected under this Section shall be deposited in a special account of IEUA and shall be made available for the monitoring, treatment, and control of discharges into the IEUA's sewer system or for other mitigation measures.
 - F. Unless appealed, orders setting administrative civil penalties shall become effective and final upon issuance thereof, and payment shall be made within thirty (30) days. Copies of these orders shall be served by personal service or by registered mail upon the party served with the administrative complaint and upon other persons who appeared at the hearing and requested a copy.
 - G. IEUA may, at its option, elect to petition the superior court to confirm any order establishing civil penalties and enter judgment in conformity therewith in accordance with the provisions of Sections 1285 to 1287.6, inclusive, of the Code of Civil Procedure.
 - H. No penalties shall be recoverable under this Section for any violation for which civil liability is recovered under Section 54740.
 - I. The General Manager may recover reasonable attorneys' fees, court costs, and other expenses associated with enforcement activities, including sampling and monitoring expenses, and the cost of any actual damages incurred by IEUA.
 - J. Filing a suit for civil penalties shall not be a bar against, or a prerequisite for, taking any other action against a User.

11.4 CRIMINAL PROSECUTION

- A. A User who willfully or negligently violates any provision of this Ordinance, an individual wastewater discharge permit, or order issued hereunder, or any other Pretreatment Standard or Requirement shall, upon conviction, be guilty of a misdemeanor, punishable by a fine of up to one-thousand dollars (\$1,000) per violation, per day, or imprisonment for not more than six (6) months, or both.
- B. A User who willfully or negligently introduces any substance into the POTW which causes personal injury or property damage shall, upon conviction, be guilty of a misdemeanor and be subject to a penalty of up to one-thousand dollars (\$1,000), or be subject to imprisonment for not more than six (6) months, or both. This penalty shall be in addition to any other cause of action for personal injury or property damage available under State law.
- C. A User who knowingly makes any false statements, representations, or certifications in any application, record, report, plan, or other documentation filed, or required to be maintained, pursuant to this Ordinance, individual wastewater discharge permit, or order issued hereunder, or who falsifies, tampers with, or knowingly renders inaccurate any monitoring device or method required under this Ordinance shall, upon conviction, be punished by a fine of not more than one-thousand dollars (\$1,000) per violation, per day, or imprisonment for not more than six (6) months, or both.

11.5 REMEDIES NONEXCLUSIVE

The remedies provided for in this Ordinance are not exclusive. The General Manager may take any, all, or any combination of these actions against a non-compliant User. Enforcement of pretreatment violations will generally be in accordance with IEUA's enforcement response plan. However, the General Manager may take other action against any User when the circumstances warrant. Further, the General Manager is empowered to take more than one enforcement action against any non-compliant User.

SECTION 12 - SUPPLEMENTAL ENFORCEMENT ACTION

12.1 PENALTIES FOR LATE REPORTS

Penalties may be assessed to any User for each day that a report required by this Ordinance, a permit or order issued hereunder is late, beginning five days after the date the report is due and higher penalties may also be assessed where reports are more than thirty (30) days late. Penalties shall be in accordance with a resolution established and modified from time to time by the Board. Actions taken by the General Manager to collect late reporting penalties shall not limit the General Manager's authority to initiate other enforcement actions that may include penalties for late reporting violations.

12.2 PERFORMANCE BONDS

The General Manager may decline to issue or reissue an individual wastewater discharge permit to any User who has failed to comply with any provision of this Ordinance, a previous individual

wastewater discharge permit, or order issued hereunder, or any other Pretreatment Standard or Requirement, unless such User first files a satisfactory bond, payable to IEUA, in a sum not to exceed a value determined by the General Manager to be necessary to achieve consistent compliance.

12.3 LIABILITY INSURANCE

The General Manager may decline to issue or reissue an individual wastewater discharge permit to any User who has failed to comply with any provision of this Ordinance, a previous individual wastewater discharge permit, or order issued hereunder, or any other Pretreatment Standard or Requirement, unless the User first submits proof that it has obtained financial assurances sufficient to restore or repair damage to the POTW caused by its discharge or vehicle in the case of a Septic Tank Waste hauler.

12.4 PAYMENT OF OUTSTANDING FEES AND PENALTIES

The General Manager may decline to issue or reissue an individual wastewater discharge permit to any User who has failed to pay any outstanding fees, fines or penalties incurred as a result of any provision of this Ordinance, a previous individual wastewater discharge permit, or order issued hereunder.

12.5 PUBLIC NUISANCES

A violation of any provision of this Ordinance, an individual wastewater discharge permit, or order issued hereunder, or any other Pretreatment Standard or Requirement is hereby declared a public nuisance and shall be corrected or abated as directed by the General Manager. Any person(s) creating a public nuisance shall be subject to the provisions of appropriate jurisdictional code governing such nuisances, including reimbursing IEUA or Contracting Agencies for any costs incurred in removing, abating, or remedying said nuisance.

SECTION 13 - AFFIRMATIVE DEFENSES TO DISCHARGE VIOLATIONS

13.1 UPSET

- A. For the purposes of this Section, upset means an exceptional incident in which there is unintentional and temporary non-compliance with categorical Pretreatment Standards because of factors beyond the reasonable control of the User. An upset does not include non-compliance to the extent caused by operational error, improperly designed treatment facilities, inadequate treatment facilities, lack of preventive maintenance, or careless or improper operation.
- B. An upset shall constitute an affirmative defense to an action brought for non-compliance with categorical Pretreatment Standards if the requirements of paragraph (C), below, are met.
- C. A User who wishes to establish the affirmative defense of upset shall demonstrate, through properly signed, contemporaneous operating logs, or other relevant evidence that:

- (1) An upset occurred and the User can identify the cause(s) of the upset;
 - (2) The facility was at the time being operated in a prudent and workman-like manner and in compliance with applicable operation and maintenance procedures; and
 - (3) The User has submitted the following information to the CEO/GM within twenty-four (24) hours of becoming aware of the upset or if this information was provided orally, a written submission must be provided within five (5) days:
 - (a) A description of the indirect discharge and cause of non-compliance;
 - (b) The period of non-compliance, including exact dates and times or, if not corrected, the anticipated time the non-compliance is expected to continue; and
 - (c) Steps being taken and/or planned to reduce, eliminate, and prevent recurrence of the non-compliance.
- D. In any enforcement proceeding, the User seeking to establish the occurrence of an upset shall have the burden of proof.
- E. Users shall have the opportunity for a judicial determination on any claim of upset only in an enforcement action brought for non-compliance with categorical Pretreatment Standards.
- F. Users shall control production of all discharges to the extent necessary to maintain compliance with categorical Pretreatment Standards upon reduction, loss, or failure of its treatment facility until the facility is restored or an alternative method of treatment is provided. This requirement applies in the situation where, among other things, the primary source of power of the treatment facility is reduced, lost, or fails.

13.2 PROHIBITED DISCHARGE STANDARDS

A User shall have an affirmative defense to an enforcement action brought against it for non-compliance with Section 2.1 General Prohibitions and Limitations on Discharges of this ordinance if it can prove that it did not know, or have reason to know, that its discharge, alone or in conjunction with discharges from other sources, would cause Pass Through or Interference and that either:

- A. A Local Limit exists for each pollutant discharged and the User was in compliance with each limit directly prior to, and during, the Pass Through or Interference; or
- B. No Local Limit exists, but the discharge did not change substantially in nature or constituents from the User's prior discharge when IEUA was regularly in compliance

with its NPDES permit, and in the case of Interference, was in compliance with applicable sludge use or disposal requirements.

SECTION 14 - MISCELLANEOUS PROVISIONS

14.1 PRETREATMENT CHARGES AND FEES

- A. IEUA may adopt reasonable fees for reimbursement of costs of setting up and operating IEUA's Pretreatment Program, which may include:
 - (1) Fees for wastewater discharge permit applications and modifications including the cost of processing such applications;
 - (2) Fees for monitoring, inspection, and surveillance procedures including the cost of collection and analyzing a User's discharge, and reviewing monitoring reports and certification statements submitted by Users;
 - (3) Fees for reviewing and responding to accidental discharge procedures and construction;
 - (4) Fees for filing appeals;
 - (5) Fees to recover administrative and legal costs not included in Section 14.1(A)(2) associated with the enforcement activity taken by the General Manager to address User non-compliance; and
 - (6) Other fees as IEUA may deem necessary to carry out the requirements contained herein. These fees relate solely to the matters covered by this Ordinance and are separate from all other fees, fines, and penalties chargeable by IEUA.
- B. All fees and charges imposed under the provisions of this Ordinance are due and payable upon serving an invoice. An invoice shall be served by first-class mail or such other procedure as will reasonably assure receipt. Unpaid fees or charges shall become delinquent thirty (30) days after postmark date or the date the invoice is personally served. The date a payment is postmarked by the United States Postal Service or date-stamped by a commercial courier service will be considered the date of receipt by the IEUA unless payment is personally made to the IEUA.
- C. A penalty of 10 percent of the original unpaid invoice amount shall be added to any fee or charge that becomes delinquent. Interest at the maximum rate provided by law shall accrue on the total of all delinquent fees or charges including the penalty. A lien against the User's property shall be sought for unpaid charges, fines, and penalties.
- D. The Board shall have the authority to waive payment of any fee, charge, or penalty billed pursuant to this Ordinance.

14.2 SEVERABILITY

If any provision of this Ordinance is invalidated by any court of competent jurisdiction, the remaining provisions shall not be affected and shall continue in full force and effect.

SECTION 15 - EFFECTIVE DATE

Upon the effective date of this Ordinance No. 109, Ordinance No. 97, including any amendments thereto, shall be repealed and superseded by this Ordinance. This Ordinance shall be in full force and effect immediately following its passage, approval, and publication, as provided by law.

ADOPTED, this ____ day of _____, 2021.

Jasmin A. Hall
President of Inland Empire Utilities
Agency*, and of the Board of Directors thereof

ATTEST:

Steven J. Elie
Secretary of the Inland Empire Utilities
Agency* and the Board of Directors thereof

*A Municipal Water District

STATE OF CALIFORNIA)
)SS
COUNTY OF SAN BERNARDINO)

I, Steven J. Elie, Secretary/Treasurer of the Inland Empire Utilities Agency*, DO
HEREBY CERTIFY that the foregoing Ordinance being No. 109, was adopted at a regular
meeting on _____, 2021, of said Agency by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

Steven J. Elie
Secretary/Treasurer

(SEAL)

**CONSENT
CALENDAR
ITEM**

2A



**MINUTES OF THE
WORKSHOP OF THE
INLAND EMPIRE UTILITIES AGENCY
BOARD OF DIRECTORS**

**WEDNESDAY, FEBRUARY 3, 2021
10:00 A.M.**

DIRECTORS PRESENT via video/teleconference:

Jasmin A. Hall, President
Michael Camacho, Vice President
Steven J. Elie, Secretary/Treasurer
Paul Hofer
Marco Tule

STAFF PRESENT:

Shivaji Deshmukh, General Manager
Denise Garzaro, Board Secretary/Office Manager
Daniel Solorzano, Technology Specialist I

STAFF PRESENT via video/teleconference:

Christiana Daisy, Deputy General Manager
Kathy Besser, Executive Manager of External & Government Affairs/AGM
Randy Lee, Executive Manager of Operations/AGM
Christina Valencia, Executive Manager of Finance & Administration/AGM
Jerry Burke, Manager of Engineering
Pietro Cambiaso, Deputy Manager of Strategic Planning & Resources
Andrea Carruthers, Manager of External Affairs
Javier Chagoyen-Lazaro, Manager of Finance & Accounting
Don Hamlett, Acting Deputy Manager of Integrated Systems
Jennifer Hy-Luk, Administrative Assistant II
Nolan King, Network Administrator
Sylvie Lee, Manager of Strategic Planning & Resources
Kanes Pantayatiwong, Manager of Business Information Services
Cathleen Pieroni, Manager of Inter-Agency Relations
Jesse Pompa, Manager of Grants
Craig Proctor, Deputy Manager of Strategic Planning & Resources
Sushmitha Reddy, Manager of Laboratories
Jeanina Romero, Executive Assistant
Teresa Velarde, Manager of Internal Audit

OTHERS PRESENT via video/teleconference:

Jean Cihigoyenette, JC Law Firm
Bryan Falconer, Southern California Edison

A meeting of the Board of Directors of the Inland Empire Utilities Agency* was held at the office of the Agency, 6075 Kimball Avenue, Bldg. A, Chino, California and via video/teleconference on the above date.

President Hall called the meeting to order at 10:00 a.m. and led the Pledge of Allegiance.

Board Secretary/Office Manager Denise Garzaro took a roll call to establish a quorum. A quorum was present.

PUBLIC COMMENT

There were no public comments.

ADDITIONS TO THE AGENDA

There were no changes/additions/deletions to the agenda.

1. WORKSHOP

A. ENERGY MANAGEMENT

Deputy Manager of Strategic Planning & Environmental Resources Pietro Cambiaso presented information on the current energy issues facing the Agency and highlighted the Agency's planning and mitigation efforts. He introduced Bryan Falconer from Southern California Edison (SCE) to provide information on SCE's initiatives.

SCE Senior Advisor Bryan Falconer provided information on SCE's Public Safety Power Shutoff Wildfire Mitigation Plan and electricity rate trends.

President Hall and Director Hofer noted concern for the greenhouse gas reductions required under AB 32 and the lack of growth factor being included in the required reductions.

President Hall thanked Mr. Falconer for participating in the workshop and commended SCE for focusing on customer experience.

INFORMATION ITEM ONLY; NO ACTION TAKEN

B. WATER STORAGE INVESTMENT PROGRAM STATUS UPDATE

Manager of Strategic Planning & Resources Sylvie Lee presented an update on the Water Storage Investment Program (WSIP). She noted the program's benefits and issues and provided a timeline of milestones for project evaluation.

Director Elie noted how rare it is to have such a large funding opportunity.

General Manager Shivaji Deshmukh provided additional information on the funding amounts and the project timeline, noting that the deadline for submission to the California Water Commission is January 1, 2022. He also acknowledged staff for their efforts to fully evaluate the many facets of the project and take stakeholder input into consideration.

INFORMATION ITEM ONLY; NO ACTION TAKEN

2. GENERAL MANAGER'S COMMENTS

General Manager Deshmukh stated that a request for proposal (RFP) for the 2021-2022 Rate Study was released on January 11, 2021. The scope of the 2021/22 Rate Study is limited to the Recycled Water and Non-Reclaimable Wastewater program rates. The RFP was shared with each of the member agencies' Finance Directors for coordination with retail agency rates implementation. The item will be discussed at the next Finance Directors meeting scheduled for February 3 and invitations will be sent for interested agencies to participate in the consultant selection process. The consultant selection is scheduled for the April 21, 2021 Board meeting.

General Manager Deshmukh explained that the Second Quarter Capital Call for FY 2020/21 was distributed on January 11. A 12-million-dollar call from the Capital Construction Reimbursement Accounts is needed to support planned capital projects through May 31, 2021.

General Manager Deshmukh announced that the Agency was honored with the following awards from the Santa Ana River Basin Section of the California Water Environmental Association:

- Best Use of Social Media Award for the IEUA-No Wipes Down the Pipes
- Project of the Year Award for the IEUA-Water Discovery Program
- Engineering Achievement Award for the IEUA-San Sevaire Basin Improvements
- Engineering Achievement Award for the RP-1 12 kV Switchgear and Generator Controls Replacement Project
- Kirols Nashed (Wastewater Treatment Operator V) Operator of the Year Silver Award

3. BOARD OF DIRECTORS REQUESTED FUTURE AGENDA ITEMS

There were no future requested agenda items from the Board of Directors.

4. DIRECTORS' COMMENTS

There were no comments from the Board of Directors.

ADJOURNMENT

With no further business, President Hall adjourned the meeting at 11:32 a.m.

Steven J. Elie, Secretary/Treasurer

APPROVED: MARCH 17, 2021



**MINUTES
REGULAR MEETING OF THE
INLAND EMPIRE UTILITIES AGENCY
BOARD OF DIRECTORS**

**WEDNESDAY, FEBRUARY 17, 2021
10:00 A.M.**

DIRECTORS PRESENT:

Steven J. Elie, Secretary/Treasurer

DIRECTORS PRESENT via Video/Teleconference:

Jasmin A. Hall, President
Michael Camacho, Vice President
Paul Hofer
Marco Tule

STAFF PRESENT:

Shivaji Deshmukh, General Manager
Denise Garzaro, Board Secretary/Office Manager
Daniel Solorzano, Technology Specialist I

STAFF PRESENT via Video/Teleconference:

Christiana Daisy, Deputy General Manager
Kathy Besser, Executive Manager of External & Government Affairs/AGM
Randy Lee, Executive Manager of Operations/AGM
Christina Valencia, Executive Manager of Finance & Administration/AGM
Adham Almasri, Principal Engineer
Josh Biesiada, Senior Project Manager
Jerry Burke, Manager of Engineering & Construction Management
Andrea Carruthers, Manager of External Affairs
Javier Chagoyen-Lazaro, Manager of Finance & Accounting
Robert Delgado, Manager of Operations & Maintenance
Lisa Dye, Manager of Human Resources
Don Hamlett, Acting Deputy Manager of Integrated Systems Services
Jennifer Hy-Luk, Administrative Assistant II
Sylvie Lee, Manager of Strategic Planning & Resources
Jason Marseilles, Deputy Manager of Engineering & Construction Management
Lisa Morgan-Perales, Senior Water Resource Analyst
Cathleen Pieroni, Manager of Inter-Agency Relations
Jesse Pompa, Manager of Grants
Craig Proctor, Deputy Manager of Strategic Planning & Resources
Sushmitha Reddy, Manager of Laboratories
Jeanina Romero, Executive Assistant
Wilson To, Technology Specialist II
Teresa Velarde, Manager of Internal Audit
Brian Wilson, Senior Engineer
Jeff Ziegenbein, Manager of Compost Operations

OTHERS PRESENT via Video/Teleconference:

Richard Babbe, PFM
Jean Cihigoyenette, JC Law Firm
Maureen Erbeznik, Maureen Erbeznik & Associates

A meeting of the Board of Directors of the Inland Empire Utilities Agency* was held at the office of the Agency, 6075 Kimball Avenue, Bldg. A, Chino, California and via video/teleconference on the above date.

President Jasmin A. Hall called the meeting to order at 10:00 a.m. and dispensed the Pledge of Allegiance. Board Secretary/Office Manager Denise Garzaro took roll call and established a quorum.

PUBLIC COMMENT

There were no public comments.

ADDITIONS TO THE AGENDA

There were no changes/additions/deletions to the agenda.

NEW, PROMOTED AND RECLASSIFIED EMPLOYEE INTRODUCTIONS

The following staff member from the Finance and Administration Division was hired:

- Ernest Rodelo, Safety Analyst, hired February 8, 2021, Contracts & Procurement – Safety

Vice President Camacho joined the meeting at 10:05 a.m.

The following staff member from the Technical Resources Division was promoted:

- Josh Biesiada, Senior Project Manager, promoted January 24, 2021, Engineering

The Board of Directors welcomed Mr. Rodelo to the IEUA team and congratulated Mr. Biesiada on his promotion.

PRESENTATIONS

INVESTMENT PERFORMANCE REVIEW FOR QUARTER ENDED DECEMBER 31, 2020

Senior Managing Consultant Richard Babbe provided a presentation on the performance of the Agency's investments. He also shared a market update, information on sector allocation and compliance, and an outlook for the fixed income sector.

1. PUBLIC HEARING

A. ADOPTION OF RESOLUTION NO. 2021-2-1, ADOPTING AMENDMENTS TO THE INLAND EMPIRE UTILITIES AGENCY CONFLICT OF INTEREST CODE

General Counsel Jean Cihigoyenette provided a brief overview of the purpose of the public hearing, indicating that amendments to the Agency's Conflict of Interest Code are necessary to align with new and updated job titles and the current Agency organizational structure.

President Hall opened the public hearing. Hearing no public comments, President Hall closed the public hearing.

MOVED BY DIRECTOR ELIE, SECONDED BY DIRECTOR CAMACHO, AGENDA ITEM NO. 1A, APPROVED AS RECOMMENDED, ADOPTING RESOLUTION NO. 2021-2-1, ADOPTING AMENDMENTS TO THE IEUA CONFLICT OF INTEREST CODE, BY THE FOLLOWING VOTE:

Ayes: Camacho, Elie, Hall, Hofer, Tule

Noes: None

Absent: None

Abstain: None

2. **CONSENT ITEMS**

A. **MINUTES**

B. **REPORT ON GENERAL DISBURSEMENTS**

C. **ORCHARD RECYCLED WATER TURNOUT IMPROVEMENTS CONSTRUCTION CONTRACT AWARD** *(Eng/Ops/WR)*

D. **ADOPTION OF RESOLUTION NO. 2021-2-2, AMENDING THE AGENCY'S SALARY SCHEDULE/MATRIX FOR ALL GROUPS**

MOVED BY DIRECTOR CAMACHO, SECONDED BY DIRECTOR HOFER, AGENDA ITEM NOS. 2A THROUGH 2D, APPROVED AS RECOMMENDED, BY THE FOLLOWING VOTE:

Ayes: Camacho, Elie, Hall, Hofer, Tule

Noes: None

Absent: None

Abstain: None

- Approved the minutes of the January 6, 2021 Board Workshop and the January 20, 2021 Board Meeting
- Approved the total disbursements for the month of December 2020, in the amount of \$33,226,967.60.
- Awarded a construction contract for the Orchard Recycled Water Turnout Improvements, Project No. EN17041, to Cedro Construction, Inc., in the amount of \$128,297; and authorized the General Manager to execute the contract, subject to non-substantive changes.

- Adopted Resolution No. 2021-2-2, amending the Agency's Salary Schedule/Matrix for all groups.

3. INFORMATION ITEMS

A. ENGINEERING AND CONSTRUCTION MANAGEMENT PROJECT UPDATES

(Eng/Ops/WR)

Manager of Engineering Jerry Burke provided updates on the following projects: Agency-Wide Roofing Phase III; IERCF Design Build Wash Pad Cover; and RP-4 Process Improvements.

B. IERCA FISCAL YEAR 2019/20 AUDITED FINANCIAL REPORT

Manager of Finance and Accounting Javier Chagoyen-Lazaro provided the financial report for fiscal year 2019/20 for IERCA.

THE FOLLOWING ITEMS WERE RECEIVED AND FILED BY THE BOARD

C. REGIONAL WATER USE EFFICIENCY PROGRAMS ANNUAL REPORT – FY 2019/20 *(Eng/Ops/WR)*

D. SEMI-ANNUAL LABORATORY UPDATE *(Eng/Ops/WR)*

E. RP-5 EXPANSION PROJECT UPDATE: FEBRUARY 2021 *(Eng/Ops/WR)*

F. TREASURER'S REPORT OF FINANCIAL AFFAIRS

G. PUBLIC OUTREACH AND COMMUNICATION *(Community & Leg)*

H. STATE LEGISLATIVE REPORT FROM WEST COAST ADVISORS *(Community & Leg)*

I. FEDERAL LEGISLATIVE REPORT AND MATRIX FROM INNOVATIVE FEDERAL STRATEGIES *(Community & Leg)*

J. CALIFORNIA STRATEGIES, LLC MONTHLY ACTIVITY REPORT *(Community & Leg)*

4. AGENCY REPRESENTATIVES' REPORTS

A. SAWPA REPORT

President Hall reported that at the February 16 Commission meeting, the Commission authorized staff to issue a Task Order with Teaman, Ramirez and Smith for audit services and extend the current contract for an additional year.

B. MWD REPORT

General Manager Shivaji Deshmukh reported that despite recent storm events, the 2021 allocation of State Water Project (SWP) water remains at just 10 percent Statewide, the snowpack is currently 69 percent of average; the Table A Allocation continues to be adjusted through April/May, so there may be an improvement.

MWD provided an informative update to its Board on the State's preparedness in case of a collapse of the Freshwater Pathway through the Bay Delta that would result in an interruption of SWP deliveries to MWD/IEUA. Thanks to the strategic actions taken, it is now estimated that water exports could resume in less than six months instead of the previously estimated three years.

C. REGIONAL SEWERAGE PROGRAM POLICY COMMITTEE REPORT

President Hall reported that she attended the February 4 Committee meeting and asked staff to comment on the meeting.

General Manager Deshmukh noted that Michael Harty from Kearns & West gave an update on the Regional Contract Negotiations. He explained that he provided an update on the 2021 Recycled Water Program. He added that several concerns were expressed by Committee members regarding the Water Storage Investment Program (WSIP) (formerly the Chino Basin Program (CBP)). Staff will continue to work with stakeholders through the end of the year (which is the deadline for the funding opportunity) and will come back to the Board with future updates.

Director Elie commented on the role of the Committee and expressed concerns that members of the Committee had admonished IEUA staff.

D. CHINO BASIN WATERMASTER REPORT

Director Elie reported that at the January 28 Board meeting, the Board elected James Curatalo as Chair, Jeff Pierson as Vice-Chair and Bob Kuhn as Secretary/Treasurer.

E. CHINO BASIN DESALTER AUTHORITY

Director Elie reported that at the February 4 Board meeting, the Board learned that the South Archibald Plume project is quickly moving forward and will possibly be operating by this summer.

F. INLAND EMPIRE REGIONAL COMPOSTING AUTHORITY

Director Hofer reported that at the February 1 meeting, the Board received the engineering construction report and financial report similar to those provided at the IEUA Board meeting.

President Hall noted that Director Hofer was also elected as Vice Chair of the IERCA Board.

5. GENERAL MANAGER'S REPORT

General Manager Deshmukh stated that a water agency in Florida was compromised, and an attempt was made to contaminate the City of Oldsmar's water supply. Some of the factors contributing to the incident at Oldsmar include a lack of adequate user access control, limited separation between the public internet and the SCADA system, and inadequate safety engineering. IEUA utilizes a layered strategy known as Defense in Depth which includes strict access control, secure network architecture, and vigilant monitoring to protect assets and the public. IEUA's continued commitment to cybersecurity has significantly decreased the risk of a similar incident.

6. BOARD OF DIRECTORS' REQUESTED FUTURE AGENDA ITEMS

Director Hofer requested an additional information on WSIP/CBP including funds spent, possible offramps from the program and what else is needed to make a decision on the program.

He suggested providing notice of any future meetings on this matter to member agencies and local cities.

General Manager Deshmukh responded that staff will provide the requested information at the March 3 Board Workshop.

7. DIRECTORS' COMMENTS AND CONFERENCE REPORTS

Director Elie reported that he attended the January 26 Chino Hills City Council meeting and the February 9 Chino Valley Fire Board meeting and provided an update on RP-5 and other IEUA activities.

President Hall stated that she attended the CASA 2021 Virtual Winter Conference on January 27 and 28; and the AWWA Virtual Summit on February 10 and 11.

8. CLOSED SESSION

The Board recessed to Closed Session at 11:05 a.m.:

A. PURSUANT TO GOVERNMENT CODE SECTION 54956.9(a) – CONFERENCE WITH LEGAL COUNSEL – EXISTING LITIGATION

Chino Basin Municipal Water District vs. City of Chino, Case No. RCV51010

B. PURSUANT TO GOVERNMENT CODE SECTION 54957.6 – CONFERENCE WITH LABOR NEGOTIATIONS

Successor Negotiations – All Bargaining Units

Negotiating Parties: Michael Dueñas, Employee and Labor Relations Advocate and Recognized Employee Organizations

The meeting resumed at 12:41 p.m., and General Counsel Jean Cihigoyenetché stated that there were no reportable actions taken in Closed Session.

ADJOURNMENT

With no further business, President Hall adjourned the meeting at 12:41 p.m.

Steven J. Elie, Secretary/Treasurer

APPROVED: MARCH 17, 2021

**CONSENT
CALENDAR
ITEM**

2B

Date: March 17, 2021

To: The Honorable Board of Directors

Committee: Finance & Administration

ADD
From: Shivaji Deshmukh, General Manager

03/10/21

Executive Contact: Christina Valencia, Executive Manager of Finance & Administration/AGM

Subject: Report on General Disbursements

Executive Summary:

Total disbursements for the month of January 2021 were \$12,101,038.58. Disbursement activity included check payments of \$453,229.56 to vendors and \$8,379.57 for worker's compensation related costs. Electronic payments included the Automated Clearing House (ACH) of \$6,945,186.15 and wire transfers (excluding payroll) of \$2,984,029.49. The total payroll was \$1,704,595.28 for employees and \$5,618.53 for the Board of Directors.

Staff's Recommendation:

Approve the total disbursements for the month of January 2021 in the amount of \$12,101,038.58.

Budget Impact *Budgeted (Y/N):* N *Amendment (Y/N):* N *Amount for Requested Approval:*

Account/Project Name:

Fiscal Impact (explain if not budgeted):

Prior Board Action:

On February 17, 2021 the Board of Directors approved the December 2020 Report on General Disbursements totaling \$33,226,967.60.

Environmental Determination:

Not Applicable

Business Goal:

The report on general disbursements is consistent with the Agency's Business Goal of Fiscal Responsibility in providing financial reporting that accounts for general disbursements associated with operating requirements.

Attachments:

Attachment 1 - Background

Attachment 2 - Details of General Disbursements

Background

Subject: Report on General Disbursements

Table 1 summarizes the disbursements detailed in each of the six attachments affixed to this letter. Table 2 lists the disbursements in excess of an aggregated \$500,000 per vendor and is presented in largest to smallest dollar value.

Table 1: Disbursement Details

Attachment	Payment Type	Amount
2A	Vendor Checks	\$ 453,229.56
2B	Workers' Comp Checks	\$ 8,379.57
2C	Vendor ACHs	\$ 6,945,186.15
2D	Vendor Wires (excludes Payroll)	\$ 2,984,029.49
2E	Payroll-Net Pay-Directors	\$ 5,618.53
2F	Payroll-Net Pay-Employees	\$ 1,704,595.28
Total Disbursements		\$ 12,101,038.58

Table 2: Disbursements in Excess of \$500,000 per Vendor

Vendor	Amount	Description
MWD	\$ 1,158,858.60	November 2020 Water Purchases
PERS	\$ 892,764.49	01/21 Health Ins / P/R 26, 27, 01 Def Comp
WM LYLES COMPANY	\$ 843,546.39	Professional Svc's for EN17110-RP-4 Process Improvements; EN18006 RP-1 Flare Improvements; EN19001- RP-5 Expansion to 30 mgd; EN19006-RP-5 Biosolids Facility
IRS	\$ 742,528.97	P/R 27, 01,101; Dir 01 Payroll Taxes
KIEWIT INFRASTRUCTURE WEST CO.	\$ 527,823.66	Professional Svc's for: EN17082 – RP-1 Mechanical Restoration and Upgrades

Attachment 2A

Vendor Checks

Bank	CBB	CITIZENS BUSINESS BANK			ONTARIO CA	917610000
Bank Key	122234149					
Acct number	CHECK	231167641				
Check						
Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void
233907	2200112540	01/14/2021	USD	2,543.51	AIRGAS WEST INC PASADENA CA	01/19/2021
233908	2200112534	01/14/2021	USD	24,946.50	BROWN AND CALDWELL WALNUT CREEK CA	01/27/2021
233909	2200112576	01/14/2021	USD	1,835.10	BURRTEC WASTE INDUSTRIES INC FONTANA CA	01/21/2021
233910	2200112558	01/14/2021	USD	500.00	CAL POLY POMONA FOUNDATION INCPOMONA CA	01/21/2021
233911	2200112567	01/14/2021	USD	2,660.50	CALIF WATER EFFICIENCY PARTNERSACRAMENTO CA	01/25/2021
233912	2200112544	01/14/2021	USD	101.00	CALIF WATER ENVIRONMENT ASSOC OAKLAND CA	02/04/2021
233913	2200112581	01/14/2021	USD	98.42	CARL H TAYLOR III CRYSTAL RIVER FL	01/20/2021
233914	2200112555	01/14/2021	USD	1,960.89	CHINO HILLS FORD CHINO CA	01/20/2021
233915	2200112571	01/14/2021	USD	250.00	CHINO POLICE OFFICERS FOUNDATICHINO CA	02/01/2021
233916	2200112560	01/14/2021	USD	8,801.95	CINTAS CORPORATION ONTARIO CA	01/22/2021
233917	2200112580	01/14/2021	USD	383.50	CITY EMPLOYEES ASSOCIATES LONG BEACH CA	01/20/2021
233918	2200112572	01/14/2021	USD	1,408.52	CITY OF CHINO CHINO CA	01/28/2021
233919	2200112548	01/14/2021	USD	7,377.64	CITY TOOL WORKS INC ONTARIO CA	01/20/2021
233920	2200112535	01/14/2021	USD	1,406.57	COLE PARKER INSTRUMENT CO CHICAGO IL	01/19/2021
233921	2200112569	01/14/2021	USD	9,026.00	CONSTRUCTION TESTING AND ESCONDIDO CA	01/20/2021
233922	2200112574	01/14/2021	USD	702.17	CUCAMONGA VALLEY WATER DISTRICTLOS ANGELES CA	01/20/2021
233923	2200112565	01/14/2021	USD	6,135.00	DAVID WHEELER'S PEST CONTROL INORCO CA	01/19/2021
233924	2200112543	01/14/2021	USD	10,143.25	DELL MARKETING L P PASADENA CA	01/19/2021
233925	2200112575	01/14/2021	USD	1,248.71	FONTANA WATER COMPANY FONTANA CA	01/21/2021
233926	2200112579	01/14/2021	USD	206.50	FRANCHISE TAX BOARD SACRAMENTO CA	
233927	2200112577	01/14/2021	USD	4,690.72	FRONTIER COMMUNICATIONS CORP CINCINNATI OH	01/26/2021
233928	2200112537	01/14/2021	USD	1,093.69	GIERLICH MITCHELL INC PASO ROBLES CA	01/20/2021
233929	2200112578	01/14/2021	USD	51.00	INLAND EMPIRE UNITED WAY RANCHO CUCAMONGA CA	01/22/2021
233930	2200112570	01/14/2021	USD	5,060.16	KASA CONSTRUCTION INC CHINO CA	01/22/2021
233931	2200112554	01/14/2021	USD	12,320.00	KENNEDY/JENKS CONSULTANTS INC SAN FRANCISCO CA	02/05/2021
233932	2200112566	01/14/2021	USD	1,000.00	MULTIPLIER OAKLAND CA	
233933	2200112552	01/14/2021	USD	684.54	NAUMANN HOBBS MATERIAL HANDLINLOS ANGELES CA	01/20/2021
233934	2200112553	01/14/2021	USD	38,050.06	NORSTAR PLUMBING & ENGINEERINGALTA LOMA CA	01/25/2021
233935	2200112536	01/14/2021	USD	1,455.63	OFFICE DEPOT PHOENIX AZ	01/21/2021
233936	2200112573	01/14/2021	USD	1,649.25	ONTARIO MUNICIPAL UTILITIES COONTARIO CA	01/25/2021
233937	2200112559	01/14/2021	USD	714.99	PATTEN SYSTEMS INC HUNTINGTON BEACH CA	01/21/2021
233938	2200112547	01/14/2021	USD	950.00	POWER SYSTEMS SERVICES INC CHINO CA	01/28/2021
233939	2200112556	01/14/2021	USD	250.12	RACO MANUFACTURING & ENGINEERINGMERYVILLE CA	01/22/2021
233940	2200112538	01/14/2021	USD	1,947.93	RAMONA TIRE & SERVICE CENTERS TUCSON AZ	01/20/2021
233941	2200112551	01/14/2021	USD	442.27	RESTEK CORP LANCASTER PA	01/20/2021
233942	2200112550	01/14/2021	USD	6,300.00	SAN BERNARDINO COUNTY SAN BERNARDINO CA	01/25/2021
233943	2200112563	01/14/2021	USD	170.00	SOCIETY FOR MAINTENANCE AND ATLANTA GA	01/21/2021
233944	2200112541	01/14/2021	USD	557.42	SOUTH COAST AQMD DIAMOND BAR CA	01/26/2021
233945	2200112542	01/14/2021	USD	65.12	SOUTH COAST AQMD DIAMOND BAR CA	02/09/2021
233946	2200112539	01/14/2021	USD	4,902.00	SOUTHWEST ALARM SERVICE UPLAND CA	01/20/2021
233947	2200112546	01/14/2021	USD	3,472.00	STATE WATER RESOURCES CNTRL BRSACRAMENTO CA	02/03/2021
233948	2200112561	01/14/2021	USD	16,204.30	U S BANK ST LOUIS MO	01/21/2021
233949	2200112549	01/14/2021	USD	11,090.57	VERIZON WIRELESS DALLAS TX	01/21/2021
233950	2200112562	01/14/2021	USD	1,397.42	VULCAN FLARE & MECHANICAL SERVDOWNEY CA	01/29/2021

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CBB Disbursement Account -January 2021

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Bank	CBB	CITIZENS BUSINESS BANK			ONTARIO CA	917610000
Bank Key	122234149					
Acct number	CHECK	231167641				
Check						
Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void
233951	2200112568	01/14/2021	USD	6,810.00	WATER SYSTEMS CONSULTING INC SAN LUIS OBISPO CA	01/27/2021
233952	2200112564	01/14/2021	USD	10,084.74	WEST COAST LIGHTS & SIRENS INC RIVERSIDE CA	01/21/2021
233953	2200112545	01/14/2021	USD	4,691.33	WM CORPORATE SERVICES INC LOS ANGELES CA	01/19/2021
233954	2200112557	01/14/2021	USD	184.20	WORLDWIDE EXPRESS PASADENA CA	01/19/2021
233955	2200112582	01/14/2021	USD	100.00	Ying Yin, Wu Chino Hills CA	01/19/2021
233956	2200112587	01/14/2021	USD	269.52	COLONIAL LIFE & ACCIDENT INSURANCE COLUMBIA SC	01/22/2021
233957	2200112589	01/14/2021	USD	2,280.39	FIDELITY SECURITY LIFE INSURANCE CINCINNATI OH	01/22/2021
233958	2200112588	01/14/2021	USD	205.38	PERS LONG TERM CARE PROGRAM PASADENA CA	01/21/2021
233959	2200112590	01/14/2021	USD	7,215.99	BANK OF AMERICA, N.A. CHARLOTTE NC	01/19/2021
233960	2200112698	01/28/2021	USD	20,619.86	ACCELERATED TECHNOLOGY LABORATORY WEST END NC	02/02/2021
233961	2200112687	01/28/2021	USD	2,842.83	AIRGAS WEST INC PASADENA CA	02/01/2021
233962	2200112730	01/28/2021	USD	505.96	ANDERSON, JOHN L CHINO CA	02/03/2021
233963	2200112716	01/28/2021	USD	21,833.62	BANNER BANK CARLSBAD CA	02/02/2021
233964	2200112726	01/28/2021	USD	4,370.51	BURRTEC WASTE INDUSTRIES INC FONTANA CA	02/02/2021
233965	2200112691	01/28/2021	USD	975.00	CALIF WATER ENVIRONMENT ASSOC OAKLAND CA	
233966	2200112682	01/28/2021	USD	20,500.00	CALIFORNIA ASSOCIATION OF SACRAMENTO CA	02/10/2021
233967	2200112718	01/28/2021	USD	150.00	CALIFORNIA BAPTIST UNIVERSITY RIVERSIDE CA	02/09/2021
233968	2200112734	01/28/2021	USD	98.42	CARL H TAYLOR III CRYSTAL RIVER FL	02/05/2021
233969	2200112719	01/28/2021	USD	1,000.00	CASA EDUCATION FOUNDATION SACRAMENTO CA	
233970	2200112704	01/28/2021	USD	5,288.59	CINTAS CORPORATION ONTARIO CA	02/03/2021
233971	2200112696	01/28/2021	USD	2,658.79	CINTAS FIRST AID & SAFETY LOC CINCINNATI OH	02/03/2021
233972	2200112733	01/28/2021	USD	383.50	CITY EMPLOYEES ASSOCIATES LONG BEACH CA	02/03/2021
233973	2200112684	01/28/2021	USD	828.62	COLE FARMER INSTRUMENT CO CHICAGO IL	02/01/2021
233974	2200112706	01/28/2021	USD	1,830.00	CONSTRUCTION MANAGEMENT BALTIMORE MD	02/10/2021
233975	2200112688	01/28/2021	USD	272.38	FLW INC HUNTINGTON BEACH CA	
233976	2200112689	01/28/2021	USD	543.39	FONTANA HERALD NEWS FONTANA CA	02/02/2021
233977	2200112732	01/28/2021	USD	206.50	FRANCHISE TAX BOARD SACRAMENTO CA	
233978	2200112728	01/28/2021	USD	1,459.85	FRONTIER COMMUNICATIONS CORP CINCINNATI OH	02/05/2021
233979	2200112692	01/28/2021	USD	395.00	GREATER ONTARIO BUSINESS COUNCIL ONTARIO CA	02/09/2021
233980	2200112711	01/28/2021	USD	18,676.00	HARPER & ASSOCIATES ENGINEERING CORONA CA	
233981	2200112729	01/28/2021	USD	505.96	HORNE, WILLIAM YUCCA VALLEY CA	02/08/2021
233982	2200112731	01/28/2021	USD	51.00	INLAND EMPIRE UNITED WAY RANCHO CUCAMONGA CA	02/05/2021
233983	2200112686	01/28/2021	USD	621.80	INLAND VALLEY DAILY BULLETIN COLORADO SPRINGS CO	
233984	2200112715	01/28/2021	USD	135.00	INTERNATIONAL COUNCIL FOR BROKEN ARROW OK	02/03/2021
233985	2200112699	01/28/2021	USD	28,540.00	KENNEDY/JENKS CONSULTANTS INC SAN FRANCISCO CA	02/03/2021
233986	2200112709	01/28/2021	USD	2,263.81	KIM'S MASTER AUTO REPAIR CHINO CA	02/09/2021
233987	2200112727	01/28/2021	USD	940.24	LEVEL 3 COMMUNICATIONS LLC DENVER CO	02/03/2021
233988	2200112714	01/28/2021	USD	156.00	LITTLE SISTER'S TRUCK WASH, FONTANA CA	
233989	2200112721	01/28/2021	USD	12,792.50	MC PAINTING OCEANSIDE CA	02/04/2021
233990	2200112690	01/28/2021	USD	8,578.00	MISCO WATER FOOTHILL RANCH CA	02/05/2021
233991	2200112697	01/28/2021	USD	5,563.18	NAUMANN HOBBS MATERIAL HANDLING LOS ANGELES CA	02/03/2021
233992	2200112703	01/28/2021	USD	500.00	NEWSPAPERS IN EDUCATION SAN ANTONIO TX	02/02/2021
233993	2200112685	01/28/2021	USD	1,443.87	OFFICE DEPOT PHOENIX AZ	02/05/2021
233994	2200112724	01/28/2021	USD	335.18	ONTARIO MUNICIPAL UTILITIES CO ONTARIO CA	02/02/2021

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Bank	CBB	CITIZENS BUSINESS BANK				ONTARIO CA 917610000
Bank Key	122234149					
Acct number	CHECK	231167641				
Check						
Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void
233995	2200112705	01/28/2021	USD	4,281.89	ORACLE AMERICA INC SAN FRANCISCO CA	02/02/2021
233996	2200112720	01/28/2021	USD	2,120.00	POWER PLUS ANAHEIM CA	02/03/2021
233997	2200112707	01/28/2021	USD	500.00	QUADIENT FINANCE USA INC MILFORD CT	02/05/2021
233998	2200112722	01/28/2021	USD	2,543.00	RADAR ENVIRONMENTAL INC ANAHEIM CA	02/03/2021
233999	2200112683	01/28/2021	USD	53.88	REM LOCK & KEY ONTARIO CA	02/08/2021
234000	2200112723	01/28/2021	USD	315.00	RELIABLE PROCESS SOLUTIONS SIDNEY OH	02/08/2021
234001	2200112695	01/28/2021	USD	20.00	SAN BERNARDINO COUNTY RECORDERSAN BERNARDINO CA	02/04/2021
234002	2200112694	01/28/2021	USD	5,827.00	SAN BERNARDINO COUNTY SAN BERNARDINO CA	02/03/2021
234003	2200112710	01/28/2021	USD	262.44	SNAP GRAPHICS & DESIGN RANCHO CUCAMONGA CA	02/03/2021
234004	2200112713	01/28/2021	USD	4,770.00	STATEWIDE TRAFFIC SAFETY AND SPASADENA CA	02/01/2021
234005	2200112702	01/28/2021	USD	250.00	UC REGENTS RIVERSIDE CA	
234006	2200112701	01/28/2021	USD	113.14	URIMAGE BLOOMINGTON CA	02/05/2021
234007	2200112712	01/28/2021	USD	11,467.00	UTILIQUEST LLC ATLANTA GA	02/01/2021
234008	2200112725	01/28/2021	USD	478.32	VERIZON BUSINESS ALBANY NY	02/02/2021
234009	2200112693	01/28/2021	USD	225.57	VERIZON WIRELESS DALLAS TX	02/02/2021
234010	2200112717	01/28/2021	USD	20,140.00	WATER RESEARCH FOUNDATION DENVER CO	02/04/2021
234011	2200112700	01/28/2021	USD	326.61	WORLDWIDE EXPRESS PASADENA CA	02/01/2021
234012	2200112708	01/28/2021	USD	1,223.08	XYLEM WATER SOLUTIONS USA INC CHICAGO IL	02/01/2021
234013	2200112735	01/28/2021	USD	1,545.00	INLAND EMPIRE MAGAZINE RIVERSIDE CA	
234014	2200112942	01/28/2021	USD	188.02	AMERICAN HERITAGE LIFE INSURANDALLAS TX	02/05/2021
234015	2200112944	01/28/2021	USD	221.25	LEGALSHIELD ADA OK	02/05/2021
234016	2200112941	01/28/2021	USD	371.53	U S BANK NA ST PAUL MN	02/04/2021
234017	2200112943	01/28/2021	USD	25.00	WILCO LIFE INSURANCE COMPANY CHARLOTTE NC	
* Payment method Check			USD	453,229.56		

Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void
**			USD	453,229.56		

Attachment 2B

Workers' Comp Checks

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Check Register
CBB Workers Compensation Account-Jan'21

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Bank	CBB	CITIZENS BUSINESS BANK				ONTARIO CA	917610000
Bank Key	122234149						
Acct number	WCOMP	231159290					
Checks created manually							
Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code		Enca./void
05540	2200112454	01/06/2021	USD	1,289.45	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		01/11/2021
05541	2200112455	01/06/2021	USD	104.61	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		01/12/2021
05542	2200112456	01/06/2021	USD	4.00	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		02/02/2021
05543	2200112457	01/06/2021	USD	1,174.94	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		01/15/2021
05544	2200112458	01/06/2021	USD	1,464.83	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		01/15/2021
05545	2200112459	01/06/2021	USD	345.75	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		01/14/2021
05546	2200112460	01/06/2021	USD	580.00	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		
05547	2200112594	01/13/2021	USD	1,162.90	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		01/19/2021
05548	2200112664	01/20/2021	USD	580.00	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		
05549	2200112665	01/20/2021	USD	9.28	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		01/26/2021
05550	2200112666	01/20/2021	USD	153.83	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		01/25/2021
05551	2200112667	01/20/2021	USD	261.33	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		01/25/2021
05552	2200112668	01/20/2021	USD	213.90	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		01/25/2021
05553	2200112669	01/20/2021	USD	27.68	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		01/25/2021
05554	2200112670	01/20/2021	USD	102.89	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		01/25/2021
05555	2200112949	01/27/2021	USD	184.78	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		02/01/2021
05556	2200112950	01/27/2021	USD	561.00	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		02/01/2021
05557	2200112951	01/27/2021	USD	158.40	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		02/01/2021
* Payment method Checks created manually			USD	8,379.57			

Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void
***			USD	8,379.57		

Attachment 2C

Vendor ACHs

Check	Payee / Description	Amount
ACH	EMPOWER RETIREMENT P/R 27 12/31/20 Deferred Comp HR 0093800	71,712.43
	EMPOWER RETIREMENT \$	71,712.43
ACH	AQUA BEN CORPORATION RP1-23,000 Lbs Hydrofloc 750A 41656	29,491.18
	DAFT-4,600 Lbs Hydrofloc 748E 41655	4,510.42
	AQUA BEN CORPORATION \$	34,001.60
ACH	CITY RENTALS INC 12/9/20 Generator Rental 83293	55.00
	CITY RENTALS INC \$	55.00
ACH	FISHER SCIENTIFIC Sulfamic Acid 5674820	106.88
	Waterproof Thermometer 5277825	438.80
	Ds Screw Cap Tub 5409045	1,346.02
	Si Septa 5409044	1,069.74
	FISHER SCIENTIFIC \$	2,961.44
ACH	HOME DEPOT CREDIT SERVICES GWROps-Alum Step Ladder,Gorilla Ladders 6080541	117.36
	GWROps-Brushes-Wire,Long Handle Scrub,Ir 0523734	31.09
	GWROps-Fst Sttng Cncrt Bgs,CrnR BrCs,Slv 4530044	143.24
	GWROps-Fst Sttng Cncrt Bgs,5-Gal Bckts,S 6022672	84.03
	HOME DEPOT CREDIT SERVICES \$	375.72
ACH	NAPA GENUINE PARTS COMPANY Purple Power,Cart,Brakleen,Windshield Wa 3973-083038	223.92
	NAPA GENUINE PARTS COMPANY \$	223.92
ACH	ROYAL INDUSTRIAL SOLUTIONS HID Lamps 6046-688209	495.74
	Wire,Conduit,Connectors,Conduit Bodies,R 6046-688231	470.11
	Motor Starter,Heater Element,Bushings 6046-686497	426.60
	ROYAL INDUSTRIAL SOLUTIONS \$	1,392.45
ACH	PETE'S ROAD SERVICE Tow Charge f/Veh 1705 459773-00	237.75
	2 Tires f/Compressor Trlr 462199-00	366.76
	PETE'S ROAD SERVICE \$	604.51
ACH	GRAINGER INC Inv-Disposable Respirators 9693399488	384.86
	RP1Mnt-Step Drill Bit 9692939193	62.50
	RP1Mnt-Tape Measure 9692939201	18.97
	RP1Mnt-Dgtl Mltmtr,Mghmmtr,Sckt St,Hl Pn 9693399470	3,629.10
	RP1Mnt-Saw Blade Sets 9700921324	225.11
	PrCsAutoCtrl-RP2-NEMA Control Relay 9700375075	218.33
	GWROps-Sign Posts-10' Silver Steel 9694169005	1,736.51

Check	Payee / Description	Amount
	GWROps-Sign Posts-Silver Steel-10' & 12	9698309102 625.67
	PhillS-Pliers-Tongue and Groove	9696858647 38.94
	Whs-Blank Shipping Tag Paper-Colored	9698449577 14.05
	Inv-Disposable Respirators	9693052954 258.98
	Inv-Disposable Respirators	9695129909 26.76
		- - - - -
	GRAINGER INC	\$ 7,239.78
ACH	CDM SMITH INC	
	EN17006-11/8-12/5/2020 Professional Serv 90113773	79,685.90
		- - - - -
	CDM SMITH INC	\$ 79,685.90
ACH	CHINO BASIN WATERMASTER	
	50% Cost Sharing - PBHSP 2020-11-B	1,349.45
		- - - - -
	CHINO BASIN WATERMASTER	\$ 1,349.45
ACH	AGILENT TECHNOLOGIES	
	Chlorite Standard 120288751	34.81
	32 Screw Caps,20 Screw Vials 120292225	1,816.66
	DB-35MS 30m 120292226	638.74
		- - - - -
	AGILENT TECHNOLOGIES	\$ 2,490.21
ACH	ACCUSTANDARD INC	
	Potassium Standard,Chromium Standard 930251	86.07
		- - - - -
	ACCUSTANDARD INC	\$ 86.07
ACH	CAROLLO ENGINEERS	
	EN19051-11/2020 Professional Service 0193343	619.30
	EN17043-EN17110-11/2020 Professional Ser 0193280	18,274.15
	EN17043-EN17110-11/2020 Professional Ser 0193342	33,062.40
	EN19051-7/2020 Professional Service 0189916	2,277.10
	EN19051-9/2020 Professional Service 0191724	11,247.75
	EN17049-6/2020 Professional Services 0188861	747.10
		- - - - -
	CAROLLO ENGINEERS	\$ 66,227.80
ACH	MCMASTER-CARR SUPPLY CO	
	2 Gaskets 50791500	68.71
		- - - - -
	MCMASTER-CARR SUPPLY CO	\$ 68.71
ACH	MIDPOINT BEARING	
	Trico Breather 1020315	1,256.18
		- - - - -
	MIDPOINT BEARING	\$ 1,256.18
ACH	SCHNEIDER ELECTRIC SYSTEMS USA	
	pH/ORP Sensors 94284402	2,365.65
		- - - - -
	SCHNEIDER ELECTRIC SYSTEMS USA\$	2,365.65
ACH	PARSONS WATER & INFRASTRUCTURE	
	EN19001/EN19006-10/31-11/27 Prof Svcs 2012B209	250,666.32
		- - - - -
	PARSONS WATER & INFRASTRUCTURE\$	250,666.32

Check Payee / Description	Amount
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ACH	CS-AMSCO		
	RWG Circuit Board	16457	660.45
	RWG Circuit Board	16456	660.45
			-
	CS-AMSCO	\$	1,320.90

ACH	RSD		
	Super Gripbelts	55334701-00	224.01
	GasValveActuators,UVScanners,IgnitionTra	55335061-00	4,408.74
	Freight f/Air Filters - Inv #55334572-00	55335342-00	183.69
	Frt f/Actuators,UVScanners - Inv #553350	55335735-00	385.94
	Pleated Filters	55335833-00	819.24
			-
	RSD	\$	6,021.62

ACH	OLIN CORP		
	TP1-4,922 Gals Sodium Hypochlorite	2912400	4,095.10
	TP1-4,770 Gals Sodium Hypochlorite	2912114	4,221.45
	RP4-4,924 Gals Sodium Hypochlorite	2911728	4,096.77
	TP1-4,899 Gals Sodium Hypochlorite	2911286	4,048.51
			-
	OLIN CORP	\$	16,461.83

ACH	ALLIED UNIVERSAL SECURITY SERV		
	HQA-November 2020 Special Guard Services	10687503	629.52
	HQA-November 2020 Special Guard Services	10703329	635.76
	RP1-November 2020 Monthly Guard Service	10773121	4,430.42
			-
	ALLIED UNIVERSAL SECURITY SERV\$		5,695.70

ACH	CARRIER CORPORATION		
	LAB-Chiller not Cooling	90080160	6,400.39
	RP4-Replace Fan Motor	90084085	615.00
	LAB-Troubleshoot Power Outage	90089076	677.50
			-
	CARRIER CORPORATION	\$	7,692.89

ACH	BIG SKY ELECTRIC INC		
	EN17044-Ret Rls-1	EN17044-RET R	122,296.09
			-
	BIG SKY ELECTRIC INC	\$	122,296.09

ACH	GHD INC		
	EN23002-9/27-10/24 Prof Svcs-Phila Frc M	151352	77,816.25
	EE&Cons-10/26-11/28 Prof Svcs-TO 8 Agncy	153429	1,847.00
	EN20041-9/28-11/28 Prof Svcs-TO 11 Blch	153463	806.50
	EN19024-10/27-11/28 Prof Svcs-TO 7 Cllet	153435	788.50
			-
	GHD INC	\$	81,258.25

ACH	CONSERV CONSTRUCTION INC		
	WR20006-12/2020 Professional Services	1627	36,643.18
	WR21025-12/2020 Professional Services	1629	26,386.50
	WR21019-12/2020 Professional Services	1628	70,251.30
			-
	CONSERV CONSTRUCTION INC	\$	133,280.98

ACH	EPI-USE AMERICA INC
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Check	Payee / Description	Amount
	11/2020 HCM Consulting 263912171	1,550.00
	EPI-USE AMERICA INC \$	1,550.00
ACH	ATKINSON, ANDELSON, LOYA, 11/2020 General Labor Advice 610793	4,087.00
	ATKINSON, ANDELSON, LOYA, \$	4,087.00
ACH	CALIFORNIA WATER TECHNOLOGIES Phills-17,296.84 lbs Ferric Chloride Sol 38717	5,459.14
	RP1-17,189.30 lbs Ferric Chloride Soluti 38754	5,425.20
	RP1-14,732.18 lbs Ferric Chloride Soluti 38762	4,649.69
	CALIFORNIA WATER TECHNOLOGIES \$	15,534.03
ACH	FERREIRA COASTAL CONSTRUCTION EN20015-Ret Rls 1-Final EN20015-RET R	18,033.33
	EN20015-12/20 Pay Est 7 PE 7-EN20015	9,869.28
	FERREIRA COASTAL CONSTRUCTION \$	10.36
		27,912.97
ACH	PRIORITY BUILDING SERVICES LLC November 2020 Janitorial Service 72788	22,097.70
	PRIORITY BUILDING SERVICES LLC \$	22,097.70
ACH	JC LAW FIRM 11/20 Regional Contract 0840	3,030.00
	11/20 IEUA vs Spicer-EN17018 0841	210.00
	11/20 Kaiser vs CBWCD (Ely Basin) 0843	6,240.00
	11/20 Romero vs IEUA 0845	240.00
	11/20 Fontana vs ADO 0838	1,110.00
	11/20 Watermaster 0842	1,950.00
	11/20 Ortiz vs IEUA 0844	90.00
	11/20 RCA Legal 0839	300.00
	11/20 General Legal 0867	27,810.00
	JC LAW FIRM \$	40,980.00
ACH	U S BANK - PAYMENT PLUS 100150 HARRINGTON INDUSTRIAL PLASTICS LL 2200111862	3,757.45
	107432 PMC ENGINEERING LLC 2200111865	1,931.47
	104896 WESTERN WATER WORKS SUPPLY CO 2200112005	125.20
	107780 SUPERIOR ELECTRIC MOTOR SERVICE I 2200112006	17,472.68
	100951 POLYDYNE INC 2200112093	12,368.62
	104896 WESTERN WATER WORKS SUPPLY CO 2200112094	212.49
	105570 SKALAR INC 2200111864	564.61
	100951 POLYDYNE INC 2200111637	9,894.90
	101706 CALOLYMPIC SAFETY 2200111638	1,007.54
	105316 PLUMBERS DEPOT INC 2200111639	255.71
	107432 PMC ENGINEERING LLC 2200111640	3,172.88
	107780 SUPERIOR ELECTRIC MOTOR SERVICE I 2200111641	1,778.76
	100150 HARRINGTON INDUSTRIAL PLASTICS LL 2200111760	5,992.98
	105316 PLUMBERS DEPOT INC 2200111863	24,071.66
	U S BANK - PAYMENT PLUS \$	82,606.95

Check	Payee / Description	Amount
ACH	DORGAN LEGAL SERVICES LLP	
	10/2020, 11/2020 General Advice 473	1,679.10
	10/2020, 11/2020 General Advice 486	3,036.16
		- - - - -
	DORGAN LEGAL SERVICES LLP \$	4,715.26
ACH	AMAZON BUSINESS	
	Bottle Brush 1HLM-4QMG-CXK	10.23
	Red Ink Pad Refills 1NV6-RYLJ-LC1	29.86
	Honda Lower Rubber Foot Pads 1V6Q-R71T-LGG	32.27
	Red Ink Pad Refills - Never Received 1N64-9WCC-P9M	29.86
	Replacement Oxygen Sensors 1CKJ-7Y9N-HD3	525.68
	Wireless Number Pad, 4 Port Hub 1C67-PG4K-VDT	30.14
	Gel Mouse Pad 119D-G9D6-3PM	26.66
	Earbud Headphones, Extention Cable Adapte 17MQ-MH14-4HQ	79.52
		- - - - -
	AMAZON BUSINESS \$	704.50
ACH	AMERICAN OFFICE PROFESSIONALS	
	Service Call HP LJP3015 (Labor) 2726	89.95
		- - - - -
	AMERICAN OFFICE PROFESSIONALS \$	89.95
ACH	THE SOLIS GROUP	
	EN19010-11/1-11/30 Prof Svcs 6413	1,642.00
	EN14042-11/1-11/30 Prof Svcs 6411	1,881.00
	EN15012.01-11/1-11/30 Prof Svcs 6410	1,492.00
	EN17082-11/1-11/30 Prof Svcs 6409	1,882.00
	EN18006-11/1-11/30 Prof Svcs 6408	1,893.00
	EN20037-11/1-11/30 Prof Svcs 6406	684.00
	EN22002-11/1-11/30 Prof Svcs 6407	1,652.00
		- - - - -
	THE SOLIS GROUP \$	11,126.00
ACH	QUINCY COMPRESSOR LLC	
	RP4 Air Compressor Annual Maint Svc Agre 438434	14,500.00
		- - - - -
	QUINCY COMPRESSOR LLC \$	14,500.00
ACH	MSA SAFETY SALES LLC	
	2 A-ULTX-SENS 961456578	3,390.89
	2 Ultima Calibrators 961459468	953.02
		- - - - -
	MSA SAFETY SALES LLC \$	4,343.91
ACH	CAPO PROJECTS GROUP LLC	
	September 2020 Professional Services 4184	25,359.82
		- - - - -
	CAPO PROJECTS GROUP LLC \$	25,359.82
ACH	SO CALIF EDISON	
	PrdDchlStn-12/2-12/31 34 Johnson-Pine 3043797988 12	988.42
	CCWRP/TP-11/30-12/29 14950 Telephone Ave 3018402051 12	64.75
	8thStBsn-11/30-12/29 1180 8th St 3024625308 12	52.74
	CCWRP/TP/RWPS-11/30-12/29 14950 Telephon 3010610784 12	46,349.43
	SnSvn5PmpStn-12/2-12/31 13785 Banyan 3049769445 12	482.82
	TrnrBsnRW-12/1-12/30 1063 Turner Ave 3026143801 12	15.05
	SnSvnRW-11/24-12/24 6000 Cherry Ave 3035434317 12	41.72
	930RsvrPrjct-11/23-12/23 2950 Galloping 3042003812 12	131.15

Check	Payee / Description	Amount
	VctrBsn/MWDCB11-11/19-12/19 Victoria St/ 2264009051 12	98.47
	MWDCB14/20-11/18-12/18 5752 East Ave/475 2305258691 12	140.90
	Trnr3&4-12/1-12/30 916 Archibald Ave 3024859341 12	44.54
	PrdLS-12/2-12/31 34 Johnson-Pine 3001323339 12	54.97
	SO CALIF EDISON \$	48,464.96
ACH	IEUA EMPLOYEES' ASSOCIATION	
	P/R DIR 1 1/8/2021 Employee Ded HR 0094100	12.00
	IEUA EMPLOYEES' ASSOCIATION \$	12.00
ACH	FRESQUEZ, ADRIAN	
	Reim-11/8/20,11/10/20 OT Meals 11/8,10 OT ME	19.47
	MlgReim-11/8/20 Call Out-Fresquez,A MLG 11/8/20	110.40
	FRESQUEZ, ADRIAN \$	129.87
ACH	ZAMORANO, JUAN	
	MlgReim-11/26/20 Call Out-Zamorano,J MLG 11/26/20	69.00
	MlgReim-4/23/20 Call Out-Zamorano,J MLG 4/23/20	34.50
	ZAMORANO, JUAN \$	103.50
ACH	CHARLES P CROWLEY CO	
	Gas Mastrrr Bleach Mixer 28135	18,498.17
	CHARLES P CROWLEY CO \$	18,498.17
ACH	JENSEN INSTRUMENT CO	
	RP1Mnt-Filter Mats 13257	775.71
	JENSEN INSTRUMENT CO \$	775.71
ACH	ROYAL INDUSTRIAL SOLUTIONS	
	Wire,Connectors,Liq-Tite,Conduit Body,Ga 6046-688232	445.74
	Wire,Connectors,Liq-Tite,Conduit Body,Ga 6046-688233	473.32
	Wire,Connectors,Liq-Tite,Conduit Body,Ga 6046-688234	465.09
	Wire 6046-688290	181.52
	Hinge Assys 6046-688210	74.65
	Circuit Breakers 6046-688421	280.01
	Midget Fuses 6046-688561	448.23
	ROYAL INDUSTRIAL SOLUTIONS \$	2,368.56
ACH	WAXIE SANITARY SUPPLY	
	Liners,Towels,Paper Towels 79693585	680.70
	WAXIE SANITARY SUPPLY \$	680.70
ACH	PETE'S ROAD SERVICE	
	Tow Charge f/Veh 1702 464141-00	210.00
	2 Tires f/Veh 1706 464156-00	755.32
	PETE'S ROAD SERVICE \$	965.32
ACH	SHANLEY PUMP & EQUIPMENT INC	
	Guide Bushings,Coupling Rods,Cover Sleev 0047978-IN	2,529.21

Check	Payee / Description	Amount
	SHANLEY PUMP & EQUIPMENT INC \$	2,529.21
ACH	GRAINGER INC	
	RPIMnt-Pressure Calibrator Traps 9708473641	1,092.78
	RPIMnt-Pressure Calibrators 9723236585	8,164.26
	Inv-Smoke Candles,Rplcmt Wind Socks,Safe 9704097949	2,075.91
	RPIMnt-Extention Cords 9704189647	145.53
	GRAINGER INC \$	11,478.48
ACH	CDM SMITH INC	
	EN19001/EN19006-11/2020 Professional Ser 6	30,645.25
	CDM SMITH INC \$	30,645.25
ACH	DEZURIK INC	
	BAW,12, F1, C1, EPDN-EPDM, 150B RPI/66019493	14,159.43
	DEZURIK INC \$	14,159.43
ACH	CHINO BASIN WATERMASTER	
	67% Cost Sharing-WEI Invoice 2043167 2020-11-C	7,515.74
	CHINO BASIN WATERMASTER \$	7,515.74
ACH	AGILENT TECHNOLOGIES	
	Universal Trap,Ferrule,Liner O-Ring 120309907	2,073.58
	Acrolein,Acrylonitrile 120309908	51.56
	AGILENT TECHNOLOGIES \$	2,125.14
ACH	TRI STATE ENVIRONMENTAL	
	12/16/20 CCWRP Underground Storage Tank 11906	150.00
	TRI STATE ENVIRONMENTAL \$	150.00
ACH	MCMaster-CARR SUPPLY CO	
	Strut Channels,Polyethylene Sheets 51064321	867.70
	Platform Handrail 50932852	542.51
	Electrical Gloves,Arc Flash Protection K 50420866	457.30
	Pressure Relief Valve,Pipe Fittings,Tape 50458359	1,643.07
	Electrical Protection Gloves 50987900	445.03
	MCMaster-CARR SUPPLY CO \$	3,955.61
ACH	MIDPOINT BEARING	
	4 Bando V-Belts 1021193	22.41
	MES-EZK10-02-01 1020907	310.93
	MIDPOINT BEARING \$	333.34
ACH	RED WING SHOE STORE	
	Emp Safety Shoe Purchase - 5/01/20 414-1-79980	225.00
	RED WING SHOE STORE \$	225.00
ACH	LEE & RO INC	
	EN18006-11/1-11/30 Prof Svcs-RP1 Flr Imp 1165/23	12,778.50

Check		Payee / Description	Amount
		LEE & RO INC	\$ 12,778.50
ACH		LIEBERT CASSIDY WHITMORE	
		12/2020 General Legal 1510563	8,511.60
		LIEBERT CASSIDY WHITMORE	\$ 8,511.60
ACH		WILLIAMS SCOTSMAN INC	
		PL19005-1/7-2/6 Chino Basin Project Trai 8417516	2,171.46
		WILLIAMS SCOTSMAN INC	\$ 2,171.46
ACH		W A RASIC CONSTRUCTION CO INC	
		CCWRP Telephone Ave Concrete Repair 342813	25,500.00
		W A RASIC CONSTRUCTION CO INC	\$ 25,500.00
ACH		TRUSSELL TECHNOLOGIES INC	
		EC-9/1-11/30 RP1/RP4 FreeChlorine Disinf 0000007632	2,248.75
		Lab-11/1-11/30 T1-Collect Info to Revise 0000007644	13,455.00
		TRUSSELL TECHNOLOGIES INC	\$ 15,703.75
ACH		INSIDE PLANTS INC	
		FcltyMgt/Lab-12/20 Indoor Plant Care 82472	636.00
		INSIDE PLANTS INC	\$ 636.00
ACH		NATIONAL CONSTRUCTION RENTALS	
		RP1-12/3/20-12/20/20 Toilet,Handwash 5966429	211.55
		NATIONAL CONSTRUCTION RENTALS	\$ 211.55
ACH		SAP PUBLIC SERVICES INC	
		1/21-12/21 mySAP Business Suite Enterpri 6065043985	140,136.58
		1/21-12/21 SAP Business Suite Ltd Pro Su 6065043990	6,523.00
		1/21-12/21 SSO License Maint Enterprise 6065043989	4,365.90
		1/21-12/21 SAP BusObj Enterprise Support 6065043988	16,839.90
		1/21-12/21 SAP BusObj Enterprise Support 6065043987	20,838.61
		1/21-12/21 BSI US Payroll Tax Maint Supp 6065043986	5,443.20
		SAP PUBLIC SERVICES INC	\$ 194,147.19
ACH		PEST OPTIONS INC	
		December 2020 Weed Abatement Services 365731	4,014.40
		PEST OPTIONS INC	\$ 4,014.40
ACH		GEI CONSULTANTS INC	
		PL19005-10/31-11/27 Prof Svcs 3082464	8,489.00
		GEI CONSULTANTS INC	\$ 8,489.00
ACH		ROGERS, PETER J	
		1/7/21 Policy Cmmtt Fee-Rogers,P RPC-1/7/21	100.00
		ROGERS, PETER J	\$ 100.00
ACH		GRISWOLD INDUSTRIES	

Check	Payee / Description	Amount
	HickF MP-Add'l Svcs Rpr Valve 805041	758.03
	GRISWOLD INDUSTRIES \$	758.03
ACH	OLIN CORP	
	TP1-4,448 Gals Sodium Hypochlorite 2915405	3,936.48
	TP1-4,886 Gals Sodium Hypochlorite 2915034	4,065.15
	TP1-4,942 Gals Sodium Hypochlorite 2914355	4,111.74
	RP4-4,874 Gals Sodium Hypochlorite 2913879	4,055.17
	TP1-4,878 Gals Sodium Hypochlorite 2913877	4,058.50
	OLIN CORP \$	20,227.04
ACH	GK & ASSOCIATES	
	46-2849-11/20 RG Prof Svcs-Multible Cont 20-118	13,280.00
	46-2849-11/20 WO Prof Svcs-Multible Cont 20-116	14,027.00
	46-2849-11/20 RH Prof Svcs-Multible Cont 20-117	27,801.10
	GK & ASSOCIATES \$	55,108.10
ACH	REED, RANDALL J	
	1/7/21 Policy Cmmtt Fee-Reed,R RPC-1/7/21	100.00
	REED, RANDALL J \$	100.00
ACH	CALIFORNIA STRATEGIES LLC	
	12/2020 Professional Services 122031	7,500.00
	CALIFORNIA STRATEGIES LLC \$	7,500.00
ACH	JB'S POOLS & PONDS INC	
	12/20 Pond Maint Svc 206676120012	1,194.85
	JB'S POOLS & PONDS INC \$	1,194.85
ACH	CARRIER CORPORATION	
	RP1-Replaced 2 Ice Cube Cabinet AC Units 90093681	885.01
	RP1-Align Trane Heater 90093680	490.00
	CARRIER CORPORATION \$	1,375.01
ACH	ULLOA, EUNICE M	
	1/7/21 Policy Cmmtt Fee-Ulloa,E RPC-1/7/21	100.00
	ULLOA, EUNICE M \$	100.00
ACH	DORST-PORADA, DEBRA	
	1/7/21 Policy Cmmtt Fee-Porada,D RPC-1/7/21	100.00
	DORST-PORADA, DEBRA \$	100.00
ACH	LILLESTRAND LEADERSHIP CONSULT	
	12/2020 One on One Coaching Meeting SD,L 7373	641.25
	LILLESTRAND LEADERSHIP CONSULTS \$	641.25
ACH	GHD INC	
	EN23002-8/2-8/29 Prof Svcs-Phila Frc Mn 148438	3,080.75
	EN19024-8/4-9/26 Prof Svcs-TO 7 Cllectn S 149703	678.50

Check	Payee / Description	Amount
	EN19025-10/25-11/28 Prof Svcs 153444	696.00
	EN20056-9/27-10/24 Prof Svcs-Haven Ave R 151350	69,747.00
	EN23002-10/25-11/2 Prof Svcs-Phila Frc M 153035	28,251.70
	EN23002-11/29-12/1 Prof Svcs-Phila Frc M 154286	44,334.50
		- - - - -
	GHD INC \$	146,788.45
ACH	ENVIRONMENTAL SCIENCE ASSOCIAT	
	EN19001/EN19006-11/2020 Professional Ser 160221	478.75
	EN17041-10/2020-11/2020 Professional Ser 160046	153.75
		- - - - -
	ENVIRONMENTAL SCIENCE ASSOCIAT\$	632.50
ACH	POWER ENGINEERING SERVICES INC	
	ChnI Desalter-11/1-12/21 Prof Svcs 11352	3,060.00
		- - - - -
	POWER ENGINEERING SERVICES INC\$	3,060.00
ACH	CALIFORNIA WATER TECHNOLOGIES	
	RP1-17,112 lbs Ferric Chloride Solution 38814	5,400.80
		- - - - -
	CALIFORNIA WATER TECHNOLOGIES \$	5,400.80
ACH	PACIFIC COURIERS INC	
	November 2020 Messenger Svc & Lab Delive 20-11-2007	3,537.02
		- - - - -
	PACIFIC COURIERS INC \$	3,537.02
ACH	PRIORITY BUILDING SERVICES LLC	
	RP1 12/16 Additional COVID Sanitizing Sv 73871	360.00
	HQB 11/23 Additional COVID Sanitizing Sv 73054	300.00
	RP4 11/18 Additional COVID Sanitizing Sv 72716	45.00
	HQB 12/11 Additional COVID Sanitizing Sv 73524	270.00
	July 2020-October 2020 Janitorial Servic 73481	5,043.80
		- - - - -
	PRIORITY BUILDING SERVICES LLC\$	6,018.80
ACH	GILLIS + PANICHAPAN ARCHITECTS	
	EN20051-11/20 Prof Svcs 107827J	4,425.00
		- - - - -
	GILLIS + PANICHAPAN ARCHITECTS\$	4,425.00
ACH	YORKE ENGINEERING LLC	
	EC-10/1-11/30 Air Quality Compliance Ass 24143	863.50
		- - - - -
	YORKE ENGINEERING LLC \$	863.50
ACH	AMAZON BUSINESS	
	Bottle Brushes 1TYX-QND9-CVG	403.59
		- - - - -
	AMAZON BUSINESS \$	403.59
ACH	LAW OFFICE OF CURTIS L COLEMAN	
	SCAQMD Teams Meeting 1077	200.00
		- - - - -
	LAW OFFICE OF CURTIS L COLEMAN\$	200.00
ACH	38DN POWER FUND 1 LLC	
	11/20 RP1/RP5/CCWRP Energy Storage Syste 1006	12,708.33

Check	Payee / Description	Amount
	10/20 RP1/RP5/CCWRP Energy Storage Syste 1005	9,859.90
	38DN POWER FUND 1 LLC \$	22,568.23
ACH	REDWOOD ENERGY STORAGE II LLC	
	11/20 RP4 Energy Storage System Mgmt 2006	27,708.33
	10/20 RP4 Energy Storage System Mgmt 2005	24,648.26
	REDWOOD ENERGY STORAGE II LLC \$	52,356.59
ACH	MSA SAFETY SALES LLC	
	1 Slot Half Rack CP 961468183	7,881.91
	MSA SAFETY SALES LLC \$	7,881.91
ACH	JOHNSON, CAROLYN TENICE	
	1/7/21 Policy Cmmtt Fee-Johnson,T RPC-1/7/21	100.00
	JOHNSON, CAROLYN TENICE \$	100.00
ACH	COTHRAN JR, PHILLIP WAYNE	
	1/7/21 Policy Cmmtt Fee-Cothran,P RPC-1/7/21	100.00
	COTHRAN JR, PHILLIP WAYNE \$	100.00
ACH	THE OFFICE EXPRESS	
	HQB 12/15 Staff Holiday Lunch 1098612	270.37
	HQB 12/17 Staff Holiday Lunch 1100088	141.03
	THE OFFICE EXPRESS \$	411.40
ACH	INLAND EMPIRE WINDUSTRIAL CO	
	Inv-Bll Vlv, Cnnctrs, Gate Vlv, Bshng Rdcr 032427 01	448.09
	Phills-Unions, Copper Tubing, Adapters, Elb 032418 01	40.38
	RP4Mnt-Fabricated Pipes 031070 01	1,604.55
	RP4Mnt-FRT-Fabricated Pipes 031070 03	155.85
	Inv-FRT-Ball Valves Incoming Freight 032427 02	34.96
	INLAND EMPIRE WINDUSTRIAL CO \$	2,283.83
ACH	MULTIVISTA	
	EN19001/EN19006-11/2020 Professional Ser 1814	1,757.36
	MULTIVISTA \$	1,757.36
ACH	LIBERTY LANDSCAPING INC	
	ChnCrkPrk-11/17-11/25 Wind Damage Cleanu 94925	7,800.00
	LIBERTY LANDSCAPING INC \$	7,800.00
ACH	CASAMAR GROUP LLC	
	EN19001/EN19006-10/2020 Professional Ser 12527	7,162.95
	CASAMAR GROUP LLC \$	7,162.95
ACH	VELTO, BILL	
	1/7/21 Policy Cmmtt Fee-Velto,B RPC-1/7/21	100.00
	VELTO, BILL \$	100.00

Check Payee / Description	Amount
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ACH	SO CALIF EDISON			
	RP2MntLnchRm-12/1-1/1 16400 El Prado Rd	3015770035	12	273.80
	CllgHgts/LwrDy/RP3Bsn/TrnrBsn1-12/2-12/3	2258163831	12	290.67
	DclzBsn-12/4-1/5 13978 Phila	3024668725	12	72.80
	ElyBsn-12/9-1/8 1665 Phila Ave	3024626850	12	46.52
	RP1-12/1-1/1 2450 Phila St	3015755251	12	117.24
	BnaBsn-12/9-1/8 14017 Whittram Ped	3024706783	12	40.72
	RP1StLts-12/1-1/1 34 Phila	3010508278	12	19.30
	ZnRsvr-12/8-1/7 12749 6th St	3032567404	12	122.86
	JrpBsn-12/9-1/8 13450 Jurupa	3025480073	12	380.38
	RP1/RP5/8thStBsn-12/3-1/4 Kimball Ave/11	2281929265	12	53.61
	OntLS-12/7-1/6 2525 Riverside Dr	3027477844	12	29.04
				-
				-
				-
				-
	SO CALIF EDISON	\$		1,446.94

ACH	SO CALIF GAS			
	RP2Dg-12/1-1/1 16400 El Prado Rd	13265401	12/2	189.05
	Lab-11/30-12/30 2450 Phila St	15577783	12/2	14.79
	RP1Cmplx-11/30-12/30 2450 Phila St	12140888	12/2	34.87
	TP5-11/28-12/29 6075 Kimball Ave	15579076	12/2	21.40
	HQA/B-11/28-12/29 6075 Kimball Ave	15729783	12/2	101.69
	RP5-11/28-12/29 6075 Kimball Ave	13619305	12/2	2,043.50
	CCWRP/TP-12/1-1/1 14950 Telephone Ave	14959861	12/2	35.32
				-
				-
				-
				-
	SO CALIF GAS	\$		2,440.62

ACH	IEUA EMPLOYEES' ASSOCIATION			
	P/R 1 1/15/21 Employee Ded	HR	0094300	198.00
				-
				-
				-
	IEUA EMPLOYEES' ASSOCIATION	\$		198.00

ACH	IEUA SUPERVISORS UNION ASSOCIA			
	P/R 1 1/15/21 Employee Ded	HR	0094300	315.00
				-
				-
				-
	IEUA SUPERVISORS UNION ASSOCIAS			315.00

ACH	IEUA GENERAL EMPLOYEES ASSOCIA			
	P/R 1 1/15/21 Employee Ded	HR	0094300	1,094.10
				-
				-
				-
	IEUA GENERAL EMPLOYEES ASSOCIAS			1,094.10

ACH	IEUA PROFESSIONAL EMPLOYEES AS			
	P/R 1 1/15/21 Employee Ded	HR	0094300	576.00
				-
				-
				-
	IEUA PROFESSIONAL EMPLOYEES ASS			576.00

ACH	DISCOVERY BENEFITS INC			
	P/R 1 1/15/21 Cafeteria Plan	HR	0094300	3,200.08
				-
				-
				-
	DISCOVERY BENEFITS INC	\$		3,200.08

ACH	FRESQUEZ, ADRIAN			
	MlgReim-12/17/20 Call Out-Fresquez,A	MLG	12/17/20	27.60
				-
				-
				-
	FRESQUEZ, ADRIAN	\$		27.60

ACH	SCALERA, TYRONE			
	MlgReim-12/6/20 Call Out-Scalera,T	MLG	12/6/20	23.00

Check		Payee / Description	Amount
		SCALERA, TYRONE	\$ 23.00
ACH		RUSO, EFRAIN	
		MlgReim-1/6/21 Call Out-Russo,E MLG 1/6/21	17.92
		RUSO, EFRAIN	\$ 17.92
ACH		SCOTT, STACEY	
		Reim-AACE 2021 Membership Renewal AACE 2021 MBR	205.00
		SCOTT, STACEY	\$ 205.00
ACH		DELGADO, ROBERTO	
		Reim-AMP 2020 Certificate Renewal 151430 2020	150.00
		DELGADO, ROBERTO	\$ 150.00
ACH		NEAL, CODY	
		MlgReim-7/31-8/2/2020 Call Outs-Neal,C MLG 7/31-8/2/	34.50
		NEAL, CODY	\$ 34.50
ACH		ASSOC OF CALIFORNIA WATER AGEN	
		2021 Agency Wide Mbrshp Rnwl 110726-2021	35,305.00
		ASSOC OF CALIFORNIA WATER AGENS	35,305.00
ACH		AQUA BEN CORPORATION	
		RP2-23,000 Lbs Hydrofloc 748E 41747C	22,552.08
		AQUA BEN CORPORATION	\$ 22,552.08
ACH		FISHER SCIENTIFIC	
		Gloves 6407529	317.13
		FISHER SCIENTIFIC	\$ 317.13
ACH		NAPA GENUINE PARTS COMPANY	
		Battery 4584-324581	166.71
		Battery,Starting Fluid,Terminal Protecto 4584-324580	196.32
		Drain Plug 4584-324376	80.70
		6 Freeze Plugs 4584-324375	29.03
		NAPA GENUINE PARTS COMPANY	\$ 472.76
ACH		PERKINELMER HEALTH SCIENCES IN	
		FIMS/FIAS Spares Kit 5304636312	544.14
		PERKINELMER HEALTH SCIENCES INS	544.14
ACH		ROYAL INDUSTRIAL SOLUTIONS	
		Drive,SeriesA DNet,I/O Module,Pwrflx HIM 6046-687721	17,583.25
		Power Monitor 6046-688286	712.23
		ROYAL INDUSTRIAL SOLUTIONS	\$ 18,295.48
ACH		SANTA ANA WATERSHED	
		November 2020 Truck Discharge 9820	9,957.93

Check	Payee / Description	Amount
	November 2020 Service 9826	81,230.42
	SANTA ANA WATERSHED \$	91,188.35
ACH	WAXIE SANITARY SUPPLY Cups 79708393	1,233.09
	WAXIE SANITARY SUPPLY \$	1,233.09
ACH	PETE'S ROAD SERVICE 4 Tractor Tires f/JD CV3R99 Tractor 461734-00	4,225.30
	PETE'S ROAD SERVICE \$	4,225.30
ACH	GRAINGER INC Whs-Plastic Strapping,Strapping Buckle 9721751106	73.51
	RP5Mnt-Safety Glasses-Blue Mirror,In/Out 9731331485	125.03
	RP1Mnt-Packing Extractor Set 9731170156	388.17
	Inv-Rope,Cable Ties,Eyewash Dust Caps,Ba 9728639015	656.47
	Lab-Mandrel Quick Change 7/16" Shank 9728639023	26.00
	Lab-Air Compressor,Hole Saws 9728639031	518.10
	RP5Mnt-Oil Filters-HP Compressors 9704422386	1,744.32
	Whs-Bttrs-Lith Ion 3.75,28V,Crdlss Hmnr 9704422378	763.32
	Inv-Nitril Gloves-Disposable 9702829012	507.37
	RP1Mnt-Grdn Hs Hangrs,Frmns Hgh Flw Nzzl 9703717893	189.64
	Inv-Ratchet Face Shields 9706530699	155.37
	RP1Mnt-Valve Rebuild Kits w/Instructions 9708024675	395.62
	CCWRPMnt-7-Foot Fixed Ladder 9713395631	798.25
	GRAINGER INC \$	6,341.17
ACH	WESTERN ANALYTICAL LABORATORIE Industrial Wastewater Test 14297	1,100.40
	WESTERN ANALYTICAL LABORATORIES	1,100.40
ACH	KONICA MINOLTA 1/21 Lease Copiers-C759 RP5 Trailer 36960835	1,180.53
	1/21 Lease Copiers-C759,808,658E,458E 36965299	2,303.19
	1/21 Lease Copiers-C658,C308,C759,C659 36965298	2,761.14
	KONICA MINOLTA \$	6,244.86
ACH	GENESIS CONSTRUCTION EN17110.03-12/20 Pay Est 5 PE 5-EN17110.	270,087.38
	GENESIS CONSTRUCTION \$	270,087.38
ACH	KONICA MINOLTA BUSINESS SOLUTI HQA/B/RP5-4/20 Copier Ovrgr,Mnt-BIZC658E, 9007027690	311.64
	HQA/B/RP5-10/20 Copier Ovrgr,Mnt-BIZC658E 9007242834	375.89
	SoMnt-10/20 Copier Ovrgr,Mnt-BIZC658,654E 9007241898	94.59
	HQA/B/RP5-9/20 Copier Ovrgr,Mnt-BIZC658E, 9007155768	597.79
	SoMnt-9/20 Copier Ovrgr,Mnt-BIZC658,C454e 9007154430	112.74
	HQA/B/RP5-8/20 Copier Ovrgr,Mnt-BIZC658E, 9007086285	561.69
	SoMnt-7/20 Copier Ovrgr,Mnt-BIZC658,654E, 9007082240	167.57
	HQA/B/RP5-6/20 Copier Ovrgr,Mnt-BIZC658E, 9007027693	412.08
	HQA/B/RP5-5/20 Copier Ovrgr,Mnt-BIZC658E, 9007027692	1,933.72
	HQA/B/RP5-4/20 Copier Ovrgr,Mnt-BIZC658E, 9007027691	607.88

Check		Payee / Description	Amount
		KONICA MINOLTA BUSINESS SOLUTIS	5,175.59
ACH		THATCHER COMPANY OF CALIFORNIA	
		TP1-45,660 Lbs Aluminum Sulfate 280973	3,716.17
		THATCHER COMPANY OF CALIFORNIA\$	3,716.17
ACH		MCMASTER-CARR SUPPLY CO	
		Quick Disconnect Terminals 50669084	171.02
		Compression Lug 50602570	19.07
		4 V-Belts 51370036	57.14
		MCMASTER-CARR SUPPLY CO \$	247.23
ACH		TOM DODSON & ASSOCIATES	
		EN19006-11/16-11/23 Prof Svcs IE-340 20-8	775.00
		EN19006-11/21-12/1 Prof Svcs IE-340 20-9	8,927.50
		TOM DODSON & ASSOCIATES \$	9,702.50
ACH		MCR TECHNOLOGIES INC	
		RP1-Troubleshoot and Repair ABB Flow Met 39360	1,063.92
		MCR TECHNOLOGIES INC \$	1,063.92
ACH		SO CALIF SALINITY COALITION	
		20/21 Agency Membership Dues 2020-1042	10,000.00
		SO CALIF SALINITY COALITION \$	10,000.00
ACH		CASC ENGINEERING AND CONSULTIN	
		September 2020 Professional Services 0042922	396.00
		CASC ENGINEERING AND CONSULTIN\$	396.00
ACH		HACH COMPANY	
		EP21003-Ammonia TNT+ UHR's 12232453	116.16
		EP21003-Ammonia TNT+ UHR's 12225952	232.32
		Inv-sc200 Controllers,Salt Bridges 12215355	4,245.37
		HACH COMPANY \$	4,593.85
ACH		O S T S INC	
		12/7-12/9 Hazwoper First Responder Ops T 53879	750.00
		12/16-12/18 CCO Prep Class & Exam f/3 53798	1,785.00
		O S T S INC \$	2,535.00
ACH		INNOVATIVE FEDERAL STRATEGIES	
		1/2021 Prof Svcs 012021	7,200.00
		INNOVATIVE FEDERAL STRATEGIES \$	7,200.00
ACH		W M LYLES COMPANY	
		EN19006-12/20 Pay Est 2 PE 2-EN19006	38,570.00
		EN19001-12/20 Pay Est 2 PE 2-EN19001	189,495.55
		EN18006-12/20 Pay Est 5 PE 5-EN18006	300,236.16
		EN17110-12/20 Pay Est 9 PE 9-EN17110	208,061.49

Check Payee / Description		Amount
EN17110-12/20 Pay Est 9	PE 9-EN17043	107,183.19
W M LYLES COMPANY	\$	843,546.39
ACH KAESER COMPRESSORS		
RP1Mnt-Filters-Non-Woven	914150356	311.38
KAESER COMPRESSORS	\$	311.38
ACH NATIONAL CONSTRUCTION RENTALS		
12/25/20-1/21/21 Potty/Handicap Potty/Si 5989136		994.80
NATIONAL CONSTRUCTION RENTALS	\$	994.80
ACH OLIN CORP		
TP1-4,864 Gals Sodium Hypochlorite	2917390	4,046.85
RP5-4,872 Gals Sodium Hypochlorite	2908902	4,053.50
CCWRP-4,898 Gals Sodium Hypochlorite	2908322	4,075.14
RP5-4,970 Gals Sodium Hypochlorite	2906729	4,135.04
CCWRP-3,438 Gals Sodium Hypochlorite	2904582	3,042.63
RP5-4,816 Gals Sodium Hypochlorite	2903519	4,006.91
CCWRP-4,936 Gals Sodium Hypochlorite	2902978	4,106.75
RP5-4,942 Gals Sodium Hypochlorite	2901651	4,111.74
CCWRP-3,486 Gals Sodium Hypochlorite	2901649	3,085.11
CCWRP-4,900 Gals Sodium Hypochlorite	2900415	4,076.80
RP5-4,832 Gals Sodium Hypochlorite	2899945	4,020.22
CCWRP-3,476 Gals Sodium Hypochlorite	2899370	3,076.26
RP5-4,722 Gals Sodium Hypochlorite	2898865	4,178.97
CCWRP-4,890 Gals Sodium Hypochlorite	2898066	4,068.48
RP5-4,836 Gals Sodium Hypochlorite	2893117	4,023.55
TP1-4,792 Gals Sodium Hypochlorite	2916852	4,240.92
TP1-4,756 Gals Sodium Hypochlorite	2916738	4,209.06
RP4-4,804 Gals Sodium Hypochlorite	2916378	3,996.93
RP5-4,864 Gals Sodium Hypochlorite	2916377	4,046.85
TP1-4,886 Gals Sodium Hypochlorite	2916376	4,065.15
RP5-4,866 Gals Sodium Hypochlorite	2914763	4,048.51
RP5-4,892 Gals Sodium Hypochlorite	2913878	4,070.14
CCWRP-4,902 Gals Sodium Hypochlorite	2913340	4,078.46
RP5-4,942 Gals Sodium Hypochlorite	2911285	4,111.74
CCWRP-4,828 Gals Sodium Hypochlorite	2910802	4,016.90
RP5-4,852 Gals Sodium Hypochlorite	2909704	4,036.86
CCWRP-4,500 Gals Sodium Hypochlorite	2890949	3,982.50
CCWRP-346 Gals Sodium Hypochlorite	2891266	311.40
OLIN CORP	\$	107,323.37
ACH CARRIER CORPORATION		
RP4-AC Unit not Working on Microwave Tow	90094111	763.30
RP4-Troubleshoot AC not Cooling	90069226	865.00
CARRIER CORPORATION	\$	1,628.30
ACH ALTA FOODCRAFT		
RP1-Coffee,Sweet N Low,Tea,Cocoa	12031355	385.54
ALTA FOODCRAFT	\$	385.54
ACH DAVID WHEELER'S PEST CONTROL I		
Inspection All Facilities	52367	420.00

Check		Payee / Description	Amount
		HQA-B/ChnCrkPrk-12/2020 Monthly Pest Con 52322	430.00
		DAVID WHEELER'S PEST CONTROL IS	850.00
ACH		BIG SKY ELECTRIC INC	
	EN17044-12/20 Pay Est 20	PE 20-EN17044	7,229.50
		BIG SKY ELECTRIC INC	\$ 7,229.50
ACH		DOWNS ENERGY	
	RP2-351.00 Gals Red Dyed Diesel Fuel	0273893-IN	842.88
		DOWNS ENERGY	\$ 842.88
ACH		GHD INC	
	EN20034.03-11/29-12/19 Prof Svc	154870	23,505.50
		GHD INC	\$ 23,505.50
ACH		SPIESS CONSTRUCTION COMPANY IN	
	EN22004-12/20 Pay Est 9	PE 9-EN22004	413,455.44
		SPIESS CONSTRUCTION COMPANY IN\$	413,455.44
ACH		CALIFORNIA WATER TECHNOLOGIES	
	RP1-17,164.44 lbs Ferric Chloride Soluti	38793	5,417.36
	RP2-16,618.68 lbs Ferric Chloride Soluti	38628	5,245.10
	CCWRP-17,779.5 lbs Ferric Chloride Solut	38642	5,611.49
	RP2-17,340.54 lbs Ferric Chloride Soluti	38665	5,472.93
	RP2-18,268.3 lbs Ferric Chloride Solutio	38709	5,765.75
	CCWRP-18,433.8 lbs Ferric Chloride Solut	38848	5,817.99
	Phills-18,231.72 lbs Ferric Chloride Sol	38819	5,754.21
	RP1-18,928.08 lbs Ferric Chloride Soluti	38883	5,973.98
	RP1-17,350.32 lbs Ferric Chloride Soluti	38859	5,476.03
		CALIFORNIA WATER TECHNOLOGIES \$	50,534.84
ACH		V3IT CONSULTING INC	
	SAP September 2020 Support	V3IT2020IEUA1	106.00
	November 2020 ESS W4 App Link & Changes	V3IT2020IEUA1	7,591.50
	SAP BASIS November 2020 Support	V3IT2020IEUA1	6,184.00
		V3IT CONSULTING INC	\$ 13,881.50
ACH		FRESNO FIRST BANK	
	EN18006-12/20 Pay Est 5	PE 5-EN18006	15,801.90
		FRESNO FIRST BANK	\$ 15,801.90
ACH		ARCADIS U.S., INC.	
	EN19001/EN19006-11/2020 Professional Ser	34207344	199,608.67
		ARCADIS U.S., INC.	\$ 199,608.67
ACH		FERREIRA COASTAL CONSTRUCTION	
	EN20065-12/20 Pay Est 3	PE 3-EN20065	53,084.67
	RW15004-12/20 Pay Est 7	PE 7-RW15004	77,787.03
		FERREIRA COASTAL CONSTRUCTION \$	130,871.70

Check Payee / Description	Amount
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ACH	PRIORITY BUILDING SERVICES LLC		125.00
	RP4 12/22 Additional COVID Sanitizing Sv 73972		
		- - - - -	
	PRIORITY BUILDING SERVICES LLC\$		125.00
ACH	AMAZON BUSINESS		
	Talking When Stakes are High,Healing Big	1QXD-LT6G-DGR	187.76
	Bottle Brushes	1CRF-GHRX-K4N	672.65
	Belt Drive Motor,V-Belt	1MGH-YT7J-7C9	249.65
		- - - - -	
	AMAZON BUSINESS	\$	1,110.06
ACH	MERSIVE TECHNOLOGIES INC		
	Solstice Subscription Renewal - 1 Year	INV22070	3,160.00
		- - - - -	
	MERSIVE TECHNOLOGIES INC	\$	3,160.00
ACH	WESTLAND GROUP INC		
	EN15012-01-9/30-10/31 Prof Svcs	18715	1,897.50
	EN18006-10/31-11/30 Prof Svcs	18886	1,755.00
		- - - - -	
	WESTLAND GROUP INC	\$	3,652.50
ACH	LITTLER MENDELSON PC		
	10/2020 General Legal #097433.1003	5334960	270.00
		- - - - -	
	LITTLER MENDELSON PC	\$	270.00
ACH	KIEWIT INFRASTRUCTURE WEST CO		
	EN17082-12/20 Pay Est 14	PE 14-EN17082	527,823.66
		- - - - -	
	KIEWIT INFRASTRUCTURE WEST CO	\$	527,823.66
ACH	SCHULER CONSTRUCTORS INC		
	EN20058-12/20 Pay Est 4	PE 4-EN20058	56,734.00
		- - - - -	
	SCHULER CONSTRUCTORS INC	\$	56,734.00
ACH	LA OPINION		
	PI-Water Conservation Ads-8/19/20 Splsh	107291120	3,050.00
		- - - - -	
	LA OPINION	\$	3,050.00
ACH	CAPO PROJECTS GROUP LLC		
	October 2020 Professional Services	4266	26,520.05
		- - - - -	
	CAPO PROJECTS GROUP LLC	\$	26,520.05
ACH	LIBERTY LANDSCAPING INC		
	FcltyMgt-CCWRP-Imprv Frnt/Bhnd Fnc f/Trc	94926	4,358.65
	FcltyMgt-12/20 Monthly Landscaping Servi	94815	18,175.00
	FcltyMgt-RP3-Trm/Rmv Cttndw Brnchs	94953	2,860.00
	FcltyMgt-RP3-Wd Rmvl,Rmv Nn-Ntv Shrbs/Tr	94973	3,185.00
	FcltyMgt-PhilLS-Tree Removals f/Windstor	95071	760.00
	FcltyMgt-ChnCrk-Rplc Rnbrd Cntrlrs,Sttn	94441	2,474.45
	FcltyMgt-RP1-Rplc Brkn Bll Vlvs,Slr Slnd	94418	385.20
		- - - - -	
	LIBERTY LANDSCAPING INC	\$	32,198.30

Check	Payee / Description	Amount
ACH	FRESNO FIRST BANK	
	EN19006-12/20 Pay Est 2 PE 2-EN19006	2,030.00
	EN19001-12/20 Pay Est 2 PE 2-EN19001	9,973.45
		- - - - -
	FRESNO FIRST BANK \$	12,003.45
ACH	SO CALIF EDISON	
	RP4-12/8-1/7 12811 6th St 3012355379 12	339.48
	MntclLS-12/11-1/12 34 Palmetto-Phila 3001323337 12	1,034.49
	BrksStBsn-12/16-1/15 10661 Silicon Ped 3024530748 12	43.55
	RP4/TP4/RCA-12/8-1/7 12811 6th St 3013233596 12	176,488.35
	RP5/TP5/HQA/B-12/2-12/31 6075 Kimball Av 3023582932 12	113,759.54
	MntclBsn-12/16-1/15 4700 San Jose 3024530725 12	65.34
	RP2MntLnchRm-12/1-1/1 16400 El Prado Rd 3005927855 12	9,787.89
	HckryDm-12/9-1/8 13400 Whittram Ave 3025772313 12	164.11
	RP1-12/8-1/1 2450 Phila St 3001323341L12	6,727.69
		- - - - -
	SO CALIF EDISON \$	308,410.44
ACH	SHELL ENERGY NORTH AMERICA LP	
	RP1/RP2/RP5/CCWRP-11/1-11/30 Power Usage 202011	221,589.75
		- - - - -
	SHELL ENERGY NORTH AMERICA LP \$	221,589.75
ACH	SOLAR STAR CALIFORNIA V LLC	
	RP1-9/1-9/30 2450 Phila St E02M0615-3918	24,052.16
	RP1-8/1-8/31 2450 Phila St-Lost Revenue E02M0616-3697	4,251.11
	RP1-9/1-9/30 2450 Phila St E02M0616-3918	3,701.13
	RP1-7/29-7/31 2450 Phila St-Bllble Lost E2M0616-36972	436.18
	RP1-8/1-8/31 2450 Phila St E02M0615-3843	29,007.17
	RP1-7/29-7/31 2450 Phila St-Bllble Lost E2M0615-36972	3,095.11
		- - - - -
	SOLAR STAR CALIFORNIA V LLC \$	64,542.86
ACH	WESTERN DENTAL PLAN	
	1/21 Agency Dental Plan 1/2021	2,240.60
		- - - - -
	WESTERN DENTAL PLAN \$	2,240.60
ACH	PREFERRED BENEFIT INSURANCE	
	1/21 Agency Dental Plan EIA35648	17,033.80
		- - - - -
	PREFERRED BENEFIT INSURANCE \$	17,033.80
ACH	DISCOVERY BENEFITS INC	
	December 2020 Admin Fees 0001276786-IN	232.75
		- - - - -
	DISCOVERY BENEFITS INC \$	232.75
ACH	MCCHRISTY, KAREN	
	Reim-12/11/20 Printer Ink, Sanitizer, Post 12/11/20 OFF	54.17
		- - - - -
	MCCHRISTY, KAREN \$	54.17
ACH	CATALAN, JOSE L	
	MlgReim-12/27/20 Call Out-Catalan,J MLG 12/27/20	12.65
		- - - - -
	CATALAN, JOSE L \$	12.65

Check Payee / Description	Amount
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ACH	HOLGUIN, GABRIEL		
	Reim-12/16/20 WAS/RAS Shutdown Meal	12/16/20 MEAL	21.92
			-
	HOLGUIN, GABRIEL	\$	21.92

ACH	POMPA, JESSE		
	Reim-PMI 2021 Membership Renewal	2021 PMI MBRS	129.00
			-
	POMPA, JESSE	\$	129.00

ACH	SELIO, RICHARD		
	MlgReim-7/4/20 Call Out-Selio,R	MLG 7/4/20	50.60
			-
	SELIO, RICHARD	\$	50.60

ACH	ALVARADO, NICOLAS F DEPIEROLA		
	MlgReim-1/11/21 Call Out-Depierola,N	MLG 1/11/21	44.80
			-
	ALVARADO, NICOLAS F DEPIEROLA	\$	44.80

ACH	THOMPSON, CHRISTINE		
	Thompson,C-ExpRpt-1/30 CSMFO Conference	1/30 CSMFO CO	32.44
			-
	THOMPSON, CHRISTINE	\$	32.44

ACH	SOTELLO, SCOTT		
	MlgReim-12/26/20 Call Out-Sotello,S	MLG 12/26/20	24.73
			-
	SOTELLO, SCOTT	\$	24.73

ACH	AQUA BEN CORPORATION		
	DAFT-4,600 Lbs Hydrofloc 748E	41704	4,510.42
	DAFT-4,600 Lbs Hydrofloc 748E	41742	4,510.42
	RP1-18,400 Lbs Hydrofloc 750A	41811	23,592.94
	DAFT-4,600 Lbs Hydrofloc 748E	41810	4,510.42
	DAFT-9,200 Lbs Hydrofloc 748E	41789	9,020.83
	RP1-23,000 Lbs Hydrofloc 750A	41790	29,491.18
			-
	AQUA BEN CORPORATION	\$	75,636.21

ACH	CITY RENTALS INC		
	12/14/20 Generator Rental	83411	50.00
			-
	CITY RENTALS INC	\$	50.00

ACH	HASCO OIL COMPANY, INC.		
	RP5Mnt-1 Drum Mobile SHC 630	0237344-IN	4,666.59
	RP5Mnt-1 Drum Mobile SHC 626	0237359-IN	4,529.10
			-
	HASCO OIL COMPANY, INC.	\$	9,195.69

ACH	HOME DEPOT CREDIT SERVICES		
	GWROps-Diablo Cutting Wheels,Storage Bin	0531433	32.26
	GWROps-Step Ladder-4' FG Step	1511137	59.24
	EN19001-Blue Restroom Signs,Alcohol Wipe	8974655	106.96
	GWROps-Wrenches-Ratcheting Combination	5235053	116.75
	GWROps-Havest PVC Decking	6702964	77.36
	GWROps-Cordless Hammer Drill	2342407	192.87

Check	Payee / Description	Amount
	RW-18V Multitool, Drilling Hammer, Water B 3020155	128.56
	RP5Mnt-Pest Chasters, Pest-A-Cator, Foam T 0513955	86.97
	RP5Mnt-Diablo Cutting Wheels 1620956	71.87
	RP5Mnt-Pigskin Gloves 1610689	25.82
	CCWRPMnt-Wood-Plywd, 2x4's, 1.5x5.5, Scrws, 7010767	298.30
	HOME DEPOT CREDIT SERVICES \$	1,196.96
ACH	NAPA GENUINE PARTS COMPANY	
	Battery 4584-325199	166.71
	NAPA GENUINE PARTS COMPANY \$	166.71
ACH	ORANGE COUNTY WATER DISTRICT	
	OC-59 1,377.8 AF DYY Nov 20 22327	2,755.60
	ORANGE COUNTY WATER DISTRICT \$	2,755.60
ACH	GRAINGER INC	
	RP5Mnt-Lockout Padlocks, Danger Tags, Chai 9740875951	849.36
	CCWRPMnt-Ladders-7', 6' 9739924216	1,608.07
	RP4Mnt-Rubber Vitons 9735518582	260.76
	Whs-Dot Handling Labels 9706866788	281.98
	GRAINGER INC \$	3,000.17
ACH	CITY OF ONTARIO	
	2021 Business License/Fire Permit Fee-RP 67068 2021	404.00
	CITY OF ONTARIO \$	404.00
ACH	HDR ENGINEERING INC	
	EN19023-6/2-8/1 Prof Svcs 1200285210	922.00
	HDR ENGINEERING INC \$	922.00
ACH	CHAMPION NEWSPAPERS	
	1/30/21-6/19-21 4 Publications Ads 196902G	4,775.20
	CHAMPION NEWSPAPERS \$	4,775.20
ACH	BLACK & VEATCH CORPORATION	
	EN17044-10/2020 Professional Services 1332052	4,875.51
	BLACK & VEATCH CORPORATION \$	4,875.51
ACH	MCMASTER-CARR SUPPLY CO	
	Drill Bit Sets, Impact Wrench, Strut Chann 51953822	1,589.13
	MCMASTER-CARR SUPPLY CO \$	1,589.13
ACH	STATE WATER RESOURCES CNTRL BR	
	Dishonored Check Fee (#233714) BA122320-01	25.00
	STATE WATER RESOURCES CNTRL BR\$	25.00
ACH	ASSOC SAN BERNARDINO CNTY SPEC	
	Agency Wide Membership 2021 2021 MEMBERSH	375.00

Check Payee / Description		Amount
ASSOC SAN BERNARDINO CNTY SPEC\$		375.00
ACH	INLAND EMPIRE REGIONAL RP1/RP2-12/20 Biosolids 90028092	354,404.34
	INLAND EMPIRE REGIONAL \$	354,404.34
ACH	HACH COMPANY Inv-TU5300sc Turb/Flow/Clean/Syschk 12255826	2,878.22
	RP1Mnt-Smpl Cll w/Cp,Dml KCL Sltn,Slcn W 12235328	503.85
	RP5Mnt-Assy Probe LDO Model 2,Senser Cap 12239125	6,157.31
	RP5Mnt-Senser Cap Rplc Kit 12241415	271.53
	Inv-Digital pH Sensor 12249329	1,264.45
	Inv-sc200 Controller AC-DC 2 Dig 12263320	2,203.27
	HACH COMPANY \$	13,278.63
ACH	KVAC ENVIRONMENTAL SERVICES IN FcltMgmtRP1-Hazmat Dspsl Rmvl-E-Wst,Bttr KS2006019	1,580.12
	RP1-12/9 Vac Pump/Transport Lqd Wst RP2 KS2012116-REV	2,430.00
	KVAC ENVIRONMENTAL SERVICES IN\$	4,010.12
ACH	CS-AMSCO Flanged Valve Plug 16488	2,793.02
	CS-AMSCO \$	2,793.02
ACH	MONTE VISTA WATER DISTRICT 20/21 BMP Support Grant 20/21 BMP SPP	2,000.00
	MONTE VISTA WATER DISTRICT \$	2,000.00
ACH	NATIONAL CONSTRUCTION RENTALS RP1-12/31/20-1/27/21 Toilet,Handwash 5993550	211.55
	NATIONAL CONSTRUCTION RENTALS \$	211.55
ACH	STANEK CONSTRUCTORS INC EN19010-12/20 Pay Est 12 PE 12-EN19010	144,751.50
	STANEK CONSTRUCTORS INC \$	144,751.50
ACH	VAUGHAN'S INDUSTRIAL REPAIR CO Repair CCWRP Aeration Blower #2 Motor 027433	24,648.71
	VAUGHAN'S INDUSTRIAL REPAIR CO\$	24,648.71
ACH	OLIN CORP TP1-4,724 Gals Sodium Hypochlorite 2919552	4,180.74
	TP1-4,682 Gals Sodium Hypochlorite 2917941	4,143.57
	RP5-4,826 Gals Sodium Hypochlorite 2917940	4,015.23
	RP4-4,842 Gals Sodium Hypochlorite 2918478	4,028.54
	TP1-4,818 Gals Sodium Hypochlorite 2919553	4,008.58
	TP1-4,766 Gals Sodium Hypochlorite 2919551	4,217.91
	RP5-4,828 Gals Sodium Hypochlorite 2918477	4,016.90
	TP1-4,908 Gals Sodium Hypochlorite 2918476	4,083.46
	OLIN CORP \$	32,694.93

Check Payee / Description	Amount
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ACH	READY REFRESH BY NESTLE		
	12/2020 Bottled Water,Cooler Rental	10L0029859071	442.35
			- - - - -
	READY REFRESH BY NESTLE	\$	442.35
ACH	US BANK VOYAGER FLEET SYSTEMS		
	12/20 Gasoline f/Agency Vehicles	869315093052	7,001.19
			- - - - -
	US BANK VOYAGER FLEET SYSTEMS	\$	7,001.19
ACH	KAMBRIAN CORPORATION		
	ISS-Office 365 ES	KINV4915	1,789.35
	ISS-Creative Cloud,Photoshop for Teams	KINV6201	5,090.59
			- - - - -
	KAMBRIAN CORPORATION	\$	6,879.94
ACH	GHD INC		
	EN20036-4/1-4/25 Prof Svcs-TO 12 Crpntr	141366	1,024.00
	EN19025-9/27-10/24 Prof Svcs	151687	653.50
			- - - - -
	GHD INC	\$	1,677.50
ACH	AUTOZONE PARTS INC		
	50 Boxes Gloves	5618083512	1,346.88
	Return Spray Bottles	5618907092	15.99
	Gloves	5618075801	1,346.88
			- - - - -
	AUTOZONE PARTS INC	\$	2,677.77
ACH	CALIFORNIA WATER TECHNOLOGIES		
	Phills-16,525.36 lbs Ferric Chloride Sol	38861	5,215.66
	RP1-18,740.40 lbs Ferric Chloride Soluti	38609	5,914.75
	RP1-17,594.98 lbs Ferric Chloride Soluti	38690	5,553.23
	RP1-15,272.06 lbs Ferric Chloride Soluti	38878	4,820.09
			- - - - -
	CALIFORNIA WATER TECHNOLOGIES	\$	21,503.73
ACH	D & H WATER SYSTEMS INC		
	15 Tube Assemblies	I2021-0003	1,702.10
			- - - - -
	D & H WATER SYSTEMS INC	\$	1,702.10
ACH	EVOQUA WATER TECHNOLOGIES LLC		
	1/1/21-3/31/21 DI Tank Rental	904739181	125.33
			- - - - -
	EVOQUA WATER TECHNOLOGIES LLC	\$	125.33
ACH	MANAGED MOBILE INC		
	Repair Parts/Labor for Agency Vehicles	IN00-0210847	171.36
	Repair Parts/Labor for Agency Vehicles	IN00-0210848	165.26
	Repair Parts/Labor for Agency Vehicles	IN00-0210850	195.76
	Repair Parts/Labor for Agency Vehicles	IN00-0210851	165.26
	Repair Parts/Labor for Agency Vehicles	IN00-0210852	165.26
	Repair Parts/Labor for Agency Vehicles	IN00-0210853	165.26
	Repair Parts/Labor for Agency Vehicles	IN00-0210843	171.36
	Repair Parts/Labor for Agency Vehicles	IN00-0210844	201.86
	Repair Parts/Labor for Agency Vehicles	IN00-0210846	171.36
			- - - - -

Check	Payee / Description	Amount
	MANAGED MOBILE INC	\$ 1,572.74
ACH	GOAL PRODUCTIONS INC	
	ExtAffr-Pulling Blooper Selects 8333	330.00
	GOAL PRODUCTIONS INC	\$ 330.00
ACH	U S BANK - PAYMENT PLUS	
	107432 PMC ENGINEERING LLC 2200112586	1,822.27
	107432 PMC ENGINEERING LLC 2200112095	916.21
	107780 SUPERIOR ELECTRIC MOTOR SERVICE I 2200112096	293.77
	100319 MISSION REPROGRAPHICS 2200112312	97.90
	107780 SUPERIOR ELECTRIC MOTOR SERVICE I 2200112313	300.30
	107852 SEAL ANALYTICAL INC 2200112314	179.93
	100150 HARRINGTON INDUSTRIAL PLASTICS LL 2200112424	5,375.59
	100319 MISSION REPROGRAPHICS 2200112425	31.25
	107432 PMC ENGINEERING LLC 2200112426	787.26
	100150 HARRINGTON INDUSTRIAL PLASTICS LL 2200112583	4,680.74
	104896 WESTERN WATER WORKS SUPPLY CO 2200112584	1,873.23
	105316 PLUMBERS DEPOT INC 2200112585	323.59
	U S BANK - PAYMENT PLUS	\$ 16,682.04
ACH	MSDSOONLINE INC	
	1/31/21-1/30/22 MSDSonline HQ Account 229629	3,499.00
	MSDSOONLINE INC	\$ 3,499.00
ACH	MEANS CONSULTING LLC	
	PL19005-12/2020 Professional Services IE-5629	4,725.00
	MEANS CONSULTING LLC	\$ 4,725.00
ACH	ALL CAL EQUIPMENT SERVICES INC	
	CDA-Quarterly Crane Inspection 60507	350.00
	RCA-Quarterly Crane Inspection 60506	500.00
	ALL CAL EQUIPMENT SERVICES INC	\$ 850.00
ACH	38DN POWER FUND 1 LLC	
	SCE ADR-60% TATI Reservation Incentive SCE ADR-60% 2	23,465.22
	38DN POWER FUND 1 LLC	\$ 23,465.22
ACH	LIBERTY LANDSCAPING INC	
	FcltyMgt-RP5-Install Lodge Poles,Cinch T 95070	975.61
	FcltyMgt-RP1-10/26 Tree Removals-Wind Da 95072	1,500.00
	FcltyMgt-1/21 Monthly Landscaping Servic 95547	18,175.00
	FcltyMgt-ChnCrkPrk-Rpr Broken Mainline/V 95113	360.03
	LIBERTY LANDSCAPING INC	\$ 21,010.64
ACH	FLEETISTICS	
	GEOTAB Materials 90720	2,740.86
	Reduced Fee for Sourcewell Pricing 86705 90580	429.78
	FLEETISTICS	\$ 2,311.08
ACH	EXBON DEVELOPMENT INC	

Check	Payee / Description	Amount
	FM21005-12/20 Pay Est 6 PE 6-FM21005	282,572.55
	EXBON DEVELOPMENT INC \$	282,572.55
ACH	ERAMOSA INTERNATIONAL INC	
	EN13016-12/2020 Professional Services US23520-4	56,787.50
	ERAMOSA INTERNATIONAL INC \$	56,787.50
ACH	PILLSBURY WINTHROP SHAW PITTM	
	11/2020 Employee Benefits Advice 8381801	1,224.00
	PILLSBURY WINTHROP SHAW PITTMAS	1,224.00
ACH	ADAM'S FALCONRY SERVICE LLC	
	12/2020 Bird Control Services 15484	3,120.00
	ADAM'S FALCONRY SERVICE LLC \$	3,120.00
ACH	WEST YOST & ASSOCIATES INC	
	PL19005-11/7-12/4 Prof Svcs 2043401	1,749.00
	WEST YOST & ASSOCIATES INC \$	1,749.00
ACH	SO CALIF EDISON	
	MntclSplltBx-12/18-1/20 11294 Roswell Ave 3045296064 1/	48.09
	1630WPmpStn-11/30-12/29 1530 6th St 3038839479 12	6,957.99
	MWDTrnt15T-12/17-1/19 12100 Banyan St 3024905261 1/	31.15
	BrksRWTrnOt-12/17-1/19 4349 Orchard St 3032880824 1/	21.85
	SBLfStn-12/17-1/19 13707 San Bernardino 3032571461 1/	8,037.36
	SBLfStn-10/16-12/17 13707 San Bernardino 3032571461 12	12,322.12
	1630EPmpStn-12/18-1/20 7420 1/2 East 3035490419 1/	17,399.12
	1630EPmpStn-11/18-12/18 7420 1/2 East 3035490419 12	20,683.99
	I/WLn-12/18-1/20 34 East End-Grand 3001323338 1/	16.64
	MWDTrnt18-12/18-1/20 13400 Betsy Ross Ct 3025206224 1/	29.58
	930RsvrPrjct-12/23-1/25 2950 Galloping H 3042003812 1/	68.13
	VctrBsn/MWDCB11-12/19-1/21 Victoria St/B 2264009051 1/	107.05
	MWDCB14/20-12/18-1/20 5752 East Ave/475 2305258691 1/	156.42
	PhilLS-11/6-12/9 1818 Phila St 3001323331 11	7,125.47
	PhilLS-12/9-1/8 1818 Phila St 3001323331 12	5,603.63
	RP2MntLnchRm-11/18-12/18 16400 El Prado 3000173128 12	2,901.85
	RP2MntLnchRm-12/18-1/20 16400 El Prado R 3000173128 1/	3,479.31
	SO CALIF EDISON \$	84,989.75
ACH	SHELL ENERGY NORTH AMERICA LP	
	RP1/RP2/RP5/CCWRP-12/1-12/30 Power Usage 1100002880312	3,387.13
	SHELL ENERGY NORTH AMERICA LP \$	3,387.13
ACH	BREIG, ANNA	
	Reim Monthly Health Prem HEALTH PREM	181.48
	BREIG, ANNA \$	181.48
ACH	ESTRADA, JIMMIE J	
	Reim Monthly Health Prem HEALTH PREM	505.96
	ESTRADA, JIMMIE J \$	505.96

Check	Payee / Description	Amount
ACH	LICHTI, ALICE Reim Monthly Health Prem	HEALTH PREM 181.48
	LICHTI, ALICE	\$ 181.48
ACH	NOWAK, THEO T Reim Monthly Health Prem	HEALTH PREM 491.96
	NOWAK, THEO T	\$ 491.96
ACH	SONNENBURG, ILSE Reim Monthly Health Prem	HEALTH PREM 181.48
	SONNENBURG, ILSE	\$ 181.48
ACH	MILLER, ELMER L Reim Monthly Health Prem	HEALTH PREM 851.32
	MILLER, ELMER L	\$ 851.32
ACH	DYKSTRA, BETTY Reim Monthly Health Prem	HEALTH PREM 181.48
	DYKSTRA, BETTY	\$ 181.48
ACH	MUELLER, CAROLYN Reim Monthly Health Prem	HEALTH PREM 181.48
	MUELLER, CAROLYN	\$ 181.48
ACH	GRIFFIN, GEORGE Reim Monthly Health Prem	HEALTH PREM 181.48
	GRIFFIN, GEORGE	\$ 181.48
ACH	CANADA, ANGELA Reim Monthly Health Prem	HEALTH PREM 181.48
	CANADA, ANGELA	\$ 181.48
ACH	CUPERSMITH, LEIZAR Reim Monthly Health Prem	HEALTH PREM 181.48
	CUPERSMITH, LEIZAR	\$ 181.48
ACH	HOBBS, DIANA Reim Monthly Health Prem	HEALTH PREM 505.96
	HOBBS, DIANA	\$ 505.96
ACH	DELGADO-ORAMAS JR, JOSE Reim Monthly Health Prem	HEALTH PREM 324.48
	DELGADO-ORAMAS JR, JOSE	\$ 324.48
ACH	GRANGER, BRANDON Reim Monthly Health Prem	HEALTH PREM 158.74

Check	Payee / Description	Amount
	GRANGER, BRANDON	\$ 158.74
ACH	GADDY, CHARLES L Reim Monthly Health Prem	HEALTH PREM 158.74
	GADDY, CHARLES L	\$ 158.74
ACH	BAKER, CHRIS Reim Monthly Health Prem	HEALTH PREM 15.74
	BAKER, CHRIS	\$ 15.74
ACH	WEBB, DANNY C Reim Monthly Health Prem	HEALTH PREM 143.00
	WEBB, DANNY C	\$ 143.00
ACH	HUMPHREYS, DEBORAH E Reim Monthly Health Prem	HEALTH PREM 162.24
	HUMPHREYS, DEBORAH E	\$ 162.24
ACH	MOUAT, FREDERICK W Reim Monthly Health Prem	HEALTH PREM 162.24
	MOUAT, FREDERICK W	\$ 162.24
ACH	MORGAN, GARTH W Reim Monthly Health Prem	HEALTH PREM 143.00
	MORGAN, GARTH W	\$ 143.00
ACH	ALLINGHAM, JACK Reim Monthly Health Prem	HEALTH PREM 19.24
	ALLINGHAM, JACK	\$ 19.24
ACH	MAZUR, JOHN Reim Monthly Health Prem	HEALTH PREM 497.16
	MAZUR, JOHN	\$ 497.16
ACH	HAMILTON, MARIA Reim Monthly Health Prem	HEALTH PREM 143.00
	HAMILTON, MARIA	\$ 143.00
ACH	RAMOS, CAROL Reim Monthly Health Prem	HEALTH PREM 19.24
	RAMOS, CAROL	\$ 19.24
ACH	FISHER, JAY Reim Monthly Health Prem	HEALTH PREM 143.00
	FISHER, JAY	\$ 143.00
ACH	KING, PATRICK Reim Monthly Health Prem	HEALTH PREM 19.24

Check		Payee / Description	Amount
		KING, PATRICK	\$ 19.24
ACH	HOWARD, ROBERT JAMES	Reim Monthly Health Prem	HEALTH PREM 19.24
	HOWARD, ROBERT JAMES		\$ 19.24
ACH	DIETZ, JUDY	Reim Monthly Health Prem	HEALTH PREM 143.00
	DIETZ, JUDY		\$ 143.00
ACH	DAVIS, GEORGE	Reim Monthly Health Prem	HEALTH PREM 15.74
	DAVIS, GEORGE		\$ 15.74
ACH	MONZAVI, TAGHI	Reim Monthly Health Prem	HEALTH PREM 19.24
	MONZAVI, TAGHI		\$ 19.24
ACH	PETERSEN, KENNETH	Reim Monthly Health Prem	HEALTH PREM 181.48
	PETERSEN, KENNETH		\$ 181.48
ACH	TRAUTERMAN, HELEN	Reim Monthly Health Prem	HEALTH PREM 181.48
	TRAUTERMAN, HELEN		\$ 181.48
ACH	TIEGS, KATHLEEN	Reim Monthly Health Prem	HEALTH PREM 505.96
	TIEGS, KATHLEEN		\$ 505.96
ACH	DIGGS, GEORGE	Reim Monthly Health Prem	HEALTH PREM 505.96
	DIGGS, GEORGE		\$ 505.96
ACH	HAYES, KENNETH	Reim Monthly Health Prem	HEALTH PREM 505.96
	HAYES, KENNETH		\$ 505.96
ACH	RODRIGUEZ, LOUIS	Reim Monthly Health Prem	HEALTH PREM 162.24
	RODRIGUEZ, LOUIS		\$ 162.24
ACH	VARBEL, VAN	Reim Monthly Health Prem	HEALTH PREM 324.48
	VARBEL, VAN		\$ 324.48
ACH	CLIFTON, NEIL		

Check	Payee / Description		Amount
	Reim Monthly Health Prem	HEALTH PREM	324.48
	CLIFTON, NEIL	\$	324.48
ACH	WELLMAN, JOHN THOMAS		
	Reim Monthly Health Prem	HEALTH PREM	324.48
	WELLMAN, JOHN THOMAS	\$	324.48
ACH	TROXEL, WYATT		
	Reim Monthly Health Prem	HEALTH PREM	181.48
	TROXEL, WYATT	\$	181.48
ACH	CORLEY, WILLIAM		
	Reim Monthly Health Prem	HEALTH PREM	324.48
	CORLEY, WILLIAM	\$	324.48
ACH	CALLAHAN, CHARLES		
	Reim Monthly Health Prem	HEALTH PREM	15.74
	CALLAHAN, CHARLES	\$	15.74
ACH	LESNIAKOWSKI, NORBERT		
	Reim Monthly Health Prem	HEALTH PREM	189.48
	LESNIAKOWSKI, NORBERT	\$	189.48
ACH	VER STEEG, ALLEN J		
	Reim Monthly Health Prem	HEALTH PREM	158.74
	VER STEEG, ALLEN J	\$	158.74
ACH	HACKNEY, GARY		
	Reim Monthly Health Prem	HEALTH PREM	497.16
	HACKNEY, GARY	\$	497.16
ACH	TOL, HAROLD		
	Reim Monthly Health Prem	HEALTH PREM	181.48
	TOL, HAROLD	\$	181.48
ACH	BANKSTON, GARY		
	Reim Monthly Health Prem	HEALTH PREM	181.48
	BANKSTON, GARY	\$	181.48
ACH	ATWATER, RICHARD		
	Reim Monthly Health Prem	HEALTH PREM	143.00
	ATWATER, RICHARD	\$	143.00
ACH	FIESTA, PATRICIA		
	Reim Monthly Health Prem	HEALTH PREM	497.16
	FIESTA, PATRICIA	\$	497.16

Check	Payee / Description	Amount
ACH	DIGGS, JANET Reim Monthly Health Prem	HEALTH PREM 648.96
	DIGGS, JANET	\$ 648.96
ACH	CARAZA, TERESA Reim Monthly Health Prem	HEALTH PREM 19.24
	CARAZA, TERESA	\$ 19.24
ACH	SANTA CRUZ, JACQUELYN Reim Monthly Health Prem	HEALTH PREM 698.11
	SANTA CRUZ, JACQUELYN	\$ 698.11
ACH	HECK, ROSELYN Reim Monthly Health Prem	HEALTH PREM 19.24
	HECK, ROSELYN	\$ 19.24
ACH	SOPICKI, LEO Reim Monthly Health Prem	HEALTH PREM 317.48
	SOPICKI, LEO	\$ 317.48
ACH	GOSE, ROSEMARY Reim Monthly Health Prem	HEALTH PREM 143.00
	GOSE, ROSEMARY	\$ 143.00
ACH	KEHL, BARRETT Reim Monthly Health Prem	HEALTH PREM 143.00
	KEHL, BARRETT	\$ 143.00
ACH	RITCHIE, JANN Reim Monthly Health Prem	HEALTH PREM 143.00
	RITCHIE, JANN	\$ 143.00
ACH	LONG, ROCKWELL DEE Reim Monthly Health Prem	HEALTH PREM 526.84
	LONG, ROCKWELL DEE	\$ 526.84
ACH	FATTAHI, MIR Reim Monthly Health Prem	HEALTH PREM 143.00
	FATTAHI, MIR	\$ 143.00
ACH	VERGARA, FLORENTINO Reim Monthly Health Prem	HEALTH PREM 324.48
	VERGARA, FLORENTINO	\$ 324.48
ACH	WALL, DAVID Reim Monthly Health Prem	HEALTH PREM 334.92
	WALL, DAVID	\$ 334.92

Check	Payee / Description	Amount
ACH	CHUNG, MICHAEL Reim Monthly Health Prem	HEALTH PREM 162.24
	CHUNG, MICHAEL	\$ 162.24
ACH	ADAMS, PAMELA Reim Monthly Health Prem	HEALTH PREM 19.24
	ADAMS, PAMELA	\$ 19.24
ACH	BLASINGAME, MARY Reim Monthly Health Prem	HEALTH PREM 526.84
	BLASINGAME, MARY	\$ 526.84
ACH	ANDERSON, KENNETH Reim Monthly Health Prem	HEALTH PREM 162.24
	ANDERSON, KENNETH	\$ 162.24
ACH	MOE, JAMES Reim Monthly Health Prem	HEALTH PREM 19.24
	MOE, JAMES	\$ 19.24
ACH	POLACEK, KEVIN Reim Monthly Health Prem	HEALTH PREM 669.84
	POLACEK, KEVIN	\$ 669.84
ACH	ELROD, SONDRAL Reim Monthly Health Prem	HEALTH PREM 162.24
	ELROD, SONDRAL	\$ 162.24
ACH	FRAZIER, JACK Reim Monthly Health Prem	HEALTH PREM 191.89
	FRAZIER, JACK	\$ 191.89
ACH	HOAK, JAMES Reim Monthly Health Prem	HEALTH PREM 143.00
	HOAK, JAMES	\$ 143.00
ACH	DEZHAM, PARIVASH Reim Monthly Health Prem	HEALTH PREM 19.24
	DEZHAM, PARIVASH	\$ 19.24
ACH	FOLEY III, DANIEL J. Reim Monthly Health Prem	HEALTH PREM 191.92
	FOLEY III, DANIEL J.	\$ 191.92
ACH	CLEVELAND, JAMES Reim Monthly Health Prem	HEALTH PREM 143.00

Check		Payee / Description	Amount
		CLEVELAND, JAMES	\$ 143.00
ACH	LANGNER, CAMERON	Reim Monthly Health Prem	HEALTH PREM 670.64
	LANGNER, CAMERON		\$ 670.64
ACH	HAMILTON, LEANNE	Reim Monthly Health Prem	HEALTH PREM 19.24
	HAMILTON, LEANNE		\$ 19.24
ACH	HOOSHMAND, RAY	Reim Monthly Health Prem	HEALTH PREM 143.00
	HOOSHMAND, RAY		\$ 143.00
ACH	SCHLAPKOHL, JACK	Reim Monthly Health Prem	HEALTH PREM 143.00
	SCHLAPKOHL, JACK		\$ 143.00
ACH	POOLE, PHILLIP	Reim Monthly Health Prem	HEALTH PREM 191.92
	POOLE, PHILLIP		\$ 191.92
ACH	ADAMS, BARBARA	Reim Monthly Health Prem	HEALTH PREM 162.24
	ADAMS, BARBARA		\$ 162.24
ACH	RUESCH, GENECE	Reim Monthly Health Prem	HEALTH PREM 181.48
	RUESCH, GENECE		\$ 181.48
ACH	VANDERPOOL, LARRY	Reim Monthly Health Prem	HEALTH PREM 535.82
	VANDERPOOL, LARRY		\$ 535.82
ACH	AMBROSE, JEFFREY	Reim Monthly Health Prem	HEALTH PREM 324.48
	AMBROSE, JEFFREY		\$ 324.48
ACH	MERRILL, DIANE	Reim Monthly Health Prem	HEALTH PREM 520.08
	MERRILL, DIANE		\$ 520.08
ACH	HOUSER, ROD	Reim Monthly Health Prem	HEALTH PREM 678.82
	HOUSER, ROD		\$ 678.82
ACH	RUSSO, VICKI	Reim Monthly Health Prem	HEALTH PREM 191.92

Check		Payee / Description	Amount
		RUSSO, VICKI	\$ 191.92
ACH	HUSS, KERRY	Reim Monthly Health Prem	HEALTH PREM 897.15
	HUSS, KERRY		\$ 897.15
ACH	BINGHAM, GREGG	Reim Monthly Health Prem	HEALTH PREM 526.84
	BINGHAM, GREGG		\$ 526.84
ACH	CHARLES, DAVID	Reim Monthly Health Prem	HEALTH PREM 143.00
	CHARLES, DAVID		\$ 143.00
ACH	YEBOAH, ERNEST	Reim Monthly Health Prem	HEALTH PREM 143.00
	YEBOAH, ERNEST		\$ 143.00
ACH	ALVARADO, ROSEMARY	Reim Monthly Health Prem	HEALTH PREM 181.48
	ALVARADO, ROSEMARY		\$ 181.48
ACH	BARELA, GEORGE	Reim Monthly Health Prem	HEALTH PREM 143.00
	BARELA, GEORGE		\$ 143.00
ACH	FETZER, ROBERT	Reim Monthly Health Prem	HEALTH PREM 870.79
	FETZER, ROBERT		\$ 870.79
ACH	SPAETH, ERIC	Reim Monthly Health Prem	HEALTH PREM 19.24
	SPAETH, ERIC		\$ 19.24
ACH	DAVIS, MARTHA	Reim Monthly Health Prem	HEALTH PREM 19.24
	DAVIS, MARTHA		\$ 19.24
ACH	BRULE, CHRISTOPHER	Reim Monthly Health Prem	HEALTH PREM 191.92
	BRULE, CHRISTOPHER		\$ 191.92
ACH	ROOS, JAMES	Reim Monthly Health Prem	HEALTH PREM 354.16
	ROOS, JAMES		\$ 354.16
ACH	MULLANEY, JOHN		

Check	Payee / Description	Amount
	Reim Monthly Health Prem HEALTH PREM	334.92
	MULLANEY, JOHN \$	334.92
ACH	VALENZUELA, DANIEL	
	Reim Monthly Health Prem HEALTH PREM	526.84
	VALENZUELA, DANIEL \$	526.84
ACH	PACE, BRIAN	
	Reim Monthly Health Prem HEALTH PREM	526.84
	PACE, BRIAN \$	526.84
ACH	KING, JOSEPH	
	Reim Monthly Health Prem HEALTH PREM	143.00
	KING, JOSEPH \$	143.00
ACH	VILLALOBOS, HECTOR	
	Reim Monthly Health Prem HEALTH PREM	191.92
	VILLALOBOS, HECTOR \$	191.92
ACH	BAXTER, KATHLEEN	
	Reim Monthly Health Prem HEALTH PREM	191.92
	BAXTER, KATHLEEN \$	191.92
ACH	PENMAN, DAVID	
	Reim Monthly Health Prem HEALTH PREM	526.84
	PENMAN, DAVID \$	526.84
ACH	ANGIER, RICHARD	
	Reim Monthly Health Prem HEALTH PREM	526.84
	ANGIER, RICHARD \$	526.84
ACH	MERRILL, DEBORAH	
	Reim Monthly Health Prem HEALTH PREM	354.16
	MERRILL, DEBORAH \$	354.16
ACH	O'DEA, KRISTINE	
	Reim Monthly Health Prem HEALTH PREM	162.24
	O'DEA, KRISTINE \$	162.24
ACH	OAKDEN, LISA	
	Reim Monthly Health Prem HEALTH PREM	870.79
	OAKDEN, LISA \$	870.79
ACH	LAUGHLIN, JOHN	
	Reim Monthly Health Prem HEALTH PREM	143.00
	LAUGHLIN, JOHN \$	143.00

Check	Payee / Description	Amount
ACH	HUGHBANKS, ROGER Reim Monthly Health Prem	HEALTH PREM 191.92
	HUGHBANKS, ROGER	\$ 191.92
ACH	SPENDLOVE, DANNY Reim Monthly Health Prem	HEALTH PREM 162.24
	SPENDLOVE, DANNY	\$ 162.24
ACH	HOULIHAN, JESSE Reim Monthly Health Prem	HEALTH PREM 191.92
	HOULIHAN, JESSE	\$ 191.92
ACH	WARMAN, EVELYN Reim Monthly Health Prem	HEALTH PREM 19.24
	WARMAN, EVELYN	\$ 19.24
ACH	HERNANDEZ, DELIA Reim Monthly Health Prem	HEALTH PREM 191.92
	HERNANDEZ, DELIA	\$ 191.92
ACH	AVILA, ARTHUR L Reim Monthly Health Prem	HEALTH PREM 191.92
	AVILA, ARTHUR L	\$ 191.92
ACH	GUARDIANO, GARY Reim Monthly Health Prem	HEALTH PREM 162.24
	GUARDIANO, GARY	\$ 162.24
ACH	POMERLEAU, THOMAS Reim Monthly Health Prem	HEALTH PREM 19.24
	POMERLEAU, THOMAS	\$ 19.24
ACH	BARRER, SATURNINO Reim Monthly Health Prem	HEALTH PREM 334.92
	BARRER, SATURNINO	\$ 334.92
ACH	LACEY, STEVEN Reim Monthly Health Prem	HEALTH PREM 727.79
	LACEY, STEVEN	\$ 727.79
ACH	MILLS, JOHN Reim Monthly Health Prem	HEALTH PREM 19.24
	MILLS, JOHN	\$ 19.24
ACH	REED, RANDALL Reim Monthly Health Prem	HEALTH PREM 669.84
	REED, RANDALL	\$ 669.84

Check	Payee / Description	Amount
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ACH	RAMIREZ, REBECCA Reim Monthly Health Prem	HEALTH PREM	334.92
			- - - - -
	RAMIREZ, REBECCA	\$	334.92

ACH	RAZAK, HALLA Reim Monthly Health Prem	HEALTH PREM	143.00
			- - - - -
	RAZAK, HALLA	\$	143.00

ACH	OSBORN, CINDY L Reim Monthly Health Prem	HEALTH PREM	334.92
			- - - - -
	OSBORN, CINDY L	\$	334.92

ACH	FESTA, GARY Reim Monthly Health Prem	HEALTH PREM	263.82
			- - - - -
	FESTA, GARY	\$	263.82

ACH	MENDEZ, DAVID G Reim Monthly Health Prem	HEALTH PREM	354.16
			- - - - -
	MENDEZ, DAVID G	\$	354.16

ACH	DELGADO, FLOR MARIA Reim Monthly Health Prem	HEALTH PREM	143.00
			- - - - -
	DELGADO, FLOR MARIA	\$	143.00

ACH	GROENVELD, NELLETJE Reim Monthly Health Prem	HEALTH PREM	191.92
			- - - - -
	GROENVELD, NELLETJE	\$	191.92

ACH	BATONGMALAQUE, CHARLIE L Reim Monthly Health Prem	HEALTH PREM	555.11
			- - - - -
	BATONGMALAQUE, CHARLIE L	\$	555.11

ACH	BOBBITT, JOHN Reim Monthly Health Prem	HEALTH PREM	143.00
			- - - - -
	BOBBITT, JOHN	\$	143.00

ACH	NEIGHBORS, CLAUDIA Reim Monthly Health Prem	HEALTH PREM	191.92
			- - - - -
	NEIGHBORS, CLAUDIA	\$	191.92

ACH	JONES, LONDON S Reim Monthly Health Prem	HEALTH PREM	377.08
			- - - - -
	JONES, LONDON S	\$	377.08

ACH	CHENG, TINA Y Reim Monthly Health Prem	HEALTH PREM	181.48
			- - - - -

Check		Payee / Description	Amount
		CHENG, TINA Y	\$ 181.48
ACH	JACKSON, PATRICIA M	Reim Monthly Health Prem	HEALTH PREM 162.24
	JACKSON, PATRICIA M		\$ 162.24
ACH	GIBSON, CONSTANCE A	Reim Monthly Health Prem	HEALTH PREM 143.00
	GIBSON, CONSTANCE A		\$ 143.00
ACH	GU, JASON	Reim Monthly Health Prem	HEALTH PREM 162.24
	GU, JASON		\$ 162.24
ACH	KOPP, LINDA L	Reim Monthly Health Prem	HEALTH PREM 19.24
	KOPP, LINDA L		\$ 19.24
ACH	SCHERCK, JOHN	Reim Monthly Health Prem	HEALTH PREM 334.89
	SCHERCK, JOHN		\$ 334.89
ACH	WOODRUFF, APRIL F	Reim Monthly Health Prem	HEALTH PREM 191.92
	WOODRUFF, APRIL F		\$ 191.92
ACH	ELEBY, CRYSTAL	Reim Monthly Health Prem	HEALTH PREM 377.08
	ELEBY, CRYSTAL		\$ 377.08
ACH	BUCHANAN, JAMES S	Reim Monthly Health Prem	HEALTH PREM 143.00
	BUCHANAN, JAMES S		\$ 143.00
ACH	LUCAS, LARRY	Reim Monthly Health Prem	HEALTH PREM 143.00
	LUCAS, LARRY		\$ 143.00
ACH	SANTA CRUZ, VICTOR	Reim Monthly Health Prem	HEALTH PREM 727.79
	SANTA CRUZ, VICTOR		\$ 727.79
ACH	TRAUGOTT, JEFFREY P	Reim Monthly Health Prem	HEALTH PREM 334.92
	TRAUGOTT, JEFFREY P		\$ 334.92
ACH	IEUA EMPLOYEES' ASSOCIATION	P/R 2 1/29/21 Employee Ded	HR 0094400 198.00

Check		Payee / Description	Amount
		IEUA EMPLOYEES' ASSOCIATION \$	198.00
ACH	IEUA SUPERVISORS UNION ASSOCIA		
	P/R 2 1/29/21 Employee Ded	HR 0094400	315.00
	IEUA SUPERVISORS UNION ASSOCIAS		315.00
ACH	IEUA GENERAL EMPLOYEES ASSOCIA		
	P/R 2 1/29/21 Employee Ded	HR 0094400	1,119.10
	IEUA GENERAL EMPLOYEES ASSOCIAS		1,119.10
ACH	IEUA PROFESSIONAL EMPLOYEES AS		
	P/R 2 1/29/21 Employee Ded	HR 0094400	576.00
	IEUA PROFESSIONAL EMPLOYEES ASS		576.00
ACH	DISCOVERY BENEFITS INC		
	P/R 2 1/29/21 Cafeteria Plan	HR 0094400	3,845.90
	DISCOVERY BENEFITS INC	\$	3,845.90
ACH	FLORIO, JONATHAN D		
	Reim-12/24/20 Staff Lunch	12/24/20 LUNC	93.10
	FLORIO, JONATHAN D	\$	93.10
ACH	REYES, RAYMOND		
	MlgReim-3/6/20 Call Out-Reyes,R	MLG 3/6/20	25.30
	REYES, RAYMOND	\$	25.30
ACH	RITZINGER, BRENT		
	Reim-1/2021 Misc supplies	1/2021 SUPPLI	135.64
	RITZINGER, BRENT	\$	135.64
ACH	POMPA, JESSE		
	Reim-SCDCA 2021 Engineer Certificate Ren M34351 2021		115.00
	Reim-AAEE 2021 Certificate Renewal 7304060 2021		225.00
	POMPA, JESSE	\$	340.00
ACH	SMITH, JASON D		
	Reim-CWEA 2018 Certificate Renewal	336994I 2021	106.00
	SMITH, JASON D	\$	106.00
ACH	Inland Empire Reg. Composting		
	RCA-8/20 O&M Rpmt	SAP0820-IEUAO	433.45
	Inland Empire Reg. Composting	\$	433.45
ACH	EMPOWER RETIREMENT		
	P/R 1 1/15/21 Deferred Comp Ded	HR 0094300	131,102.49
	P/R 101 1/8/21 Off Cycle	HR 0094200	50.00
	EMPOWER RETIREMENT	\$	131,152.49

Report: ZFIR TREASURER	Inland Empire Utilities Agency	Page 39
For 01/01/2021 ~ 01/31/2021	Treasurer Report	Date 02/11/2021

Check	Payee / Description	Amount
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Grand Total Payment Amount: \$	6,945,186.15
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Attachment 2D

Vendor Wires
(excludes Payroll)

Check	Payee / Description	Amount
Wire	PUBLIC EMPLOYEES RETIREMENT SY	
	P/R 27 12/31/20 PERS Adj	P/R 27 12/31 558.25-
	P/R 27 12/31/20 PERS	HR 0093800 200,274.80
		- - - - -
	PUBLIC EMPLOYEES RETIREMENT SY\$	199,716.55
Wire	EMPLOYMENT DEVELOPMENT DEPARTM	
	P/R 27 12/301/20 Taxes	HR 0093800 5,848.43
	P/R 27 12/301/20 Taxes	HR 0093800 72,582.67
		- - - - -
	EMPLOYMENT DEVELOPMENT DEPARTM\$	78,431.10
Wire	INTERNAL REVENUE SERVICE	
	P/R 27 12/301/20 Taxes	HR 0093800 313,257.79
		- - - - -
	INTERNAL REVENUE SERVICE \$	313,257.79
Wire	PUBLIC EMPLOYEES' RETIREMENT S	
	1/21 Health Ins-Board	16273243 1/21 7,817.70
	1/21 Health Ins-Retirees, Employees	16273240 1/21 283,952.13
		- - - - -
	PUBLIC EMPLOYEES' RETIREMENT S\$	291,769.83
Wire	PUBLIC EMPLOYEES RETIREMENT SY	
	P/R 26 12/18/20 PERS Adj	P/R 26 12/18 588.43-
	P/R 26 12/18/20 PERS	HR 0093700 196,542.73
		- - - - -
	PUBLIC EMPLOYEES RETIREMENT SY\$	195,954.30
Wire	EMPLOYMENT DEVELOPMENT DEPARTM	
	P/R DIR 1 1/8/2021 Taxes	HR 0094100 3.12
	P/R DIR 1 1/8/2021 Taxes	HR 0094100 378.15
		- - - - -
	EMPLOYMENT DEVELOPMENT DEPARTM\$	381.27
Wire	EMPLOYMENT DEVELOPMENT DEPARTM	
	P/R 101 1/8/21 Off Cycle Taxes	HR 0094200 190.18
	P/R 101 1/8/21 Off Cycle Taxes	HR 0094200 1,346.92
		- - - - -
	EMPLOYMENT DEVELOPMENT DEPARTM\$	1,537.10
Wire	INTERNAL REVENUE SERVICE	
	P/R DIR 1 1/8/2021 Taxes	HR 0094100 2,331.76
		- - - - -
	INTERNAL REVENUE SERVICE \$	2,331.76
Wire	INTERNAL REVENUE SERVICE	
	P/R 101 1/8/21 Off Cycle	HR 0094200 6,381.18
		- - - - -
	INTERNAL REVENUE SERVICE \$	6,381.18
Wire	STATE BOARD OF EQUALIZATION	
	12/20 Sales Tax Deposit	23784561 12/2 11,787.00
		- - - - -
	STATE BOARD OF EQUALIZATION \$	11,787.00
Wire	METROPOLITAN WATER DISTRICT	
	November 2020 Water Purchase	10258 1,158,858.60

Check	Payee / Description			Amount
	METROPOLITAN WATER DISTRICT	\$		1,158,858.60
Wire	STATE DISBURSEMENT UNIT			
	P/R 1 1/15/21	HR	0094300	2,945.81
	STATE DISBURSEMENT UNIT	\$		2,945.81
Wire	EMPLOYMENT DEVELOPMENT DEPARTM			
	P/R 1 1/15/21 Taxes	HR	0094300	77,717.00
	P/R 1 1/15/21 Taxes	HR	0094300	18,132.34
	EMPLOYMENT DEVELOPMENT DEPARTMS			95,849.34
Wire	INTERNAL REVENUE SERVICE			
	P/R 1 1/15/21 Taxes	HR	0094300	420,558.24
	INTERNAL REVENUE SERVICE	\$		420,558.24
Wire	PUBLIC EMPLOYEES RETIREMENT SY			
	P/R 1 1/15/21 PERS	HR	0094300	201,005.24
	P/R 101 1/8/21 Off Cycle PERS	HR	0094200	318.48
	P/R 1 1/15 PERS Adj	P/R 1 1/15 AD		0.09
	PUBLIC EMPLOYEES RETIREMENT SY\$			201,323.81
Wire	STATE DISBURSEMENT UNIT			
	P/R 2 1/29/21	HR	0094400	2,945.81
	STATE DISBURSEMENT UNIT	\$		2,945.81

Grand Total Payment Amount: \$ 2,984,029.49

Attachment 2E

Payroll-Net Pay-Directors

INLAND EMPIRE UTILITIES AGENCY

Ratification of Board of Directors

Payroll for January 8 ,2021
Presented at Board Meeting on March 17, 2021

DIRECTOR NAME	GROSS PAYROLL	NET PAYROLL
Jasmin Hall	\$3,057.27	\$1,897.29
Katherine Parker	\$560.00	\$517.16
Marco A. Tule	\$1,068.66	\$236.99
Michael Camacho	\$4,516.91	\$1,695.10
Steven J. Elie	\$4,949.11	\$1,271.99
Paul Hofer	\$0.00	\$0.00
TOTALS	\$14,151.95	\$5,618.53

	Count	Amount
TOTAL EFTS PROCESSED	5	\$5,618.53
TOTAL CHECKS PROCESSED	0	\$0.00
CHECK NUMBERS USED	N/A	

DIRECTOR PAYSHEET
IEUA/MWD/IERCA/CBWM (alternate)/
CBWB (alternate)/SAWPA (alternate)
1 of 2

MICHAEL CAMACHO
 EMPLOYEE NO.: 1140
 ACCOUNT NO.: 10200-120100-100000-501010

DECEMBER 2020

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
12/2/2020	IEUA - IEUA Board Workshop via MS Teams	Yes	\$260.00
12/3/2020	IEUA-Southern Coalition/Inland Caucus Mtg Conference Call	Yes	\$260.00
12/3/2020	IEUA - Meeting w/Director Adan Ortega, Director Elie and GM Deshmukh re IEUA/MWD	Yes (same day)	\$0.00
12/4/2020	IEUA - Meeting w/Director Bob Apodoca re IEUA/MWD	Yes	\$260.00
12/7/2020	MWD - Committee Meeting via Zoom	Yes	\$260.00
12/8/2020	MWD - Committee Meetings & Board Meeting via Zoom	Yes	\$260.00
12/9/2020	IEUA- IEUA Comm. & Leg and Eng. Ops, & Water Resources Committee Meetings via MS Teams	Yes	\$260.00
12/10/2020	IEUA - Meeting w/Bob Bowcock re IEUA/Watermaster	Yes	\$260.00
12/10/2020	IEUA - Meeting w/Go Green re potential opportunities with IEUA	Yes (same day)	\$0.00
12/15/2020	MWD - MWD - Joint Executive Committee & Board Meeting via Zoom	Yes	\$260.00
12/16/2020	IEUA – CBRFA Commission and IEUA Board Meetings via Teams	Yes	\$260.00
12/21/2020	IEUA - Meeting w/Jim Curatalo re CVWD/IEUA	Yes	\$260.00
12/22/2020	IEUA - Meeting w/Director DeJesus, Matt Litchfield & GM Deshmukh re IEUA/TVWD/MWD	Yes (10 mtg max)	\$0.00
TOTAL REIMBURSEMENT			\$2,600.00
TOTAL MEETINGS ATTENDED			13
TOTAL MEETINGS PAID			10

DIRECTOR PAYSHEET
IEUA/MWD/IERCA/CBWM (alternate)/
CBWB (alternate)/SAWPA (alternate)
2 of 2

NOTE:

IEUA/MWD/IERCA

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.

CBWM

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 7/01/19). IEUA pays both primary and alternate for attendance. (i.e., \$135.00 - difference between Watermaster \$125.00 and Agency meetings \$260.00 (eff. 7/01/19). Chino Basin Watermaster does not compensate an alternate Director unless the alternate Director is attending on behalf of an absent primary Director. In accordance to Ordinance No. 98, Section 1, (i) Attendance at any meeting provided for under Sections 1.b,c,e, and f, shall also include payment of both the primary representative and the alternate representative to said body if they both attend said meeting. Record full amount on timesheet for attendance by alternates.

CBWB

Up to 10 days of service per month per Ordinance No. 105 (i.e., \$160.00 - difference between CBWB (\$100.00 and Agency meetings \$260.00 (eff. 7/01/19)), including MWD meetings. CBWB pays directly to IEUA. Record full amount on timesheet.

SAWPA

Up to 10 days of service per month per Ordinance No. 105 (i.e., \$40.00 - difference between SAWPA (\$220.00 (eff. 1/20) and Agency meetings \$260.00 (eff. 7/01/19), including MWD meetings. SAWPA pays both primary and alternate for attendance, including mileage.

Director's Signature



Michael Camacho, Director



Approved by:

**DIRECTOR PAYSHEET
IEUA/CBWM/CBWB
CDA (alternate)
1 of 2**

STEVEN J. ELIE
EMPLOYEE NO.: 1175
ACCOUNT NO.: 10200-120100-100000-501010

DECEMBER 2020

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
12/1/2020	IEUA– WaterNow Leadership Council Monthly Call	Yes	\$260.00
12/1/2020	IEUA - Chino City Council Meeting	Yes (same day)	\$0.00
12/2/2020	IEUA- Board Workshop - In Person	Yes	\$260.00
12/2/2020	IEUA - ACWA Virtual Winter Conference	Yes (same day)	\$0.00
12/3/2020	IEUA - ACWA Virtual Winter Conference	Yes	\$260.00
12/7/2020	IEUA- IEUA Audit Committee Meeting via MS Teams	Yes	\$260.00
12/8/2020	IEUA- NWRI Board Meeting via Zoom	Yes	\$260.00
12/9/2020	IEUA- IEUA Community & Leg. Meeting -In Person and Finance & Admn Committee Meeting via MS	Yes	\$260.00
12/15/2020	IEUA - Chino City Council Meeting	Yes	\$260.00
12/16/2020	IEUA- CBRFA Commission and IEUA Board Meeting - In person	Yes	\$260.00
12/16/2020	IEUA - Virtual End of the Year Celebration	Yes (same day)	\$0.00

TOTAL REIMBURSEMENT \$2,080.00

TOTAL MEETINGS ATTENDED 11

TOTAL MEETINGS PAID 8

NOTE:

IEUA

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.

DIRECTOR PAYSHEET
IEUA/CBWM/CBWB
CDA (alternate)
2 of 2

CBWM

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 7/01/19). IEUA pays both primary and alternate for attendance. (i.e., \$135.00 - difference between Watermaster \$125.00 and Agency meetings \$260.00 (eff. 7/01/19). Chino Basin Watermaster does not compensate an alternate Director unless the alternate Director is attending on behalf of an absent primary Director. In accordance to Ordinance No. 98, Section 1, (i) Attendance at any meeting provided for under Sections 1.b,c,e, and f, shall also include payment to both the primary representative and the alternate representative to said body if they both attend said meeting. Record full amount on timesheet for attendance by alternates.

CDA

Up to 10 days of service per month per Ordinance No. 105, (i.e., \$120.00 - difference between CDA (\$150 and Agency meetings \$260.00 (eff. 7/01/19), including MWD meetings. CDA pays directly to IEUA. Record full amount on timesheet. CDA pays both primary and alternate for attendance

CBWB

Up to 10 days of service per month per Ordinance No. 105 (i.e., \$160.00 - difference between CBWB (\$100.00 and Agency meetings \$260.00 (eff. 7/01/19)), including MWD meetings. CBWB pays directly to IEUA. Record full amount on timesheet.

****Decline IEUA portion of CBWM***

Director's Signature



Steven J. Elie, Director



Approved by:

IEUA DIRECTOR PAYSHEET**IEUA****1 of 2**

JASMIN A. HALL

EMPLOYEE NO.: 1256

ACCOUNT NO.: 10200-120100-100000-501010

DECEMBER 2020

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
12/2/2020	IEUA –Board Workshop via MS Teams	Yes	\$260.00
12/2/2020	IEUA - ACWA Virtual Winter Conference	Yes (same day)	\$0.00
12/3/2020	IEUA - ACWA Virtual Winter Conference	Yes	\$260.00
12/8/2020	IEUA – Fontana City Council Virtual Meeting	Yes	\$260.00
12/10/2020	IEUA – ACWA Region 9 Virtual Membership Meeting	Yes	\$260.00
12/10/2020	IEUA –CASA Board of Directors Zoom Call	Yes (same day)	\$0.00
12/10/2020	IEUA – SCWC Stormwater Task Force Meeting	Yes (same day)	\$0.00
12/10/2020	IEUA - Call w/Dale Hunter re CAAWEF	Yes (same day)	\$0.00
12/14/2020	IEUA - IE Water Careers Pathways Project Meeting	Yes	\$260.00
12/14/2020	IEUA - Virtual Retirement Celebration for SAWPA GM Rich Haller	Yes (same day)	\$0.00
12/15/2020	IEUA – CWEA-CASA COVID-19 Update Webinar	Yes	\$260.00
12/16/2020	IEUA – CBRFA Commission and IEUA Board Meetings via MS Teams	Yes	\$260.00
12/16/2020	IEUA - CASA Membership Committee Meeting	Yes (same day)	\$0.00
12/16/2020	IEUA - Virtual End of the Year Celebration	Yes (same day)	\$0.00
12/17/2020	IEUA – CASA Air Quality, Climate Change & Energy Meeting via Zoom	Yes	\$260.00
12/17/2020	IEUA - AB2147 Townhall	Yes (same day)	\$0.00
12/17/2020	IEUA -Metropolitan Water is Life 2021 Event	Yes (same day)	\$0.00
12/21/2020	IEUA - CASA Officer Zoom Meeting	Yes	\$260.00
12/22/2020	IEUA – Meeting w/GM Deshmukh	Yes (staff)	\$0.00

IEUA DIRECTOR PAYSHEET

IEUA

2 of 2

TOTAL REIMBURSEMENT	\$2,340.00
TOTAL MEETINGS ATTENDED	19
TOTAL MEETINGS PAID	9

NOTE:

IEUA

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.

Director's Signature



Jasmin A. Hall, Vice President



Approved by:

DIRECTOR PAYSHEET
IEUA/IERCA
REGIONAL POLICY (alternate)
1 of 1

PAUL HOFER
EMPLOYEE NO.: 1349
ACCOUNT NO.: 10200-120100-100000-501010

December 2020

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
12/2/2020	IEUA – IEUA Board Workshop via MS Teams	Yes	\$0.00
12/7/2020	IEUA - IEUA Audit Committee Meeting via MS Teams	Yes	\$0.00
12/9/2020	IEUA- IEUA Finance & Admin. and Eng. Ops, & Water Resources Committee Meetings via MS	Yes	\$0.00
12/10/2020	IEUA - Meet with GM Deshmukh	Yes (staff)	\$0.00
12/16/2020	IEUA- CBRFA Commission and IEUA Board Meetings via MS Teams	Yes	\$0.00

TOTAL REIMBURSEMENT	\$0.00
TOTAL MEETINGS ATTENDED	5
TOTAL MEETINGS PAID	0

NOTE:

IEUA/IERCA

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.

Regional Policy Committee

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19.) IEUA pays Regional Policy Committee members (total amount of \$260.00, should reflect on timesheet).

Director Hofer has waived all stipend payments.

Director's Signature



Paul Hofer, Director



Approved by:

**DIRECTOR PAYSHEET
IEUA/REGIONAL POLICY/
SAWPA/CDA/IERCA (alternate)
1 of 2**

KATI PARKER
EMPLOYEE NO.: 1362
ACCOUNT NO.: 10200-120100-100000-501010

NOVEMBER 2020

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
12/1/2020	SAWPA – SAWPA Commission Meeting via Zoom	Yes	\$40.00
12/2/2020	IEUA – IEUA Board Workshop - In person	Yes	\$260.00
12/3/2020	IEUA - Regional Sewerage Program Policy Committee Meeting via MS Teams	Yes	\$260.00

TOTAL REIMBURSEMENT	\$560.00
TOTAL MEETINGS ATTENDED	3
TOTAL MEETINGS PAID	3

**DIRECTOR PAYSHEET
IEUA/REGIONAL POLICY/
SAWPA/CDA/IERCA (alternate)
2 of 2**

NOTE:

IEUA/IERCA

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.

Regional Policy Committee

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19.) IEUA pays Regional Policy Committee members (total amount of \$260.00, should reflect on timesheet).

SAWPA

Up to 10 days of service per month per Ordinance No. 105 (i.e., **\$40.00** – difference between SAWPA (\$220.00 (eff. 1/20) and Agency meetings \$260.00 (eff. 7/01/19), including MWD meetings. SAWPA pays both primary and alternate for attendance, including mileage.

CDA

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 7/01/19). Chino Desalter Authority will pay \$150.00 per meeting directly to the Agency. Record full amount on timesheet. CDA pays both primary and alternate for attendance.

Director's Signature



Kati Parker, President



Approved by:

**DIRECTOR PAYSHEET
IEUA/MWD/IERCA
1 of 2**

MARCO TULE
EMPLOYEE NO.: 1520
ACCOUNT NO.: 10200-120100-100000-501010

DECEMBER 2020

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
12/14/2020	IEUA - Overview of Engineering Division w/Deputy GM Daisy	Yes (staff)	\$0.00
12/16/2020	IEUA – CBRFA Commission and IEUA Board Meetings -via MS Teams	Yes	\$260.00
12/21/2020	IEUA - Overview of External and Governmental Affairs Division w/Asst GM Besser	Yes (staff)	\$0.00

TOTAL REIMBURSEMENT	\$260.00
TOTAL MEETINGS ATTENDED	3
TOTAL MEETINGS PAID	1

DIRECTOR PAYSHEET
IEUA/MWD/IERCA
2 of 2

NOTE:

IEUA/MWD/IERCA

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.

Director's Signature



Marco Tule, Director



Approved by:

Attachment 2F

Payroll-Net Pay-Employees

Non-Board Members	PP 1 Checks	PP 1 EFTs	PP 2 Checks	PP 2 EFTs	January
NET PAY TO EE	\$0.00	\$897,127.12	\$24,485.56	\$782,982.67	\$1,704,595.35

INLAND EMPIRE UTILITIES AGENCY

Payroll for January 15, 2021

Presented at Board Meeting on March 17, 2021

GROSS PAYROLL COSTS			\$1,675,998.76
DEDUCTIONS			(\$778,871.64)
NET PAYROLL			897,127.12
NET PAYROLL BREAKDOWN	CHECKS	EFT	TOTAL
CHECKS USED			
TRANSACTION PROCESSED	0	369	369
AMOUNT	\$0.00	\$897,127.12	<u>\$897,127.12</u>

INLAND EMPIRE UTILITIES AGENCY

Payroll for January 29, 2021

Presented at Board Meeting on March 17, 2021

GROSS PAYROLL COSTS			\$1,477,339.25
DEDUCTIONS			(\$669,871.02)
NET PAYROLL			807,468.23
NET PAYROLL BREAKDOWN	CHECKS	EFT	TOTAL
CHECKS USED	110655		
TRANSACTION PROCESSED		364	364
AMOUNT	\$24,485.56	\$782,982.67	<u>\$807,468.23</u>

**CONSENT
CALENDAR
ITEM**

2C

Date: March 17, 2021

To: The Honorable Board of Directors

Committee: Audit


From: Teresa Velarde, Manager of Internal Audit

03/08/21

Manager Contact: Teresa Velarde, Manager of Internal Audit

Subject: External Financial Audit Services and Single Audit Services Contract Award

Executive Summary:

The California Government Code, and industry best practices require financial auditor rotation every six years. The Agency complies with this best practice recommendation. On January 11, 2021, the Agency released a Request for Proposals (RFP) for financial audit and single audit services for Inland Empire Utilities Agency (IEUA), Chino Basin Regional Financing Authority (CBRFA), and Inland Empire Regional Composting Authority (IERFA). Seven proposals were received. The proposals were reviewed by an evaluation committee, comprised of staff from: Internal Audit, Grants, and Finance and Accounting. Additionally, the Audit Committee Financial Advisor was included in the committee. Five firms were invited to participate in a virtual interview. The evaluation team unanimously recommends CliftonLarsonAllen, LLP (CLA) for financial and single audit services for IEUA, CBRFA and IERCA.

CLAs assigned audit team comes from the Irvine, Ca office. CLA has been in business for over 60 years and has over 7,400 staff in over 120 offices and serve over 3,450 governmental entities nationwide. CLA has audited similar agencies such as IEUA and claims to have performed the largest number of single audits in the United States. CLA is considered the best qualified audit firm for audit services for IEUA, CBRFA and IERCA.

Staff's Recommendation:

1. Award a contract for the annual financial audit and single audit services for Inland Empire Utilities Agency to CliftonLarsonAllen, LLP, for a 3-year term and two single year options to complete audit services beginning in Fiscal Year 2021/22, for a not-to-exceed amount of \$115,115; and
2. Authorize the General Manager or his designee to execute the contract.

Budget Impact *Budgeted (Y/N):* N *Amendment (Y/N):* Y *Amount for Requested Approval:*

Account/Project Name:

Fiscal Impact (explain if not budgeted):

None. Financial audit services have been budgeted.

Prior Board Action:

On June 17, 2020, the Board of Directors approved the Fiscal Year 2020/21 Annual Audit Plan. The plan was in accordance with auditing standards and the Charter. The request for proposal and evaluation of new audit firms was included in the plan of audit projects.

On December 16, 2020, the Board of Directors reconfirmed the approved Audit Committee and the Internal Audit Department Charters. In those documents, the selection of the external financial audit services are specified as a function of the Internal Audit Department.

Environmental Determination:

Not Applicable

Business Goal:

The Contract award for Financial Audit Services is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment, and Business Practices by following recommended practices for the procurement of external financial audit services to ensure the completion of the required annual audits by an independent certified public accountant and comply with state requirements, Agency's Fiscal Ordinance and best practices.

Attachments:

Attachment 1 - IEUA Financial and Single Audit Services Contract Number 4600003005 - Click for full contract

Attachment 2 - PowerPoint



**MASTER SERVICES CONTRACT NUMBER: 4600003005
FOR PROVISION OF**

FINANCIAL AND SINGLE AUDIT SERVICES

THIS CONTRACT (the "Contract"), is made and entered into this _____ day of _____, 2021, by and between the Inland Empire Utilities Agency, a Municipal Water District, organized and existing in the County of San Bernardino under and by virtue of the laws of the State of California (hereinafter referred to as "Agency" or "IEUA"), and CliftonLarsonAllen, LLP (CLA), out of Irvine, CA (hereinafter referred to as "Contractor"), for the Inland Empire Utilities Agency Financial and Single Audit Services.

NOW, THEREFORE, in consideration of the mutual promises and obligations set forth herein, the parties agree as follows:

1. AGENCY PROJECT MANAGER ASSIGNMENT: All technical direction related to this Contract shall come from the designated Project Manager. Details of the Agency's assignment are listed below.

Project Manager: Teresa Velarde
Address: 6075 Kimball Avenue
Chino, CA 91708
Telephone: 909-993-1521
Email: tvelarde@ieua.org

2. CONTRACTOR ASSIGNMENT: Special inquiries related to this Contract and the effects of this Contract shall be referred to the following:

Contractor: Nitin Patel, CPA, Principal
Address: 2875 Michelle Drive, Suite 300
Irvine, CA 92606
Telephone: 714-978-1300
Email: nitin.patel@CLAconnect.com

3. ORDER OF PRECEDENCE: The documents referenced below represent the Contract Documents. Where any conflicts exist between the General Terms and Conditions the governing order of precedence shall be as follows:
 1. Amendments to Contract Number 4600003005.
 2. Contract Number 4600003005 General Terms and Conditions.
 3. Agency Request for Proposal Number RFP-GD-21-002.
 4. Contractor's Proposal dated February 1, 2021.
4. SCOPE OF WORK AND SERVICES: Contractor services and responsibilities shall include and be in accordance with the following:
 - A. Financial and Single Audit Services shall be in accordance with the Agency's Request for Proposal that includes the scope of work and services which is attached hereto as Exhibit B and Contractor's proposal attached as Exhibit A.
 - B. Contractor shall provide Agency with a Schedule of Work and Services, documenting the anticipated completion of the work within the timeframe set forth in Subsection 4.A., above. The Schedule of Work and Services will be prepared and submitted, to the Project Manager, for review and approval.
 - C. The Agency may, at any time, make changes to this Contract's Scope of Work; including additions, reductions, and other alterations to any or all of the Work. However, such changes shall only be made via written amendment to this Contract. The Contract compensation and Schedule of Work and Services shall be equitably adjusted, if required, to account for such changes and shall be set forth within the mutually approved Contract Amendment.
5. TERM: The term of this Contract shall extend from the date of the contract execution and terminate on December 31, 2023, unless agreed upon by both parties, reduced to writing, and amended to this Contract. Agency hereby reserves the right to exercise two (2) one-year optional extensions to the contract term. In the event the Agency desires to exercise the Contract extension option provided for in this Section, the Agency shall provide written notice to the Consultant, prior to the expiration of the original Contract term. If such option is exercised, the rates established within the fee schedule shall cease to be effective as of the first day of the "option" term.
6. PAYMENT, INVOICING, AND COMPENSATION:
 - A. The Contractor may submit an invoice not more than once per month during the term of this Contract to the Agency's Accounts Payable Department. Agency shall pay Contractor's properly executed invoice, approved by the Project Manager, within thirty (30) days following receipt of the invoice.
 - B. As compensation for the Work performed under this Contract, Agency shall pay Contractor's monthly invoice, for a total contract price NOT-TO-EXCEED

\$115,115 for all services satisfactorily provided during the term of this Contract according to the schedule below:

Fiscal Year Ending	External Audit	Single Audit	Total
FY 2021	\$31,750	\$5,865	\$37,615
FY 2022	\$32,400	\$6,000	\$38,400
FY 2023	\$33,000	\$6,100	\$39,100
Total 3 Years			\$115,115
Option Year 1 - FY 2024	\$33,700	\$6,200	\$39,900
Option Year 2 - FY 2025	\$34,400	\$6,300	\$40,700

- C. Concurrent with the submittal of the original invoice to the Agency's Accounts Payable Department, the Contractor shall forward (mail, fax, or email) a copy of the invoice to the designated Project Manager, identified in Section 1, on Page 1 of this Contract.
- D. No Additional Compensation: Nothing set forth in this Contract shall be interpreted to require payment by Agency to Contractor of any compensation specifically for the assignments and assurances required by the Contract, other than the payment of expenses as may be actually incurred by Contractor in complying with this Contract, as approved by the Project Manager.
- E. Contractor shall invoice IEUA electronically for Financial and Single Audit Services fees to IEUA's Accounts Payable Office at APGroup@ieua.org. Each invoice shall cite the Contractor's name, address, and remit to address, description of the work performed, the time period covered by the invoice, any related contract and/or purchase order numbers, and the amount of payment requested.
7. **CONTROL OF THE WORK**: The Contractor shall perform the Work in compliance with the Schedule of Work and Services. If performance of the Work falls behind schedule, the Contractor shall accelerate the performance of the Work to comply with the Schedule of Work and Services as directed by the Project Manager. If the nature of the Work is such that Contractor is unable to accelerate the Work, Contractor shall promptly notify the Project Manager of the delay, the causes of the delay, and submit a proposed revised Schedule of Work and Services.
8. **INSURANCE**: During the term of this Contract, the Contractor shall maintain, at Contractor's sole expense, the following insurance.
- A. **Minimum Scope of Insurance**: Coverage shall be at least as broad as:

1. Commercial General Liability ("CGL"): Insurance Services Office ("ISO") Form CG 00 01 covering CGL on an "occurrence" basis, including products and completed operations, property damage, bodily injury and personal & advertising injury with limits no less than \$1,000,000 per occurrence. If a general aggregate limit applies, either the general aggregate limit shall apply separately to this project/location (ISO CG 25 03 or 25 04) or the general aggregate limit shall be twice the required occurrence limit.
 2. Automobile Liability: ISO Form Number CA 00 01 covering any auto (Code 1), or if Contractor has no owned autos, covering hired, (Code 8) and non-owned autos (Code 9), with limit no less than \$1,000,000 per accident for bodily injury and property damage.
 3. Workers' Compensation and Employers Liability: Workers' compensation limits as required by the State of California, with Statutory Limits, and Employer's Liability Insurance with limit of no less than \$1,000,000 per accident for bodily injury or disease.
- B. Deductibles and Self-Insured Retention: Any deductibles or self-insured retention must be declared to and approved by the Agency. At the option of the Agency, either: the insurer shall reduce or eliminate such deductibles or self-insured retention as respects the Agency, its officers, officials, employees and volunteers; or the Contractor shall procure a bond guaranteeing payment of losses and related investigations, claim administration and defense expenses.
- C. Other Insurance Provisions: The insurance policies are to contain, or be endorsed to contain, the following provisions:
1. Commercial General Liability and Automobile Liability Coverage
 - a. Additional Insured Status: The Agency, its officers, officials, employees, and volunteers are to be covered as additional insureds on the CGL policy with respect to liability arising out of work or operations performed by or on behalf of the Contractor including materials, parts or equipment supplied in connection with such work or operations. General liability coverage can be provided in the form of an endorsement to the Contractor's insurance (at least as broad as ISO Form CG 20 10 11 85; or **by either** CG 20 10, CG 20 26, CG 20 33, or CG 20 38 **and** CG 20 37 forms if later revisions are used).
 - b. Primary Coverage: The Contractor's insurance coverage shall be primary insurance coverage at least as broad as ISO CG 20 01 04 13 as respects the Agency, its officer, officials, employees and volunteers. Any insurance or self-insurance maintained by

the Agency, its officers, officials, employees, volunteers, property owners or engineers under contract with the Agency shall be excess of the Contractor's insurance and shall not contribute with it.

- c. Any failure to comply with reporting provisions of the policies shall not affect coverage provided to the Agency, its officers, officials, employees or volunteers.
- d. The Contractor's insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.
- e. The Contractor may satisfy the limit requirements in a single policy or multiple policies. Any additional policies written as excess insurance shall not provide any less coverage than that provided by the first or primary policy.

2. Workers' Compensation and Employers Liability Coverage

Contractor hereby grants to Agency a waiver of any right to subrogation which any insurer of the Contractor may acquire against the Agency by virtue of the payment of any loss under such insurance. Contractor agrees to obtain any endorsement that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not the Agency has received a waiver of subrogation endorsement from the insurer.

3. All Coverages

Each insurance policy required by this Contract shall be endorsed to state that coverage shall not be suspended, voided, canceled by either party, or reduced in coverage or in limits except after thirty (30) days prior written notice by certified mail, return receipt requested, has been given to the Agency pursuant to Section 14, page 12 of this Contract.

- D. Acceptability of Insurers: Insurance is to be placed with insurers with a current A.M. Best's rating of no less than A minus:VII, and who are admitted insurers in the State of California.
- E. Verification of Coverage: Contractor shall provide the Agency with original certificates and amendatory endorsements or copies of the applicable policy language effecting coverage required by this clause. All certificates and endorsements are to be received and approved by the Agency before work commences. However, failure to obtain the required documents prior to the work beginning shall not waive the Contractor's obligation to provide them. The Agency reserves the right to require complete, certified copies of all

required insurance policies, including endorsements required by these specifications, at any time.

- F. Submittal of Certificates: Contractor shall submit all required certificates and endorsements to the following:

Inland Empire Utilities Agency
Attn: Angela Witte
P.O. Box 9020
Chino Hills, CA 91709

9. FITNESS FOR DUTY:

- A. Fitness: Contractor and its Subcontractor personnel on the Jobsite:

1. Shall report to work in a manner fit to do their job;
2. Shall not be under the influence of or in possession of any alcoholic beverages or of any controlled substance (except a controlled substance as prescribed by a physician so long as the performance or safety of the Work is not affected thereby); and
3. Shall not have been convicted of any serious criminal offense which, by its nature, may have a discernible adverse impact on the business or reputation of the Agency.

- B. Compliance: Contractor shall advise all personnel and associated third parties of the requirements of this Contract ("Fitness for Duty Requirements") before they enter on the Jobsite and shall immediately remove from the Jobsite any employee determined to be in violation of these requirements. Contractor shall impose these requirements on its Subcontractors. Agency may cancel the Contract if Contractor violates these Fitness for Duty Requirements.

10. LEGAL RELATIONS AND RESPONSIBILITIES:

- A. Professional Responsibility: The Contractor shall be responsible, to the level of competency presently maintained by other practicing professionals performing the same or similar type of work.
- B. Status of Contractor: The Contractor is retained as an independent Contractor only, for the sole purpose of providing the services described herein, and is not an employee of the Agency.
- C. Observing Laws and Ordinances: The Contractor shall keep itself fully informed of all existing and future state and federal laws and all county and city ordinances and regulations which in any manner affect the conduct of any

services or tasks performed under this Contract, and of all such orders and decrees of bodies or tribunals having any jurisdiction or authority over the same. The Contractor shall at all times observe and comply with all such existing and future laws, ordinances, regulations, orders and decrees, and shall protect and indemnify, as required herein, the Agency, its officers, employees and agents against any claim or liability arising from or based on the violation of any such law, ordinance, regulation, order or decree, whether by the Contractor, its employees, or subcontractors.

- D. Subcontract Services: Any subcontracts for the performance of any services under this Contract shall be subject to the written approval of the Project Manager and shall comply with State of California, Department of Industrial Relations, SB 854 requirements.
- E. Travel and Subsistence Pay: The Contractor shall make payment to each worker for travel and subsistence payments which are needed to complete the work and/or service, as such travel and subsistence payments are defined in an applicable collective bargaining agreements with the worker.
- F. Indemnification: Contractor shall indemnify the Agency, its directors, employees, and assigns, and shall defend and hold them harmless from all liabilities, demands, actions, claims, losses and expenses, including reasonable attorneys' fees, which arise out of, or are related to, the negligence, recklessness or willful misconduct of the Contractor, its directors, employees, agents, and assigns, in the performance of work under this contract.
- G. Conflict of Interest: No official of the Agency, who is authorized in such capacity and on behalf of the Agency to negotiate, make, accept or approve, or to take part in negotiating, making, accepting or approving this Contract, or any subcontract relating to services or tasks to be performed pursuant to this Contract, shall become directly or indirectly personally interested in this Contract.
- H. Equal Opportunity: During the performance of this Contract, the Contractor shall not unlawfully discriminate against any employee or employment applicant because of race, color, religion, sex, age, marital status, ancestry, physical or mental disability, sexual orientation, veteran status or national origin. The Agency is committed to creating and maintaining an environment free from harassment and discrimination.
- I. Disputes:
 - 1. All disputes arising out of or in relation to this Contract shall be resolved in accordance with this section. The Contractor shall pursue the work to completion in accordance with the instruction of the Agency's Project Manager notwithstanding the existence of a dispute. By entering into this Contract, both parties are obligated, and hereby agree, to submit

all disputes arising under or relating to the Contract which remain unresolved after the exhaustion of the procedures provided herein, to independent arbitration. Except as otherwise provided herein, arbitration shall be conducted under California Code of Civil Procedure Sections 1280, et seq., or their successor.

2. Any and all disputes prior to the work starting shall be subject to resolution by the Agency's Project Manager; and the Contractor shall comply, with the Agency Project Manager instructions. If the Contractor is not satisfied with the resolution directed by the Agency Project Manager, they may file a written protest with the Agency Project Manager within seven (7) calendar days after receiving written directive of the Project Manager's decision. Failure by Contractor to file a written protest within seven (7) calendar days shall constitute waiver of protest, and acceptance of the Project Manager's resolution. The Project Manager shall submit the Contractor's written protests to the General Manager, together with a copy of the Project Manager's written decision, for his or her consideration within seven (7) calendar days after receipt of the protest-related documents. The General Manager shall make his or her determination with respect to each protest filed with the Project Manager within ten (10) calendar days after receipt of the protest-related documents. If Contractor is not satisfied with any such resolution by the General Manager, they may file a written request for arbitration with the Project Manager within seven (7) calendar days after receiving written notice of the General Manager's decision.
3. In the event of arbitration, the parties to this contract agree that there shall be a single neutral Arbitrator who shall be selected in the following manner:
 - a. The Demand for Arbitration shall include a list of five names of persons acceptable to the Contractor to be appointed as Arbitrator. The Agency shall determine if any of the names submitted by Contractor are acceptable and, if so, such person will be designated as Arbitrator.
 - b. In the event that none of the names submitted by Contractor are acceptable to Agency, or if for any reason the Arbitrator selected in Step (a) is unable to serve, the Agency shall submit to Contractor a list of five names of persons acceptable to Agency for appointment as Arbitrator. The Contractor shall, in turn, have seven (7) calendar days in which to determine if one such person is acceptable.
 - c. If after Steps (a) and (b), the parties are unable to mutually agree upon a neutral Arbitrator, the matter of selection of an

Arbitrator shall be submitted to the San Bernardino County Superior Court pursuant to Code of Civil Procedure Section 1281.6, or its successor. The costs of arbitration, including but not limited to reasonable attorneys' fees, shall be recoverable by the party prevailing in the arbitration. If this arbitration is appealed to a court pursuant to the procedure under California Code of Civil Procedure Section 1294, et seq., or their successor, the costs of arbitration shall also include court costs associated with such appeals, including but not limited to reasonable attorneys' fees which shall be recoverable by the prevailing party.

4. Association in Mediation/Arbitration: The Agency may join the Contractor in mediation or arbitration commenced by a contractor on the Project pursuant to Public Contracts Code Sections 20104 et seq. Such association shall be initiated by written notice from the Agency's representative to the Contractor.

- J. Workers' Legal Status: For performance against this Contract, Contractor shall only utilize employees and/or subcontractors that are authorized to work in the United States pursuant to the Immigration Reform and Control Act of 1986.

11. OWNERSHIP OF MATERIALS AND DOCUMENTS/CONFIDENTIALITY: The Agency retains ownership of any, and all, partial or complete reports, drawings, plans, notes, computations, lists, and/or other materials, documents, information, or data prepared by the Contractor and/or the Contractor's subcontractor(s) pertaining to this Contract. Said materials and documents are confidential and shall be available to the Agency from the moment of their preparation, and the Contractor shall deliver them to the Agency whenever requested to do so by the Project Manager and/or Agency representative. The Contractor agrees that all documents shall not be made available to any individual or organization, private or public, without the prior written consent of an Agency representative. Provided, however that notwithstanding anything to the contrary herein, Contractor's work papers and audit documentation are its sole and exclusive property.

12. TITLE AND RISK OF LOSS:

- A. Documentation: Title to the Documentation shall pass to the Agency when prepared; however, a copy may be retained by Contractor for its records and internal use. Contractor shall retain such Documentation in a controlled access file, and shall not reveal, display, or disclose the contents of the Documentation to others without the prior written authorization of the Agency or for the performance of Work related to the Scope of Work described in this Contract.

- B. Material: Title to all Material, field or research equipment, and laboratory models, procured or fabricated under the Contract shall pass to the Agency when procured or fabricated, and such title shall be free and clear of any and all encumbrances. Contractor shall have risk of loss of any Material or Agency-owned equipment of which it has custody.
- C. Disposition: Contractor shall dispose of items to which the Agency has title as directed, in writing, by the Project Manager and/or an Agency representative.

13. PROPRIETARY RIGHTS:

- A. Rights and Ownership: Agency's rights to inventions, discoveries, trade secrets, patents, copyrights, and other intellectual property, including the Information and Documentation, and revisions thereto (hereinafter collectively referred to as "Proprietary Rights"), used or developed by Contractor in the performance of the Work, shall be governed by the following provisions:
 - 1. Proprietary Rights conceived, developed, or reduced to practice by Contractor in the performance of the Work shall be the property of Agency, and Contractor shall cooperate with all appropriate requests to assign and transfer same to Agency.
 - 2. If Proprietary Rights conceived, developed, or reduced to practice by Contractor prior to the performance of the Work are used in and become integral with the Work, or are necessary for Agency to have complete control of the Work, Contractor shall grant to Agency a non-exclusive, irrevocable, royalty-free license, as may be required by Agency for the complete control of the Work, including the right to reproduce, correct, repair, replace, maintain, translate, publish, use, modify, copy or dispose of any or all of the Work and grant sublicenses to others with respect to the Work.
 - 3. If the Work includes the Proprietary Rights of others, Contractor shall procure, at no additional cost to Agency, all necessary licenses regarding such Proprietary Rights so as to allow Agency the complete control of the Work, including the right to reproduce, correct, repair, replace, maintain, translate, publish, use, modify, copy, or dispose of any or all of the Work; and to grant sublicenses to others with respect to the Work. All such licenses shall be in writing and shall be irrevocable and royalty-free to Agency.

14. NOTICES: Any notice may be served upon either party by delivering it in person, or by depositing it in a United States Mail deposit box with the postage thereon fully prepaid, and addressed to the party at the address set forth below:

Agency: Warren T. Green

Manager of Contracts and Procurement
Inland Empire Utilities Agency
P.O. Box 9020
Chino Hills, CA 91709

Contractor: Nitin Patel, CPA, Principal
CliftonLarsonAllen, LLP (CLA)
2875 Michelle Drive, Suite 300
Irvine, CA 92606

Any notice given pursuant to this section shall be deemed effective in the case of personal delivery, upon receipt thereof, or, in the case of mailing, at the moment of deposit in the course of transmission through the United States Postal Service.

15. SUCCESSORS AND ASSIGNS: All of the terms, conditions and provisions of this Contract shall take effect to the benefit of and be binding upon the Agency, the Contractor, and their respective successors and assigns. No assignment of the duties or benefits of the Contractor under this Contract may be assigned, transferred, or otherwise disposed of, without the prior written consent of the Agency; and any such purported or attempted assignment, transfer, or disposal without the prior written consent of the Agency shall be null, void, and of no legal effect whatsoever.
16. PUBLIC RECORDS POLICY: Information made available to the Agency may be subject to the California Public Records Act (Government Code Section 6250 et seq.) The Agency's use and disclosure of its records are governed by this Act. The Agency shall use its best efforts to notify Contractor of any requests for disclosure of any documents pertaining to this work. In the event of litigation concerning disclosure of information Contractor considers exempt from disclosure; (e.g., Trade Secret, Confidential, or Proprietary) Agency shall act as a stakeholder only, holding the information until otherwise ordered by a court or other legal process. If Agency is required to defend an action arising out of a Public Records Act request for any of the information Contractor has marked "Confidential," "Proprietary," or "Trade Secret," Contractor shall defend and indemnify Agency from all liability, damages, costs, and expenses, in any action or proceeding arising under the Public Records Act.
17. RIGHT TO AUDIT: The Agency reserves the right to review and/or audit all Contractor's records related to the Work. The option to review and/or audit may be exercised during the term of the Contract, upon termination, upon completion of the Contract, or at any time thereafter up to twelve (12) months after final payment has been made to the Contractor. The Contractor shall make all records and related documentation available within three (3) working days after said records are requested by the Agency.
18. INTEGRATION: The Contract Documents represent the entire Contract made and entered into by and between the Agency and the Contractor as to those matters contained in this contract. No prior oral or written understanding shall be of any force

or effect with respect to those matters covered by the Contract Documents. This Contract may not be modified, altered, or amended except by written mutual agreement by the Agency and the Contractor.

19. GOVERNING LAW: This Contract is to be governed by and constructed in accordance with the laws of the State of California, in the County of San Bernardino.
20. TERMINATION FOR CONVENIENCE: The Agency reserves and has the right to immediately suspend, cancel or terminate this Contract at any time upon written notice to the Contractor. In the event of such termination, the Agency shall pay Contractor for all authorized and Contractor-invoiced services up to the date of such termination, as approved by the Project Manager.
21. FORCE MAJEURE: Neither party shall hold the other responsible for the effects of acts occurring beyond their control; e.g., war, riots, strikes, natural disasters, etcetera.
22. NOTICE TO PROCEED: No services shall be performed or provided under this Contract unless and until this document has been properly signed by all responsible parties and a notice to proceed has been issued to the Contractor by the Project Manager.
23. AUTHORITY TO EXECUTE CONTRACT: The Signatories, below, each represent, warrant, and covenant that they have the full authority and right to enter into this Contract on behalf of the separate entities shown below.
24. DELIVERY OF DOCUMENTS: The Parties to this Contract and the individuals named to facilitate the realization of its intent, with the execution of the Contract, authorize the delivery of documents via facsimile, via email, and via portable document format (PDF) and covenant agreement to be bound by such electronic versions.

The parties hereto have caused the Contract to be entered as of the day and year written above.

INLAND EMPIRE UTILITIES AGENCY:
**A MUNICIPAL WATER DISTRICT*

CLIFTONLARSONALLEN, LLP:

Shivaji Deshmukh P.E. (Date)
General Manager

Nitin Patel 3/1/2021

Nitin Patel (Date)
CPA, Principal

Audit Committee Meeting

Contract Award for Financial Audit Services



Teresa V. Velarde,
Manager of Internal Audit
March 2021

Request for Proposal

- Requirement to switch auditors
- Scope of Work
- Financial Audit Services for:
 - IEUA – Financial Audit & Single Audit
 - CBRFA – Financial Audit
 - IERCA – Financial Audit

Evaluation Process

- Evaluation Team
 - Internal Audit, Finance & Accounting, Grants & Audit Committee Advisor
- 7 firms submitted written proposals
 - all qualified
 - all close and similar in pricing
 - all local

7 Firms submitted Proposals

CliftonLarsonAllen (CLA)
Davis Farr
Eadie and Payne
Eide Bailly
Lance, Soll & Lunghard *
Moss Levy Hartzheim
Rogers Anderson Malody Scott

Proposals Received

	IEUA	Single Audit	IEUA Total	CBRFA	IERCA	Total
Current Fees for Incumbent - LSL	\$31,270	\$4,710	\$35,980	\$4,400	\$4,400	\$44,780
Proposed Fees for FY 2021						
Proposals	IEUA Financial Audit	IEUA Single Audit	IEUA Total	CBRFA	IERCA	Total
CliftonLarsonAllen	\$31,750	\$5,865*	\$37,615	\$4,360	\$4,935	\$46,910
Davis Farr	\$32,950	\$3,500	\$36,450	\$4,000	\$6,000	\$46,450
Eadie and Payne	\$25,600	\$4,400	\$30,000	\$5,000	\$5,000	\$40,000
Eide Bailly	\$33,000	\$5,000	\$38,000	\$4,500	\$5,000	\$47,500
Lance, Soll & Lunghard	\$30,480	\$5,470	\$35,950	\$4,310	\$4,310	\$44,570
Moss Levy Hartzheim	\$28,060	\$3,500	\$31,560	\$4,195	\$4,195	\$39,950
Rogers Anderson Malody Scott	\$32,900	\$4,845	\$37,745	\$5,500	\$5,500	\$48,745

* Single Audit Pricing includes any additional major programs, all other firms, pricing provided only includes one major program, additional major programs were quoted at an additional amount ranging between \$2,000 - \$3500 for each additional major program.

5 Firms selected and Invited to Interview

<i>CliftonLarsonAllen (CLA)</i>
Davis Farr
Eide Bailly
Lance Soll & Lunghard
Rogers Anderson Malody Scott

CliftonLarsonAllen, LLP

- Formerly White, Nelson Diehl Evans
- Irvine office
- Joined CliftonLarsonAllen (with over 60 years of experience conducting similar audits)
- Most Single Audits



www.claconnect.com



Nitin P. Patel, CPA
Engagement Principal
34+ years of experience



Tiffany Fung, CPA
Engagement Manager
10+ years of experience



Kassie Radermacher, CPA, CFE
Assurance Principal
15+ years of experience



Daphne Liu, CPA
Field Manager
11+ years of experience

CliftonLarsonAllen, LLP (CLA)

- 8th largest accounting firm
- Had the most knowledge of the Agency and its operations
- Mentioned the WIFIA loan and capital construction projects
- Most single audits completed nationwide
- Only firm to mentioned that they do expect we will have more than one major program for the Single Audit and their pricing already includes that
- Acknowledged the audit work done by Internal Audit
- Will provide training to Agency staff
- Most comparable experience

Contract

3 separate Contracts:

IEUA: Financial and Single Audit for 3 years, NTE \$115,115, with the 2 single-year options

	IEUA	Single Audit	Total IEUA Contract	Total CBRFA Contract	Total IERCA Contract
FY 2021	\$ 31,750.00	\$5,865.00	\$ 37,615.00	\$ 4,360.00	\$ 4,935.00
FY 2022	32,400.00	6,000.00	38,400.00	4,400.00	5,000.00
FY 2023	33,000.00	6,100.00	39,100.00	4,500.00	5,100.00
TOTAL 3 YEARS			\$ 115,115.00	\$ 13,260.00	\$ 15,035.00
Optional Year 1 FY 2024	33,700.00	6,200.00	\$ 39,900.00	\$ 4,600.00	\$ 5,200.00
Optional Year 2 FY 2025	34,400.00	6,300.00	\$ 40,700.00	\$ 4,700.00	\$ 5,300.00

Recommendation

- *Award a 3-year contract for financial and single audit services with two individual optional years, to CliftonLarsonAllen, LLP in the amount of \$115,115; and*
- *Authorize the GM to execute the contract*

Contract Award for Financial Audit Services

The Contract award for Financial and Single Audit Services is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment, and Business Practices by being transparent and following recommended practices for the procurement of external financial audit services to ensure the completion of the required annual audits by an independent certified public accountant and comply with state requirements, Agency's Fiscal Ordinance and best practices.

**CONSENT
CALENDAR
ITEM**

2D

Date: March 17, 2021

To: The Honorable Board of Directors

SD
From: Shivaji Deshmukh, General Manager

Committee: Community & Legislative Affairs

03/10/21

Executive Contact: Kathy Besser, Executive Manager of Government & Ext. Affairs/AGM

Subject: Adopt Positions on Various State Bills

Executive Summary:

The State legislature began the 2021 session on January 11. By the bill introduction deadline (February 19), 2,369 bills had been introduced for consideration. The bills included in this letter have the potential to impact the Agency and fall within Board-adopted Legislative Policy Principles. Three of the five bills included below were introduced during the 2019-20 legislative session; however, due to the Covid-19 Pandemic they were not able to move forward in the process. The bills have been reintroduced with new bill numbers. The positions taken by the IEUA Board in 2019/20 have been included for your reference.

1. AB 1434 (Friedman) - Urban water use objectives: indoor residential water use
2. AB 377 (Rivas) - Water quality: impaired water
3. AB 818 (Bloom) - Solid waste: premoistened nonwoven disposable wipes (supported AB 1672)
4. SB 230 (Portantino) - State Water Resources Control Board: Constituents of Emerging Concern Program (supported SB 996)
5. SB 273 (Hertzberg) - Water quality: municipal wastewater agencies (supported SB 1052)

Staff's Recommendation:

Adopt a position of "Oppose" for the following bills: AB 1434 (Friedman) and AB 377 (Rivas)

Adopt a position of "Support" for the following bills: AB 818 (Bloom); SB 230 (Portantino); and SB 273 (Hertzberg)

Budget Impact *Budgeted (Y/N): Y* *Amendment (Y/N): Y* *Amount for Requested Approval:*

Account/Project Name:

N/A

Fiscal Impact (explain if not budgeted):

N/A

Prior Board Action:

Adoption of 2021 Legislative Policy Principles.

Environmental Determination:

Not Applicable

Business Goal:

Taking legislative positions is in line with IEUA's business practices goal of advocating for the development of policies, legislation and regulations that benefit the region.

Attachments:

1. AB 1434 (Friedman) - Summary and Bill Text
2. AB 377 (Rivas) - Background and Bill Text
3. AB 818 (Bloom) - AB 1672 Letter of Support (2019-20) and Bill Text
4. SB 230 (Portantino) - Fact Sheet, MWD Coalition Letter, and Bill Text
5. SB 273 (Hertzberg) - Fact Sheet, IEUA Letter of Support, Joint Coalition Letter (CASA, CMUA, ACWA) and Bill Text

Attachment 1

AB 1434 (Friedman) - Summary and Bill Text

Background

Subject: Summary of AB 1434 – Urban Water Use Objectives

In 2018, Governor Brown signed AB 1668 (Friedman) and SB 606 (Skinner/Hertzberg) into law, establishing urban water efficiency standards that retail agencies must meet for indoor and outdoor use. The bills set the current indoor water use standard at 55 gallons per capita per day (GPCD), which will be reduced to 52.5 GPCD in 2025 and further reduced to 50 GPCD in 2030. In September 2017, IEUA staff, at the direction of its Board, sent a letter (Attachment 1) in support of both bills.

In February 2021, AB 1434 (Friedman) was introduced to lower the water use standards that were established in 2018. The bill proposes to reduce the current standard of 55 GPCD to 48 GPCD beginning in 2023, followed by reductions to 44 GPCD in 2025 and 40 GPCD in 2030. The bill also proposes to eliminate requirements that were added to the bills in 2018 by WateReuse that required the California Department of Water Resources to complete a study that will identify best management practices to meet the proposed standards and assess the costs of meeting the changing standards and how it would impact water and wastewater flows and management.

IEUA staff is in communication with member agencies regarding this bill and its potential impacts.

ASSEMBLY BILL

No. 1434

Introduced by Assembly Member Friedman

February 19, 2021

An act to amend Section 10609.4 of the Water Code, relating to water.

LEGISLATIVE COUNSEL'S DIGEST

AB 1434, as introduced, Friedman. Urban water use objectives: indoor residential water use.

Existing law requires the Department of Water Resources, in coordination with the State Water Resources Control Board, and in collaboration with and input from stakeholders, to conduct necessary studies and investigations and authorizes the department and the board to jointly recommend to the Legislature a standard for indoor residential water use. Existing law, until January 1, 2025, establishes 55 gallons per capita daily as the standard for indoor residential water use. Existing law establishes, beginning January 1, 2025, 52.5 gallons per capita daily and, beginning January 1, 2030, 50 gallons per capita daily, as the standards for indoor residential water use, unless the department and the board recommend more appropriate standards for indoor residential water use.

This bill would establish, beginning January 1, 2023, until January 1, 2025, the standard for indoor residential water use as 48 gallons per capita daily. The bill would establish, beginning January 1, 2025, the standard as 44 gallons per capita daily and, beginning January 1, 2030, 40 gallons per capita daily. The bill would eliminate the requirement that the department, in coordination with the state board, conduct

necessary studies and investigations and jointly recommend to the Legislature a standard for indoor residential water use.

Vote: majority. Appropriation: no. Fiscal committee: yes.

State-mandated local program: no.

The people of the State of California do enact as follows:

1 SECTION 1. Section 10609.4 of the Water Code is amended
2 to read:

3 10609.4. (a) ~~(1) Until Beginning January 1, 2023, and until~~
4 January 1, 2025, the standard for indoor residential water use shall
5 be ~~55~~ 48 gallons per capita daily.

6 ~~(2)~~
7 (b) Beginning January 1, 2025, and until January 1, 2030, the
8 standard for indoor residential water use shall be ~~the greater of~~
9 ~~52.5~~ 44 gallons per capita daily or a standard recommended
10 pursuant to subdivision (b): daily.

11 ~~(3)~~
12 (c) Beginning January 1, 2030, the standard for indoor
13 residential water use shall be ~~the greater of 50~~ 40 gallons per capita
14 daily or a standard recommended pursuant to subdivision (b): daily.

15 ~~(b) (1) The department, in coordination with the board, shall~~
16 ~~conduct necessary studies and investigations and may jointly~~
17 ~~recommend to the Legislature a standard for indoor residential~~
18 ~~water use that more appropriately reflects best practices for indoor~~
19 ~~residential water use than the standard described in subdivision~~
20 ~~(a). A report on the results of the studies and investigations shall~~
21 ~~be made to the chairpersons of the relevant policy committees of~~
22 ~~each house of the Legislature by January 1, 2021, and shall include~~
23 ~~information necessary to support the recommended standard, if~~
24 ~~there is one. The studies and investigations shall also include an~~
25 ~~analysis of the benefits and impacts of how the changing standard~~
26 ~~for indoor residential water use will impact water and wastewater~~
27 ~~management, including potable water usage, wastewater, recycling~~
28 ~~and reuse systems, infrastructure, operations, and supplies.~~

29 ~~(2) The studies, investigations, and report described in paragraph~~
30 ~~(1) shall include collaboration with, and input from, a broad group~~
31 ~~of stakeholders, including, but not limited to, environmental groups,~~

- 1 experts in indoor plumbing, and water, wastewater, and recycled
- 2 water agencies.

O

Attachment 2

AB 377 (Rivas) - Fact Sheet and Bill Text

Background

Subject: Summary of [AB 377 Water Quality: Impaired Water](#)

Introduced by: Assemblymember Rivas (Hollister)

Principal Coauthors: Senator Hertzberg (Van Nuys)

Sponsored by: California Coastkeeper Alliance

Introduced: February 1, 2021

Referred: Committee on Environmental Safety and Toxic Materials

Proposed Position: Oppose

Summary: This bill sets forth the goal that “All California surface waters shall be fishable, swimmable, and drinkable by January 1, 2050. To bring all water segments into attainment with this requirement the state board and regional boards shall comply with the requirements of this chapter.”

“Drinkable” applies to waters subject to a regional water quality control plan and means that the waters are drinkable to the extent required by the regional water quality control plan.

Issues from bill text:

Section 13151 – The State Board and regional boards shall **not** do the following:

(1) Authorize an NPDES discharge that causes or contributes to an exceedance of a water quality standard.

- The issue with the bill is it does not give the definition of water quality standard; therefore, we have to go off any water quality standard.

(2) Authorize an NPDES permit that uses an alternative compliance determination, safe harbor “deemed in compliance” term, or any other best management practice permit term to authorize a discharge that causes or contributes to an exceedance of a water quality standard in receiving waters.

(3) Authorize a waste discharge requirement or waiver of a waste discharge requirement that uses an alternative compliance determination, safe harbor “deemed in compliance” term, or any other best management practice permit term to authorize a discharge that causes or contributes to an exceedance of a water quality standard in receiving waters.

Impacts on IEUA:

As written, this bill has provided challenges in determining the direct impacts it would have on IEUA. The challenges are due to the bill text currently lacking definitions and intent of the broad provisions this bill presents. The impacts included below are staff’s understanding of the worst-case scenario based on the provisions included in the bill and the concerns brought to our attention from ACWA, CASA and other water agencies.

- It is assumed that this bill has the potential to take away Basin Management Plans and therefore eliminate all flexibility/alternative compliance measures that are currently granted within IEUA’s permits/plans. The bill text states it would remove all authorization of waivers of a waste discharge requirement that uses alternative compliance or best management practices.

- As it relates to IEUA this would change IEUA's current permit authorizations and limits of contaminant discharge levels.
 - The 12-month flow weighted running average total dissolved solids (TDS) and total inorganic nitrogen (TIN) concentrations shall not exceed 550 mg/L and 8 mg/L, respectively. These limitations may be met on an agency-wide basis using flow weighted averages of the discharges from the Discharger's RP-1, RP-4, RP-5 and CCWRF.
- Current plans and permits are approved on a case by case basis by the Regional Water Quality Control Board determined by conditions within that region. The assumption is that this bill is attempting to create a blanket statewide "plan" that all regions would have to follow. This is not realistic or feasible as each region faces their own differing conditions based on the environment in which they are set and the natural contaminants they face.
- It is assumed that this bill could potentially eliminate IEUA's ability to use recycled water for indirect potable reuse within the Chino Basin.
- It is assumed this bill could potentially impact the ability to recharge recycled water at spreading basins if we lose nitrate-nitrogen and TDS maximum benefit water quality objectives.

The above list of potential impacts is not a comprehensive list due to the numerous unknowns that this bill presents.

Staff recommends the IEUA Board approve an "oppose" position on AB 377 due to the substantial negative and costly impacts that this bill could potentially have on IEUA's current permitting and planning approval processes.

Statements from authors: *(pulled from the press release)*

AB 377, the California Clean Water Act, will change the way the State and Regional Water Boards enforce compliance with water quality standards and ensure that waterways are taken off the impaired list over time by:

- **Eliminating loopholes.** Currently, many discharge permits direct the permittee to comply with water quality standards but allow for exploitation of a number of loopholes. (A permit holder may never have to provide any evidence that they're actually complying with water quality standards, for example.) AB 377 will not change the terms of existing permits but will ensure that as new or renewed permits are issued, loopholes are eliminated and permittees are brought into compliance with water quality standards.
- **Changing Water Board enforcement procedures,** requiring them to spend more time and effort enforcing against the worst polluters instead of ignoring violations.
- **Directing a larger portion of existing Water Board financial resources toward cleaning up impaired waterways,** without imposing any new fees or costs.

"Roughly 19 out of 20 waterways in California are polluted or 'impaired,'" Asm. Rivas said.

"Clearly, we *need* to do more to protect the health of Californians, communities and the environment. And, as with so many of our other environmental challenges, it's our low-income communities and our communities of color who are hit the hardest by this issue. Access to clean

water is a basic human right, and I am proud to introduce legislation that will give teeth to the original Clean Water Act and create a healthier environment for the entire State.”

“California made history in 2012 when it became the first U.S. state to declare that clean drinking water is a human right. Yet, nearly a decade later, some communities still struggle for access to clean water – this is unacceptable,” said Senator Hertzberg. “I have worked for decades to end the state’s drinking water crisis, and I am proud to continue that effort with Assemblymember Rivas on AB 377.”

AMENDED IN ASSEMBLY MARCH 8, 2021

CALIFORNIA LEGISLATURE—2021–22 REGULAR SESSION

ASSEMBLY BILL

No. 377

Introduced by Assembly Member Robert Rivas
(Principal coauthor: Senator Hertzberg)
(*Coauthor: Assembly Member Lee*)

February 1, 2021

An act to add ~~Chapter~~ *Article 3.5* (commencing with Section 13150) to Chapter 3 of Division 7 of the Water Code, relating to water quality.

LEGISLATIVE COUNSEL'S DIGEST

AB 377, as amended, Robert Rivas. Water quality: impaired waters.

(1) Under existing law, the State Water Resources Control Board and the 9 California regional water quality control boards regulate water quality and prescribe waste discharge requirements in accordance with the federal national pollutant discharge elimination system (NPDES) permit program established by the federal Clean Water Act and the Porter-Cologne Water Quality Control Act. Existing law requires each regional board to formulate and adopt water quality control plans for all areas within the region, as provided.

This bill would require all California surface waters to be fishable, swimmable, and drinkable by January 1, 2050, as prescribed. The bill would prohibit the state board and regional boards from authorizing an NPDES discharge, *or a waste discharge requirement, requirement* or waiver of a waste discharge requirement *for a discharge, to surface water* that causes or contributes to an exceedance of ~~a an applicable~~ water quality ~~standard, standard in receiving waters~~, or from authorizing a best management practice permit term to authorize a discharge *to surface water* that causes or contributes to an exceedance of ~~a an~~

applicable water quality standard in receiving waters. The bill would prohibit, on or after January 1, 2030, a regional water quality control plan from including a schedule for implementation for achieving a water quality standard that was adopted as of January 1, 2021, and would prohibit a regional water quality control plan from including a schedule for implementation of a water quality standard that is adopted after January 1, 2021, unless specified conditions are met. The bill would prohibit an NPDES permit, waste discharge requirement, or waiver of a waste discharge requirement from being renewed, reissued, or modified to contain effluent limitations or conditions that are less stringent than those in the previous permit, requirement, or ~~waiver~~. *waiver, except as specified.*

(2) Existing law authorizes the imposition of civil penalties for violations of certain waste discharge requirements and requires that penalties imposed pursuant to these provisions be deposited into the Waste Discharge Permit Fund, to be expended by the state board, upon appropriation by the Legislature, for specified purposes related to water quality. For violations of certain other waste discharge requirements, including the violation of a waste discharge requirement effluent limitation, existing law imposes specified civil penalties, the proceeds of which are deposited into the continuously appropriated State Water Pollution Cleanup and Abatement Account, which is established in the State Water Quality Control Fund.

This bill would require, by January 1, 2030, the state board and regional boards to develop an Impaired Waterways Enforcement Program to enforce all remaining water quality standard violations that are causing or contributing to an exceedance of a water quality standard. To ensure any water segments impaired by ongoing pollutants are brought into attainment with water quality standards, the bill would require the state board and regional boards, by January 1, 2040, to evaluate the state's remaining impaired waters using a specified report. The bill would require, by January 1, 2040, the state board and regional boards to report to the Legislature a plan to bring the final impaired water segments into attainment by January 1, 2050. The bill would create the Waterway Attainment Account in the Waste Discharge Permit Fund and would make moneys in the Waterway Attainment Account available for the state board to expend, upon appropriation by the Legislature, to bring remaining impaired water segments into attainment in accordance with the plan. The bill would create in the Waterway Attainment Account the Waterway Attainment Penalty Subaccount,

composed of penalties obtained pursuant to the Impaired Waterways Enforcement Program, and would make moneys in the subaccount available for the state board to expend, upon appropriation by the Legislature, for purposes of the program. The bill would require, by January 1, 2040, and subject to a future legislative act, 50% of the annual proceeds of the State Water Pollution Cleanup and Abatement Account to be annually transferred to the Waterway Attainment Account. The bill would require the state board, upon appropriation by the Legislature, to expend 5% of the annual proceeds of the State Water Pollution Cleanup and Abatement Account to fund a specified state board program.

Vote: majority. Appropriation: no. Fiscal committee: yes.
State-mandated local program: no.

The people of the State of California do enact as follows:

1 SECTION 1. (a) The Legislature finds and declares all of the
2 following:

3 (1) Water is a necessity of human life, and every Californian
4 deserves access to clean and safe water. Yet climate change
5 jeopardizes the quality and safety of our water. Climate change is
6 impacting the state's hydrology to create water resource
7 vulnerabilities that include, but are not limited to, changes to water
8 supplies, subsidence, increased amounts of water pollution, erosion,
9 flooding, and related risks to water and wastewater infrastructure
10 and operations, degradation of watersheds, alteration of aquatic
11 ecosystems and loss of habitat, multiple impacts in coastal areas,
12 and ocean acidification.

13 (2) Many aspects of climate change and associated impacts will
14 continue for centuries, even if anthropogenic emissions of
15 greenhouse gases are reduced or stopped. Given the magnitude of
16 climate change impacts on California's hydrology and water
17 systems, the state's climate change response should include
18 attainment of water quality standards to allow the state's
19 watersheds to resiliently adapt to forthcoming and inevitable
20 climate change stressors.

21 (3) The federal Clean Water Act (33 U.S.C. Sec. 1251 et seq.)
22 was enacted on October 18, 1972, to establish the basic structure
23 for regulating discharges of pollutants into the waters of the United
24 States and regulating quality standards for surface waters. The

1 objective of the federal Clean Water Act is to restore and maintain
2 the chemical, physical, and biological integrity of the nation's
3 waters. To achieve that objective, Congress declared a national
4 goal that the discharge of pollutants into navigable waters be
5 eliminated by 1985.

6 (4) California has long been a national and international leader
7 on environmental stewardship efforts, including the areas of air
8 quality protections, energy efficiency requirements, renewable
9 energy standards, and greenhouse gas emission standards for
10 passenger vehicles. The program established by this act will
11 continue this tradition of environmental leadership by placing
12 California at the forefront of achieving the nation's goal of making
13 all waterways swimmable, fishable, and drinkable.

14 (5) The State Water Resources Control Board, along with the
15 nine California regional water quality control boards, protect and
16 enhance the quality of California's water resources through
17 implementing the federal Clean Water Act, as amended, and
18 California's Porter-Cologne Water Quality Control Act (Division
19 7 (commencing with Section 13000) of the Water Code).

20 (6) The State Water Resources Control Board's mission is to
21 "preserve, enhance, and restore the quality of California's water
22 resources and drinking water for the protection of the environment,
23 public health, and all beneficial uses, and to ensure proper water
24 resource allocation and efficient use, for the benefit of present and
25 future generations."

26 (7) Under Section 303(d) of the federal Clean Water Act (33
27 U.S.C. Sec. 1313(d)), California is required to review, make
28 changes as necessary, and submit to the United States
29 Environmental Protection Agency a list identifying water bodies
30 not meeting water quality standards (303(d) list). California is
31 required to include a priority ranking of those waters, taking into
32 account the severity of the pollution and the uses to be made of
33 those waters, including waters targeted for the development of
34 total maximum daily loads (TMDLs).

35 (8) As of the most recent 2018 303(d) list, nearly 95 percent of
36 all fresh waters assessed in California, and over 1,400 water bodies,
37 are listed as impaired, with only 114 TMDLs ~~have~~ *having* been
38 approved since 2009 in California. Of 164,741 assessed miles of
39 rivers and streams, 82 percent were impaired. Of 929,318 assessed
40 acres of lakes, reservoirs, and ponds, 93 percent were impaired.

1 Of 575,000 assessed acres of bays, harbors, and estuaries, 99
2 percent were impaired. Of 2,180 assessed miles of coastal
3 shoreline, 93 percent were impaired. Of 130,084 assessed acres
4 of wetlands, 99 percent were impaired.

5 (b) (1) In honor of the federal Clean Water Act's 50-year
6 anniversary, it is the intent of the Legislature in enacting this act
7 to recommit California to achieve the national goal to restore and
8 maintain the chemical, physical, and biological integrity of the
9 state's waters by eliminating the discharge of pollutants into
10 impaired waterways.

11 (2) It is further the intent of the Legislature in enacting this act
12 to require that the State Water Resources Control Board and the
13 California regional water quality control boards meet the national
14 goal of achieving swimmable, fishable, and drinkable waters by
15 no later than January 1, 2050.

16 ~~SEC. 2. Chapter 3.5 (commencing with Section 13150) is added~~
17 ~~to Chapter 3 of Division 7 of the Water Code, to read:~~

18
19 ~~CHAPTER 3.5. STATE WATERS IMPAIRMENT~~

20
21 *SEC. 2. Article 3.5 (commencing with Section 13150) is added*
22 *to Chapter 3 of Division 7 of the Water Code, to read:*

23
24 *Article 3.5. State Waters Impairment*

25
26 13150. All California surface waters shall be fishable,
27 swimmable, and drinkable by January 1, 2050. To bring all water
28 segments into attainment with this requirement, the state board
29 and regional boards shall comply with the requirements of this
30 ~~chapter.~~ *article.*

31 13151. (a) (1) The state board and regional boards shall not
32 do either of the following:

33 ~~(1)~~

34 (A) Authorize an NPDES discharge *to a surface water of the*
35 *United States* that causes or contributes to an exceedance of ~~a an~~
36 *applicable water quality standard.* ~~standard in receiving waters.~~

37 ~~(2)~~

38 (B) Authorize an NPDES permit that uses an alternative
39 compliance determination, safe harbor "deemed in compliance"
40 term, or any other best management practice permit term to

1 authorize a discharge *to a surface water of the United States* that
2 causes or contributes to an exceedance of ~~a an~~ applicable water
3 quality standard in receiving waters.

4 (2) (A) *Paragraph (1) does not prohibit enhanced watershed*
5 *management programs or watershed management programs from*
6 *being used as a planning tool for achieving compliance with*
7 *applicable water quality standards in receiving waters.*

8 (B) *Paragraph (1) does not prevent NPDES permittees from*
9 *using best management practices to meet applicable water quality*
10 *standards in receiving waters.*

11 (C) *Paragraph (1) does not apply to salt and nutrient*
12 *management plans approved as of January 1, 2021, that include*
13 *alternative compliance options.*

14 (b) The state board and regional boards shall not do either of
15 the following:

16 (1) Authorize a permit that does not include monitoring
17 sufficient to demonstrate compliance with water quality standards
18 and, unless infeasible, that does not include end-of-discharge pipe
19 monitoring.

20 (2) Authorize a permit unless it establishes criteria for, and
21 requires, monitoring to evaluate compliance with water quality
22 standards.

23 (c) (1) The state board and regional boards shall not do either
24 of the following:

25 (1)

26 (A) Authorize a waste discharge requirement or waiver of a
27 waste discharge requirement for a discharge *to a surface water of*
28 *the state* that causes or contributes to an exceedance of ~~a an~~
29 *applicable water quality standard.* ~~standard in receiving waters.~~

30 (2)

31 (B) Authorize a waste discharge requirement or waiver of a
32 waste discharge requirement that uses an alternative compliance
33 determination, safe harbor “deemed in compliance” term, or any
34 other best management practice permit term to authorize a
35 discharge *to a surface water of the state* that causes or contributes
36 to an exceedance of ~~a an~~ applicable water quality standard in
37 receiving waters.

38 ~~(d) The state board and regional boards shall not issue an~~
39 ~~enforcement order pursuant to Chapter 12 (commencing with~~
40 ~~Section 1825) of Part 2 of Division 2 or Article 1 (commencing~~

1 with Section 13300) of Chapter 5 that includes a compliance
2 schedule deadline that extends beyond January 1, 2030, to a
3 discharger for a discharge that is causing or contributing to an
4 exceedance of a water quality standard.

5 (2) (A) *Paragraph (1) does not prevent a waste discharge*
6 *requirement or waiver of a waste discharge requirement from*
7 *using best management practices to meet applicable water quality*
8 *standards in receiving waters.*

9 (B) *Paragraph (1) does not apply to salt and nutrient*
10 *management plans approved as of January 1, 2021, that include*
11 *alternative compliance options.*

12 13152. (a) (1) Notwithstanding Section 13242, on and after
13 January 1, 2030, a regional water quality control plan, including
14 the program of implementation, shall not include a schedule for
15 implementation for achieving a water quality standard that was
16 adopted in an approved regional water quality control plan as of
17 January 1, 2021. It is the intent of the Legislature in enacting this
18 requirement to ensure that all water quality standards in effect as
19 of January 1, 2021, are fully implemented and achieved by January
20 1, 2030.

21 (2) *Paragraph (1) does not apply to salt and nutrient*
22 *management plans approved as of January 1, 2021, that include*
23 *a time schedule for compliance.*

24 (b) The state board and regional boards shall only include in a
25 regional water quality control plan a schedule for implementation
26 of a water quality standard that is adopted after January 1, 2021,
27 if all of the following conditions are met:

28 (1) The schedule for implementation of the water quality
29 standard is the shortest time necessary, and in no instance exceeds
30 five years.

31 (2) The schedule for implementation is necessary for the
32 permittee to undertake physical construction that is necessary to
33 achieve compliance with the water quality standard.

34 (3) The water quality standard is not substantially similar to a
35 water quality standard that was in effect as of January 1, 2021.

36 (c) (1) An NPDES permit, waste discharge requirement, or
37 waiver of a waste discharge requirement shall not be renewed,
38 reissued, or modified to contain effluent limitations or conditions
39 that are less stringent than the comparable effluent limitations or
40 conditions in the previous permit, requirement, or ~~waiver~~; *waiver*;

1 including, but not limited to, if the implementation of the less
2 stringent effluent limitation or condition would result in a violation
3 of an applicable water quality standard in receiving waters.

4 (2) Notwithstanding paragraph (1), an NPDES permit, waste
5 discharge requirement, or waiver of a waste discharge requirement
6 may be renewed, reissued, or modified to contain a less stringent
7 effluent limitation or condition applicable to a pollutant if any of
8 the following apply:

9 (A) Material and substantial alterations or additions to the
10 permitted facility occurred after permit issuance that justify the
11 application of the less stringent effluent limitation or condition.

12 (B) Information, other than revised regulations, guidance, or
13 test methods, is available that was not available at the time of
14 permit issuance that would have justified the application of the
15 less stringent effluent limitation or condition at the time of permit
16 issuance.

17 (C) The permit issuer determines that technical mistakes or
18 mistaken interpretations of law were made in issuing the permit
19 in accordance with Section 402(a)(1)(B) of the federal Clean Water
20 Act (33 U.S.C. Sec. 1342(a)(1)(B)).

21 (D) The less stringent effluent limitation or condition is
22 necessary because of events over which the permittee has no
23 control and for which there is no reasonably available remedy.

24 (E) The permittee has received a permit modification pursuant
25 to Section 301(c), 301(g), 301(h), 301(i), 301(k), 301(n), or 316(a)
26 of the federal Clean Water Act (33 U.S.C. Secs. 1311(c), 1311(g),
27 1311(h), 1311(i), 1311(k), 1311(n), and 1326(a)).

28 (F) The permittee has installed the treatment facilities required
29 to meet the effluent limitations or conditions in the previous permit
30 and has properly operated and maintained the facilities but has
31 nevertheless been unable to achieve the previous effluent
32 limitations or conditions, in which case the limitations or
33 conditions in the renewed, reissued, or modified permit may reflect
34 the level of pollutant control actually achieved, but shall not be
35 less stringent than required by effluent limitation guidelines
36 promulgated under Section 304(b) of the federal Clean Water Act
37 (33 U.S.C. Sec. 1314(b)) in effect at the time of permit renewal,
38 reissuance, or modification.

39 (3) Subparagraphs (B) and (C) of paragraph (2) do not apply
40 to a revised waste load allocation or an alternative grounds for

1 *translating water quality standards into effluent limitations or*
2 *conditions unless both of the following are satisfied:*

3 (A) *The cumulative effect of the revised allocation or alternative*
4 *grounds results in a decrease in the amount of pollutants*
5 *discharged into receiving waters.*

6 (B) *The revised allocation or alternative grounds is not the*
7 *result of a discharger eliminating or substantially reducing its*
8 *discharge of pollutants due to complying with the requirements of*
9 *the federal Clean Water Act (33 U.S.C. Sec. 1251 et seq.) or for*
10 *reasons otherwise unrelated to water quality.*

11 (d) The state board and regional boards shall not authorize an
12 NPDES permit, waste discharge requirement, or waiver of a waste
13 discharge requirement that does not include a complete
14 antidegradation analysis as set out in State Water Resources
15 Control Board Resolution No. 68-16 and Administrative Procedures
16 Update 90-004.

17 13153. (a) (1) By January 1, 2030, the state board and regional
18 boards shall develop an Impaired Waterways Enforcement Program
19 to enforce all remaining water quality standard violations pursuant
20 to Chapter 12 (commencing with Section 1825) of Part 2 of
21 Division 2 and Article 1 (commencing with Section 13300) of
22 Chapter 5 that are causing or contributing to an exceedance of a
23 water quality standard.

24 (2) An enforcement action taken pursuant to the program shall
25 result in sufficient penalties, conditions, and orders to ensure the
26 person subject to the enforcement action is no longer causing or
27 contributing to an exceedance of a water quality standard.

28 (3) A discharger shall remain liable for a violation of a water
29 quality standard until sampling at the point of discharge
30 demonstrates that the discharge is no longer causing or contributing
31 to the exceedance.

32 (4) Penalties obtained pursuant to the program shall be deposited
33 into the Waterway Attainment Penalty Subaccount, which is hereby
34 created in the Waterway Attainment Account. Moneys in the
35 subaccount shall be available for the state board to expend, upon
36 appropriation by the Legislature, for purposes of the program.

37 (5) *The state board and regional boards may issue an*
38 *enforcement order pursuant to Chapter 12 (commencing with*
39 *Section 1825) of Part 2 of Division 2 or Article 1 (commencing*
40 *with Section 13300) of Chapter 5 that includes a compliance*

1 *schedule deadline that extends beyond January 1, 2030, to a*
2 *discharger for a discharge that is causing or contributing to an*
3 *exceedance of a water quality standard.*

4 (b) (1) By January 1, 2040, to ensure any water segments
5 impaired by ongoing legacy pollutants and nonpoint source
6 pollution are brought into attainment with water quality standards,
7 the state board and regional boards shall evaluate the state's
8 remaining impaired waters using the most current integrated report.

9 (2) The state board and regional boards shall, by January 1,
10 2040, report to the Legislature in compliance with Section 9795
11 of the Government Code a plan to bring the final impaired water
12 segments into attainment by January 1, 2050.

13 (3) The requirement for submitting a report imposed under
14 paragraph (2) is inoperative on January 1, 2044, pursuant to Section
15 10231.5 of the Government Code.

16 (c) (1) The Waterway Attainment Account is hereby created
17 in the Waste Discharge Permit Fund. Moneys in the Waterway
18 Attainment Account shall be available for the state board to expend,
19 upon appropriation by the Legislature, to bring remaining impaired
20 water segments into attainment in accordance with the plan
21 submitted pursuant to paragraph (2) of subdivision (b), subject to
22 subdivision (d).

23 (2) (A) By January 1, 2040, subject to a future legislative act,
24 50 percent of the annual proceeds of the State Water Pollution
25 Cleanup and Abatement Account shall be annually transferred to
26 the Waterway Attainment Account.

27 (B) This paragraph shall become inoperative January 1, 2051,
28 or when all water segments are in attainment with water quality
29 standards, whichever comes first.

30 (d) Moneys in the Waterway Attainment Account shall be
31 expended by the state board, upon appropriation by the Legislature,
32 to bring impaired waterways into attainment with water quality
33 standards to the maximum extent possible. *Moneys expended from*
34 *the account shall address or prevent water quality impairments*
35 *or address total maximum daily loads under the federal Clean*
36 *Water Act (33 U.S.C. Sec. 1251 et seq.).* Moneys in the account
37 shall only be expended on the following:

38 (1) Restoration projects, including supplemental environmental
39 projects, that improve water quality.

(2) Best management practice research innovation and incentives to encourage innovative best management practice implementation.

(3) Source control programs.

(4) Identifying nonfilers.

(5) Source identification of unknown sources of impairment.

(6) Enforcement actions that recover at least the amount of funding originally expended, which shall be deposited into the Waterway Attainment Account.

(7) *Competitive grants to fund projects and programs for municipal separate storm sewer system permit compliance requirements that would prevent or remediate pollutants, including zinc, caused by tires in the state. Priority shall be given to applicants that discharge to receiving waters with zinc levels that exceed the established total maximum daily loads and to projects that provide multiple benefits.*

(e) The state board shall, upon appropriation by the Legislature, expend 5 percent of the annual proceeds of the State Water Pollution Cleanup and Abatement Account to fund the state board's SWAMP - Clean Water Team Citizen Monitoring Program in order to inform the integrated report.

~~13154. This chapter does not affect the process by which voluntary agreements are entered into to assist in the implementation of new water quality standards lawfully adopted by the state board.~~

~~13155.~~

~~13154.~~ For purposes of this ~~chapter~~, *article*, the following definitions apply:

(a) "Best management practice" means a practice or set of practices determined by the state board or a regional board for a designated area to be the most effective feasible means of preventing or reducing the generation of a specific type of nonpoint source pollution, given technological, institutional, environmental, and economic constraints.

(b) "Drinkable" applies to waters subject to a regional water quality control plan and means that the waters are drinkable to the extent required by the regional water quality control plan.

(c) "Integrated report" means the state report that includes the list of impaired waters required pursuant to Section 303(d) of the federal Clean Water Act (33 U.S.C. *Sec.* 1313(d)) and the water

1 quality assessment required pursuant to Section 305(b) of the
2 federal Clean Water Act (33 U.S.C. *Sec.* 1315(b)).

3 (d) “NPDES” means the national pollutant discharge elimination
4 system established in the federal Clean Water Act (~~33 U.S.C.A.~~
5 *U.S.C. Sec.* 1251 et seq.).

6 (e) “Regional board” means a California regional water quality
7 control board.

8 (f) “Regional water quality control plan” means a water quality
9 control plan developed pursuant to Section 13240.

10 (g) “State board” means the State Water Resources Control
11 Board.

12 (h) “State Water Pollution Cleanup and Abatement Account”
13 means the State Water Pollution Cleanup and Abatement Account
14 created pursuant to Section 13440.

15 (i) “Supplemental environmental project” means an
16 environmentally beneficial project that a person subject to an
17 enforcement action voluntarily agrees to undertake in settlement
18 of the action and to offset a portion of a civil penalty.

19 (j) “Waste Discharge Permit Fund” means the Waste Discharge
20 Permit Fund created pursuant to Section 13260.

21 (k) “Waterway Attainment Account” means the Waterway
22 Attainment Account created pursuant to paragraph (1) of
23 subdivision (c) of Section 13153.

24 (l) “Waterway Attainment Penalty Subaccount” means the
25 Waterway Attainment Penalty Subaccount created pursuant to
26 paragraph (4) of subdivision (a) of Section 13153.

Attachment 3

AB 818 (Bloom) - AB 1672 Letter of Support 2019/20 and Bill Text



6075 Kimball Avenue • Chino, CA 91708
P.O. Box 9020 • Chino Hills, CA 91709
TEL (909) 993-1600 • FAX (909) 993-1983
www.ieua.org

April 17, 2019

The Honorable Richard Bloom
State Capitol, Room 2003
Sacramento, CA 95814

RE: Support for AB 1672 (Bloom): Product Labelling: flushable wipes.

Dear Assemblymember Bloom:

On behalf of the Inland Empire Utilities Agency (IEUA), I am pleased to express support for your bill, AB 1672 (Bloom) which would establish labeling requirements and performance standards for wet wipes so that Californians will know whether a product can be discarded safely into their plumbing.

IEUA is a wholesale water and wastewater treatment agency located in western San Bernardino County, serving approximately 875,000 residents in a 242-square mile service area.

In recent years, wet wipes have gained popularity as they're designed for a variety of daily household purposes, and some are advertised as "flushable," which encourages residents to dispose of wipes products generally by their toilet instead of in the trashcan. Because many wet wipes are not compatible with sewer systems and infrastructure, flushing these products results in their getting caught in pipes or accumulating with fats, oils, and grease and becoming larger obstructions that cause costly backups, or further down the sewer line, weaving together and creating giant rags which get stuck in pump systems and motors and damage expensive agency equipment.

Over the last decade, wet wipes have been an increasing problem for property owners, sewer systems, and ratepayers, since wipes often do not break down after being flushed. These challenges are being experienced by agencies around the state, and AB 1672 would ensure wet wipes packaging clearly communicates whether the product safely can be discarded through a toilet or will not break apart like toilet paper and should not be flushed.

For these reasons, IEUA is pleased to support AB 1672 and thanks you for introducing the bill.

Sincerely,
INLAND EMPIRE UTILITIES AGENCY

Paul Hofer
Board President

Water Smart – Thinking in Terms of Tomorrow

Paul Hofer
President

Jasmin A. Hall
Vice President

Kati Parker
Secretary/Treasurer

Michael Camacho
Director

Steven J. Elie
Director

Kirby Brill
Interim General Manager

ASSEMBLY BILL

No. 818

Introduced by Assembly Member Bloom

February 16, 2021

An act to add Part 9 (commencing with Section 49650) to Division 30 of, and to repeal Section 49652 of, the Public Resources Code, relating to solid waste.

LEGISLATIVE COUNSEL'S DIGEST

AB 818, as introduced, Bloom. Solid waste: premoistened nonwoven disposable wipes.

The California Integrated Waste Management Act of 1989, administered by the Department of Resources Recycling and Recovery, generally regulates the disposal, management, and recycling of solid waste.

This bill would require, except as provided, certain premoistened nonwoven disposable wipes manufactured on or after July 1, 2022, to be labeled clearly and conspicuously with the phrase “Do Not Flush” and a related symbol, as specified. The bill would prohibit a covered entity, as defined, from making a representation about the flushable attributes, benefits, performance, or efficacy of those premoistened nonwoven disposable wipes, as provided. The bill would establish enforcement provisions, including authorizing a civil penalty not to exceed \$2,500 per day, up to a maximum of \$100,000 per violation, to be imposed on a covered entity who violates those provisions.

The bill would establish, until January 1, 2027, the California Consumer Education and Outreach Program, under which covered entities would be required, among other things, to participate in a collection study conducted in collaboration with wastewater agencies

for the purpose of gaining understanding of consumer behavior regarding the flushing of premoistened nonwoven disposable wipes and to conduct a comprehensive multimedia education and outreach program in the state. The bill would require covered entities to annually report to specified legislative committees and the State Water Resources Control Board on their activities under the program and would require the state board to post the reports on its internet website.

Vote: majority. Appropriation: no. Fiscal committee: yes.
State-mandated local program: no.

The people of the State of California do enact as follows:

1 SECTION 1. It is the intent of the Legislature in enacting this
2 act to create labeling requirements for premoistened nonwoven
3 disposable wipes that will enable consumers to easily identify
4 which premoistened nonwoven disposable wipes are composed of
5 petrochemical-derived fibers and therefore are not safe to dispose
6 of using sanitary sewer systems, in order to protect public health,
7 the environment, water quality, and public infrastructure used for
8 the collection, transport, and treatment of wastewater.

9 SEC. 2. Part 9 (commencing with Section 49650) is added to
10 Division 30 of the Public Resources Code, to read:

11
12 PART 9. PREMOISTENED NONWOVEN DISPOSABLE
13 WIPES

14
15 49650. For purposes of this part, the following definitions
16 apply:

17 (a) "Covered entity" means the manufacturer of a covered
18 product that is sold in the state or offered for sale in the state.
19 "Covered entity" includes a wholesaler, supplier, or retailer that
20 is responsible for the labeling or packaging of a covered product.

21 (b) "Covered product" means a consumer product sold in the
22 state or offered for sale in the state that is either of the following:

23 (1) A premoistened nonwoven disposable wipe marketed as a
24 baby wipe or diapering wipe.

25 (2) A premoistened nonwoven disposable wipe that is both of
26 the following:

27 (A) Composed entirely of or in part of petrochemical-derived
28 fibers.

(B) Likely to be used in a bathroom and has significant potential to be flushed, including baby wipes, bathroom cleaning wipes, toilet cleaning wipes, hard surface cleaning wipes, disinfecting wipes, hand sanitizing wipes, antibacterial wipes, facial and makeup removal wipes, general purpose cleaning wipes, personal care wipes for use on the body, feminine hygiene wipes, adult incontinence wipes, adult hygiene wipes, and body cleansing wipes.

(c) “High contrast” means satisfying both of the following conditions:

(1) Is provided by either a light symbol on a solid dark background or a dark symbol on a solid light background.

(2) Has at least 70 percent contrast between the symbol artwork and background using the following formula:

(A) $(B1 - B2) / B1 * 100 = \text{contrast percentage}$.

(B) B1 = the light reflectance value of the lighter area and B2 = the light reflectance value of the darker area.

(d) (1) “Label notice” means the phrase “Do Not Flush” and the size of the label notice shall be equal to at least 2 percent of the surface area of the principal display panel in size.

(2) For covered products regulated pursuant to the Federal Hazardous Substances Act (15 U.S.C. Sec. 1261 et seq.) by the United States Consumer Product Safety Commission under Section 1500.121 of Title 16 of the Code of Federal Regulations, if the label notice requirements in paragraph (1) would result in a type size larger than first aid instructions pursuant to the Federal Hazardous Substances Act, then the type size for the label notice shall, to the extent permitted by federal law, be equal to or greater than the type size required for the first aid instructions.

(3) For covered products required to be registered by the United States Environmental Protection Agency under the Federal Insecticide, Fungicide, and Rodenticide Act (7 U.S.C. Sec. 136 et seq.), if the label notice requirements in paragraph (1) would result in a type size on the principal display panel larger than a warning pursuant to the Federal Insecticide, Fungicide, and Rodenticide Act, then the type size for the label notice shall, to the extent permitted by federal law, be equal to or greater than the type size required for the “keep out of reach of children” statement under the Federal Insecticide, Fungicide, and Rodenticide Act.

1 (e) (1) “Principal display panel” means the side of the product
2 package that is most likely to be displayed, presented, or shown
3 under customary conditions of display for retail sale.

4 (2) In the case of a cylindrical or nearly cylindrical package,
5 the surface area of the principal display panel constitutes 40 percent
6 of the product package as measured by multiplying the height of
7 the container by the circumference.

8 (3) In the case of a flexible film package in which a rectangular
9 prism or nearly rectangular prism stack of wipes is housed within
10 the film, the surface area of the principal display panel is measured
11 by multiplying the length by the width of the side of the package
12 when the flexible packaging film is pressed flat against the stack
13 of wipes on all sides of the stack.

14 (f) “Symbol” means the “Do Not Flush” symbol, or a gender
15 equivalent thereof, as depicted in the INDA/EDANA Code of
16 Practice Second Edition and published within “Guidelines for
17 Assessing the Flushability of Disposable Nonwoven Products,”
18 Edition 4, May 2018. The symbol shall be sized equal to at least
19 2 percent of the surface area of the principal display panel, except
20 as specified in clause (iii) of subparagraph (B) of paragraph (1) of
21 subdivision (a) of Section 49651.

22 49651. (a) Except as provided in subdivisions (b), (c), (d), and
23 (f), a covered product manufactured on or after July 1, 2022, shall
24 be labeled clearly and conspicuously in adherence with the
25 following labeling requirements:

26 (1) In the case of cylindrical or near cylindrical packaging
27 intended to dispense individual wipes, a covered entity shall
28 comply with one of the following options:

29 (A) Place the symbol and label notice on the principal display
30 panel in a location reasonably viewable each time a wipe is
31 dispensed.

32 (B) Place the symbol on the principal display panel, and either
33 the symbol or label notice, or the symbol and label notice in
34 combination, on the flip lid, subject to the following:

35 (i) If the label notice does not appear on the flip lid, the label
36 notice shall be placed on the principal display panel.

37 (ii) The symbol or label notice, or the symbol and label notice
38 in combination, on the flip lid may be embossed, and in that case
39 are not required to comply with paragraph (6).

1 (iii) The symbol or label notice, or the symbol and label notice
2 in combination, on the flip lid shall cover a minimum of 8 percent
3 of the surface area of the flip lid.

4 (2) In the case of flexible film packaging intended to dispense
5 individual wipes, a covered entity shall place the symbol on the
6 principal display panel and dispensing side panel and place the
7 label notice on either the principal display panel or dispensing side
8 panel in a prominent location reasonably visible to the user each
9 time a wipe is dispensed. If the principal display panel is on the
10 dispensing side of the package, two symbols are not required.

11 (3) In the case of refillable tubs or other rigid packaging intended
12 to dispense individual wipes and be reused by the consumer for
13 that purpose, a covered entity shall place the symbol and label
14 notice on the principal display panel in a prominent location
15 reasonably visible to the user each time a wipe is dispensed.

16 (4) In the case of packaging not intended to dispense individual
17 wipes, a covered entity shall place the symbol and label notice on
18 the principal display panel in a prominent and reasonably visible
19 location.

20 (5) A covered entity shall ensure the packaging seams, folds,
21 or other package design elements do not obscure the symbol or
22 the label notice.

23 (6) A covered entity shall ensure the symbol and label notice
24 have sufficiently high contrast with the immediate background of
25 the packaging to render it likely to be seen and read by the ordinary
26 individual under customary conditions of purchase and use.

27 (b) For covered products sold in bulk at retail, both the outer
28 package visible at retail and the individual packages contained
29 within shall comply with the labeling requirements in subdivision
30 (a) applicable to the particular packaging types, except the
31 following:

32 (1) Individual packages contained within the outer package that
33 are not intended to dispense individual wipes and contain no retail
34 labeling.

35 (2) Outer packages that do not obscure the symbol and label
36 notice on individual packages contained within.

37 (c) If a covered product is provided within the same packaging
38 as another consumer product for use in combination with the other
39 product, the outside retail packaging of the other consumer product

1 does not need to comply with the labeling requirements of
2 subdivision (a).

3 (d) If a covered product is provided within the same package
4 as another consumer product for use in combination with the other
5 product and is in a package smaller than three inches by three
6 inches, the covered entity may comply with the requirements of
7 subdivision (a) by placing the symbol and label notice in a
8 prominent location reasonably visible to the user of the covered
9 product.

10 (e) A covered entity, directly or through a corporation,
11 partnership, subsidiary, division, trade name, or association in
12 connection to the manufacturing, labeling, packaging, advertising,
13 promotion, offering for sale, sale, or distribution of a covered
14 product, shall not make any representation, in any manner,
15 expressly or by implication, including through the use of a product
16 name, endorsement, depiction, illustration, trademark, or trade
17 name, about the flushable attributes, flushable benefits, flushable
18 performance, or flushable efficacy of a covered product.

19 (f) (1) If a covered product is required to be registered by the
20 United States Environmental Protection Agency under the Federal
21 Insecticide, Fungicide, and Rodenticide Act (7 U.S.C. Sec. 136 et
22 seq.) and the Department of Pesticide Regulation under Division
23 6 (commencing with Section 11401) of the Food and Agricultural
24 Code, then the covered entity shall submit a label compliant with
25 the labeling requirements of subdivision (a) no later than January
26 1, 2023, to the United States Environmental Protection Agency,
27 and upon its approval, to the Department of Pesticide Regulation.

28 (2) If the United States Environmental Protection Agency or
29 the Department of Pesticide Regulation does not approve a product
30 label that otherwise complies with the labeling requirements of
31 subdivision (a), the covered entity shall use a label with as many
32 of the requirements of this section as the relevant agency has
33 approved.

34 (g) A covered entity may include on a covered product words
35 or phrases in addition to those required for the label notice if the
36 words or phrases are consistent with the purposes of this part.

37 49652. (a) The California Consumer Education and Outreach
38 Program is hereby established. As part of the program, covered
39 entities, in collaboration with other covered entities, shall do all
40 of the following:

1 (1) Participate in a collection study conducted in collaboration
2 with wastewater agencies for the purpose of gaining understanding
3 of consumer behavior regarding the flushing of covered products
4 as a key input into the design of a consumer education and outreach
5 program. The collection study shall be jointly coordinated by the
6 California Association of Sanitation Agencies and a group of
7 covered entities.

8 (2) Conduct a consumer opinion survey to identify baseline
9 consumer behavior and awareness regarding the flushing or other
10 disposal of covered products.

11 (3) Measure effectiveness of the consumer education program
12 on consumer awareness of the symbol and label notice and
13 consumer attitudes about disposal of covered products by
14 conducting a subsequent consumer awareness survey comparing
15 the baseline data provided by the 2022 survey with survey data
16 from subsequent years. The surveys to determine the effectiveness
17 and ongoing success of the consumer education program shall take
18 place annually until December 31, 2026.

19 (b) Covered entities, either independently or in collaboration
20 with other covered entities or other organizations, shall conduct a
21 comprehensive multimedia education and outreach program in the
22 state. At a minimum, the education and outreach program shall do
23 both of the following:

24 (1) Promote consumer awareness and understanding of and
25 compliance with the symbol and label notice requirements. Covered
26 entities shall provide wastewater agencies with the consumer
27 education messaging for the symbol and the label notice. The
28 wastewater agencies may include the messaging as part of their
29 routine communications with customers within their service area.

30 (2) Provide education and outreach in Spanish and English.

31 (c) Covered entities shall take reasonable steps to ensure that
32 they do not promote products outside of the scope of this part as
33 part of the education and outreach program.

34 (d) Covered entities shall take reasonable steps to ensure that
35 their education and outreach program does not conflict with the
36 programs of other covered entities or groups of covered entities.

37 (e) Covered entities, either independently or in collaboration
38 with other covered entities, shall report to the Senate Committee
39 on Environmental Quality, the Assembly Committee on
40 Environmental Safety and Toxic Materials, and the State Water

1 Resources Control Board on their activities under this section on
2 an annual basis. The State Water Resources Control Board shall
3 post the reports on its internet website.

4 (f) The California Consumer Education and Outreach Program
5 shall conclude on December 31, 2026.

6 (g) This section shall remain in effect only until January 1, 2027,
7 and as of that date is repealed.

8 49653. (a) A person who violates Section 49651 may be
9 enjoined in any court of competent jurisdiction.

10 (b) (1) A covered entity who violates Section 49651 may be
11 liable for a civil penalty not to exceed two thousand five hundred
12 dollars (\$2,500) per day, up to a maximum of one hundred
13 thousand dollars (\$100,000) for each violation. That civil penalty
14 may be assessed and recovered in a civil action brought in any
15 court of competent jurisdiction.

16 (2) In assessing the amount of a civil penalty for a violation of
17 Section 49651, the court shall consider all of the following:

18 (A) The nature, circumstances, extent, and gravity of the
19 violation.

20 (B) The violator's past and present efforts to prevent, abate, or
21 clean up conditions posing a threat to the public health or safety
22 or the environment.

23 (C) The violator's ability to pay the proposed penalty.

24 (D) The effect that the proposed penalty would have on the
25 violator and the community as a whole.

26 (E) Whether the violator took good faith measures to comply
27 with this part and when these measures were taken.

28 (F) The deterrent effect that the imposition of the penalty would
29 have on both the violator and the regulated community as a whole.

30 (G) Any other factor that justice may require.

31 (c) Actions may be brought pursuant to this section by the
32 Attorney General in the name of the people of the state, by a district
33 attorney, by a city attorney, by a county counsel, or by a city
34 prosecutor in a city or city and county having a full-time city
35 prosecutor.

36 (d) (1) Civil penalties collected pursuant to this section shall
37 be paid to the office of the city attorney, county counsel, city
38 prosecutor, district attorney, or Attorney General, whichever office
39 brought the action.

1 (2) Moneys collected by the Attorney General pursuant to this
2 subdivision shall be deposited into the Unfair Competition Law
3 Fund established pursuant to Section 17206 of the Business and
4 Professions Code.

5 49654. (a) The provisions of this part are severable. If any
6 provision of this part or its application is held invalid, that
7 invalidity shall not affect other provisions or applications that can
8 be given effect without the invalid provision or application.

9 (b) The Legislature finds and declares that this part addresses
10 a matter of statewide concern rather than a municipal affair as that
11 term is used in Section 5 of Article XI of the California
12 Constitution. Therefore, this part applies to all cities, including
13 charter cities. This part supersedes and preempts all rules,
14 regulations, codes, ordinances, and other laws adopted by a city,
15 county, city and county, municipality, or local agency regarding
16 the labeling of covered products.

Attachment 4

SB 230 (Portantino) - Fact Sheet, MWD Coalition Letter and Bill
Text

SB 230 (Portantino)

Statewide Constituents of Emerging Concern

Drinking Water Program

PROBLEM

Constituents of Emerging Concern (CECs) are a diverse group of chemicals and microorganisms that are not currently regulated in drinking water. They can be detected in very small amounts. Over the years, CECs have received growing public attention as potential pollutants in drinking water supplies. Yet, the full extent and risk of their presence is not well understood.

The Metropolitan Water District of Southern California and the California Municipal Utilities Association are co-sponsoring legislation in response to this growing issue that would establish a CEC Drinking Water Program at the State Water Resources Control Board (State Water Board). The program would set up a unified, consistent and science-based approach for assessing the public health and drinking water consequences of CECs, while identifying which CECs warrant further action.

BACKGROUND

Currently CECs can become regulated by the State Water Board in one of three ways: adoption of federal standards, after the Office of Environmental Health Hazard Assessment sets a public health goal, or by legislative mandate. In addition, the State Water Board can set notification levels and response levels as precautionary measures for contaminants that have not yet undergone or completed the regulatory standard setting process. All these processes have their own unique challenges and inefficiencies. The federal process relies upon the Contaminant Candidate List and the Unregulated Contaminant Monitoring Rule to identify and collect data on CECs -- this process can take several years before a final regulatory decision is made and may not focus on issues

specific to California. Similarly, the regulatory development process in California can be lengthy due to a lack of technical and financial resources. And while legislative approaches can address public concerns, they can be made without complete information on occurrence and health effects.

SUMMARY

Senate Bill 230 would require the State Water Board to establish and then maintain an ongoing, dedicated program for CECs to proactively improve the understanding of their occurrence and public health significance in drinking water sources. The state board would create a Science Advisory Panel to gather and develop information for the program. The bill would require the program to provide opportunities for public participation through periodic stakeholder meetings and workshops.

The bill would establish in the State Treasury the CEC Action Fund, which upon appropriation would be administered by the State Water Board. Monies in the fund could be used to establish and maintain the panel, collect occurrence data, develop standardized analytical methods to detect CECs, and support research to fill information gaps.

In addition, the bill authorizes the Board, upon appropriation to provide financial assistance to certain public water systems upon a showing that the costs of testing drinking water in compliance with this act would impose a financial hardship, with eligibility preference given to public water systems serving fewer than 10,000 individuals.

Office of Senator Anthony J. Portantino

SB 996– Fact Sheet

Contact: Tara McGee– (916) 651-4025 or Tara.McGee@sen.ca.gov

EXISTING LAW

The California Safe Drinking Water Act requires the State Water Board to administer provisions relating to drinking water to protect public health. The State Water Board's duties include, but are not limited to, conducting research, studies, and demonstration programs relating to the provision of a dependable and safe supply of drinking water, enforcing the Federal Safe Drinking Water Act, and adopting and enforcing regulation.

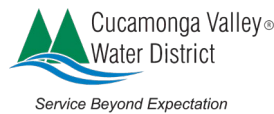
SUPPORT

California Municipal Utilities Association
(Sponsor)

Metropolitan Water District of Southern California (Sponsor)

Version: 1/19/2021





March 4, 2021

Senator Ben Allen
Chair, Senate Environmental Quality Committee
State Capitol, Room 2205
Sacramento, CA 95814

RE: SB 230 (Portantino): State Water Resources Control Board: Constituents of Emerging Concern Program - **SUPPORT**

Senate Environmental Quality Committee – March 15, 2021

Dear Senator Allen:

On behalf of the public agencies and business organizations noted below, we urge your support for SB 230 by Senator Anthony Portantino to establish a statewide program for Constituents of Emerging Concern in drinking water at the State Water Resources Control Board.

California has a well-established framework to set safe drinking water standards. However, that process takes time and considers chemicals one-by-one. A new and diverse group of synthetic and naturally occurring chemicals, as well as some microorganisms, called Constituents of Emerging Concern (CECs) may overwhelm the current process. Scientists can now detect thousands of CECs in trace amounts in drinking water, with little understanding of their occurrence and true public health risk. Understandably, as public concern grows about the potential public health risks of CECs such as microplastics or Per- and Polyfluoroalkyl substances (PFAS), state leaders have felt compelled to push for targeted legislative solutions to collect the necessary data and prioritize regulatory efforts.

Senate Bill 230 seeks to address this problem by creating a statewide CEC Program at the State Water Board. This program would identify, evaluate, and prioritize for action CECs in drinking water sources and proactively fill in information gaps. With this program, the State Water Board would form and direct a Science Advisory Panel to help prioritize CEC actions. The program would (1) identify the highest priority CECs; (2) bridge information gaps and coordinate scientific research; (3) remove barriers and improve timeliness for action on CECs, including identifying new, cost effective treatment technologies; and (4) solicit public input. The proposed legislation would be forward-looking and not interfere with any existing regulations or programs focused on CECs, including the process underway to regulate the two most common type of PFAS chemicals. Rather, it would seek to complement those programs and incorporate their recommendations where applicable.

For these reasons, we urge your AYE vote on SB 230 when the bill comes before the Senate Environmental Quality Committee. If you have questions or wish additional information on this measure, please contact Danielle Blacet-Hyden with California Municipal Utilities Association at (916) 847-8444 or Kathryn Viatella with Metropolitan Water District of Southern California at (619) 517-5823.

Sincerely,

Danielle Blacet-Hyden
Deputy Executive Director
California Municipal Utilities Association

Paul D. Jones II, P.E.
General Manager
Eastern Municipal Water District

Jeffrey Kightlinger
General Manager
Metropolitan Water District
of Southern California

Chris Berch
General Manager
Jurupa Community Services District

Nina Jazmadarian
General Manager
Foothill Municipal Water District

Mike Dunbar
General Manager
Emerald Bay Service District

Craig Miller
General Manager
Western Municipal Water District

Greg Thomas
General Manager
Elsinore Valley Municipal Water District

Mitchell S. Dion
Assistant General Manager
Pasadena Water & Power

Frank Martinez
President
Long Beach Board of Water Commissioners

Matthew Litchfield
General Manager
Three Valleys Municipal Water District

Jennifer West
Managing Director
WateReuse California

Todd Corbin
General Manager
City of Riverside Public Utilities

John Bosler, P.E.
General Manager/CEO
Cucamonga Valley Water District

Jeremy Harris
President & CEO
Long Beach Area Chamber of Commerce

Eileen Hupp
President & CEO
Palos Verdes Peninsula Chamber of Commerce

Thomas A. Love
General Manager
Upper San Gabriel Valley Municipal
Water District

Donna Duperron
President & CEO
Torrance Area Chamber of Commerce

Elise Swanson
Chair
South Bay Association of Chambers
of Commerce

Tracy Hernandez
Founding CEO
BizFed

David W. Pedersen, P.E.
General Manager
Las Virgenes Municipal Water District

Sue Mosburg
Executive Director
CA-NV AWWA

Jessica Lall
President & CEO
Central City Association of Los Angeles

Cindy Spindle
CEO
Garden Grove Chamber of Commerce

Dominik Knoll
President/CEO
Redondo Beach Chamber of Commerce

Shivaji Deshmukh, P.E.
General Manager
Inland Empire Utilities Agency

Kelly Stroman
President/CEO
Manhattan Beach Chamber of Commerce

Christina V. Davis
President/CEO
LAX Coastal Chamber of Commerce

cc: Members Senate Environmental Quality Committee
Gabrielle Meindl, Chief Consultant, Senate Environmental Quality Committee
Scott Seekatz, Consultant, Republican Caucus

Introduced by Senator Portantino

January 19, 2021

An act to add Article 3.6 (commencing with Section 116416) to Chapter 4 of Part 12 of Division 104 of the Health and Safety Code, relating to drinking water.

LEGISLATIVE COUNSEL'S DIGEST

SB 230, as introduced, Portantino. State Water Resources Control Board: Constituents of Emerging Concern Program.

Existing law, the California Safe Drinking Water Act, requires the State Water Resources Control Board to administer provisions relating to the regulation of drinking water to protect public health. The state board's duties include, but are not limited to, conducting research, studies, and demonstration programs relating to the provision of a dependable and safe supply of drinking water, enforcing the federal Safe Drinking Water Act, and adopting and enforcing regulations.

This bill would require the state board to establish, maintain, and direct an ongoing, dedicated program called the Constituents of Emerging Concern Program to assess the state of information and recommend areas for further study on, among other things, the occurrence of constituents of emerging concern (CEC) in drinking water sources and treated drinking water. The bill would require the state board to convene, by an unspecified date, the Science Advisory Panel to review and provide recommendations to the state board on CEC for further action, among other duties. The bill would require the state board to provide an annual report to the Legislature on the ongoing work conducted by the panel.

The bill would establish in the State Treasury the CEC Action Fund and would require moneys in the fund to be used, upon appropriation

by the Legislature, for costs associated with implementing and administering the program, as specified.

The bill would authorize the state board, upon appropriation by the Legislature, to provide financial assistance to certain public water systems upon a showing that the costs of testing drinking water in compliance with CEC monitoring requirements based on the recommendations of the panel would impose a financial hardship.

The bill would impose requirements on the state board in connection with the program, including, among others, maintaining a program internet website and making relevant research, reports, and data available to the public.

Vote: majority. Appropriation: no. Fiscal committee: yes.
State-mandated local program: no.

The people of the State of California do enact as follows:

- 1 SECTION 1. The Legislature finds and declares all of the
- 2 following:
- 3 (a) The United States Environmental Protection Agency
- 4 identifies potential contaminants through the federal Unregulated
- 5 Contaminant Monitoring Rule program.
- 6 (b) California adopts federally required monitoring from the
- 7 federal Unregulated Contaminant Monitoring Rule program.
- 8 (c) California establishes drinking water standards through the
- 9 State Water Resources Control Board, after the Office of
- 10 Environmental Health Hazard Assessment establishes a public
- 11 health goal.
- 12 (d) California administratively establishes notification levels
- 13 and response levels as precautionary measures for contaminants
- 14 that have not yet undergone or completed the regulatory standard
- 15 setting process.
- 16 (e) The process to identify, monitor, and consider a contaminant
- 17 for regulation may take many years.
- 18 (f) Analytical methods and technologies continue to advance
- 19 and allow detection of compounds at increasingly lower levels.
- 20 (g) The public's concern and engagement with constituents of
- 21 emerging concern has increased in recent years.
- 22 (h) The Legislature has implemented separate requirements for
- 23 certain chemicals.

1 (i) A unified, consistent, and science-based framework is desired
2 to more rapidly assess the public health and drinking water
3 consequences of a broad spectrum of constituents of emerging
4 concern.

5 (j) Proactive measures to support existing regulatory processes
6 are needed without interfering with or duplicating other state efforts
7 on constituents of emerging concern.

8 (k) Paragraph (1) of subdivision (b) of Section 116350 of the
9 Health and Safety Code gives the State Water Resources Control
10 Board the responsibility to conduct research relating to the
11 provision of a dependable, safe supply of drinking water.

12 (l) A Constituents of Emerging Concern Action Fund should
13 be established to maintain a program to improve the timeliness of
14 understanding the occurrence and public health effects of
15 constituents of emerging concern and to support the creation of a
16 science advisory panel to assist the State Water Resources Control
17 Board in its considerations for prioritizing and making regulatory
18 determinations for constituents of emerging concern.

19 SEC. 2. Article 3.6 (commencing with Section 116416) is
20 added to Chapter 4 of Part 12 of Division 104 of the Health and
21 Safety Code, to read:

22
23 Article 3.6. Constituents of Emerging Concern Program
24

25 116416. For purposes of this article, the following definitions
26 apply:

27 (a) “CEC” means a constituent or constituents of emerging
28 concern.

29 (b) “Panel” means the Science Advisory Panel convened
30 pursuant to Section 116418.

31 (c) “Program” means the Constituents of Emerging Concern
32 Program established pursuant to Section 116417.

33 116417. (a) The state board shall establish, maintain, and direct
34 an ongoing, dedicated program called the Constituents of Emerging
35 Concern Program to assess the state of information and recommend
36 areas for further study on all of the following:

37 (1) The occurrence of CEC in drinking water sources and treated
38 drinking water.

39 (2) Fate, transport, and biodegradation of CEC.

40 (3) Water treatment and laboratory analyses.

1 (4) The potential effects on public health of CEC in drinking
2 water sources and treated drinking water.

3 (b) Nothing in this article limits the state board's existing
4 authority to act on CEC.

5 116418. (a) The state board shall convene by ____ the Science
6 Advisory Panel for CEC in drinking water sources and treated
7 drinking water.

8 (b) The panel shall include at least seven members comprised
9 of experts from the fields of public health sciences, water and
10 wastewater engineering, toxicology, epidemiology, chemical
11 sciences, and biological sciences.

12 (c) The panel shall review and provide recommendations to the
13 state board on CEC for further action in accordance with Section
14 116419.

15 (d) The state board may adjust panel membership numbers and
16 composition, as necessary.

17 (e) The panel is not subject to Section 116725 or 116730.

18 116419. (a) The panel's advisory duties may include all of the
19 following activities at the state board's request, in consultation, as
20 needed, with the Office of Environmental Health Hazard
21 Assessment and the Department of Toxic Substances Control:

22 (1) Review existing data for CEC collected by the state board
23 and nationwide by the United States Environmental Protection
24 Agency's Unregulated Contaminant Monitoring Rule program and
25 recommend to the state board further actions based on state-specific
26 conditions and the state's CEC initiatives.

27 (2) Identify CEC candidates based on potential public health
28 effects.

29 (3) Incorporate recommendations from other ongoing state
30 efforts evaluating CEC.

31 (4) Evaluate and recommend a framework for standardizing
32 and validating detection methods, new screening methods,
33 monitoring approaches, and reporting procedures for CEC.

34 (5) Recommend a framework for a risk-based screening program
35 for CEC and appropriate indicators and surrogates that consider
36 their occurrence in drinking water sources and treated drinking
37 water supplies, contribution and fate in the environment, and
38 potential for human exposure.

39 (6) Recommend a process to ensure CEC data is integrated with
40 existing state databases.

1 (7) Review the results of any screening program and provide
2 recommendations to assist the state board in prioritizing,
3 monitoring, and making regulatory determinations for CEC.

4 (b) The state board shall provide an annual report to the
5 Legislature in compliance with Section 9795 of the Government
6 Code on the ongoing work conducted by the panel. The state board
7 shall complete a public review of an annual report before
8 submitting it to the Legislature.

9 (c) Nothing in this section duplicates, changes, or interferes
10 with the state board's ongoing efforts on CEC in recycled water.

11 116420. If the state board imposes CEC monitoring
12 requirements based on the recommendations of the panel, the state
13 board may provide financial assistance, upon appropriation by the
14 Legislature for this purpose, to a public water system upon a
15 showing that the costs associated with testing drinking water in
16 compliance with those requirements would impose a financial
17 hardship. These funds shall be dedicated for use by public water
18 systems serving fewer than 10,000 individuals and located in
19 disadvantaged communities.

20 116421. The Legislature finds and declares that the program
21 is intended to help inform the state board in making regulatory
22 determinations for CEC and is not intended to supersede any
23 requirements related to setting a maximum contaminant level or
24 a public health goal as prescribed in Section 116365.

25 116422. (a) The CEC Action Fund is hereby established in
26 the State Treasury. The state board shall administer the CEC Action
27 Fund.

28 (b) All moneys deposited in the CEC Action Fund shall be used,
29 upon appropriation by the Legislature, in support of all of the
30 following:

31 (1) Costs associated with establishing and maintaining the panel,
32 developing standardized methods and a risk-based screening
33 program, collecting occurrence data, and reporting on those
34 activities.

35 (2) Costs associated with developing standardized analytical
36 methods internally by the state board or through external contracts
37 or grants.

38 (3) Costs associated with contracts or grants to public or private
39 external research organizations to fill research gaps.

1 (4) Other state board costs associated with implementing and
2 administering the program.

3 (c) The state board shall provide for the deposit into the CEC
4 Action Fund of federal contributions, voluntary contributions,
5 gifts, grants, bequests, transfers by the Legislature from the General
6 Fund, and funding from authorized general obligation bond acts.
7 All moneys remitted to the state board pursuant to this section
8 shall be deposited in the CEC Action Fund.

9 116423. (a) The program shall provide opportunities for public
10 participation. The state board may use models used by other panels
11 or programs administered by the state board for community and
12 stakeholder outreach pursuant to this section. Public participation
13 shall include, but not be limited to, conducting periodic stakeholder
14 meetings and workshops to solicit relevant information, data,
15 suggestions, and feedback for the development and implementation
16 of the program.

17 (b) The state board shall maintain a program internet website
18 and make relevant research, reports, and data available to the
19 public.

20 (c) The state board shall provide an annual program update, as
21 an informational item, at a regularly noticed meeting of the state
22 board.

Attachment 5

SB 273 (Hertzberg) - Fact Sheet, IEUA Letter of Support,
Joint Coalition Letter (CASA, CMUA, ACWA) and Bill Text



Senate Bill 273

Stormwater Capture and Diversion Authority

Introduced on January 29, 2021

SUMMARY

SB 273 authorizes municipal wastewater agencies to enter into voluntary agreements with entities responsible for stormwater management – including municipal, industrial, and commercial stormwater dischargers – to more effectively manage stormwater and dry weather runoff.

ISSUE

From 2012 to 2016, California experienced the most severe drought on record. The historic event provided a window into the looming challenges facing California’s entire water sector. Climate projections show that extremely high temperatures, coupled with times of heavy precipitation, will become even more common. In order to meet the challenges of an unpredictable and water-scare future, a diversified and flexible water portfolio is essential.

Stormwater is a highly valuable resource that, if carefully managed, can augment state and local water supply. The capture and treatment of stormwater and dry weather runoff has significant environmental benefits, and can be used to augment recycled water supplies for groundwater recharge, landscape and agricultural irrigation, and surface water augmentation. While local governments would benefit from utilizing innovative approaches to stormwater capture and reuse, they often face several barriers to funding and maintaining stormwater projects.

Municipal wastewater agencies, responsible for sewage and industrial wastes, are equipped with the existing infrastructure, capacity, and water quality expertise that could assist with gaps in stormwater management. However, current law grants explicit stormwater capture authority to a just few specific municipal wastewater agencies that have sought the explicit ability to do so through piecemeal legislation.

Recognizing the need for action, the Newsom Administration’s Water Resiliency Proposal, released in July 2020, specifically recommends providing statewide authority for wastewater facilities to accept stormwater and incentivize stormwater permittees to divert their captured stormwater at times when wastewater facilities have the capacity to accept such diversions.¹

SB 273 (Hertzberg)

SB 273 supplements the existing authority of all municipal wastewater agencies to enter into projects to divert and treat stormwater and dry weather runoff. Any agreement, project, or use of this authority is completely voluntary for all entities involved. The bill will therefore promote regional interagency cooperation, improve water quality, and make efficient use of publicly owned infrastructure by removing onerous barriers that prevent stormwater capture, treatment and recycling.

SUPPORT

California Association of Sanitation Agencies (Sponsor)

¹ California Department of Water Resources, *California Water Resilience Portfolio*, July 2020, Page 20.



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March 3, 2021

The Honorable Mike McGuire, Chair
Senate Governance and Finance Committee
State Capitol, Room 408
Sacramento, CA 95814

RE: SB 273 (Hertzberg): Water Quality: Municipal Wastewater Agencies - **Support**

Dear Senator McGuire,

The Inland Empire Utilities Agency (IEUA) supports SB 273, which would authorize any wastewater treatment entity in California to undertake voluntary programs and projects to divert and treat industrial, commercial, and municipal stormwater and dry weather runoff. IEUA is a regional wholesale distributor of imported water from the Metropolitan Water District of Southern California (MWD) and wastewater treatment provider, serving approximately 875,000 people over 242 square miles in western San Bernardino County. IEUA operates regional water-recycling facilities with the capacity to treat approximately 50 million gallons of wastewater per day, providing high-quality recycled water that is available to recharge the Chino Basin and for non-potable direct uses, such as landscape irrigation.

Wastewater treatment in California is provided by a variety of types of governmental entities based on differing community needs and history. Each of these types of districts has specific statutory authority that governs wastewater service in their communities.

As California's demand for potable water continues to increase, so too does the desire to offset the use of potable water with other sources of underutilized supply, such as stormwater and recycled water. In recent years, some municipalities with stormwater management responsibility have turned to their wastewater treatment providers to assist with stormwater discharge obligations given their existing treatment systems and expertise. Where projects like these are viable, local control and voluntary agreements can provide mutually beneficial arrangements to jointly achieve local water quality objectives, and in the right circumstances, can supplement local recycled water supply.

Water Smart - Thinking in Terms of Tomorrow

Jasmin A. Hall
President

Michael E. Camacho
Vice President

Steven J. Elie
Secretary/Treasurer

Paul Hofer
Director

Marco Tule
Director

Shivaji Deshmukh
General Manager

SB 273 would extend the authority to voluntarily enter into projects involving the diversion and treatment of stormwater or dry weather runoff to all wastewater providers in California. Furthermore, it would ensure that exercise of this authority requires full voluntary agreement between all of the governmental entities involved in a proposed project, and affords the protocols and protections required by existing law for these kinds of projects (including existing ratepayer processes). Together, we believe this legislation promotes regional water management innovation and creates an additional tool for local control.

For these reasons we strongly support SB 273 and request your “aye” vote when it’s heard in the Senate Governance and Finance Committee.

Please contact Kathy Besser, Executive Manager of External and Government Affairs/Assistant General Manager, at kbesser@ieua.org or 909-993-1638 if you have any questions or would like additional information.

Sincerely,
INLAND EMPIRE UTILITIES AGENCY



Shivaji Deshmukh, P.E.
General Manager



CMUA
CALIFORNIA MUNICIPAL UTILITIES
ASSOCIATION



February 19, 2021

The Honorable Mike McGuire, Chair
Senate Governance and Finance Committee
State Capitol, Room 408
Sacramento, CA 95814

RE: SB 273 (Hertzberg): Support

Dear Senator McGuire,

The California Association of Sanitation Agencies (CASA) and undersigned organizations strongly support SB 273, which would authorize any wastewater treatment entity in California to undertake voluntary programs and projects to divert and treat industrial, commercial, and municipal stormwater and dry weather runoff. CASA is statewide trade association representing a variety of public agencies that provide essential public services to millions of Californians, including wastewater collection, treatment, and disposal, as well as water recycling, biosolids management, and renewable energy deployment.

Wastewater treatment in California is provided by a variety of types of governmental entities based on differing community needs and history. Our members include cities, counties, joint powers authorities, and a variety of independent and dependent special districts such as municipal utility districts, water districts, community services districts, sanitation districts, sanitary districts, and county sanitation districts. Each of these types of districts has specific statutory authority that governs the provision of wastewater service in their communities.

For a very long time (and for good reason) wastewater and stormwater have been managed through separate systems in the vast majority of California jurisdictions. Wastewater is managed by the wastewater provider through the sanitary sewer systems, and stormwater is managed by municipalities under a separate permit in a stormwater system. One of the reasons this has historically been the case is to ensure the large influx of stormwater during major wet weather events doesn't overwhelm the sanitary sewer system, which can produce overflows and threaten the system's ability to protect water quality through effective treatment.

As California's demand for potable water continues to increase, so too does the desire to offset the use of potable water with other sources of underutilized supply, such as stormwater and recycled water. Additionally, there has been a significant regulatory focus in recent years on the water quality implications associated with stormwater discharges, and accordingly, regulatory requirements have evolved to limit the introduction of pollutants from stormwater into receiving waters. Compliance with the regulatory requirements for stormwater discharges, which falls on industrial sites and local governments, can be quite burdensome.

At the same time, many communities are beginning to embrace the "one-water" approach to water management and are considering their best options for optimizing water quality and supply in their watersheds. In recent years, some municipalities with stormwater management responsibility have turned to their wastewater treatment providers to assist with stormwater discharge obligations given their existing treatment systems and expertise. Where projects like these are viable, local control and voluntary agreements

can provide mutually beneficial arrangements to jointly achieve local water quality objectives, and in the right circumstances, can supplement local recycled water supply.

However, some types of special districts are arguably limited in their authorizing acts to providing only wastewater treatment and disposal and could be precluded from entering into projects involving the diversion and treatment of stormwater or dry weather runoff. While this limitation has never prompted a legal challenge, it has resulted in several wastewater districts seeking explicit legislative approval to enter into projects of this nature (SB 485 (Hernandez, Chapter 678, Statutes of 2015), AB 810 (Campbell, Chapter 209, Statutes of 2001), and AB 1892 (Harman, Chapter 79, Statutes of 2002). Notably, the Governor's Water Resilience Portfolio explicitly proposes the approach outlined in this bill as a priority action for supporting cities and counties to make stormwater a growing share of their supply (Proposal 5.4).

SB 273 would extend the authority to voluntarily enter into projects involving the diversion and treatment of stormwater or dry weather runoff to all wastewater providers in California. Furthermore, it would ensure that exercise of this authority requires full voluntary agreement between all of the governmental entities involved in a proposed project, and affords the protocols and protections required by existing law for these kinds of projects (including existing ratepayer processes). Together, we believe this legislation promotes regional water management innovation and creates an additional tool for local control.

For these reasons we strongly support SB 273 and we respectfully request your "aye" vote when it is heard in the Senate Governance and Finance Committee.

Sincerely,



Jessica Gauger
Director of Legislative Advocacy
California Association of Sanitation Agencies



Danielle Blacet-Hyden
Deputy Executive Director
California Municipal Utilities Association



Julia Hall
Senior Legislative Advocate
Association of California Water Agencies

CC: Tammy Trinh, Office of Senator Hertzberg

Introduced by Senator Hertzberg

January 29, 2021

An act to add Chapter 11.5 (commencing with Section 13910) to Division 7 of the Water Code, relating to water quality.

LEGISLATIVE COUNSEL'S DIGEST

SB 273, as introduced, Hertzberg. Water quality: municipal wastewater agencies.

Under existing law, the State Water Resources Control Board and the California regional water quality control boards prescribe waste discharge requirements for the discharge of stormwater by municipalities and industries in accordance with the National Pollutant Discharge Elimination System permit program and the Porter-Cologne Water Quality Control Act. Existing law requires regulated municipalities and industries to obtain a stormwater permit.

The Cortese-Knox-Hertzberg Local Government Reorganization Act of 2000 provides the authority and procedure for the initiation, conduct, and completion of changes of organization, reorganization, and sphere of influence changes for cities and districts, as specified.

This bill would authorize a municipal wastewater agency, as defined, to enter into agreements with entities responsible for stormwater management for the purpose of managing stormwater and dry weather runoff, to acquire, construct, expand, operate, maintain, and provide facilities for specified purposes relating to managing stormwater and dry weather runoff, and to levy taxes, fees, and charges consistent with the municipal wastewater agency's existing authority in order to fund projects undertaken pursuant to the bill. The bill would require the exercise of any new authority granted under the bill to comply with the Cortese-Knox-Hertzberg Local Government Reorganization Act of

2000. To the extent this requirement would impose new duties on local agency formation commissions, the bill would impose a state-mandated local program.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that, if the Commission on State Mandates determines that the bill contains costs mandated by the state, reimbursement for those costs shall be made pursuant to the statutory provisions noted above.

Vote: majority. Appropriation: no. Fiscal committee: yes.
State-mandated local program: yes.

The people of the State of California do enact as follows:

1 SECTION 1. Chapter 11.5 (commencing with Section 13910)
2 is added to Division 7 of the Water Code, to read:

3
4 CHAPTER 11.5. MUNICIPAL WASTEWATER AGENCIES

5
6 13910. The Legislature finds and declares all of the following:

7 (a) Fostering regional cooperative efforts to improve water
8 quality and local sustainable water supply is fundamental for
9 developing California's 21st century water portfolio.

10 (b) Stormwater capture, treatment, and use as a water supply is
11 increasingly viewed as an innovative opportunity to improve water
12 quality where it is viable and economically feasible.

13 (c) Municipal wastewater agencies have existing infrastructure,
14 capacity, and expertise that could be used to assist in meeting the
15 state's water quality and water supply goals when circumstances
16 allow, while allowing wastewater agencies to still meet their
17 primary goals of meeting water quality requirements for wastewater
18 discharge and avoiding sanitary sewer overflows. However, in
19 some circumstances municipal wastewater agencies may need
20 explicit legislative authority before they can pursue certain types
21 of projects.

22 (d) In order to promote regional interagency cooperation,
23 improve the quality of the waters of the state, and make efficient
24 use of publicly owned infrastructure, it would be beneficial if
25 municipal wastewater agencies had the authority to enter into

1 voluntary agreements for stormwater projects in the future, where
2 cost effective and regionally suitable.

3 13911. (a) A municipal wastewater agency may enter into
4 agreements with entities responsible for stormwater management,
5 including, but not limited to, municipal, industrial, and commercial
6 stormwater dischargers subject to this division, for the purpose of
7 managing stormwater and dry weather runoff.

8 (b) A municipal wastewater agency may acquire, construct,
9 expand, operate, maintain, and provide facilities for any of the
10 following purposes:

11 (1) The diversion of stormwater and dry weather runoff from
12 the stormwater system to the wastewater collection or treatment
13 system.

14 (2) The management and treatment of stormwater and dry
15 weather runoff.

16 (3) The discharge of treated urban runoff and stormwater to the
17 stormwater drainage system or receiving waters.

18 (4) The beneficial reuse of captured urban runoff and
19 stormwater.

20 13912. (a) A municipal wastewater agency may do any of the
21 following:

22 (1) To the extent permitted by federal law, authorize the
23 discharge of stormwater or dry weather runoff captured at industrial
24 and commercial sites to the wastewater collection or treatment
25 system subject to any requirements that may be imposed by the
26 municipal wastewater agency or public agency that owns and
27 operates the tributary collection system.

28 (2) In order to carry out the powers granted, and the purposes
29 established, under this chapter, exercise any of the powers
30 otherwise granted to it by law, including, but not limited to,
31 enforcing compliance with local, state, and federal water quality
32 requirements through the implementation of the municipal
33 wastewater agency's industrial pretreatment programs and ensuring
34 that the project or program is consistent with local watershed
35 priorities, obligations, and circumstances.

36 (3) Levy taxes, fees, and charges consistent with the municipal
37 wastewater agency's existing authority in order to fund projects
38 undertaken pursuant to this chapter.

39 (b) The exercise of any new authority granted under this chapter
40 is subject to and shall comply with the Cortese-Knox-Hertzberg

1 Local Government Reorganization Act of 2000 (Division 3
2 (commencing with Section 56000) of Title 5 of the Government
3 Code).

4 13913. (a) An agreement, project, or use of authority
5 authorized under this chapter shall be completely voluntary for all
6 participating entities.

7 (b) Nothing in this chapter shall be construed to interfere with
8 any existing programs or projects, authorities, or obligations for
9 municipal wastewater agencies or stormwater dischargers.

10 (c) It is the intent of the Legislature in enacting this chapter
11 merely to allow local agencies interested in pursuing the types of
12 projects described in this chapter to proceed without additional
13 legislative changes to their authorizing statutes.

14 13914. Nothing in this chapter shall be construed to alter or
15 interfere with any of the following:

16 (a) Existing water rights to water from any source, including
17 any adjudicated rights allocated by a court judgment or order,
18 including any physical solution, rights issued by the state or a state
19 agency, and rights acquired pursuant to any federal or state statute.

20 (b) Existing water rights law.

21 (c) Any rights, remedies, or obligations that may exist pursuant
22 to Article 1 (commencing with Section 1200) or Article 1.5
23 (commencing with Section 1210) of Chapter 1 of Part 2 of Division
24 2 of this code, Chapter 10 (commencing with Section 1700) of
25 Part 2 of Division 2 of this code, or Chapter 8.5 (commencing with
26 Section 1501) of Part 1 of Division 1 of the Public Utilities Code.

27 13915. For purposes of this chapter, the following definitions
28 apply:

29 (a) “Local agency” includes, but is not limited to, a city, county,
30 special district, joint powers authority, sanitary district, sanitation
31 district, county sanitation district, community services district, and
32 municipal utility district.

33 (b) “Municipal wastewater agency” means a local agency that
34 chooses to exercise any authority granted under this chapter.

35 SEC. 2. If the Commission on State Mandates determines that
36 this act contains costs mandated by the state, reimbursement to
37 local agencies and school districts for those costs shall be made

1 pursuant to Part 7 (commencing with Section 17500) of Division
2 4 of Title 2 of the Government Code.

O

**CONSENT
CALENDAR
ITEM**

2E

Date: March 17, 2021

To: The Honorable Board of Directors

From: Shivaji Deshmukh, General Manager

Committee: Community & Legislative Affairs

03/10/21

Executive Contact: Kathy Besser, Executive Manager of Government & Ext. Affairs/AGM

Subject: Adopt Position of Support for H.R. 535/S. 91
Special Districts Provide Essential Services Act

Executive Summary:

In 2020, Rep. John Garamendi (CA-03) introduced the Special Districts Provide Essential Services Act in response to special districts being ineligible to receive direct federal financial assistance under the "Coronavirus Relief Fund" that was established in the CARES Act. This bill was not considered during the 116th Congress, so he reintroduced it and is now H.R. 535. Senator Kyrsten Sinema (AZ) has introduced companion legislation, S. 91, in the U.S. Senate.

H.R. 535/S. 91 would ensure that California's 2,700 special districts, along with more than 30,000 nationwide, are eligible for any additional federal funding that is made available through future legislation. Special districts provide important infrastructure, first response and other critical local services for millions of residents across the State, and have been financially strained due to COVID-19.

By adopting a position of "Support," IEUA will be able to work with the California Special Districts Association and other special districts on incorporating this language into future pandemic relief packages. Last year, the IEUA Board voted to take a "Support" position on this bill.

Staff's Recommendation:

Adopt a position of "Support" for H.R. 535/S. 91, the Special Districts Provide Essential Services Act.

Budget Impact *Budgeted (Y/N): Y Amendment (Y/N): Y Amount for Requested Approval:*

Account/Project Name:

Fiscal Impact (explain if not budgeted):

Prior Board Action:

In August 2020, the IEUA Board voted to take a position of "Support" on this bill.

Environmental Determination:

Not Applicable

Business Goal:

Approving a position of "Support" for H.R. 535/S. 91 is in line with IEUA's business goal of advocating for development of policies, legislation and regulations that benefit the region.

Attachments:

Attachment 1 - Background

Attachment 2 - HR 535, as introduced.

Background

Subject: Adopt Position of “Support” for H.R. 535/S. 91, the Special Districts Provide Essential Services Act

Under the guidance of federal health officials, in mid-March 2020 state governments responded to the COVID-19 pandemic by enacting stay-at-home orders and mandating the closure of many businesses and non-essential services. The resulting economic decline has impacted not only business owners, but also local governments and special districts.

Since the beginning of the COVID-19 pandemic, four federal stimulus bills have been signed into law that provide federal financial assistance to state, county and local governments affected by the pandemic. However, special districts are ineligible to receive funding from the “Coronavirus Relief Fund” that was established in the first stimulus package.

Last year, Congressman John Garamendi introduced H.R. 7073, the Special Districts Provide Essential Services Act, to provide special districts with access to the Coronavirus Relief Fund for any new funding appropriated by Congress. IEUA’s Community and Legislative Affairs Committee and the Board voted to take a “Support” position on this bill. This bill was not considered before the end of the 116th Congress, so Congressman Garamendi has reintroduced the bill. Like last year, Senator Kyrsten Sinema (AZ) has introduced companion legislation in the U.S. Senate.

Specifically, this legislation would establish a federal definition of “special district,” allow special districts access to future Coronavirus Relief Fund allocations, and designate special districts as “eligible issuers” of the Federal Reserve Board’s Municipal Liquidity Facility.

IEUA believes these provisions would bring much needed relief to the Agency and the special districts within IEUA’s service area. This legislation would provide IEUA with proportional access to federal relief resources that would help the Agency confront the impacts of COVID-19 and account for associated unbudgeted expenses and revenue losses.

With the Board’s decision in 2020 to defer all rates for one year to help mitigate the fiscal impact to ratepayers as a result of COVID-19, the Agency’s Fiscal Year 2020-21 budget is expected to have a \$4.1 million revenue shortfall, which will be managed by delaying implementation of projects and expenses that can be suspended in the short-term. Without knowing how long this pandemic will continue to alter the way business is conducted, ongoing declining revenue could cause project delays and deferred maintenance that are essential services of the Agency.

As Congress continues to negotiate the next pandemic relief package to respond to COVID-19’s impacts to state and local government budgets, the California Special Districts Association is supporting the inclusion of the provisions of the Special Districts Provide Essential Services Act to be incorporated into all future legislation to ensure special districts have access to critical resources.

117TH CONGRESS
1ST SESSION

H. R. 535

To amend the Social Security Act to include special districts in the coronavirus relief fund, to direct the Secretary to include special districts as an eligible issuer under the Municipal Liquidity Facility, and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

JANUARY 28, 2021

Mr. GARAMENDI (for himself, Mrs. DEMINGS, Mr. KILMER, Ms. LEE of California, Mrs. NAPOLITANO, Mr. RUSH, Mr. PANETTA, Ms. BROWNLEY, Mr. BERA, Ms. KELLY of Illinois, Mr. DEFazio, Mr. CRIST, Mr. DOGGETT, Ms. ESHOO, Mr. COSTA, Mr. TAKANO, Mr. CARBAJAL, Mr. PETERS, Mr. DESAULNIER, Mr. MCNERNEY, Mr. LOWENTHAL, and Mr. THOMPSON of California) introduced the following bill; which was referred to the Committee on Oversight and Reform, and in addition to the Committee on Financial Services, for a period to be subsequently determined by the Speaker, in each case for consideration of such provisions as fall within the jurisdiction of the committee concerned

A BILL

To amend the Social Security Act to include special districts in the coronavirus relief fund, to direct the Secretary to include special districts as an eligible issuer under the Municipal Liquidity Facility, and for other purposes.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

1 **SECTION 1. SHORT TITLE.**

2 This Act may be cited as the “Special Districts Pro-
3 vide Essential Services Act”.

4 **SEC. 2. INCLUSION OF SPECIAL DISTRICTS IN THE**
5 **CORONAVIRUS RELIEF FUND.**

6 (a) IN GENERAL.—Section 601(a) of the Social Secu-
7 rity Act (42 U.S.C. 801(a)) is amended by adding at the
8 end the following new paragraph:

9 “(3) FUNDS FOR SPECIAL DISTRICTS.—If an
10 amount in excess of \$150,000,000,000 is appro-
11 priated for payments made under this section, spe-
12 cial districts shall be eligible for payments from the
13 portion of such excess amount paid to States in ac-
14 cordance with subsection (c)(6).”.

15 (b) AMOUNT FOR SPECIAL DISTRICTS.—Section
16 601(c) of the Social Security Act (42 U.S.C. 801(c)) is
17 amended—

18 (1) by redesignating paragraphs (6) through
19 (8) as paragraphs (8) through (10), respectively;
20 and

21 (2) by inserting after paragraph (5) the fol-
22 lowing new paragraphs:

23 “(6) SPECIAL DISTRICTS.—

24 “(A) IN GENERAL.—If a portion of any ex-
25 cess amount described in subsection (a)(3) is
26 paid to a State, the State shall allocate at least

1 5 percent of such portion for distributing pay-
2 ments to special districts in the State that sub-
3 mit to the Governor of the State or the entity
4 designated by the Governor to distribute such
5 payments (referred to in this paragraph as the
6 ‘designated payment entity’) a request for a
7 payment during the COVID–19 emergency and
8 information described in subparagraph (B)
9 demonstrating the need for the payment, which
10 the Governor of the State or the designated
11 payment entity has determined, on the basis of
12 a good faith effort, is accurate.

13 “(B) INFORMATION DESCRIBED.—Infor-
14 mation described in this subparagraph is writ-
15 ten documentation demonstrating with respect
16 to a comparable period before the COVID–19
17 emergency that the special district has experi-
18 enced or is likely to experience during the
19 COVID–19 emergency—

20 “(i) reduced revenue or operational
21 funding derived from provided services,
22 taxes, fees, or other sources of revenue;

23 “(ii) reduced indirect funding from
24 the Federal Government, the State, or a

1 unit of general government below the State
2 level; or

3 “(iii) as a result of the COVID–19
4 emergency, increased expenditures nec-
5 essary to continue operations.

6 “(C) AMOUNT OF PAYMENT.—

7 “(i) IN GENERAL.—Subject to clauses
8 (ii) and (iii), the amount of the payment
9 for a special district shall be determined by
10 the Governor or the State or the des-
11 ignated payment entity, taking into consid-
12 eration the extent of a projected budget
13 shortfall for the special district during the
14 COVID–19 emergency and the need of the
15 special district to supplement projected
16 revenue.

17 “(ii) LIMITATION.—Except as pro-
18 vided in clause (iii), the amount paid to a
19 special district shall not exceed the amount
20 of expenditures made by the special district
21 for any quarter of calendar year 2019.

22 “(iii) EXCEPTION FOR PROVIDERS OF
23 ESSENTIAL CRITICAL INFRASTRUCTURE
24 SECTOR SERVICES.—If a special district
25 provides essential critical infrastructure

1 sector services (as defined by the Cyberse-
2 curity and Infrastructure Security Agency
3 of the Department of Homeland Security),
4 the amount paid to the special district may
5 exceed the limit applicable under clause
6 (ii).

7 “(iv) RULE OF CONSTRUCTION.—
8 Nothing in the preceding clauses of this
9 subparagraph shall be construed as requir-
10 ing payment of an amount sufficient to
11 provide a special district with full oper-
12 ational funding during the COVID–19
13 emergency.

14 “(D) RESPONSIBLE AUTHORITY FOR
15 RECOUPMENT.—If it is determined that a pay-
16 ment made to a special district did not comply
17 with the requirements of the preceding subpara-
18 graphs, or was otherwise fraudulent or im-
19 proper, the special district shall be liable for the
20 debt owed to the Federal Government under
21 subsection (f), unless all or a part of the basis
22 for such determination is that the determina-
23 tion required under subparagraph (A) regarding
24 the accuracy of the information demonstrating
25 the need for the payment was not made in good

1 faith, in which case the State shall be liable for
2 all or a part of such debt, as the Secretary de-
3 termines appropriate.

4 “(E) DEADLINE FOR DISTRIBUTION OF
5 FUNDS.—Payments to special districts in a
6 State shall be distributed not later than 60
7 days after the State receives a payment from
8 any excess amount described in subsection
9 (a)(3).

10 “(F) COVID–19 EMERGENCY.—For pur-
11 poses of this paragraph, the term ‘COVID–19
12 emergency’ means the public health emergency
13 declared by the Secretary of Health and
14 Human Services pursuant to section 319 of the
15 Public Health Service Act on January 31,
16 2020, entitled ‘Determination that a Public
17 Health Emergency Exists Nationwide as the
18 Result of the 2019 Novel Coronavirus’ and in-
19 cludes any renewal of such declaration pursuant
20 to such section 319.

21 “(7) EXCESS FUNDS WAIVER.—

22 “(A) IN GENERAL.—If a State has allo-
23 cated funds from a payment to the State de-
24 scribed in paragraph (6) to special districts in
25 that State, but has not met the 5 percent allo-

1 cation requirement of that paragraph, the Gov-
2 ernor of the State may submit to the Secretary,
3 in writing, a request for an excess funds waiver
4 to exempt the State from having to make addi-
5 tional allocations from such funds to make up
6 the remainder of such 5 percent requirement,
7 and to allow the State to use the funds remain-
8 ing in accordance with this section.

9 “(B) REQUIREMENTS.—A waiver request
10 submitted under subparagraph (A) shall pro-
11 vide—

12 “(i) information regarding how funds
13 from the payment to the State described in
14 paragraph (6) were allocated to special dis-
15 tricts in the State and otherwise used; and

16 “(ii) an explanation why the require-
17 ment for the State to meet the 5 percent
18 allocation requirement of paragraph (6)
19 should be waived.

20 “(C) DEADLINES.—

21 “(i) WAIVER REQUEST.—A request
22 for an excess funds waiver shall be sub-
23 mitted to the Secretary not later than 60
24 days after the State receives a payment de-
25 scribed in paragraph (6).

1 “(ii) APPROVAL OR DISAPPROVAL.—
2 The Secretary shall approve or disapprove
3 a waiver request submitted under subpara-
4 graph (A), in writing, not later than 14
5 days after the Secretary receives the re-
6 quest.”.

7 (c) DEFINITION OF SPECIAL DISTRICT.—Section
8 601(g) of the Social Security Act (42 U.S.C. 801(g)) is
9 amended—

10 (1) by redesignating paragraphs (4) through
11 (5) as paragraphs (5) through (6), respectively; and
12 (2) by inserting after paragraph (3) the fol-
13 lowing new paragraph:

14 “(4) SPECIAL DISTRICT.—The term ‘special dis-
15 trict’ means a political subdivision of a State,
16 formed pursuant to general law or special act of the
17 State, for the purpose of performing one or more
18 governmental or proprietary functions.”.

19 (d) TREASURY IG OVERSIGHT AUTHORITY.—Section
20 601(f)(2) of such Act (42 U.S.C. 801(f)(2)) is amended—

21 (1) by inserting “or that a special district or
22 State has not complied with the requirements of
23 paragraph (6) or (7) of subsection (c) (as applica-
24 ble),” after “subsection (d),”; and

1 (2) by striking “such subsection” and inserting
 2 “subsection (d) or paragraph (6) or (7) of sub-
 3 section (c) (as applicable)”.

4 (e) UPDATE TO GUIDANCE.—The Secretary of the
 5 Treasury shall update any guidance issued with respect
 6 to the Coronavirus Relief Fund established under section
 7 601 of the Social Security Act (42 U.S.C. 801) to reflect
 8 the inclusion of special districts as eligible for payments
 9 from amounts appropriated under such section, to the ex-
 10 tent such amounts exceed \$150,000,000,000.

11 **SEC. 3. INCLUDING SPECIAL DISTRICTS IN THE MUNICIPAL**
 12 **LIQUIDITY FACILITY.**

13 The Board of Governors of the Federal Reserve Sys-
 14 tem shall include special districts, as defined in section
 15 601(g)(4) of the Social Security Act (42 U.S.C. 801(g)(4))
 16 (as added by section 2(c)), as eligible issuers in the Munic-
 17 ipal Liquidity Facility program authorized under section
 18 13(3) of the Federal Reserve Act (12 U.S.C. 343(3)).

○

**CONSENT
CALENDAR
ITEM**

2F

Date: March 17, 2021

To: The Honorable Board of Directors

SD
From: Shivaji Deshmukh, General Manager

Committee:

Executive Contact: Shivaji Deshmukh, General Manager

Subject: Request for Waiver of Late Fee Penalty

Executive Summary:

Ventura Foods, LLC is a long standing Non-Reclaimable Wastewater customer in good standing since 2007. Attached for your consideration is a request for the waiver of late fee penalty in the amount of \$6,002.49 for late payment of strength charges (pursuant to Ordinance 99) for service period July 1, 2020 through September 30, 2020 (Invoice #90027833) payable on December 18, 2020. This is the first time Ventura Foods has been late in remitting payment.

As referenced in the request, their Accounts Payable department did not receive the invoice and contacted Agency staff to request a copy on January 5, 2021. The Agency received payment on January 20, 2021.

To prevent this from happening in the future, Ventura has taken proactive measures to set up an electronic mailbox .

Staff's Recommendation:

Staff recommends that the Board waive the late fee penalty in the amount of \$6,002.49 for Ventura Foods, LLC and authorize staff to credit their account accordingly.

Budget Impact *Budgeted (Y/N):* N *Amendment (Y/N):* N *Amount for Requested Approval:*

Account/Project Name:

N/A

Fiscal Impact (explain if not budgeted):

None

Prior Board Action:

On August 16, 2017, the Board approved to waive late fees for Water Facilities Authority in the amount of \$92,786.46.

On December 17, 2011, the Board approved to waive late fees for Chino Basin Watermaster in the amount of \$47,528.

Environmental Determination:

Not Applicable

Business Goal:

This action is consistent with the Agency's Business Goal of providing outstanding customer service that supports member agencies and the region in a cost effective, efficient and reliable manner.

Attachments:

Attachment 1: Ventura Foods, LLC Request

Attachment 2: Invoice #90027833



ATTACHMENT 1

Ventura Foods, LLC

2900 E Jurupa St

Ontario, Ca 91761

Phone: (909)975-4600

Website: www.venturafoods.com

Tuesday, March 9, 2021

Attention Inland Empire Utilities Agency:

My name is Aja Gallegos, Accounting supervisor for Ventura Foods Ontario. I would like to formally request a waiver for the NRW late fee penalty assessed on invoice 90028456 in the amount of \$6,002.49 on customer account 11140. Our accounts payable department did not receive original invoice#90027833 for service period 07/01/2020 to 09/30/2020 through USPS mail. Beth Mateski (VF A/P Clerk) contacted Brandon Umipig on 1/5/21 for an invoice copy as we had not received a bill at that time. No response was received. Beth then contacted Michelle O'Brien on 1/7/21 for an invoice copy. Christine Thompson (IEUA Accountant II) then responded on 1/7/21 with a copy of the outstanding bill. The invoice was processed for payment immediately. Unfortunately, it was entered after the check cutoff for the week of 1/4/21. It was released the following Friday, January 15, 2021 on check#1682055. We have also asked Christine to setup electronic invoicing on our account and send to our EBILL mailbox ONTEBILL@VENTURAFOODS.COM going forward. That has been confirmed by Christine and should eliminate this issue. This one-time courtesy waiver on our account would be much appreciated. We look forward to a continued business relationship. Should you have any questions or concerns please feel free to contact me directly.

Warm regards,

A handwritten signature in black ink, appearing to read "Aja Gallegos", with a long, sweeping horizontal line extending to the right.

Aja Gallegos

Accounting Supervisor

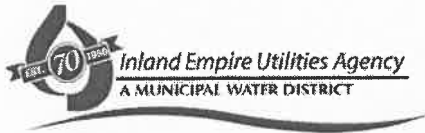
Ventura Foods, LLC

Office Phone: (909)975-4640

Cell Phone: (657)478-6062

Email: agallegos@venturafoods.com

ATTACHMENT 2



Invoice

CUSTOMER NO: 11140

PAGE 1 OF 2

VENTURA FOODS, LLC (NORTH)
ATTN ACCOUNTS PAYABLE
2900 EAST JURUPA STREET
ONTARIO, CA 91761

Reference:

INVOICE NUMBER: 90027833**INVOICE DATE: 11/18/2020****SERVICE PERIOD: 07/01/2020 to 09/30/2020****DATE DUE: 12/18/2020**

ITEM	SERVICE	BILLING UNIT	BILLING UNITS CONSUMED	CHARGE PER BILLING UNIT	NET AMOUNT
10	Chemical Oxygen Demand Charges-Jul 2020	KLB	34.271	\$166.00	\$5,688.99
20	Chemical Oxygen Demand Charges-Aug 2020	KLB	25.117	\$166.00	\$4,169.42
30	Chemical Oxygen Demand Charges-Sep 2020	KLB	23.167	\$166.00	\$3,845.72
40	Solids Discrepancy Charges-Jul 2020	KLB	22.506	\$470.00	\$10,577.82
50	Solids Discrepancy Charges-Aug 2020	KLB	20.937	\$470.00	\$9,840.39
60	Solids Discrepancy Charges-Sep 2020	KLB	21.417	\$470.00	\$10,065.99
70	COD Imbalance Charge-North-Aug 2020	KLB	7.707	\$166.00	\$1,279.36
80	COD Imbalance Charge-North-Sep 2020	KLB	11.324	\$166.00	\$1,879.78
90	Volumetric Imbalance Charges-Jul 2020	KLB	0.093	\$940.00	\$87.42
00	Volumetric Imbalance Charges-Aug 2020	KLB	0.054	\$940.00	\$50.76
10	Volumetric Imbalance Charges-Sep 2020	KLB	0.062	\$940.00	\$58.28
20	Total Suspended Solid Charges-Jul 2020	KLB	5.535	\$470.00	\$2,601.45
30	Total Suspended Solid Charges-Aug 2020	KLB	3.446	\$470.00	\$1,619.62

Please Pay From This Invoice**TOTAL DUE \$52,263.67***Rate Res 2019-6-3, NC STRNGTH Ventura***Payment Terms:****Due 30 Days After Invoice Date**

IF NOT PAID WITHIN 30 DAYS OF THE INVOICE DATE, A 10% PENALTY, PLUS 18% INTRST ANNUM OR 1.5% INTRST PER MONTH (THE MAXM PROVIDED BY LAW) SHALL ACCRUE ON THE TOTAL OF ALL DELINQUENT FEES AND/OR CHRGS INCLDNG THE PENALTY, PER ORD. NO. 89.

Remit To:

P.O.Box 2650, Chino Hills, CA 91709
 TEL (909) 993-1600 -FAX (909) 993-1986



Invoice

CUSTOMER NO: 11140

PAGE 2 OF 2

**VENTURA FOODS, LLC (NORTH)
ATTN ACCOUNTS PAYABLE**

**2900 EAST JURUPA STREET
ONTARIO, CA 91761**

INVOICE NUMBER: 90027833

INVOICE DATE: 11/18/2020

SERVICE PERIOD: 07/01/2020 to 09/30/2020

DATE DUE: 12/18/2020

Reference:

ITEM	SERVICE	BILLING UNIT	BILLING UNITS CONSUMED	CHARGE PER BILLING UNIT	NET AMOUNT
40	Total Suspended Solid Charges-Sep 2020	KLB	1.061	\$470.00	\$498.67

Please Pay From This Invoice

TOTAL DUE \$52,263.67

Rate Res 2019-6-3, NC STRNGTH Ventura

Payment Terms:

Due 30 Days After Invoice Date

IF NOT PAID WITHIN 30 DAYS OF THE INVOICE DATE, A 10% PENALTY, PLUS 18% INTRST ANNUM OR 1.5% INTRST PER MONTH (THE MAXM PROVIDED BY LAW) SHALL ACCRUE ON THE TOTAL OF ALL DELINQUENT FEES AND/OR CHRGS INCLDNG THE PENALTY, PER ORD. NO. 89.

Remit To:

P.O.Box 2650, Chino Hills, CA 91709
TEL (909) 993-1600 -FAX (909) 993-1986

**ACTION
ITEM**

3A

Date: March 17, 2021

To: The Honorable Board of Directors

Committee: Finance & Administration

ADD
From: Shivaji Deshmukh, General Manager

03/10/21

Executive Contact: Kathy Besser, Executive Manager of Ext. Aff. & Policy Dev./AGM

Subject: Approval for Submittal of WIFIA Loan Application

Executive Summary:

In October 2020, IEUA submitted a Letter of Interest to the U.S. Environmental Protection Agency's (EPA) Water Infrastructure Finance and Innovation Act (WIFIA) credit assistance program for the Regional Wastewater Improvements Program (Program). IEUA's Program was one of 55 projects in 20 states that EPA selected to submit a full funding application. The Program includes four capital projects that will implement infrastructure upgrades across IEUA's service area, including the RP-5 Expansion, RP-1 Solids Thickening, CCWRF Process Improvements, and Philadelphia Force Main Improvements Projects.

The Agency's application for the Program will request a loan amount for 49 percent of the overall project costs, which is the maximum allowable under the WIFIA guidelines. The current costs for the Program total \$192 million. Application costs, including submittal, credit rating, and processing fees, are expected to range between \$500,000 and \$800,000, with approval for up to \$950,000 being requested for additional contingency. If approved, staff intends to submit the WIFIA application by May 31, 2021. Pending EPA approval of the application, the WIFIA loan agreement will be brought to the Board for approval prior to execution.

Staff's Recommendation:

1. Approve to apply for a WIFIA loan for the Regional Wastewater Improvements Program for the maximum 49 percent of total project costs allowable by the WIFIA program; and
2. Authorize payment of processing costs, including application submittal fee, credit rating fees, and reimbursement of credit processing fees to the EPA, for a not-to-exceed amount of \$950,000.

Budget Impact *Budgeted (Y/N): Y Amendment (Y/N): N Amount for Requested Approval:*

Account/Project Name:

Fiscal Impact (explain if not budgeted):

Prior Board Action:

None.

Environmental Determination:

Not Applicable

Business Goal:

Leveraging low interest borrowing to is consistent with the IEUA Business Goal of Fiscal Responsibility to ensure capital projects are completed at the lowest cost to ratepayers.

Attachments:

Attachment 1 - Background

Attachment 2 - PowerPoint

Background

Subject: WIFIA Loan Application Submittal

Established by the Water Infrastructure Finance and Innovation Act (WIFIA) of 2014, the WIFIA program is a federal loan and guarantee program at EPA that aims to accelerate investment in the nation's water infrastructure by providing long-term, low-cost supplemental loans to credit-worthy water and wastewater projects of national and regional significance. Similar to the Clean Water State Revolving Fund (CWSRF) loan program, WIFIA loans provide a low-cost alternative by allowing repayment to be deferred a maximum of five years from substantial completion of the project. Based on program regulations, WIFIA loans are limited to 49 percent of total project costs and a fixed interest rate equal to or greater than the U.S. Treasury rate of a similar maturity (e.g. 30-year U.S. Treasury).

In May 2020, IEUA executed its first WIFIA loan agreement in the amount of \$196 million to finance approximately 44 percent of the RP-5 Expansion Project costs. In October 2020, the Agency submitted a Letter of Interest (LOI) to the WIFIA program for its second round of funding to request financing for the Regional Wastewater Improvements Program (Program), comprising a suite of four capital projects as described below.

- 1) **The RP-5 Expansion Project** will address projected regional growth and anticipated increased capacity needs by expanding the RP-5 Liquids Treatment System and constructing a new solids treatment plant at RP-5. This project has a current WIFIA loan and the new LOI requests additional funds to cover the difference in increased construction costs.
- 2) **The RP-1 Solids Thickening Project** is intended to increase the RP-1 solids handling capacity, increase RP-1 solids treatment system efficiency, and benefit the liquids treatment processes at both RP-1 and RP-4.
- 3) **The Philadelphia Force Main Improvements Project** will increase the flow capacity and overall efficiency of lift stations that convey industrial wastewater and safeguard the high quality of recycled water.
- 4) **The CCWRF Process Improvements Project** will enhance the facility's ability to reliably produce high quality recycled water and simplify operation and maintenance of the facility. The Project will construct improvements to the primary and secondary treatments to ensure treated wastewater meets Title 22 requirements.

The overall cost of these projects is \$192 million. IEUA's LOI was one of 55 projects across 20 states that were selected by the EPA and invited to submit a full application. IEUA's application will request a low-interest loan for the maximum 49 percent of overall project costs. The remaining balance of project costs will be funded through a combination of pay-go and low-interest state loans.

The WIFIA application process will require the submittal of technical project information, environmental compliance documentation, and fees associated with processing costs and procurement of approved credit ratings.

Staff recommends authorizing the submittal of the WIFIA application for the maximum 49 percent of total project costs allowable by the EPA WIFIA loan program. If approved, the Agency will be required to pay an application fee of \$100,000 due at the time of filing. Upon filing of the application, the Agency agrees to reimburse EPA for any credit processing fees payable upon execution of the credit agreement, or in the event the Agency elects to withdraw from the process. Credit processing fees include legal, financing, engineering, and other services contracted by EPA to process the Agency's application. Fees can range between \$250,000 to \$700,000 depending on the complexity of the application. Additionally, the Agency will be required to provide EPA a preliminary credit rating on the project upon filing of the loan application and two final credit ratings prior to the execution of the loan agreement. The costs of these three credit ratings are estimated at \$55,000 each, or \$165,000. Other Agency incurred costs include fees for financial and legal advisory services estimated at \$95,000. In total, fees are projected to range between \$500,000 and \$800,000.

Upon Board approval, staff will proceed with submittal of the WIFIA application. If the Agency's loan application is approved by the EPA, the WIFIA loan agreement will be brought to the Board for approval.

WIFIA Application Fee IEUA Regional Wastewater System Improvements Program



Jesse Pompa, P.E., BCEE
Manager of Grants
March 2021

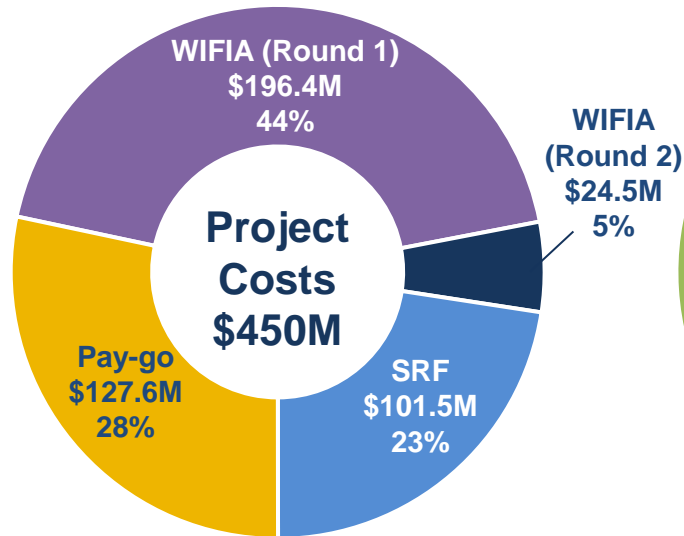
WIFIA Funding

- Water Infrastructure Finance and Innovation Act
 - Low-interest loan program
 - Up to 49% of project costs
 - 30-year repayment term, can be deferred up to 5 years after substantial completion
- Round 1 – IEUA executed \$196.4 million agreement with 1.36% interest rate in May 2020
- Round 2 – EPA selected IEUA's Letter of Interest (LOI) for Regional Wastewater System Improvements Program – \$94.2 million
 - One of 55 projects in 20 states selected to apply

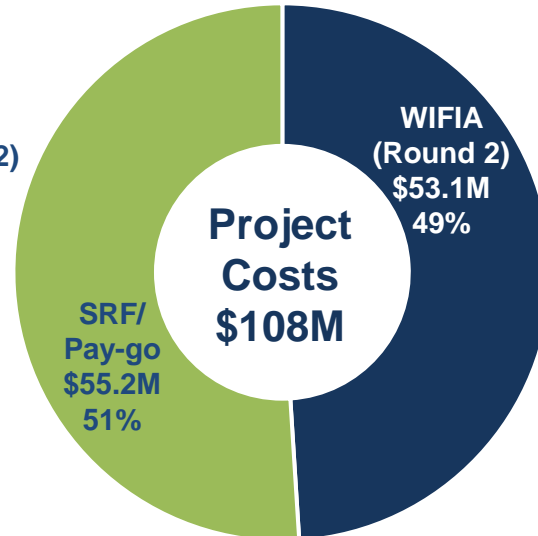


WIFIA LOI – Regional Wastewater System Improvements Program

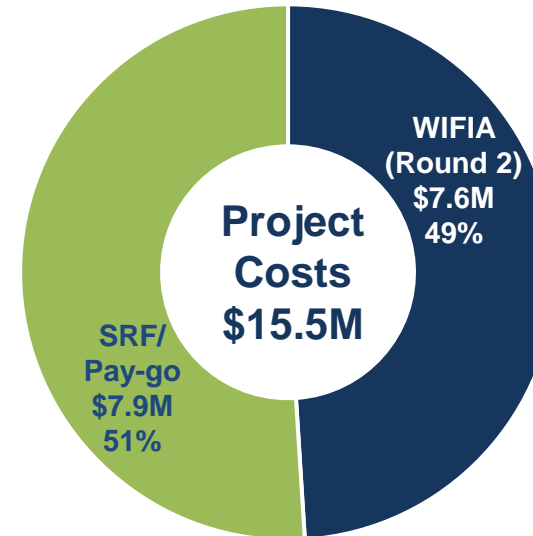
RP-5 Expansion



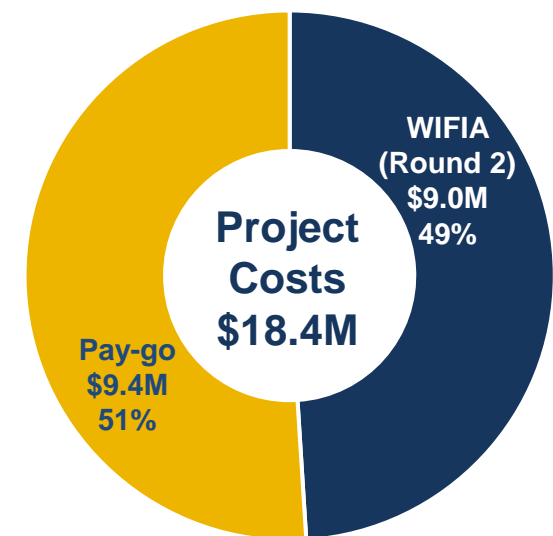
RP-1 Solids Thickening



CCWRF Process Improvements



Philadelphia Force Main Improvements



Total project costs = \$592M

WIFIA Funding

Round 1 = \$196M
Round 2 = \$94M
Total = \$290M

WIFIA Application Next Steps

- Technical documentation
- National Environmental Policy Act (NEPA)
- Bond counsel and financial advisor engagement
- Credit rating letters
- Application fee



Staff Recommendation

- Approve submittal of application for a WIFIA loan for the Regional Wastewater Improvements Program for the maximum 49 percent of total project costs allowable by the WIFIA program
- Authorize payment of processing costs, including application submittal fee, credit rating fees, and reimbursement of credit processing fees to the EPA, for a not-to-exceed amount of \$950,000

**ACTION
ITEM**

3B

Date: March 17, 2021

To: The Honorable Board of Directors

SSD
From: Shivaji Deshmukh, General Manager

Committee:

Executive Contact: Christiana Daisy, Deputy General Manager

Subject: Local Storage Limitation Solution Program Environmental Impact Report Addendum No. 2

Executive Summary:

Chino Basin Watermaster (CBWM) led the development of the 2000 Optimum Basin Management Program (OBMP) and accompanying Peace Agreement to establish a groundwater management program that enhances safe yield and water quality of the Chino Basin, enabling users to cost-effectively utilize groundwater. IEUA, serving as lead agency adopted a Program Environmental Impact Report (PEIR) in July 2000 for the OBMP and Peace Agreement.

With managed groundwater storage accounts projected to exceed the Safe Storage Capacity (SSC) established by the OBMP, IEUA adopted an Addendum to the OBMP PEIR in March 2017 to temporarily increase the SSC from 500,000 acre-feet (AF) to 600,000 AF for the period of July 1, 2017 through June 30, 2021. With the temporary SSC soon expiring, CBWM has developed a report that supports a temporary increase of SSC up to 700,000 AF from July 1, 2021 through June 30, 2030, and to 620,000 AF from July 1, 2030 through June 30, 2035.

As lead agency, IEUA worked with CBWM, Tom Dodson & Associates (TDA), and West Yost to prepare Addendum No. 2 to the PEIR for the OBMP. The modeling analysis found no new significant impacts that would result from the proposed increase in SSC.

Staff's Recommendation:

1. Adopt Addendum No. 2 to the Optimum Basin Management Program Project; and
2. Authorize the Filing of Notice of Determination (NOD) with the County Clerk and State Clearinghouse, Governor's Office of Planning and Research.

Budget Impact *Budgeted (Y/N):* N *Amendment (Y/N):* N *Amount for Requested Approval:*

Account/Project Name:

Not applicable.

Fiscal Impact (explain if not budgeted):

Costs are borne by Chino Basin Watermaster. There is no fiscal impact to IEUA for the adoption of Addendum No. 2 to the OBMP PEIR.

Prior Board Action:

On March 15, 2017, the IEUA Board of Directors adopted Addendum No. 1 to the OBMP PEIR.

On July 12, 2000, the IEUA Board of Directors approved the OBMP PEIR.

Environmental Determination:

Addendum to Program Environmental Impact Report

There are no new significant impacts that would result from the proposed project modification, when continuing to implement all of the mitigation measure commitments identified in the 2000 PEIR, where required to do so.

Business Goal:

The adoption of the Addendum is consistent with IEUA's Business Goals of Water Reliability by ensuring the sustainable production of groundwater in the region and Environmental Stewardship by ensuring projects will be implemented in accordance with federal, state, and local environmental laws.

Attachments:

Attachment 1 - Addendum No. 2 to the Optimum Basin Management Program Project
- [CLICK HERE TO DOWNLOAD](#)

Attachment 2 - Notice of Determination

NOTICE OF DETERMINATION

To: San Bernardino County
Clerk of the Board
385 North Arrowhead Avenue
San Bernardino, CA 92415

From: Inland Empire Utilities Agency
6075 Kimball Avenue
Chino, CA 91708

and

Office of Planning and Research
State Clearinghouse
1400 Tenth Street
Sacramento, CA 95814

Subject: Filing of Notice of Determination in compliance with Section 21108 or 21152 of the Public Resources Code.

Addendum No. 2 to the Optimum Basin Management Program Project

Project Title

SCH#200041047	Ms. Sylvie Lee, P.E.	(909) 993-1600
State Clearinghouse Number	Lead Agency Contact Person	Telephone Number

Project Location

The Chino Groundwater Basin (Chino Basin) is one of the largest groundwater basins in Southern California and has an estimated unused storage capacity of over 1,000,000 acre-feet. The Chino Basin covers approximately 235 square miles within the Upper Santa Ana River Watershed and underlies portions of San Bernardino, Riverside, and Los Angeles counties. Figure 1 shows the location of the Chino Basin within the Upper Santa Ana River Watershed. The Chino Basin is mapped within the United States Geological Survey (USGS) – Corona North, Cucamonga Peak, Devore, Fontana, Guasti, Mount Baldy, Ontario, Prado Dam, Riverside West and San Dimas Quadrangles, 7.5 Minute Series topographic maps. The center of the Basin is located near the intersection of Haven Avenue and Mission Boulevard in the City of Ontario at Longitude 34.038040N, and Latitude 117.575954W.

Project Description

Watermaster proposes a change in the Safe Storage Capacity to 700,000 AF through June 30, 2030, and to 620,000 AF from July 1, 2030 through June 30, 2035. After June 30, 2035, Safe Storage Capacity will revert to 500,000 AF unless the OBMP is amended again pursuant to a subsequent CEQA analysis.

No new physical improvements are required to implement the proposed increase in Safe Storage Capacity beyond those envisioned and previously analyzed in the PEIR. As such, no other changes to the approved OBMP are envisioned at this time.

This is to advise that the Inland Empire Utilities Agency has approved the above described project on

☒ Lead Agency ☐ Responsible Agency

_____ and has made the following determination regarding the above described project:
(Date)

Notice of Determination, page 2 of 2

1. The project [☐ will ☒ will not] have a significant effect on the environment.
2. ☒ Addendum No. 2 to the previously adopted Optimum Basin Management Program Project, Program Environmental Impact Report (#200041047) was prepared for this project pursuant to the provisions of CEQA.
3. Mitigation measures [☐ were ☒ were not] made a condition of the approval of this project.
4. Mitigation measures from the original PEIR will be implemented in accordance with the approval of the project.
5. A Statement of Overriding Considerations [☐ was ☒ was not] adopted for this project.
6. Findings [☐ were ☒ were not] made pursuant to the provisions of CEQA.

This is to certify that the Environmental Impact Report and record of project approval is available to the general public at:

Inland Empire Utilities Agency located at 6075 Kimball Avenue, Chino, CA 91708

Signature

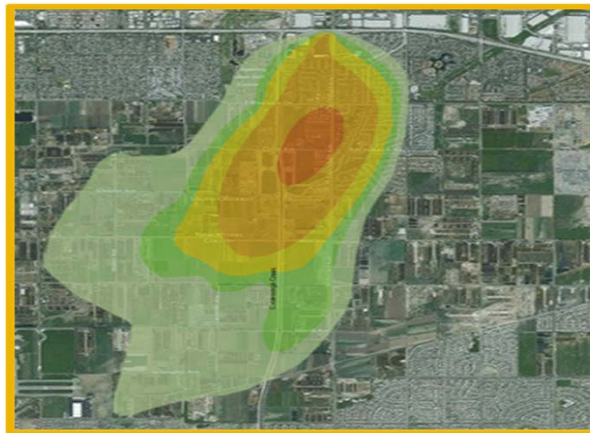
Title

Date

**INFORMATION
ITEM**

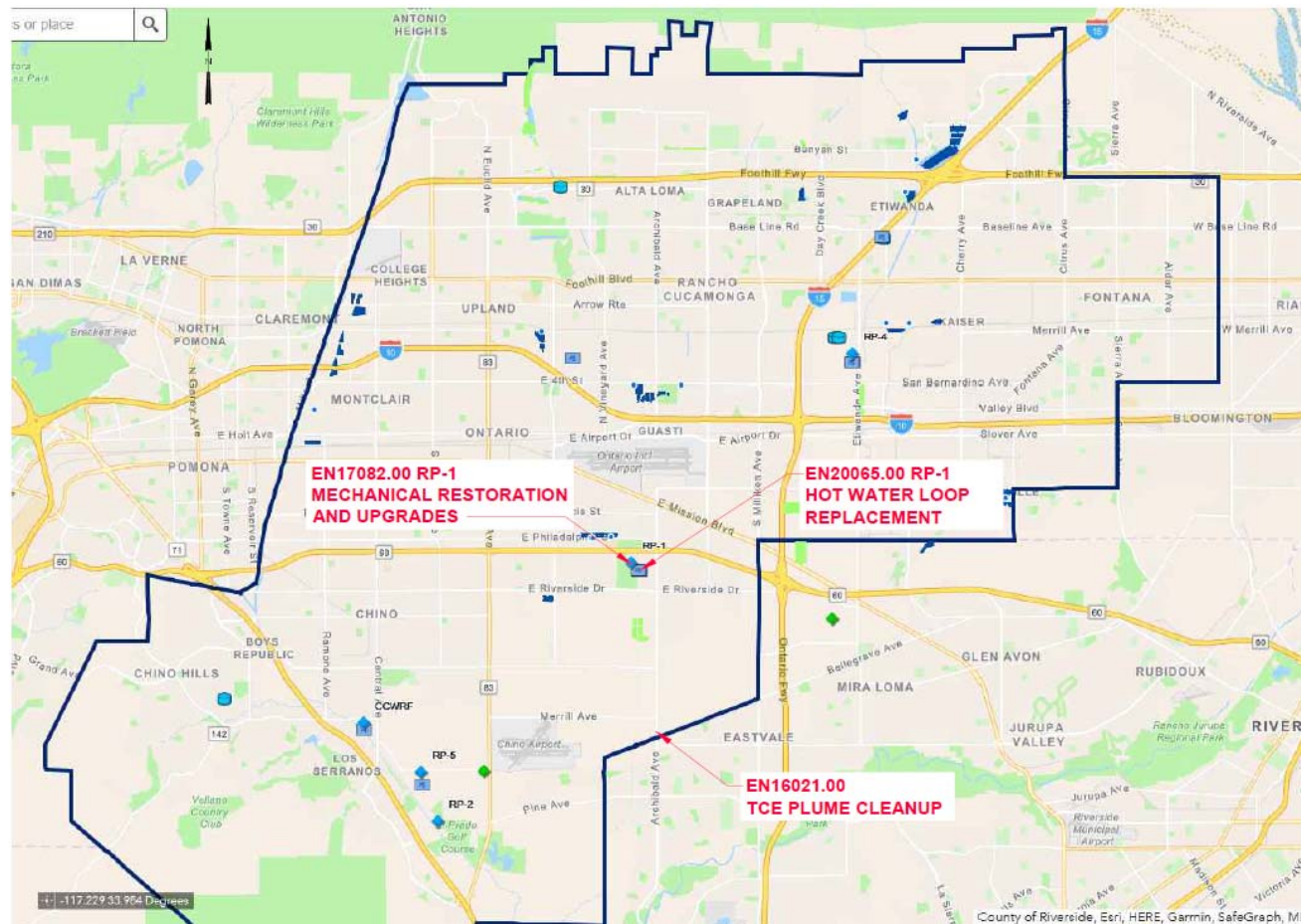
4B

Engineering and Construction Management Project Updates



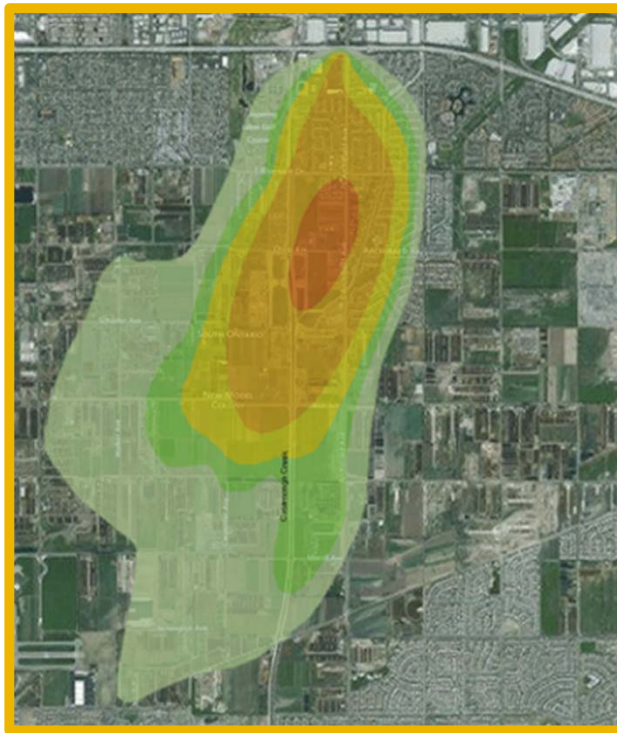
Jerry Burke, PE
Manager of Engineering
March 2021

Project Location Map



TCE Plume Cleanup

Project Goal: Clean Up Groundwater



Total Project Budget: \$26.2 M
Project Completion: August 2021
Percent Complete: 90%

Project Construction Components	Contractor	Current Contract	Change Orders	Status
Well II-12 Drilling	Southwest	\$1.4 M	-	Completed
Well II-12 Equipping	Cora Constructors	\$3.1 M	-	In Progress
Monitoring Well MW-II-3	Yellow Jacket	\$424 K	60%	Completed
Monitoring Well MW-II-4/MM-II-5	Yellow Jacket	\$710 K	1%	In Progress
CDA RWI Pipeline	Ferreira	\$ 621 K	-	Completed
Chino II Decarbonator Construction	Pascal & Ludwig	\$2.5 M	7%	In Progress
Phase I Pipeline Construction	Gwinco	\$1.4 M	12%	Completed
Phase II Pipeline Construction	Gwinco	\$6.9 M	5%	In Progress
Phase III Pipeline Construction	Gwinco	\$1.8 M	9%	Completed

RP-1 Mechanical Restoration and Upgrades

Project Goal: Extend Asset Life

Total Project Budget: \$10 M

Project Completion: May 2021

Construction Percent Complete: 85%

Phase	Consultant/ Contractor	Current Contract	Amendments/ Change Orders
Design	Stantec	\$583 K	27%
Construction (Current)	Kiewit	\$6.6 M	1%



RP-1 Hot Water Loop Replacement

Project Goal: Improve Reliability



Total Project Budget: \$1.4M
Project Completion: April 2021
Construction Percent Complete: 40%

Phase	Consultant Contractor	Current Contract	Amendments/ Change Orders
Design	In-house W/ Kennedy Jenks Support	\$25K	0%
Construction (Current)	Ferreira	\$996K	0%

**INFORMATION
ITEM**

4C

Date: March 17, 2021

To: The Honorable Board of Directors

Committee: Finance & Administration

ADD
From: Shivaji Deshmukh, General Manager

03/10/21

Executive Contact: Christina Valencia, Executive Manager of Finance & Administration/AGM

Subject: Fiscal Year 2020/21 Second Quarter Budget Variance, Performance Goal Updates, and Budget Transfers

Executive Summary:

The budget variance report presents the Agency's financial performance through the second quarter ended December 31, 2020 and various analyses are provided in the attachments.

The Agency's total revenues and other funding sources were \$144.5 million, or 82.7 percent of the fiscal year to date amended budget of \$174.7 million. The variance is primarily due to grant and loan receipts which are primarily reimbursable in nature and were lower than budgeted through the second quarter due to lower capital expenditures.

The Agency's total expenses and other uses of funds were \$120.0 million, or 63.4 percent of the fiscal year to date amended budget of \$189.4 million. Lower than budgeted administrative and operating expenses and timing of capital project execution account for the favorable variance.

The net change of the unaudited total revenues and other funding sources over the total expenses and other uses of funds for the quarter ended December 31, 2020 is an estimated \$24.5 million.

Staff's Recommendation:

The Fiscal Year (FY) 2020/21 second quarter budget variance, performance goal updates, and budget transfers is an informational item for the Board of Directors to receive and file.

Budget Impact *Budgeted (Y/N):* N *Amendment (Y/N):* N *Amount for Requested Approval:*

Account/Project Name:

Fiscal Impact (explain if not budgeted):

The net change of the total revenues and other funding sources over total expenses and other uses of funds is an increase of \$24.5 million for the quarter ended December 31, 2020.

Prior Board Action:

Environmental Determination:

Not Applicable

Business Goal:

The quarterly budget variance report is consistent with the Agency's business goal of fiscal responsibility to demonstrate the Agency has appropriately funded operational, maintenance, and capital costs.

Attachments:

Attachment 1 - Background

Exhibit A - Q2 Budget Variance Summary and Detail Report

Exhibit B - Business Goals and Objectives Report by Initiatives

Exhibit C-1 - Summary of Annual Budget Transfers in the Second Quarter

Exhibit C-2 - Summary of the GM Contingency Account Activity

Exhibit D - Project Budget Transfers for Capital and Non-Capital Projects

Attachment 2 - Power Point

Background

Subject: Fiscal Year 2020/21 Second Quarter Budget Variance, Performance Goal Updates, and Budget Transfers

The FY 2020/21 Second Quarter Budget Variance report continues to reflect the effects of the Agency's response to the Coronavirus (COVID-19) global pandemic. Facilities are fully operational and all non-essential support staff remain on a work from home schedule. Non-critical capital projects, maintenance, travel, and in person public events have been deferred.

The Budget Variance report presents the Agency's financial performance through the Second quarter ended December 31, 2020 and includes the following highlights.

TOTAL REVENUES AND OTHER FUNDING SOURCES

Overall, the Agency's total revenue and other funding sources were \$144.5 million, or 82.7 percent of the fiscal year to date amended budget of \$174.7 million for the quarter ended December 31, 2020 (Exhibit A). The following section highlights key variances:

- ***MWD Water Sales*** – Total Metropolitan Water District of Southern California (MWD) pass-through imported water revenue was \$25 million or 108 percent of the fiscal year to date amended budget. Imported water deliveries were 29,173 AF compared to the annual budgeted quantity of 60,000 AF. Higher demand through the second quarter can be attributed to mild winter conditions and lower precipitation through the month of December.
- ***Connection Fees*** – Total connection fee receipts were \$13.4 million or 75.3 percent of the fiscal year to date amended budget. Receipts include \$10.9 million new regional wastewater system connections (EDU) and \$2.5 million new water connections (MEU). The number of new EDU connections reported through the second quarter were 1,568 EDUs compared to the annual budget of 4,000 EDUs. New MEU water connections were 1,965 compared to the 4,700 budgeted MEUs.
- ***Property Taxes*** – General ad-valorem property tax receipts from the San Bernardino County Tax Assessor (County) were \$35.5 million. Payment of \$14.2 million of former incremental Redevelopment Agencies (RDA) "pass through" taxes was received in December. The final payment of RDA "pass through" taxes is due in June.
- ***Grants & Loans*** – Total receipts through the second quarter were \$8.7 million, or 18.6 percent of the fiscal year to date budget. Grant and loan receipts are primarily reimbursable in nature and as such are dependent upon related capital project expenditures.

The annual grant budget of \$14.4 million includes \$7.5 million for Recharge Master Plan Update (RMPU) projects in the Recharge Water fund, \$3.8 million for Plume Cleanup project in the Regional Wastewater Operations fund, \$3.1 million for various recycled

water optimization and drought relief projects in the Recycled water fund.

State Revolving Fund (SRF) loan proceeds annual budget of \$79.6 million includes \$65.3 million to support the RP-5 Solids Treatment and Liquid Expansion projects in the Regional Wastewater program, \$8.8 million for Recharge Master Plan Update projects in the Recharge Water Fund, and \$5.5 million for various recycled water optimization projects in the Recycled Water Fund. Due to the COVID-19 pandemic, capital project activities have remained below the levels planned at the beginning of the fiscal year. Activity has increased but is expected to remain at a moderate pace for the remainder of the fiscal year.

- ***Cost Reimbursements*** – Total cost reimbursements were \$3.8 million, or 106.7 percent of the fiscal year to date amended budget. Reimbursements include \$2.2 million from the Inland Empire Regional Composting Authority (IERCA) and \$0.7 million from the Chino Basin Desalter Authority (CDA) for the Agency's operation & maintenance of the IERCA Composter and CDA Desalter facilities, respectively. Also included is \$0.9 million for the operations & maintenance costs related to the groundwater recharge basins, net of the Agency's pro-rata-share for the recycled water recharge costs. The annual total cost reimbursement budget of \$7.1 million includes: \$4.2 million from IERCA, \$1.4 million from CDA, and \$1.5 million from CBWM.

TOTAL EXPENSES AND USES OF FUND

The Agency's total second quarter expense and uses of funds were \$120 million, or 63.4 percent of the \$189.4 million fiscal year to date amended budget. Key expense variances include:

Administrative and Operating Expenses

- ***Office and Administration*** – Office and administrative expense for the second quarter was \$0.4 million or 25.6 percent of the fiscal year to date amended budget. The favorable variance was due to the continued suspension of all travel and a shift to online training and virtual public outreach events as a result of the COVID-19 pandemic. Other expense such as office supplies, printing, and copying remain lower than budget due to the remote work environment for all non-essential support staff.
- ***Professional Fees & Services*** – Total expenses were \$3.5 million, or 49.9 percent of the fiscal year to date amended budget. The positive variance is primarily due to the continued deferral of non-critical contract labor and materials. As public health restrictions begin to lift, contractor and consultant support will be utilized for operational response plans; repair and calibration of critical equipment to meet compliance requirements; and other administrative services such as financial management, audit, and legislative support planned for the final two quarters of the fiscal year.
- ***O&M (Non-capital) and Reimbursable Projects*** – O&M and reimbursable project costs were \$4.1 million or 33.6 percent of the fiscal year to date amended budget. The favorable budget is mainly due to project deferrals, delays, and protracted spending on planning documents, various fund emergency projects, and conservation projects.

- **Operating Fees** – Spending in this category was \$5.8 million, or 90.9 percent of the fiscal year to date amended budget. A major part of this category are the “pass-through” fees from Los Angeles County Sanitation District (LACSD) and Santa Ana Watershed Project Authority (SAWPA) for the Agency’s non-reclaimable wastewater system (NRWS).
- **MWD Water Purchases** – Total Metropolitan Water District of Southern California (MWD) pass-through imported water purchases were \$25 million or 108 percent of the fiscal year to date amended budget. Imported water deliveries were 29,173 AF compared to the annual budgeted quantity of 60,000 AF. Mild winter conditions and low precipitation account for increased demand through the second quarter.

Non-Operating Expenses

- **Capital Projects** – Total capital project expenditures year to date were \$31.2 million or 35.6 percent of the fiscal year to date amended budget. The favorable variance can be attributed to material procurement and contract award delays due to the COVID-19 pandemic, project scope and design adjustments, and regulatory permit requirements. Capital project budget related to the Regional Wastewater program is \$139.3 million, or 79 percent of the \$175.6 million of the annual amended budget. Recycled Water program capital project budget accounts for \$5.2 million, or 3.0 percent of the annual amended budget.
- **Financial Expenses** - Second quarter expense totaled \$11.7 million or 94.2 percent of the fiscal year to date amended budget. Actual expense includes \$5.1 million of principal payments made for the 2017A Revenue bond and various SRF Loans and total interest and financial administration fees of \$6.6 million.

A detailed explanation of significant revenues and expenses are included in the attached Exhibit A.

FUND BALANCES AND RESERVES

The estimated net change of the total revenues and other funding sources over the total expenses and other uses of funds for the second quarter is an increase of \$24.5 million.

Table 1 provides an overview of the fiscal year to date budget variance for revenues, expenses, and net change to overall fund balance.

Table 1: Fiscal Year and Year to Date (YTD) Revenues, Expenses, and Fund Balance (\$ Millions) Quarter Ended December 31, 2020

Operating	FY 2020/21 Amended Budget	Budget Year-To- Date (YTD)	Actual	% Amended Budget Used
Operating Revenue	\$161.6	\$80.8	\$84.5	104.6%
Operating Expense	(\$178.0)	(\$89.0)	(\$76.7)	86.2%
Net Operating Increase/(Decrease)	(\$16.4)	(\$8.2)	\$7.8	

Non- Operating				
Non-Operating Revenue	\$187.9	\$93.9	\$60.0	63.9%
Non-Operating Expense	(\$200.8)	(\$100.4)	(\$43.3)	43.1%
Net Non-Operating Incr./(Decrease)	(\$12.9)	(\$6.5)	\$16.7	
Total Sources of Funds	\$349.5	\$174.7	\$144.5	82.7%
Total Uses of Funds	(\$378.8)	(\$189.4)	(\$120.0)	63.4%
Total Net Increase/(Decrease)	(\$29.3)	(\$14.7)	\$24.5	

+/- difference due to rounding

GOALS AND OBJECTIVES

Exhibit B provides information on division and related department goals and objectives and the status through the end of the second quarter. The key performance indicators (KPIs) are used to track the volume and complexity of work by type and to track the effort invested to accomplish that work. Staff use KPIs to track productivity and to justify current resource allocations, re-allocation, and requests for additional staff.

BUDGET TRANSFERS AND AMENDMENTS

Intra-fund O&M budget transfers of \$8,500 were recorded in the second quarter as detailed in Exhibit C-1.

General Manager (GM) Contingency Account of the \$300,000 adopted budget in the Administrative Services Fund, \$4,005 of funds were utilized through the second quarter as detailed in Exhibit C-2.

Inter-fund Capital and O&M project budget transfers accounted for \$670,022 as listed in Exhibit D.

The budget variance analysis report is consistent with the Agency's business goal of fiscal responsibility: to demonstrate the Agency appropriately funded operational, maintenance, and capital costs.

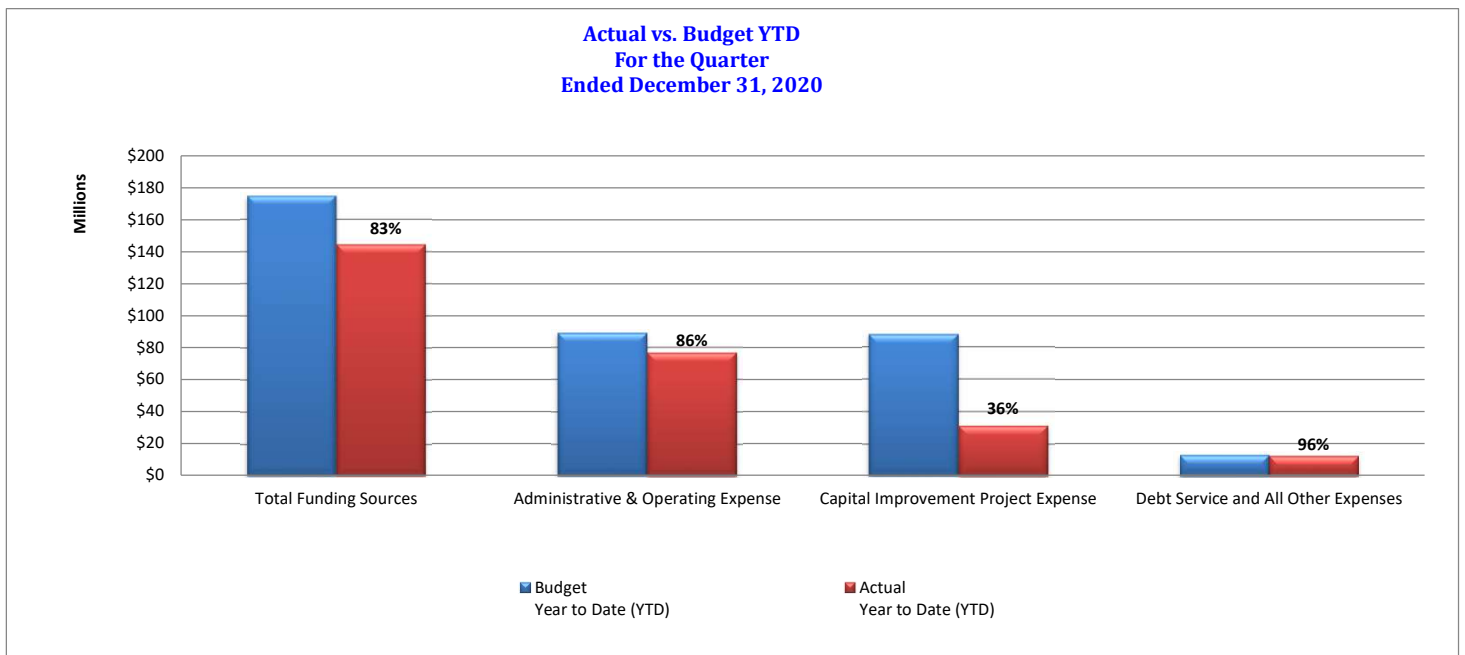
IMPACT ON BUDGET

For quarter ended December 31, 2020, total revenues and other funding sources exceeded total expenses and other uses of funds by \$24.5 million.

I. Actual vs. Budget Summary:

Quarter Ended December 31, 2020

	Amended Budget	Budget Year to Date (YTD)	Actual Year to Date (YTD)	Budget YTD vs. Actual	% of Budget Used YTD
Operating Revenues	\$161,610,968	\$80,805,484	\$84,511,186	\$3,705,702	104.6%
Non-Operating (Other Sources of Fund)	187,927,670	93,963,835	60,024,808	(33,939,027)	63.9%
TOTAL FUNDING SOURCES	349,538,638	174,769,319	144,535,994	(30,233,325)	82.7%
Administrative & Operating Expense	(178,041,374)	(89,020,687)	(76,742,175)	12,278,512	86.2%
Capital Improvement Project Expense	(175,641,167)	(87,820,584)	(31,247,631)	56,572,953	35.6%
Debt Service and All Other Expenses	(25,185,135)	(12,592,567)	(12,032,070)	560,497	95.5%
TOTAL USES OF FUNDS	(378,867,676)	(189,433,838)	(120,021,876)	69,411,962	63.4%
Surplus/(Deficit)	(\$29,329,038)	(\$14,664,519)	\$24,514,118	\$39,178,637	



2. Actual Revenue vs. Budget:

Quarter Ended December 31, 2020

	Amended Budget	Budget Year to Date (YTD)	Actual Year to Date (YTD)	Budget YTD vs. Actual	% of Budget Used YTD
Operating Revenues:					
User Charges	\$88,233,485	\$44,116,743	\$44,603,443	\$486,700	101.1%
Recycled Water Sales	16,155,000	8,077,500	11,036,597	\$2,959,097	136.6%
MWD Water Sales	46,236,000	23,118,000	24,956,238	\$1,838,238	108.0%
Cost Reimbursement	7,057,798	3,528,899	3,766,148	\$237,249	106.7%
Interest	3,928,685	1,964,343	148,760	(\$1,815,583)	7.6%
OPERATING REVENUES	161,610,968	80,805,485	84,511,186	3,705,701	104.6%
Non-Operating Revenues:					
Property Tax - Debt, Capital, Reserves	\$53,934,900	\$26,967,450	\$35,519,128	\$8,551,678	131.7%
Connection Fees	35,734,799	17,867,400	13,450,577	(\$4,416,823)	75.3%
Grants & Loans	94,059,220	47,029,610	8,751,023	(\$38,278,587)	18.6%
Other Revenue	4,198,751	2,099,374	2,304,080	\$204,706	109.8%
NON-OPERATING REVENUES	187,927,670	93,963,834	60,024,808	(33,939,026)	63.9%
Total Revenues	\$349,538,638	\$174,769,319	\$144,535,994	(\$30,233,325)	82.7%

User Charges, 101.1%	User charges were \$44.6 million, or 101.1 percent of the year to date budget. The category includes \$36.1 million monthly sewer charges based on equivalent dwelling units (EDU), \$4.7 million non-reclaimable wastewater fees paid by industrial and commercial users connected to the brine line system for disposal of non-reclaimable and industrial wastewater; and \$3.8 million of monthly meter equivalent unit charges (MEU) imposed on all potable water connections, and Readiness-to-Serve Ten Year Rolling Average (RTS TYRA) charges to meet our Readiness-to-Serve obligation to Metropolitan Water District (MWD).
Property Tax/ AdValorem, 131.7%	Property tax receipts through the second quarter totaled \$35.5 million. General ad-valorem property tax receipts from the San Bernardino County Tax Assessor were \$21.3 million and a payment of \$14.2 million of former redevelopment agencies (RDA) pass-through was received in December. Property tax receipts are budgeted based on valuation projected by the county, increased home sales, and anticipated adjustments in property tax distribution due to the dissolution of the redevelopment agencies.
Recycled Water Sales, 136.6%	Recycled water direct sales were \$5.7 million for 12,113 acre feet (AF) and groundwater recharge sales were \$5.3 million for 9,820 acre feet (AF), for a combined total of \$11 million or 21,933 AF. Total deliveries of 31,900 AF (19,000 AF Direct and 12,900 AF Recharge) were budgeted for the fiscal year. Demand for direct use and groundwater recharge varies depending on weather patterns, water use conservation efforts, reuse supply, and basin availability.
Interest Income, 7.6%	Interest Income was \$149 thousand or 7.6 percent of the year to date budget. The Agency earns interest income by investing funds not immediately required for daily operations. The Agency's average investment portfolio yield for December 2020 was 1.25%. The budgeted interest rate assumption for FY 2020/21 is 2.50% based on the Agency's overall fund balance which is higher than the agency's investment portfolio.
MWD Water Sales, 108%	Total Metropolitan Water District of Southern California (MWD) pass-through imported water revenue was \$25 million or 108 percent of the year to date budget. Imported water deliveries were 29,173 AF compared to the annual budget of 60,000 AF. Higher demand through the second quarter can be attributed to mild winter conditions and low precipitation.
Connection Fees, 75.3%	Total connection fee receipts were \$13.4 million or 75.3 percent of the year to date budget. Receipts include \$10.9 million for new regional wastewater system connections and \$2.5 million for new water connections. A total of 1,568 new equivalent dwellings unit (EDU) wastewater connections were reported through the second quarter compared to the annual budget of 4,000 new connections. A total of 1,965 meter equivalent units (MEU) were collected through the second quarter, a total of 4,700 new water connections are budgeted this fiscal year.
Grants and Loans, 18.6%	Grant receipts of \$1.7 million and loan receipts of \$7.0 million for a combined total of \$8.7 million received through the second quarter. SRF loan receipts received were for the Recycled Water program Baseline Extension and 1158 RW Pump Station Upgrade projects. Grant receipts included \$1.5 million for the Plume cleanup project, with the remaining receipts for Recharge Master Plan Update (RMPU) projects in the Recharge Water program. Grants and loan receipts are primarily reimbursable in nature and as such are dependent upon related capital project expenditures. The annual grant budget of \$14.4 million includes \$7.5 million for RMPU projects in the Recharge Water fund, \$3.8 million for Plume Cleanup project in the Regional Wastewater Operations fund, \$3.1 million for various recycled water optimization, drought relief, and lateral projects in the Recycled water fund. State Revolving Fund (SRF) loan annual budget of \$79.6 million includes \$65.3 million to support the RP-5 Solids Treatment and Liquid Expansion projects in the Regional Wastewater program, \$8.8 million for Recharge Master Plan Update projects in the Recharge Water Fund, and \$5.5 million for various recycled water optimization and lateral projects in the Recycled Water Fund.
Cost Reimbursements JPA, 106.7%	Total cost reimbursements were \$3.8 million or 106.7 percent of the year to date budget. Reimbursements include \$2.2 million from the Inland Empire Regional Composting Authority (IERCA) and \$0.7 million from Chino Basin Desalter Authority (CDA) for the Agency's operation & maintenance of the IERCA Composter and CDA Desalter facilities respectively. Also included is \$0.9 million for operations & maintenance costs related to the groundwater recharge basins, net of the Agency's pro-rata share for the recycled water recharge costs. Annual total cost reimbursement budget of \$7.1 million includes: \$4.2 million from IERCA, \$1.4 million from CDA, and \$1.5 million from Chino Basin Water Master (CBWM).

Other Revenues, 109.8% Total other revenues and project reimbursements were \$2.3 million, or 109.8 percent of the year to date budget. Actuals include a \$1.5 million one-time receipt from Monte Vista Water District (MVWD) for capacity rights and wastewater discharge permits to use 7 capacity units for the Etiwanda Wastewater Line (EWL), \$0.4 million from Chino Basin Waster Master(CBWM) for their share of the 2008B Rate Variable bond debt service and fixed project costs, \$0.2 million of miscellaneous revenues for Non-Reclaimable Wastewater leased capacity units, and \$0.2 gain on investments, other fees, and miscellaneous reimbursements.

3. Actual Operating and Capital Expense vs. Budget:

Quarter Ended December 31, 2020

	Amended Budget	Budget Year to Date (YTD)	Actual Year to Date (YTD)	Budget YTD vs. Actual	% of Budget Used YTD
Operating Expenses:					
Employment	\$52,269,032	\$26,134,516	\$26,180,538	(\$46,022)	100.2%
Admin & Operating	79,536,342	39,768,171	25,605,400	\$14,162,771	64.4%
MWD Water Purchases	46,236,000	23,118,000	24,956,238	(\$1,838,238)	108.0%
OPERATING EXPENSES	\$178,041,374	\$89,020,687	\$76,742,176	\$12,278,511	86.2%

Non-Operating Expenses:

Capital	175,641,167	87,820,584	31,247,631	\$56,572,952	35.6%
Debt Service and All Other Expenses	25,185,135	12,592,567	12,032,068	\$560,499	95.5%
NON-OPERATING EXPENSES	\$200,826,302	\$100,413,151	\$43,279,699	\$57,133,451	43.1%
Total Expenses	\$378,867,676	\$189,433,838	\$120,021,875	\$69,411,962	63.4%

Employment Expenses
net of allocation to
projects

Employment, 100.2%

Employment expenses were \$26.2 million or 100.2 percent of the year to date budget. At the end of the second quarter, a total of 264 regular positions were filled compared to the 286 budgeted (290 authorized) and 10 limited term positions were filled compared to the 18 budgeted positions. Recruitment of key positions as part of the Agency's succession planning effort is expected to lower the vacancy factor going forward. Due to COVID-19, departments have been directed to fill only the most essential vacant positions. The budget and actual expenses include \$7.5 million payment toward unfunded retirement liabilities.

Administrative &
Operating Expenses

Office and Administrative, 25.6%

Total expenses through the second quarter were \$0.4 million or 25.6 percent of the year to date budget. Due to COVID 19 all travel has been suspended and the Agency has shifted to virtual online training and events. Other expense such as office supplies, printing, and copying remain low as non-essential support staff continues to work remotely.

Professional Fees & Services, 49.9%

Total expenses were \$3.5 million, or 49.9 percent of the year to date budget. The variance is primarily due to the continued deferral of non-critical contract labor and materials. As public health restrictions begin to lift, contractor and consultant support will be utilized for operational response plans, energy storage projects, and air quality source testing; repairs and calibration of critical compliance equipment; and other administrative services such as financial management, audit, and lobbying expense are planned for the subsequent quarters.

Materials & Supplies/Leases/Contribution, 74.3%

Expenses through the of the second quarter were \$1.3 million or 74.3 percent of year to date budget. The favorable variance was primarily due to ongoing COVID-19 restrictions resulting in fewer preventive and corrective maintenance tasks for operations-related repairs. In the following quarters budget will be utilized for replacement of digester recirculation pumps, clarifier brush assemblies, safety equipment, and lab supplies.

Biosolids Recycling, 91.4%

Biosolids expense through the end of the second quarter was \$2.2 million or 91.4 percent of the year to date budget. The biosolids budget includes costs for hauling and disposal of biosolids and non-biological materials. Year to date tonnage of the Agency's biosolids generated from all its water recycling facilities shipped to IERCA was 33,428 tons with a hauling rate of \$57 per ton.

Chemicals, 87.3%

Chemical expenses were \$2.4 million, or 87.3 percent of the year to date budget. Chemicals are essential in meeting regulatory requirements, treatment process performance goals, and sustainment of high quality recycled water.

MWD Water Purchases, 108%

Total Metropolitan Water District of Southern California (MWD) pass-through imported water revenue was \$25 million or 108 percent of the year to date budget. Imported water deliveries were 29,173 AF compared to the annual budget of 60,000 AF. Mild winter conditions and low precipitation account for the increased demand through the second quarter.

Operating Fees, 90.9%

Total expenses were \$5.8 million or 90.9 percent of the year to date budget. A major part of this category are the "pass-through" fees from Los Angeles County Sanitation District (LACSD) and Santa Ana Watershed Project Authority (SAWPA) for the Agency's non-reclaimable wastewater system (NRWS).

Utilities, 103.5%

Total utilities expenses were \$5.4 million or 103.5 percent of the year to date budget. This category includes the purchase of electricity from Southern California Edison (SCE) or the grid, natural gas, and purchase of renewable energy generated on site from solar and wind. Through the second quarter, the average rate for imported electricity ranged between \$0.12/kWh - \$0.13/kWh compared to the budgeted rate of \$0.12/kWh.

O&M and Reimbursable Projects, 34% and 1.8%

The combined O&M and reimbursable project costs were \$4.1 million or 33.6 percent of the combined year to date budget. The favorable budget is mainly due to project deferrals, delays, and lower spending on planning documents, various fund emergency projects, and drought related projects.

Financial Expenses	Financial Expense, 94.2% Total financial expenses were \$11.7 million or 94.2 percent of the year to date budget. Actual costs include \$5.1 million of principal payments for the 2017A Revenue bonds and State Revolving Fund loans. Total interest and financial administration fees were \$6.6 million.
Other Expenses	Other Expenses, 191.1% Total other expenses were \$0.3 million or 191.1 percent of the year to date budget. Major category expense includes the annual contribution-in-aid to the Santa Ana Watershed Project Authority.
Capital Expenses	Capital Costs, 35.6% Total capital project expenditures year to date were \$31.2 million or 35.6 percent of the year to date budget. The favorable variance can be attributed to material procurement and contract award delays due to the COVID-19 pandemic, project scope and design adjustments, and regulatory permit requirements. Capital project budget related to the Regional Wastewater program is \$139.3 million, or 79 percent of the \$175.6 million of the annual program budget. Recycled Water program capital project budget accounts for \$5.2 million, or 3.0 percent of the annual program budget.

Summary of major capital and non-capital project expenses and status as of December 31, 2020

Capital Projects		Annual Budget	Actual YTD	% of Budget Used YTD
EN17082	RP-1 Mechanical Restoration The project will replace all nine RAS pumps, five WAS pumps, four scum pumps, two motor control centers, five variable frequency drives, and all ancillary piping in the RAS Buildings. The objective of the project is to ensure the treatment facilities are well maintained, upgraded to meet evolving requirements, sustainably managed, and can accommodate changes in regional water use. Construction was delayed 47 days due to COVID 19; now scheduled for completion on May 6, 2021.	4,300,000	2,879,154	67.0%
EN19006	RP-5 Solids Handling Facility The relocation of the RP-2 Solids Treatment Facility to RP-5 is required due to the facility being located on United States Army Corps of Engineers (USACE) property, which is located in a future flood plain upon completion of USACE project to raise the Prado Dam Spillway. W.M. Lyles has mobilized construction trailers, started site preparation, begun potholing, and are finalizing development of the baseline project controls schedule and are scheduled to begin construction in January 2021. Final SCAQMD permit is expected to issued in February 2021. The Radio Tower design is being developed and will be released as a design build project in the middle of 2021.	55,345,979	4,380,202	7.9%
EN19001	RP-5 Expansion to 30 mgd Improvements at RP-5 are expected to increase the treatment capacity of RP-5 from 15 to 22.5 MGD. W.M. Lyles has mobilized construction trailers, started site preparation, begun potholing, and are finalizing development of the baseline project controls schedule and are scheduled to begin construction in January 2021. Final SCAQMD permit is expected to issued in February 2021. Design of the offsite pump stations and associate agreements are still under development.	31,711,330	4,592,514	14.5%
EN20056	RSS Haven Avenue Repairs During the Request for Proposal advertisement period, IEUA staff members discussed the potential of diverting all of the flow from the Cucamonga Trunk Sewer to the adjacent Cucamonga Interceptor Relief permanently and abandoning the Trunk Sewer. The scope of work for this alternative was requested to be added to the consultant's design proposal as a design alternative. IEUA staff has subsequently examined the alternative and determined that rehabilitating the Haven Avenue Regional Sanitary Sewer (RSS) is the most viable option. The design has been completed and the construction bids will be advertised during the first week of January 2021. No schedule impact is foreseen at this point.	5,601,606	139,439	2.5%
O&M & Reimbursable Projects		Annual Budget	Actual YTD	% of Budget Used YTD
EN19024	Collection System Asset Management CDM Smith was awarded the contract to perform condition assessments of specified sewer lines and siphons within the Regional and Brine Sewer Systems. The contract includes an optimized planning system for future cleaning, inspecting and replacing sewer assets. By February, 2021, CDM will begin inspections of the hard to access siphon. These efforts will include establishing full bypass of the segments and providing required traffic control. All field inspections are scheduled to completed with the second quarter of next fiscal year. The optimization efforts will be completed one-year after the completion of the field assessment work.	3,186,350	71,400	2.2%
EN19023	Asset Management Plan As part of the Asset Management Program, this project will develop and gather the condition of Agency assets in order to forecast, budget, and implement the replacement and/or repair of critical assets.	1,179,842	85,278	7.2%
WR18005	Turf Removal Rebate This Project adds a \$1 per square-foot incentive to MWD's base-rate rebate of \$2. This Project provides supplemental funding for customers who replace their existing, high water-use turf with climate appropriate and water-wise plant materials for residential, commercial, industrial, and institutional sites. During the second quarter of FY 20/21, a total of 36 new turf applications were received, 23 project applications were approved to proceed, and 20 applications were completed and paid. A total of 30,156 square-feet of high water-use turf was removed and participants within the IEUA service area received an additional \$30,156 in funding.	515,805	159,497	30.9%

INLAND EMPIRE UTILITIES AGENCY
Fiscal Year 2020/21
CONSOLIDATED BUDGET VARIANCE ANALYSIS REPORT
Quarter Ended December 31, 2020

	Amended Budget	Budget YTD	Actual YTD	Variance YTD	% Budget YTD Used	% Annual Budget Used
<u>OPERATING REVENUES</u>						
User Charges	\$88,233,485	\$44,116,743	\$44,603,443	\$486,700	101.1%	50.6%
Recycled Water	16,155,000	8,077,500	11,036,597	2,959,097	136.6%	68.3%
MWD Water Sales	46,236,000	23,118,000	24,956,238	1,838,238	108.0%	54.0%
Cost Reimbursement from JPA	7,057,798	3,528,899	3,766,148	237,249	106.7%	53.4%
Interest Revenue	3,928,685	1,964,343	148,760	(1,815,583)	7.6%	3.8%
TOTAL OPERATING REVENUES	\$161,610,968	\$80,805,484	\$84,511,186	\$3,705,701	104.6%	52.3%
<u>NON-OPERATING REVENUES</u>						
Property Tax	\$53,934,900	\$26,967,450	\$35,519,128	\$8,551,678	131.7%	65.9%
Connection Fees	35,734,799	17,867,400	13,450,577	(4,416,823)	75.3%	37.6%
Grants	14,412,372	7,206,186	1,706,949	(5,499,237)	23.7%	11.8%
SRF Loan Proceeds	79,646,848	39,823,424	7,044,074	(32,779,350)	17.7%	8.8%
Project Reimbursements	3,050,651	1,525,326	411,975	(1,113,350)	27.0%	13.5%
Other Revenue	1,148,100	574,050	1,892,105	1,318,055	329.6%	164.8%
TOTAL NON OPERATING REVENUES	\$187,927,670	\$93,963,835	\$60,024,808	(\$33,939,027)	63.9%	31.9%
TOTAL REVENUES	\$349,538,638	\$174,769,319	\$144,535,994	(\$30,233,326)	82.7%	41.4%
<u>ADMINISTRATIVE and OPERATING EXPENSES</u>						
EMPLOYMENT EXPENSES						
Wages	\$27,477,731	\$13,738,865	\$15,685,416	(\$1,946,551)	114.2%	57.1%
Benefits	24,791,301	12,395,651	10,495,122	1,900,530	84.7%	42.3%
TOTAL EMPLOYMENT EXPENSES	\$52,269,032	\$26,134,516	\$26,180,538	(\$46,021)	100.2%	50.1%
ADMINISTRATIVE EXPENSES						
Office & Administrative	\$3,017,719	\$1,508,859	\$385,643	\$1,123,217	25.6%	12.8%
Insurance Expenses	1,048,795	524,397	527,390	(2,992)	100.6%	50.3%
Professional Fees & Services	14,160,123	7,080,062	3,531,450	3,548,612	49.9%	24.9%
O&M Projects	24,063,169	12,031,584	\$4,090,843	7,940,741	34.0%	17.0%
Reimbursable Projects	328,583	164,292	\$2,974	161,317	1.8%	0.9%
TOTAL ADMINISTRATIVE EXPENSES	\$42,618,388	\$21,309,194	\$8,538,300	\$12,770,895	40.1%	20.0%

INLAND EMPIRE UTILITIES AGENCY
Fiscal Year 2020/21
CONSOLIDATED BUDGET VARIANCE ANALYSIS REPORT
Quarter Ended December 31, 2020

	Amended Budget	Budget YTD	Actual YTD	Variance YTD	% Budget YTD Used	% Annual Budget Used
OPERATING EXPENSES						
Material & Supplies/Leases	\$3,460,105	\$1,730,053	\$1,285,746	\$444,306	74.3%	37.2%
Biosolids Recycling	4,758,962	2,379,481	2,175,682	203,799	91.4%	45.7%
Chemicals	5,417,747	2,708,874	2,364,932	343,942	87.3%	43.7%
MWD Water Purchases	46,236,000	23,118,000	24,956,238	(1,838,238)	108.0%	54.0%
Operating Fees/RTS Fees/Exp. Alloc.	12,777,460	6,388,730	5,805,482	583,248	90.9%	45.4%
Utilities	10,503,680	5,251,840	5,435,257	(183,418)	103.5%	51.7%
TOTAL OPERATING EXPENSES	\$83,153,954	\$41,576,977	\$42,023,338	(\$446,361)	101.1%	50.5%
TOTAL ADMINISTRATIVE and OPERATING EXPENSES	\$178,041,374	\$89,020,687	\$76,742,175	\$12,278,513	86.2%	43.1%
<u>NON-OPERATING EXPENSES</u>						
CAPITAL OUTLAY	\$175,641,167	\$87,820,584	\$31,247,631	\$56,572,952	35.6%	17.8%
FINANCIAL EXPENSES						
Principal, Interest and Financial Expenditures	24,841,082	12,420,541	11,703,253	717,288	94.2%	47.1%
OTHER NON-OPERATING EXPENSES	344,052	172,026	328,816	(156,790)	191.1%	95.6%
TOTAL NON-OPERATING EXPENSES	\$200,826,301	\$100,413,151	\$43,279,700	\$57,133,450	43.1%	21.6%
TOTAL EXPENSES	\$378,867,676	\$189,433,838	\$120,021,876	\$69,411,963	63.4%	31.7%
REVENUES IN EXCESS/ (UNDER) EXPENSES	(\$29,329,037)	(\$14,664,519)	\$24,514,117	\$39,178,637		

Totals may not add up due to rounding

Business Goals Status Updates – FY 2020/21 2nd Quarter

Exhibit B

INITIATIVES DIVISION	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
AGENCY MANAGEMENT			Several staff members completed training offered by CSDA (Board Secretary/Clerk Conference) and Agency (Crucial Conversations). There are staff members that also began cross-training to provide support to other departments.		
HR	HR completed the Policy Review Services RFP and obtained approval from the Board to award the contract to LCW.		HR Team members continue to participate in virtual training opportunities. Additionally, HR functions have shifted among team members which has provided HR Team members with opportunities to develop their skills across HR functions. Finally, several stretch assignments have been provided to HR Team members in the form of projects such as the implementation of NEOGOV Onboard and the Policy Review RFP. These stretch assignments help		

Business Goals Status Updates – FY 2020/21 2nd Quarter

Exhibit B

			promote professional development.		
GR	On October 5, 2020 GR provided a regulatory update on rising energy prices and state mandates to convert to all-electric vehicles to the AMSC.		Effective December 13, 2020, GR was re-structured to become Inter-Agency Relations.		

Business Goals Status Updates – FY 2020/21 2nd Quarter

Exhibit B

INITIATIVES DIVISION	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
EXTERNAL AND GOVERNMENT AFFAIRS	External Affairs staff has taken part in the Defect Elimination (DE) Task Force and has worked with other DE Task Force members to address efficiencies.	<p>The Grants Department continues to pursue Federal and State funding to support the Agency’s capital projects.</p> <p>Regional Wastewater System Improvements Program – Total Costs \$183 Million</p> <p>Submitted a Letter of Interest to the EPA for a low-interest loan through the Water Infrastructure Finance and Innovation Act – (WIFIA) program. The letter requested funding for 49% of the costs to implement four capital projects: RP-5 Expansion (\$50M), RP-1 Solids Thickening (\$99M), CCWRF Process Improvements (\$16M), and Philadelphia Force Main Improvements (\$18M).</p> <p>The Grants Department submitted two grant</p>	<p>External Affairs staff continue to take part in virtual training opportunities focused on outreach, website accessibility regulations, social media and records retention, virtual event development, etc.</p> <p>RM Staff continue to train Agency employees including management staff on the use, processes and elements of the Laserfiche system.</p> <p>RM Staff continue to attend webinars and training on Records Management best practices. Staff has been trained specifically on how to maintain compliance and safety to the electronic records management system while the “Work from Home” order is in place during COVID-19 Pandemic.</p>		

Business Goals Status Updates – FY 2020/21 2nd Quarter

Exhibit B

		<p>applications for the Montclair Basin Improvements Project:</p> <p>1) Request for funding in the amount of \$812K to the USBR's WaterSMART: Drought Resiliency Program, and</p> <p>2) Request for funding in the amount of \$517K to MWD's Stormwater Recharge Pilot Program.</p>	<p>Staff attended the Association of Records Managers and Administrators (ARMA) Conference specifically focused on Records and Information Management (RIM) in a Work from Home Environment.</p>		
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Business Goals Status Updates – FY 2020/21 2nd Quarter

Exhibit B

INITIATIVES DIVISION	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
TECHNICAL RESOURCES	<p>Asset Management progress this quarter include the following:</p> <ul style="list-style-type: none"> • AM staff grew by adding/shifting the following positions: <ul style="list-style-type: none"> ○ Senior Engineer ○ Senior Associate Engineer ○ Warehouse Team • Condition Assessments (CA) Plan: <ul style="list-style-type: none"> ○ Collaborating with Records Management, O&M, Engineering & to gather previous CAs and store with official records. ○ Continuing to build strategic condition assessment plan. • Documentation/Process Development: <ul style="list-style-type: none"> ○ Finalizing AM Policy, which will be routed for signature in Q3. ○ Commenced Risk Identification and Mitigation Process documentation. ○ Continued work in developing Asset Flow process 	<p>Chino Basin Program - PL Staff is continuing to work with stakeholders to identify regional priorities and craft the next water resources program that is needed within the IEUA service area and will then determine if the program still qualifies for the WSIP funding.</p> <p>As part of the Engineering and Construction Management Department's goal of providing high quality project management for the completion of Capital Improvement Projects the Department's KPIs are as follows:</p> <p>2nd Quarter Capital Spending was 69% (the amount we project as a department to spend this FY against our FY Budget. Actual vs Budget).</p>	<p>PL Staff attends seminars and webinars hosted by the Agency, and Regulatory Agencies, and cross training within the department. PL Staff regularly interact with and develop information for Agency management, IEUA Board, contracting agency policy members. PL Staff also actively participate in industry-wide discussions about water resource management, development, and trends (PPIC, ACWA, SCAP, CASA, Water Reuse Association, Pacific Institute, etc.)</p> <p>The Engineering and Construction Management Department had one monthly mentoring session with the interns during the 2nd quarter.</p>	<p>PL Staff participates in monthly safety training videos. PL Staff has regularly been sending emails to IS before opening any suspicious links to verify if they are phishing attempts</p>	<p>PL Staff participated in brown-bag seminars presented by Finance and Accounting for budget. PL staff has been working diligently to QA/QC water purchase data to upload in the Bi Launch pad.</p>

Business Goals Status Updates – FY 2020/21 2nd Quarter

Exhibit B

	<ul style="list-style-type: none"> Defect Elimination (De): De Task Force #1 completed their projects, which were presented during the GMs quarterly All Hands. De TF #2 will be commencing in Q3. AM Pilot: Shifted AM pilot plans from IERCF to RP-1. This is our oldest and largest plant. Lessons garnered from this effort will have widespread application. 	<p>2nd Quarter Actual Expenditures as a percentage of our forecasted expenditures was 76% (How accurate the department is with our capital call projections each quarter).</p> <p>2nd Quarter Design Scope Amendment ratio was 28.59%</p> <p>2nd Quarter Change Order ratio was 5.99% (this excludes the RP5 Expansion)</p> <p>2nd Quarter Project Costs within 110% of Total Project Budget Established in the Project Charter was 86.67%. 13 of 15 projects that had a Notice of Completion met the goal.</p> <p>RP-5 Expansion Project:</p> <p>Contractor continued potholing the site of existing utilities, started submitting on</p>	<p>The Department continues to meet with Intern staff to provide ongoing career development topics for discussion. The current effort is to continue to review and discuss the book Crucial Conversation by Patterson, Grenny, McMillan, and Switzer. Unfortunately, due to limited attendance and scheduling conflicts during 2nd quarter, staff was not able to finish the book discussion. The plan is to finish the discussion during the 3rd quarter.</p>		
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Business Goals Status Updates – FY 2020/21 2nd Quarter

Exhibit B

		equipment and materials, and developed the baseline schedule. Construction started in January 2021 with solids excavation and demolition of secondary clarifier 4B.			
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Business Goals Status Updates – FY 2020/21 2nd Quarter

Exhibit B

INITIATIVES DIVISION	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
FINANCE & ADMINISTRATION		The CAP Team continues to support Capital Project solicitations and existing projects. We have also updated several security cameras throughout Agency facilities through our small capital project.	CAP staff participated in several CAPPO, NIGP and CSRMA training webinars and events.	Upgraded several plant security cameras. Provided additional security guards to support Human Resources and RCA requests. No incidents to report.	CAP & BIS worked toward the implementation of several SAP enhancements related to PO's, BPA's, automated reports, contract change approvals.

Business Goals Status Updates – FY 2020/21 2nd Quarter

Exhibit B

INITIATIVES	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
DIVISION					
OPERATIONS	<p>Operations team is partnering with the Asset Management group to onboard planning and scheduling software to streamline maintenance workflow and create transparency to work execution. Software is installed and being tested through Q3 to roll out Agency-wide before Q4.</p> <p>(O&M) Staff collaborated with AM team on the development of Agency high risk/liability items.</p> <p>(O&M) Staff remained active participants and contributors in the AM Steering Committee Meetings and supported AM initiatives such as Defect Elimination.</p>	<p>RP-1 Digester 6: Rehabilitation project complete, back in service December 2020.</p> <p>CCWRF Asset Management Project – staff has collaborated with Technical Services group on the design of the project to rehabilitate critical process areas in the facility.</p> <p>RP-5 Expansion Project – Staff continues to work closely with project team in the execution of plant shutdowns and the removal of process equipment from service to make room for new facilities.</p>	<p>(O&M) Staff has attended multiple virtual training classes in support of our continuous learning process, which supports professional and personal development. This includes numerous webinars and workshops focused on COVID-19, its effects, risk, and best management practices.</p> <p>Pablo Olvera: CWEA I, Vibration analysis I Cert. Flex to Mech II</p> <p>Nagoro Setyadi: CWEA I, Flex to E&I tech II</p>	<p>ISS Update:</p> <p>IEUA has hired a new Security Awareness training provider, to be introduced January. The new provider offers expanded training content and improved Phishing Detection training.</p> <p>In response to long term remote work needs, IEUA has deployed a cloud enabled Antivirus Detection and Response platform, to user laptops and workstations, replacing the traditional antivirus.</p> <p>And we have Partnered with a national cybersecurity organization, to help IEUA quickly detect and respond to emerging threats and serve as an on-call resources to assist with incident response.</p>	<p>(O&M) RP-5 SAP Asset Hierarchy Update – as a result of equipment being physically removed as part of the expansion project, SAP documents required to be updated as well. Staff has worked with project team in the identification of the assets removed and has updated asset records in SAP.</p> <p>Staff continues to collaborate with BIS in the identification of fields within SAP that will require updates in preparation for the scheduling tool deployment.</p>

Inland Empire Utilities Agency
Inter-Departmental / Division Transfers FY 2020/21
Budget Transfer

Exhibit C-1

			TRANSFER FROM				TRANSFER TO					
Fund	Fund Name	Date	Cost Center	GL Account	Category	Amt Transfer Out	Cost Center	GL Account	Category	Amount Transfer In	Description	QTR
10200	Administrative Services	8/12/2020	131140	521010	Professional Fees & Services	\$7,000.00	131140	519310	Operating Fees	\$7,000.00	Transfer from the Laboratory Department's Contract Labor Budget, to the Laboratory Operating Permits and License Budget. The budget transfer is required to pay for the ELAP FEES to the State Water Resources Control Board.	1
		11/17/2020	131140	521010	Professional Fees & Services	\$1,500.00	131140	519310	Operating Fees	\$1,500.00	Transfer from the Laboratory Department's Contract Labor Budget to the Laboratory Operating Permits and License Budget. The budget transfer is required to pay for the ELAP FEES to the State Water Resources Control Board for the addition of 123 TCP to our current list of certified parameters.	2
					Total O&M Transfers Out	\$8,500			Total O&M Transfers In	\$8,500		

Inland Empire Utilities Agency
Inter-Departmental / Division Transfers FY 2020/21
Budget Transfer

Exhibit C-1

			TRANSFER FROM				TRANSFER TO					
Fund	Fund Name	Date	Cost Center	GL Account	Category	Amt Transfer Out	Cost Center	GL Account	Category	Amount Transfer In	Description	QTR

**Inland Empire Utilities Agency
FY 2020/21 General Manager Contingency Account Activity
Budget Transfer**

Date	From Expense Account	Amount Transfer Out	Receiver Fund Name	Receiver Fund Center Name	Receiver Project / Expense Account	Receiver Project / Expense Account Name	Amount Transfer In	Balance	Justification
Beginning Balance, July 1, 2020								\$300,000	
No Activity									
Balance, September 30, 2020							\$0	\$300,000	
12/9/2020	GM Contingency	\$4,005	Administrative Services	Agency Management	511310	Employee Recognition	\$4,005		Funds needed for Holiday Shirt order requested by C. Valencia
Balance, December 31, 2020							\$4,005	\$295,995	

GM Contingency Transfers Summary by Fund	
Administrative Services program	\$4,005
Recharge Water program	-
Non-Reclaimable Wastewater program	-
Recycled Water program	-
Regional Wastewater O&M program	-
Regional Wastewater Capital program	-
Water Resources program	-
Total GM Contingency Transfers	\$4,005

Inland Empire Utilities Agency
Changes in Total Project Budget: Inter-Departmental/Division Capital & O&M Transfers FY 2020/21

Exhibit D

Fund	Transfer Date	Project Number	Project Title	Adopted Total Project (TP) Budget	Prior TP Changes in Current FY	Amt. of Transfer In / (Out)	New TP Budget	Annual Project Budget	Annual Proj. Budget Change	New Annual Project Budget	Project Transferred To/(From)	Justification	
Capital Projects													
10300	10/7/20	EN21057	Recharge Basin Clean-Up	\$298,950	\$0	(\$53,412)	\$245,538	\$298,950	(\$53,412)	\$245,538	RW15001	The project was budgeted to be completed in FY2018/19 as a cost-shared project with CBWM. The project is managed by CBWM who then invoices IEUA for its cost sharing.	
		RW15001	Long-Term Basin-Wide 404 Permitting	\$161,700	\$0	\$53,412	\$215,112	\$0	\$53,412	\$53,412	EN21057		
Subtotal Non-Reclaimable Wastewater (NC):				\$460,650			\$460,650	\$298,950		\$298,950			
10600	7/20/20	EN20067	8th Street Basin Recycled Water Turnout Discharge	\$195,000	\$0	(\$85,000)	\$110,000	\$0	\$0	\$0	EN20068	Transfer \$85,000 total project budget only from 8th St. Basin RW Turnout Discharge Capital Costs, Project Number EN20067, to the Agency-Wide Recycled Water System Repairs and Improvements - Project Number EN20068. The project was set-up as OM; however, there are asset/capital related costs that need to be reclassified to a Capital Project.	
		EN20068	Agency-Wide Recycled Water System Repairs	\$0	\$0	\$85,000	\$85,000	\$0	\$0	\$0	EN20067		
Subtotal Recycled Water (WC):				\$195,000			\$195,000	\$0		\$0			
10900	10/5/20	IS21004	Secure Access for RP-2	\$20,000	\$0	(\$20,000)	\$0	\$20,000	(\$20,000)	\$0	IS20005	This transfer is needed to purchase the replacement router for RP-4. Project IS21004 will be replaced by a project upgrading the server and workstation software at RP-2 and will not be needed.	
		IS20005	WW Infrastructure Replacement Project	\$130,000	\$0	\$20,000	\$150,000	\$8,000	\$20,000	\$28,000	IS21004		
10900	12/16/20	EN18036	CCWRF Asset Management and Improvement Pkg. III	\$2,420,000	(\$350,000)	(\$90,000)	\$1,980,000	\$150,000	(\$90,000)	\$60,000	EN17044	Transfer is needed to close out the remaining items which include a Final Site Acceptance Test, SCE shutdown, training for IEUA operations and maintenance staff, and additional scope items. Closeout items and training on the new switchgear requires assistance from operations and maintenance staff. The internal cost on the project has averaged \$25,000 a month for staff to assist in testing the new switchgear which will not occur until early next year as it requires SCE who are not available until then. This trend will continue until the gear is fully tested and accepted.	
		EN14019	RP-1 Headworks Primary and Secondary Upgrades	\$10,215,000	\$0	(\$60,000)	\$10,155,000	\$450,000	(\$60,000)	\$390,000	EN17044		
		EN17044	RP-1 12kV Switchgear and Generator	\$5,870,248	\$350,000	\$150,000	\$6,370,248	\$350,000	\$150,000	\$500,000	EN18036 & EN14019		
Subtotal Regional Capital (RC):				\$18,655,248			\$18,655,248	\$978,000		\$978,000			
				Capital Total Project Budget				Total Annual Capital Budget					
				Adopted			Amended	Adopted			Amended		
				\$19,310,898			\$19,310,898	\$1,276,950			\$1,276,950		

Inland Empire Utilities Agency
Changes in Total Project Budget: Inter-Departmental/Division Capital & O&M Transfers FY 2020/21

Exhibit D

Fund	Transfer Date	Project Number	Project Title	Adopted Total Project (TP) Budget	Prior TP Changes in Current FY	Amt. of Transfer In / (Out)	New TP Budget	Annual Project Budget	Annual Proj. Budget Change	New Annual Project Budget	Project Transferred To/(From)	Justification
O&M Projects												
10700	10/14/20	WR21017	Pressure Regulation Program	\$300,000	\$0	(\$200,000)	\$100,000	\$300,000	(\$200,000)	\$100,000	WR21025	In FY 20/21, the Pressure Regulation Program (WR21017) will receive a decrease in reimbursement from MWD and will also see a decrease in funds needed. As such, IEUA and Member Agency staff recommended to move funds into Landscape Irrigation Tune-up (WR21025) as the program will be receiving a co-pilot study incentive from MWD and will also see an increase in activity.
		WR21025	Landscape Irrigation Tune-Up	\$200,000	\$0	\$200,000	\$400,000	\$200,000	\$200,000	\$400,000	WR21017	
	10/14/20	WR20017	Residential Pressure Regulation Program	\$300,000	\$0	(\$50,000)	\$250,000	\$233,447	(\$50,000)	\$183,447	WR21019	Due to decreased program activities, staff recommends transferring funds to a project experiencing increased program activity.
		WR20024	WUE Research and Evaluation	\$40,000	\$0	(\$40,000)	\$0	\$40,000	(\$40,000)	\$0	WR21019	
		WR21009	National Theater for Children	\$60,000	\$0	(\$20,000)	\$40,000	\$60,000	(\$20,000)	\$40,000	WR21019	
		WR20004	Garden in Every School Program	\$45,000	\$0	(\$19,610)	\$25,390	\$43,049	(\$19,610)	\$23,439	WR21019	
		WR21003	Shows that Teach	\$16,000	\$0	(\$16,000)	\$0	\$16,000	(\$16,000)	\$0	WR21019	
		WR21002	CBWCD Leap	\$40,000	\$0	(\$11,000)	\$29,000	\$40,000	(\$11,000)	\$29,000	WR21019	
		WR20009	National Theater for Children	\$57,000	\$0	(\$5,000)	\$52,000	\$7,080	(\$5,000)	\$2,080	WR21019	
		WR21019	Residential Small Site Controller Upgrade	\$200,000	\$0	\$161,610	\$361,610	\$200,000	\$161,610	\$361,610	Multiple	
Subtotal Water Resources (WW):				\$1,258,000		\$1,258,000	\$1,139,576		\$1,139,576			
				O&M Total Project Budget				Total Annual O&M Project Budget				
				Adopted		Amended	Adopted		Amended			
				\$1,258,000		\$1,258,000	\$1,139,576		\$1,139,576			

Total TP Capital and O&M Project Transfers:	\$670,022
Total Project Budget Change - Capital:	\$0
Total Project Budget Change - O&M Proj:	\$0
Total Project Budget - Net Change:	\$0

FY 2020/21 Budget Variance Report

2nd Quarter Ended December 31, 2020



FY 2020/21 2nd Quarter Ended December 31, 2020

(\$ Millions)

	Amended Budget	Budget Year-To-Date (YTD)	Actual YTD	% Budget Used YTD
Total Sources of Funds	\$349.5	\$174.7	\$144.5	82.7%
Total Uses of Funds	(\$378.8)	(\$189.4)	(\$120.0)	63.4%
Net Increase/(Decrease)	(\$29.3)	(\$14.7)	\$24.5	

Highlights

Total Sources of Funds

- Unfavorable variance due to lower project grant and loan reimbursements

Total Uses of Funds

- Favorable variance continues to reflect the effects of the Coronavirus (COVID-19) pandemic

FY 2020/21 2nd Quarter Ended December 31, 2020

(\$ Millions)

Sources of Funds Operating Revenues	Amended Budget	Budget Year- To-Date (YTD)	Actual YTD	% Budget Used YTD
User Charges	\$88.2	\$44.1	\$44.6	101.1%
Recycled Water Sales	16.2	8.1	11.0	136.6%
MWD Imported Water Sales	46.2	23.1	25.0	108.0%
Other Operating Revenues*	11.0	5.5	3.9	71.3%
Total Operating Revenues	\$161.6	\$80.8	\$84.5	104.6%

**Other operating revenues includes contract cost reimbursement and interest revenue.*

Highlights

User Charges includes:

- Monthly EDU sewer charges
- Non-reclaimable wastewater pass-thru charges
- Monthly MEU charges and MWD RTS pass-thru fees

Recycled Water and MWD Imported Water Sales

- Higher demand due to low precipitation and mild winter weather
- Recycled water deliveries totaled 21,909 Acre Feet
- Imported water deliveries totaled 29,173 Acre Feet

FY 2020/21 2nd Quarter Ended December 31, 2020

(\$ Millions)

Sources of Funds Non-Operating Revenues	Amended Budget	Budget Year- To-Date (YTD)	Actual YTD	% Budget Used YTD
Property Tax	\$53.9	\$27.0	\$35.5	131.7%
Connection Fees	35.7	17.8	13.4	75.3%
Grants and Loans	94.0	47.0	8.8	18.6%
Other Non-Operating Revenues*	4.2	2.1	2.3	109.8%
Total Non-Operating Revenues	\$187.9	\$93.9	\$60.0	63.9%
Total Operating Revenues	\$161.6	\$80.8	\$84.5	
Total Sources of Funds	\$349.5	\$174.7	\$144.5	82.7%

**Other non-operating revenues includes project reimbursements, lease revenue, and miscellaneous sales.*

Highlights

New Connections to Regional Systems

- Actual 1,568 EDU ~ Budget 4,000 EDU
- Actual 1,965 MEU ~ Budget 4,700 MEU

Grant and SRF Loan Receipts

- Grant receipts were \$1.7 million
- SRF Loan reimbursements were \$7.0 million

FY 2020/21 2nd Quarter Ended December 31, 2020

(\$ Millions)

Uses of Funds Operating Expense	Amended Budget	Budget Year- To-Date (YTD)	Actual YTD	% Budget Used YTD
Employment Expenses (net of CIP)	\$52.3	\$26.1	\$26.2	100.2%
Professional Fees & Services	14.1	7.1	3.5	49.9%
Utilities	10.5	5.3	5.4	103.5%
MWD Water Purchases	46.2	23.1	25.0	108.0%
O&M and Reimbursable Projects	24.4	12.2	4.1	33.6%
Other Operating Expenses*	30.5	15.2	12.5	82.3%
Total Operating Expenses	\$178.0	\$89.0	\$76.7	86.2%

*Other operating expenses includes insurance, operating fees, chemicals, biosolids, materials & supplies, and office & admin.

Highlights

- Deferred non-critical contract labor and materials
- All travel, conferences, and related costs have been put on hold
- All in person events and training has shifted to a virtual environment

Employment

- Includes quarterly allocation toward unfunded liabilities
- Recruitment efforts focused on the most essential positions

FY 2020/21 2nd Quarter Ended December 31, 2020

(\$ Millions)

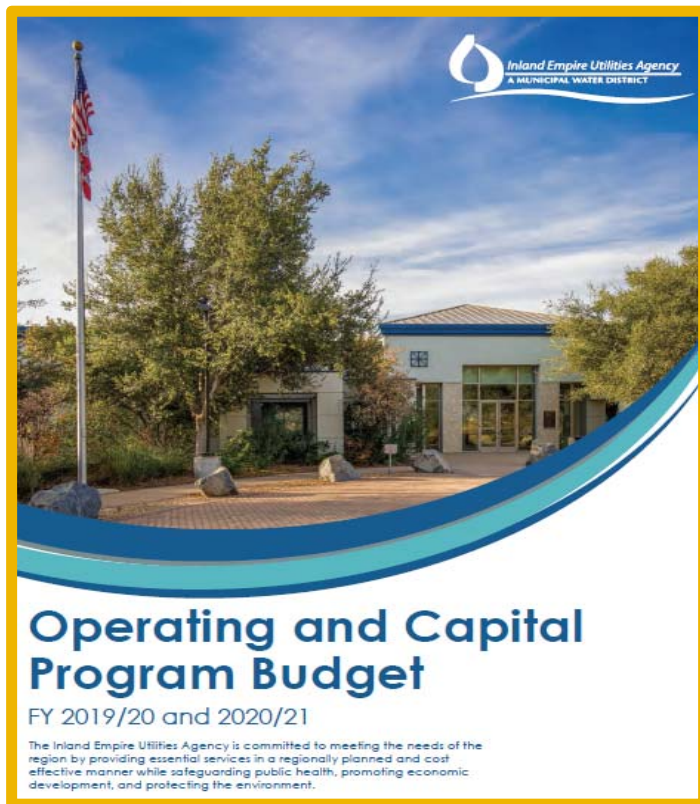
Uses of Funds Non-Operating Expense	Amended Budget	Budget Year- To-Date (YTD)	Actual YTD	% Budget Used YTD
Capital Projects	\$175.6	\$87.8	\$31.2	35.6%
Financial Expenses	24.8	12.4	11.7	94.2%
Other Non-Operating Expenses*	0.3	0.2	0.3	191.1%
Total Non-Operating Expenses	\$200.8	\$100.4	\$43.3	43.1%
Total Operating Expenses	\$178.0	\$89.0	\$76.7	85.4%
Total Uses of Funds	\$378.8	\$189.4	\$120.0	63.4%

**Other non-operating expenses includes SAWPA member contribution and other miscellaneous expenses.*

Highlights

- Capital project material procurement and contract award delays due to COVID-19
- Principal payments for bonds and State Revolving Fund (SRF) loans totaled \$5.1 million
- Interest and financial expense totaled \$6.6 million

Questions



The Budget Variance Analysis report is consistent with the Agency's business goal of fiscal responsibility to ensure actual revenues and expenditures are consistent with those approved by the Board of Directors.

**INFORMATION
ITEM**

4D

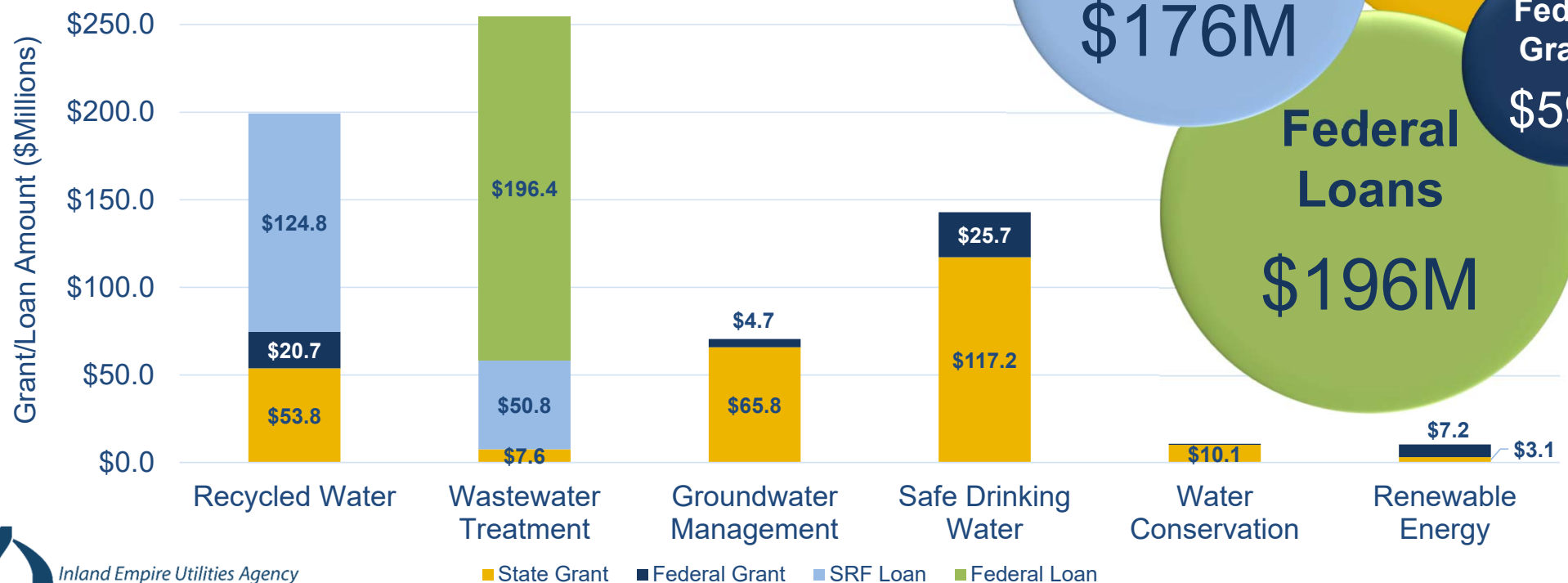
Grants Department Semi-Annual Update



Jesse Pompa, P.E., BCEE
Manager of Grants
March 2021

Grant & Loan Funding Programs Overview 2000 – Present

Total Grants + Loans = \$689M



State Loans
\$176M

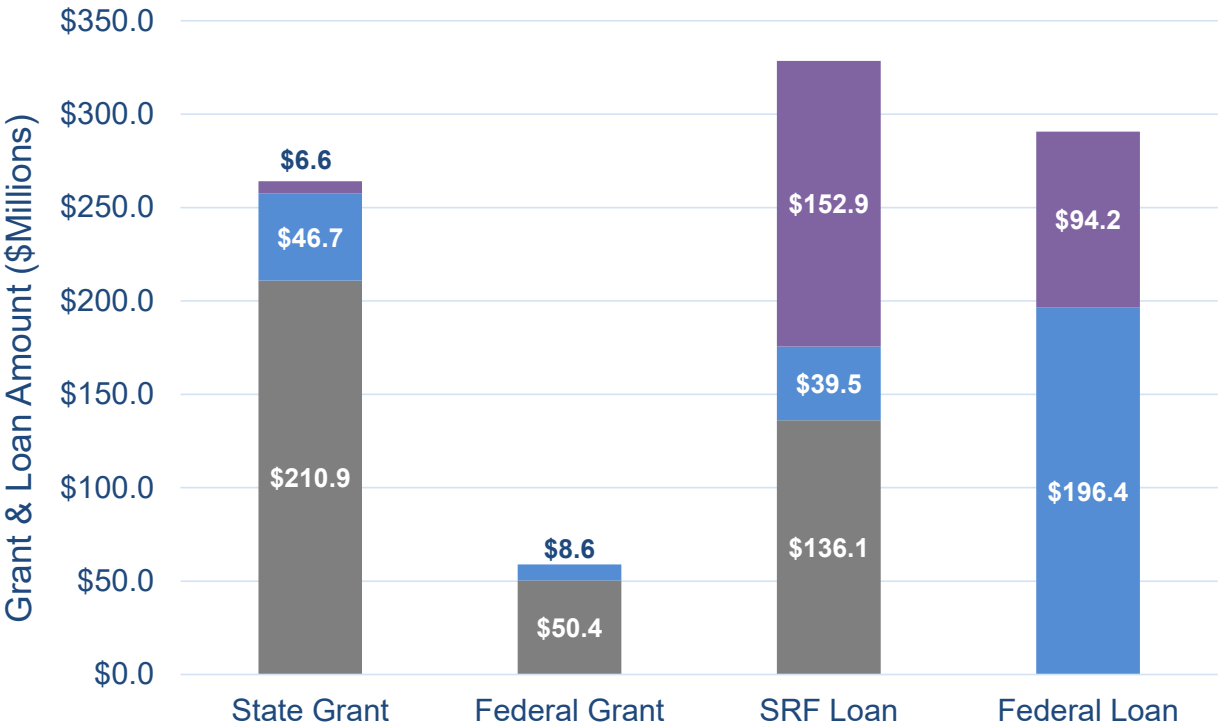
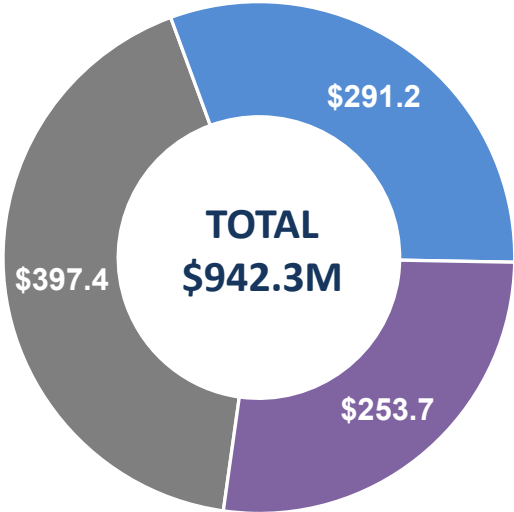
State Grants
\$258M

Federal Loans
\$196M

Federal Grants
\$59M

Grants and Loan Status

Grant & Loan Agreements
2000 - Present

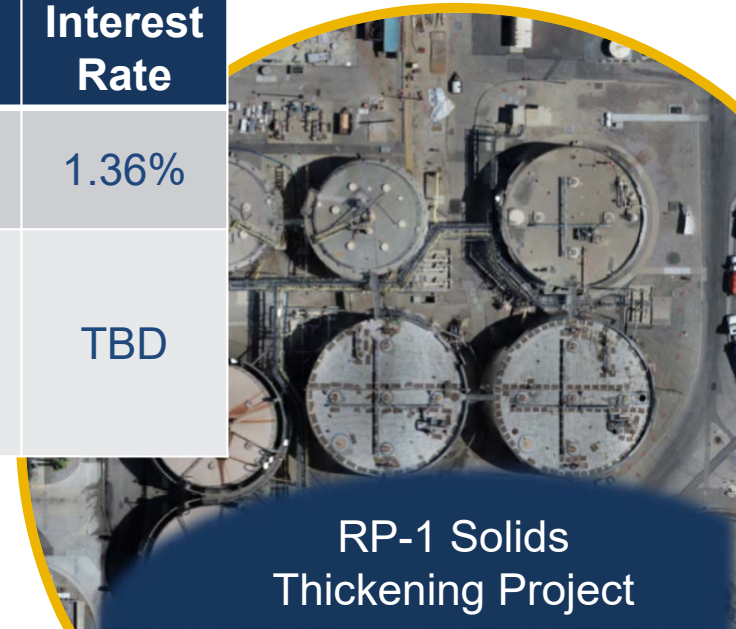


■ Completed Funding Agreements ■ Open Funding Agreements ■ Awarded, In Negotiation

WIFIA Program Success

- Water Infrastructure Finance and Innovation Act
- Total of \$592M in project costs funded with \$290M in low-interest loans

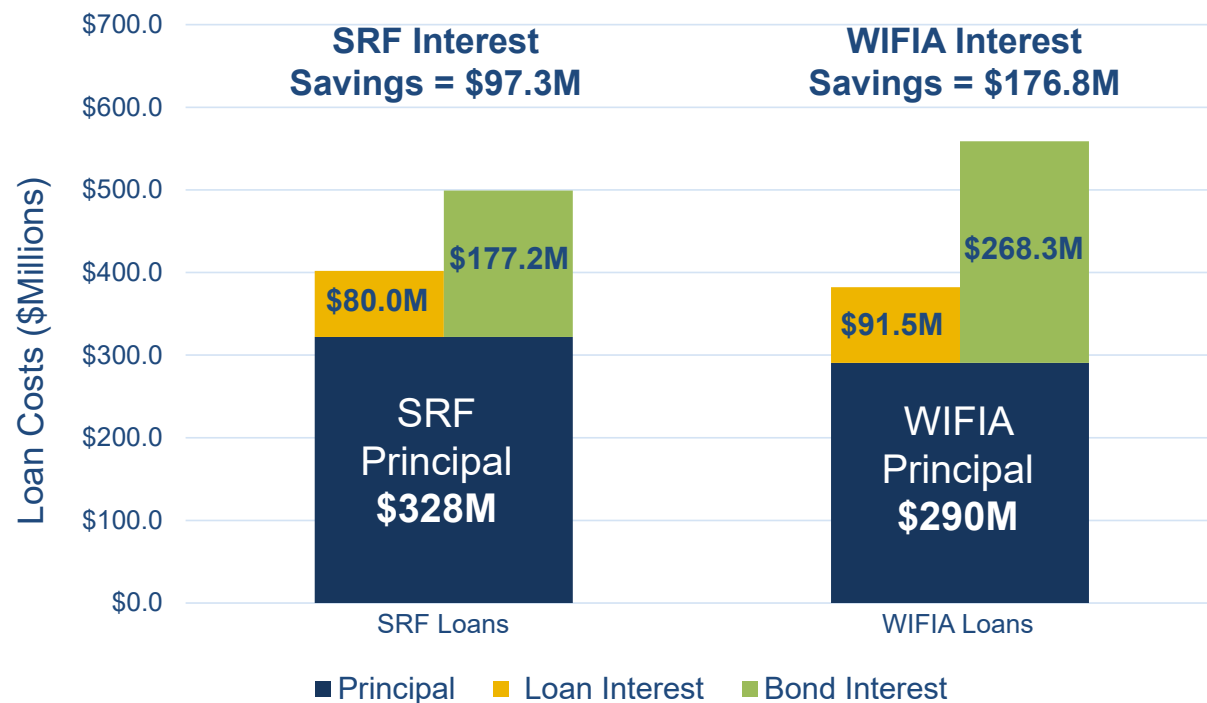
Funding Round	Projects	Loan Amount	Interest Rate
Round 1 (2018)	RP-5 Expansion	\$196.4M	1.36%
Round 2 (2020)	RP-5 Expansion RP-1 Solids Thickening CCWRF Process Improvements Philadelphia Force Main Improvements	\$94.2M	TBD



Low-Interest Loan Savings

- SRF Loan Terms
 - 50% of the State general obligation bond rate
 - Bond interest calculated at double the SRF rate
- EPA WIFIA Loan Terms
 - Round 1 – 1.36%
 - Round 2 estimated at 2.1%
 - Bond interest estimates based on market conditions

Total Interest Savings = \$274M



**INFORMATION
ITEM**

4E

Date: March 17, 2021

To: The Honorable Board of Directors

Committee: Audit


From: Teresa Velarde, Manager of Internal Audit

03/08/21

Manager Contact: Teresa Velarde, Manager of Internal Audit

Subject: Report on The Audit Function

Executive Summary:

The Inland Empire Utilities Agency Internal Audit Department and the Audit Committee were established by Board action on April 21, 2004. The Audit Function was established as a response to increased responsibilities for financial reporting and audit compliance requirements of various regulatory agencies. Specifically risks related to the financial growth of the Agency associated with the issuance of bonds and receipt of increasing grant funding, along with the administration of rebate programs and the active roles and projects with various joint powers and member agencies. As documented in the March 17, 2004 Board Letter, the Audit Function was the staff recommendation to provide greater Board oversight of External Auditor activities, to perform internal audits and provide recommendations, to adopt Government Finance Officers Association and Institute of Internal Auditors guidance and comply with California legislation anticipated changes in audit reporting requirements. The purpose of the Audit Function is to assist the Agency in achieving its goals and objectives, additionally, to ensure the Agency maintains the highest standards of fiscal integrity and accountability, provide a system of sound checks and balances, and ensure a truly independent view of Agency operations. See Report.

Staff's Recommendation:

This is an information item.

Budget Impact *Budgeted (Y/N):* N *Amendment (Y/N):* N *Amount for Requested Approval:*

Account/Project Name:

N/A

Fiscal Impact (explain if not budgeted):

N/A

Prior Board Action:

On June 17, 2020, the Board of Directors approved the Fiscal Year 2020/21 Annual Audit Plan. The plan was in accordance with auditing standards and the Charter.

On December 16, 2020, the Board of Directors reconfirmed the approved Audit Committee and the Internal Audit Department Charters which document responsibilities for the Audit Function.

On April 21, 2004, the Board of Directors approved the establishment of an Audit Committee and the position of Internal Auditor (currently, Manager of Internal Audit)

Environmental Determination:

Not Applicable

Business Goal:

This report summarizing the IEUA Audit Function is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment and Business Practices by describing the functions, roles and responsibilities of the Internal Audit Department, the Audit Committee, External Financial Auditors and the Audit Committee Advisor to ensure the Agency maintains the highest standards of fiscal integrity and accountability, provide a system of sound checks and balances, and ensure a truly independent view of Agency operations.

Attachments:

Attachment 1 - Report: The Audit Function

Attachment 2 - Additional Reference Material - [Click to Download](#)

Attachment 3 - PowerPoint



The Audit Function

This report evaluating the IEUA Audit Function is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment and Business Practices by describing the functions, roles and responsibilities of the Internal Audit Department and providing an overview of the components which make up The Audit Function for the purpose of ensuring the Agency maintains the highest standards of fiscal integrity and accountability, provide a system of sound checks and balances, and ensure a truly independent view of Agency operations.

Prepared by:
Internal Audit Department
March 8, 2021



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P.O. Box 9020 • Chino Hills, CA 91709
TEL (909) 993-1600 • FAX (909) 993-1985
www.ieua.org

DATE: March 8, 2021

TO: Honorable Board of Directors

Shivaji Deshmukh
General Manager

FROM: Teresa V. Velarde
Manager of Internal Audit

SUBJECT: The Audit Function

The Inland Empire Utilities Agency (IEUA or Agency) Internal Audit Department (IA) and the Audit Committee were established by Board action on April 21, 2004. The Audit Function was established as a response to increased responsibilities for financial reporting and audit compliance requirements of various regulatory agencies, specifically related to the financial growth of the Agency associated with the issuance of bonds and receipt of increasing grant funding, along with the administration of rebate programs and the active roles and projects with various joint powers and member agencies. As documented in the March 17, 2004 Board Letter, the Audit Function was the staff recommendation to provide greater Board oversight of External Auditor activities, to perform internal audits and submit audit reports with recommendations for improvements, to adopt the Government Finance Officers Association (GFOA) and the Institute of Internal Auditors (IIA) guidance and comply with California legislation modifying audit reporting requirements. Additionally, to ensure the Agency maintains the highest standards of fiscal integrity and accountability, provide a system of sound checks and balances, and ensure a truly independent view of Agency operations.

For purposes of this report, "The Audit Function" refers to: the Internal Audit Department, the External Financial Auditors, the Audit Committee Financial Expert and the Audit Committee. The purpose of the Audit Function is to assist the Agency in achieving its organizational goals and objectives.

The Internal Audit Department is charged with the responsibility to ensure the oversight obligations of the Audit Function are met. The Internal Audit Department seeks to add value and improve the organization's operations through independent, objective audits, reviews and evaluations and recommendations provided. Internal Auditors must adhere to a strict Code of Conduct, professional standards and principles and follow a systematic, disciplined approach in performing internal audits, evaluating operations and make recommendations.

Water Smart - Thinking in Terms of Tomorrow

Jasmin A. Hall
President

Michael E. Camacho
Vice President

Steven J. Elie
Secretary/Treasurer

Paul Hofer
Director

Marco Tule
Director

Shivaji Deshmukh
General Manager

The current structure of the Agency's Internal Audit Department follows best practices and guidelines provided the Government Finance Officers Association (GFOA), the Institute of Internal Auditors (IIA) and the American Institute of Certified Public Accountants (AICPA). Consistent with those best practices, the Internal Audit Department reports functionally to the Board through the Audit Committee to establish independence and objectivity. Also, consistent with best practices, the Internal Audit Department reports administratively to the General Manager for planning and coordinating audit projects, establishing the department's budget and staffing needs and other administrative items. The purpose, authority and responsibilities of the Internal Audit Department are documented in the Board-approved Charter.

The IEUA Audit Committee is composed of two members of the Board and an outside member that serves as the Financial Expert and Advisor to the Audit Committee, as prescribed by best practices. GFOA, AICPA and the IIA recommend the Audit Committee meet at minimum quarterly and discuss audit matters with Executive Management, the Internal Auditor, the Financial Advisor and the External Auditors and report to the Board any significant issues that warrant attention. The roles of the Audit Committee are documented in the Board approved Audit Committee Charter.

The attached report provides additional information about the purpose of the various components of The Audit Function including:

- Background information about the establishment of the IEUA Internal Audit Department and the Audit Committee
- Internal Audit Department
- Audit Committee
- Audit Committee Financial Advisor
- External Financial Auditor
- Types of Audits performed by Internal Audit
- The Annual Audit Plan
- Best Practices for The Audit Function as recommended by:
 - Government Finance Officers Association (GFOA)
 - Institute of Internal Auditors (IIA)
 - American Institute of Certified Public Accountants (AICPA)
- Additional Resources related to The Audit Function

IEUA has adopted best practices in structuring and establishing an effective Audit Function. IEUA was a leader in the local government sector to achieve structuring the Audit Function as recommended by best practice guidance. The report that follows provides details about the Audit Function at IEUA with greater discussion focused on the Internal Audit Department.

The Internal Audit Department appreciates the opportunity to provide this comprehensive report on the Audit Function of IEUA and request direction, feedback and/or changes for the fulfillment of audit responsibilities and future Audit Committee Meetings. The Manager of Internal Audit is available to provide additional information or answer any questions.

This report evaluating the IEUA Audit Function is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment and Business Practices by describing the functions, roles and responsibilities of the Internal Audit Department and providing an overview of the components which make up the Audit Function for the purpose of ensuring the Agency maintains the highest standards of fiscal integrity and accountability, provide a system of sound checks and balances, and ensure a truly independent view of Agency operations.

Attachment – Additional Reference Material related to the Audit Function

TV:sn

The Audit Function

The purpose of the Audit Function is to assist the Agency in achieving its organizational goals and objectives. Additionally, to assist the Board of Directors achieve its oversight responsibilities over for financial reporting, internal controls, and compliance with legal and regulatory requirements and to assist management by providing objective evaluations and recommendations. “The Audit Function” refers to:

The Internal Audit Department
External Financial Auditors
The Audit Committee Financial Expert
The Audit Committee

Background – Establishing the Audit Function

On April 21, 2004, The Board of Directors established the IEUA’s Internal Audit Department and Audit Committee (Board letter attached in the additional references attached). The Board of Directors had requested staff conduct research and recommendations on the best structure for the Internal Audit Department and the Audit Committee. Based on the research completed and recommendations by Agency staff, the Board approved the “best practices” model suggested by the Government Finance Officers Association (GFOA), the Institute of Internal Auditors (IIA), and the Agency’s General Counsel. This has remained the model since its establishment:

- An Audit Committee composed of three committee members, two members of the Board of Directors and an Audit Committee Member, with no voting rights, to serve as the Financial Expert with experience in conducting financial audits, as suggested by best practices. (taken from the original Board letter).
- The position of Manager of Internal Audit reporting functionally to the Board through the Audit Committee and reporting administratively to the General Manager. (taken from the original Board letter).

According to the Board report presented in 2004, this structure was established: *“to address this increase in responsibility”* (from increased auditing requirements and reporting of expenditures for special districts by the California Legislature), *“to ensure administrative and financial accountability to standards required by federal and state regulatory agencies and bondholders, to better define staff’s administrative responsibilities,*

and to provide the Board with a consistent means of monitoring the Agency's financial responsibilities".

GFOA recommends that every government consider the feasibility of establishing a formal internal audit function (GFOA recommended practice, 1997). Further, GFOA recommends the Internal Audit Function be established formally by Charter. IEUA has adopted best practices and has established the Internal Audit Department by Board-approved Charter.

Internal Audit Department Charter & Audit Committee Charter

As part of the establishment of the Internal Audit Department and the Audit Committee in April of 2004, the Board adopted the first Internal Audit Department Charter and the Audit Committee Charter. The Internal Audit Department Charter document the purpose, authority and responsibilities of the Internal Audit Department. The Audit Committee Charter documents the Audit Committee's purpose, composition, authority and responsibilities. Both charters follow best practices and guidance set forth by the IIA. The purpose of the charters is to assist the Board of Directors and the Audit Committee in fulfilling their oversight responsibilities. Those responsibilities are fulfilled through the various planned audit projects, discussions with Executive Management, the External Financial Auditor and the Audit Committee Financial Expert, as well as discussions with the Audit Committee.

The Charters are provided for Audit Committee and Board review and/or discussion each year. The Internal Audit Department evaluates changes in best practices and suggestions by the External Financial Advisor and the External Auditor in making changes/edits to the Charters. The Charters are posted on the Internal Audit Department webpage on the Agency's intranet website.

Mission Statement of The Internal Audit Department

The Internal Audit Department seeks to improve the operations of the Agency by providing unbiased and objective assessments to ensure Agency resources are efficiently and effectively managed in order to achieve Agency goals and objectives. The Internal Audit Department will help the Agency achieve its goals and objectives, improve operations, and instill confidence among its employees and citizens it serves by providing independent, objective assurance and consulting services and provide management and the Board of Directors with recommendations to:

- *Promote a sound control environment.*
- *Provide independent, objective assurance and consulting services*
- *Improve Agency risk management, control and governance*
- *Promote the Agency's vision and mission with a high degree of professionalism*
- *Assist the Board of Directors and senior management achieve organizational goals and objectives*

The Internal Audit Department

The purpose of the Internal Audit Department is to assist the Board of Directors and the Audit Committee in fulfilling their oversight responsibilities for financial reporting, internal controls, compliance with legal and regulatory requirements applicable to Agency operations, and to provide objective assurance about the Agency's operations. The Internal Audit Department seeks to add value and improve the organization's operations through independent, objective audits, reviews and evaluations and recommendations provided. The Internal Audit Department is charged with ensuring that the responsibilities of all the components that make up The Audit Function are fulfilled. This report provides an overview of the components and the responsibilities of each component. All responsibilities are documented in the Board approved Charters.

Staffing

The Internal Audit Department is currently staffed with one full-time Manager of Internal Audit and one full-time Senior Internal Auditor. As required by the Board-approved Charter, the Manager of Internal Audit, reports functionally to the Board through the Audit

Committee, and administratively to the General Manager. See Organizational Structure below for additional information.

The purpose of the Internal Audit Department can be summarized as follows:

- Assists the Agency achieve its goals
- Evaluates risks
- Assesses the reliability of internal controls
- Provides recommendations for improving operations
- Promotes a strong ethical culture
- Reviews processes and procedures
- Provides on-going monitoring and compliance
- Raises potential red flags
- Communicates results to management, the Audit Committee and the Board
- Serves as the “eyes and ears” of the Board of Directors

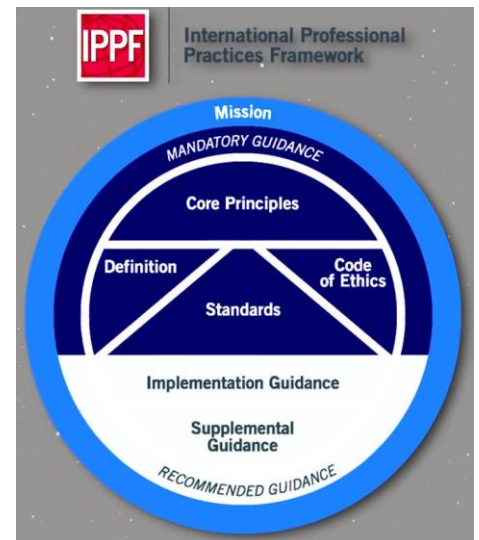
Internal Auditors & Code of Ethics

Internal Auditors must adhere to a strict Code of Conduct and abide by the *International Standards for the Professional Practice of Internal Auditing* (IPPF), both issued by the Institute of Internal Auditors (IIA). These are best practice guidance and professional standards and principles to ensure auditors follow a systematic, disciplined approach in performing internal audits. The standards and additional information can be found on the IIA website at: <https://na.theiia.org/standards-guidance/Pages/Standards-and-Guidance-IPPF.aspx>

The IIA Code of Ethics purpose is to promote an ethical culture in the profession of internal auditing. The Code of Ethics includes the following principles and rules of conduct that apply to individuals and entities that perform internal audit services:

IIA Code of Ethics Principles:

1. *Integrity: The integrity of internal auditors establishes trust and thus provides the basis for reliance on their judgement.*
2. *Objectivity: Internal auditors exhibit the highest level of professional objectivity in gathering, evaluating and communicating information about the activity or process being examined. Internal auditors make a balanced assessment of all the relevant*



circumstances and are not unduly influenced by their own interests or by others in forming judgements.

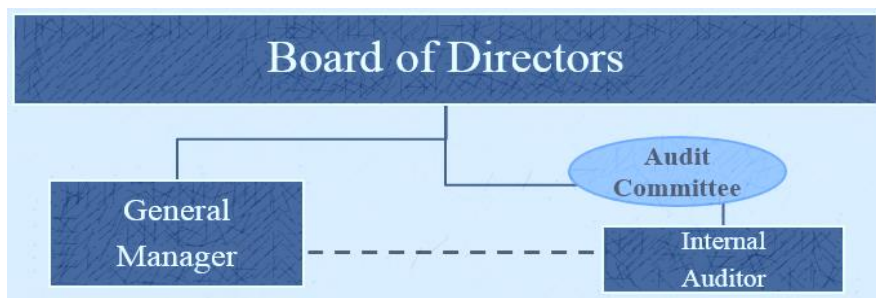
3. *Confidentiality: Internal auditors respect the value and ownership of information they receive and do not disclose information without appropriate authority unless there is legal or professional obligation to do so.*
4. *Competency: Internal auditors apply the knowledge, skills, and experience needed in the performance of internal audit services.*

Continuing Professional Education

The IIA *Standards* and the IAD Charter also require that internal auditors engage in continuous professional education and development to enhance their knowledge, skills, and other competencies, stay abreast of developing audit trends and risks. Additionally, to ensure they possess the knowledge, skills and other competencies required of internal auditors to effectively carry out their responsibilities. This includes 2 hours of Ethics training annually. Specifically, both Agency auditors hold the certification of Certified Government Audit Professional (CGAP) issued by the IIA and requires 40 hours of

Organizational Structure

continuing professional education every 2 years. The educational requirements are met. The current structure of the Agency's Internal Audit Department follows best practices and guidance provided by the Institute of Internal Auditors (IIA), the Government Finance Officers Association (GFOA), and the American Institute of Certified Public Accountants (AICPA). Consistent with those best practices, the Internal Audit Department reports functionally to the Board through the Audit Committee to establish the independence of IA and to foster an environment where IA can work free from potential pressures of management and have the ability to perform audit work and responsibilities objectively and independently. Additionally, consistent with best practices, the Internal Audit Department reports administratively to the General Manager for planning and coordinating audit projects, establishing the department's budget and staffing resources and other administrative items.



Best Practices Recommendations for Internal Audit Structure

Government Finance Officers Association (GFOA)

- *“Every government should consider the feasibility of establishing a formal internal audit function because such a function can play an important role in helping management to maintain a comprehensive framework of internal controls. As a rule, a formal internal audit function is particularly valuable for those activities involving a high degree of risk (e.g., complex accounting systems, contracts with outside parties, a rapidly changing environment). If it is not feasible to establish a separate internal audit function, a government is encouraged to consider either 1) assigning internal audit responsibilities to its regular employees or 2) obtaining the services of an accounting firm (other than the independent auditor) for this purpose;*
- *The internal audit function should be established formally by charter, enabling resolution, or other appropriate legal means;*
- *It is recommended that internal auditors of state and local governments conduct their work in accordance with the professional standards relevant to internal auditing contained in the U.S. General Accounting Office’s publication Government Auditing Standards, including those applicable to the independence of internal auditors;*
- *At a minimum, the head of the internal audit function should possess a college degree and appropriate relevant experience. It also is highly desirable that the head of the internal audit function hold some appropriate form of professional certification (e.g., certified internal auditor, certified public accountant, certified information systems auditor) ; and*
- *All reports of internal auditors, as well as the annual internal audit work plan, should be made available to the government’s audit committee or its equivalent.”*

American Institute of Certified Public Accountants (AICPA)

“First and foremost, the internal audit department will understand that its responsibilities are primarily to the audit committee. A strong internal audit function may also include audit committee oversight of the internal audit group’s budget approval process and its policies regarding hiring, evaluation, training, and termination of internal audit staff. Terminating or transferring high level internal audit personnel will be ultimately determined by the audit committee.

Executive sessions with the head of the internal audit function at every audit committee meeting provide the audit committee a unique opportunity to engage in candid discussions with him or her about the possible risk of management override of internal control and any indications of improper conduct by senior management.

The audit committee, by understanding and assisting in developing the internal auditors' annual audit plan, will influence the internal auditors' agenda by directing the plan's emphasis to areas of particular interest to the audit committee. These areas might include fraud risks—particularly matters that surfaced during the brainstorming session—and controls over judgments and estimates and key information processes. A properly directed internal audit staff can serve as the “eyes and ears” of the audit committee.”

The Institute of Internal Auditors (IIA)

“Internal auditing is an independent, objective assurance and consulting activity designed to add value and improve an organization's operations. It helps an organization accomplish its objectives by bringing a systematic disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes.

Reporting to executive management and having direct access to the audit committee well positions the internal audit activity within an organization. Internal audit independence is furthered by periodic private meetings between the audit committee and the CAE, during which time sensitive issues are discussed without management's presence.”

Definition of Internal Auditing:

As provided by the IIA: Internal auditing is an independent, objective assurance and consulting activity designed to add value and improve an organization's operations. It helps an organization accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes.

Annual Audit Plan

The Annual Audit Plan is a responsibility under the requirements of the Board approved IAD Charter. The Audit Plan allows the Manager of Internal Audit to carry out the responsibilities of the Internal Audit Department by prioritizing projects and allocating necessary resources where audit efforts are deemed appropriate and necessary. The Audit Plan is a flexible plan of internal audit activities developed using an appropriate risk-based methodology to prioritize audit projects. The proposed audit projects are selected based on risks considered, requests by management and inquiries of the Agency's management, External Auditors, the Audit Committee Advisor, general counsel and

others. The plan is meant to address risk areas and to evaluate and contribute to improving effectiveness of Agency operations. The Annual Audit Plan is submitted for Audit Committee review and to the Board for approval every year in June. When changes and amendments to the Audit Plan are necessary, these are submitted through the Audit Committee for approval.

Types of Audits

The Internal Audit Department performs operational audits. An operational audit is designed to evaluate an activity's effectiveness and efficiency of operations. IA's operational audits also incorporate elements of program, financial and compliance audits to evaluate financial transactions and overall compliance with policies and procedures and the effectiveness of the activity. Prior to finalizing an audit, discussions take place between IA and the auditee about the audit results and resulting audit findings and recommendations. The auditee is always provided with opportunities to provide resolutions, responses or additional information prior to IA finalizing the audit report and

proposed recommendations. Results of audits are documented in a formal audit report and discussed during Audit Committee meetings. Auditees can provide a written response and include corrective action plans. Any resulting open/outstanding audit recommendations are followed-up as scheduled through the Annual Audit Plan until full implementation is achieved or alternate controls are incorporated.

IA has completed audits in multiple areas of the Agency's operations, including:

- Warehouse Operations
- Human Resources – Benefit processes, Hiring and Promotions processes
- Finance & Accounting – Accounts Payable, Accounts Receivable, Petty Cash
- Finance & Accounting – Payroll, Wire Transfers Interfund Transactions
- Construction Management
- Contracts and Procurement
- Laboratory
- Environmental Compliance
- Pre-Treatment and Source Control
- Planning and Environmental Resources
- Integrated Systems Services – IT equipment
- Vehicle Inventory & Security
- Energy/Utility Bills
- Regional Contract Audits of the 7 contracting agencies
- P-Cards and Credit Cards
- Garden In Every School Program

- Grants – processes, Agency Covid-19 expenses
- Policy review and recommendations
- Miscellaneous projects and requests

All audit reports are discussed during the regular Audit Committee Meetings and can be accessed through the Agency Information Management (AIM), the Agency's intranet site, also available on the Internal Audit Department webpage on AIM, through the Board Reports on the main IEUA webpage or available upon request from the Manager of IA.

The Audit Committee

The IEUA Audit Committee structure follows recommended best practices and professional standards. The April 21, 2004 Board report included the following structural recommendation about the creation of an Audit Committee as a separate committee of the Board of Directors:

“An Audit Committee of the Board of Directors be established to oversee the audit and grants’ responsibilities of the current Chief Financial Officer position. The Committee would be responsible to meet at least quarterly with the Agency’s Internal Auditor and Agency staff, for overseeing the acquisition of the Agency’s outside Auditor, developing the scope of work for the annual audit, approving the annual audit work plan, directing special internal audits, reviewing and approving the internal auditing work plans, evaluating the performance of the internal audit activities, and providing policy direction to the Agency’s Audit and Grants Department. The Audit Committee would meet at least quarterly with the Internal Auditor to review work plans, audit activities and the draft/final audit reports. The Audit Committee would submit all audit management reports to the Board for review and policy direction, as appropriate.”

This recommendation was developed based upon research performed by IEUA staff. IEUA staff discussed the structure of the Audit Committee and Internal Audit Department with the “Agency’s bond counsel, investment banker, the External Auditor, The Agency’s General Counsel, and the Metropolitan Water District’s Chief Financial Officer who suggested the proposed reorganization was in the best interests of the Agency.”

The Audit Committee meets quarterly and is comprised of three members: two Board Members and one External Financial Expert. The Manager of IA is responsible for preparing all agenda items for the Audit Committee meetings.

Best Practices Recommendations for the Audit Committee Structure

Government Finance Officers Association

- “The governing body of every state and local government should establish an audit committee or its equivalent.
- The audit committee should be . . . made directly responsible for the appointment, compensation, retention, and oversight of the work of any independent accountants engaged for the purpose of preparing or issuing an independent audit report or performing other independent audit, review, or attest services.
- All members of the audit committee should be members of the governing body.
- It is the responsibility of the audit committee to provide independent review and oversight of a government’s financial reporting processes, internal controls and independent auditors.
- The audit committee should have access to the reports of internal auditors, as well as access to annual internal audit work plans.”

American Institute of Certified Public Accountants

“Government entities are faced with ongoing challenges related to the governance of their organization, risks associated with achieving their organization’s objectives, and compliance with revised and emerging laws and regulations. Responsibilities are ultimately identified by the governing body and assigned to various governing committees, including the audit committee . .

The audit committee assists the governing body in its oversight of

- integrity of the organization’s financial statements;
- internal control including internal control over financial reporting;
- independent auditor’s qualifications, independence, and performance;
- internal audit function’s qualifications, independence, and performance;
- the organization’s risk management and overall governance process; and
- the organization’s ethics and compliance program, which includes legal and regulatory requirements.”

The Institute of Internal Auditors

“The cornerstones of effective governance are the board of directors, executive management, the internal auditors, and the external auditors. No single committee of the board is more focused on or better in tune with governance than the audit committee. What is the audit committee’s role in governance? In a nutshell, the audit committee should provide oversight of financial reporting, risk management, internal control, compliance, ethics, management, internal auditors, and the external auditors. Some detailed audit committee responsibilities include:

- *Ensuring that financial statements are understandable, transparent, and reliable.*
- *Ensuring the risk management process is comprehensive and ongoing, rather than partial and periodic.*
- *Helping achieve an organization-wide commitment to strong and effective internal controls, emanating from the tone at the top.*
- *Reviewing corporate policies relating to compliance with laws and regulations, ethics, conflicts of interest, and the investigation of misconduct and fraud.*
- *Reviewing current and pending corporate-governance-related litigation or regulatory proceedings to which the organization is a party.*
- *Continually communicating with senior management regarding status, progress, and new developments, as well as problematic areas.*
- *Ensuring the internal auditors' access to the audit committee, encouraging communication beyond scheduled committee meetings.*
- *Reviewing internal audit plans, reports, and significant findings.*
- *Establishing a direct reporting relationship with the external auditors."*

The Role of the Audit Committee Financial Expert

The April 2004 recommendation that established the Audit Committee and the Internal Audit Department did not discuss the role of an external financial expert in providing counsel and advice to the Audit Committee. The Audit Committee Advisor/Financial Expert serves as a resource to the Audit Committee, the Internal Audit Department and Executive Management. The Financial Advisor serves as a checks and balance for the Internal Audit Department for the Audit Committee and serves as an interpreter for the Audit Committee.

Best Practices Recommendations for the Audit Committee Financial Expert

Government Finance Officers Association

"The audit committee also should have access to the services of at least one financial expert, either a committee member or an outside party engaged by the committee for this purpose. Such a financial expert should through both education and experience, and in a

manner specifically relevant to the government sector, possess 1) an understanding of generally accepted accounting principles and financial statements; 2) experience in preparing or auditing financial statements of comparable entities; 3) experience in applying such principles in connection with the accounting for estimates, accruals, and reserves; 4) experience with internal accounting controls; and 5) an understanding of audit committee functions”

American Institute of Certified Public Accountants

“If no individual member of the audit committee possesses the attributes required for financial expertise, and the committee members collectively do not possess such attributes, two options might be considered:

- Engage a financial professional to provide financial expertise as a consultant to the audit committee. Such an individual must otherwise be independent with respect to the entity (that is, must have no other financial arrangements with the government entity).*
- Pursue a training program for audit committee members to develop the financial expertise. Such training can include participation in professional development classes or programs offered by the AICPA, associations, or the specific sector in which the government entity participates, or in-house training programs led by members of the government entity’s financial management team.”*

The Institute of Internal Auditors

*“The audit committee charter should define membership requirements, **include a provision for a financial expert**, allow for yearly reviews and changes . . . “*

The IEUA Audit Committee has as the Financial Expert, Mr. Travis C. Hickey, CPA through the firm Rogers, Anderson, Malody, and Scott, LLP to be the Audit Committee Advisor. Mr. Hickey is a Certified Public Accountant and has several years of experience auditing and providing recommendations to agencies like IEUA. Over the past years, Mr. Hickey has been a valuable resource to the Audit Committee and the Internal Audit Department by providing consulting and advisory services.

The Role of the External Financial Auditor

State law and the Agency’s Fiscal Ordinance requires that an independent certified public accountant audit the Financial Statements of the Agency. The purpose of a financial audit is to demonstrate that the Agency’s financial statements were prepared in conformity with generally accepted accounting principles in the United States of America (GAAP), as set forth by the Governmental Accounting Standards Board (GASB). Responsibility for both the accuracy of the presented data, and the completeness and fairness of the presentation, including all disclosures, rests with the management of the Agency. The

role of the External Auditors is also to evaluate the adequacy and accuracy of the accounts, test internal controls and complete the audit in accordance with generally accepted auditing standards. The auditors are only providing an opinion as to the representations provided by the Agency. The purpose of the annual financial audit is to receive an independent audit opinion as to the fairness of the financial statements. The opinion is relied upon by readers of the financial statements such as lenders, rating agencies and other stakeholders. The scope of the audit and the materiality threshold set for the audit testing and procedures are determined based on the auditor's risk assessment, professional guidelines and their professional judgment. The audit is not meant to detect fraud. The External Financial Auditors must be licensed as Certified Public Accountants in the State of California and follow a rigorous set of guidelines established by the American Institute of Certified Public Accountants for the performance of financial audits. The Agency currently has a contract with Lance, Soll & Lunghard, LLP, located in Brea, CA for financial auditing and single audit services.

Best Practices Recommendations for the Internal Audit Department Charter

Government Finance Officers Association

"The internal audit function should be established formally by charter, enabling resolution, or other appropriate legal means."

American Institute of Certified Public Accountants

The AICPA also recommends that one aspect of the audit committee's oversight responsibilities for Internal Audit includes: *"Approve the internal audit department charter."*

Best Practices Recommendations for the Audit Committee Charter

Government Finance Officers Association

"The audit committee should be formally established by charter, enabling resolution or other appropriate legal means and . . . the written documentation establishing the audit committee should proscribe the scope of the committee's responsibilities, as well as its structure, processes, and membership requirements. The audit committee should itself periodically review such documentation, no less than once every five years, to assess its continued adequacy."

American Institute of Certified Public Accountants

"Specific responsibilities assigned to an audit committee are set forth in an audit committee charter . . . that is approved by the governing body. An audit committee charter should address the audit committee process, procedures, and responsibilities. Audit committee responsibilities

can vary by government entity due to factors such as size of the entity, the type of entity, and the complexity of the government entity's service or business model."

American Institute of Certified Public Accountants

"The audit committee's charter is its blueprint for operations. Highly customized to best meet the needs of an organization's industry, mission, and culture, the charter should clearly delineate audit committee processes, procedures, and responsibilities that have been sanctioned by the entire board." (The document further provides specific recommendations for what to include in an Audit Committee Charter and provides a sample document for reference.)

Conclusion

Based on the analysis and information gathered and provided through this report and the accompanying additional resources related to the Audit Function, we are pleased to report that IEUA has structured and aligned the Internal Audit Department and the Audit Committee in accordance with best practices and professional guidance to ensure an independent function. The components of The Audit Function work together and independently to achieve the requirements of the Board approved Charters and fulfill the Board's oversight responsibilities. It should be noted that external auditors and other agencies often compliment that IEUA has taken the lead to ensure these functions are established according to best practices and professional guidance and support independent evaluations for the benefit of the organization.

Internal Audit Department staff has compiled the accompanying "Additional resources related to the Audit Function" for reference on the various topics discussed throughout this report.

The Internal Audit Department appreciates the opportunity to provide this comprehensive information report on The Audit Function of IEUA and looks forward to direction and requests for audit projects.

The Audit Function Report is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment and Business Practices by describing the functions, roles and responsibilities of the Internal Audit Department, the Audit Committee, External Financial Auditors and the Audit Committee Advisor and a plan to ensure the Agency maintains the highest standards of fiscal integrity and accountability, provide a system of sound checks and balances, and ensure a truly independent view of Agency operations.

The Audit Function at Inland Empire Utilities Agency



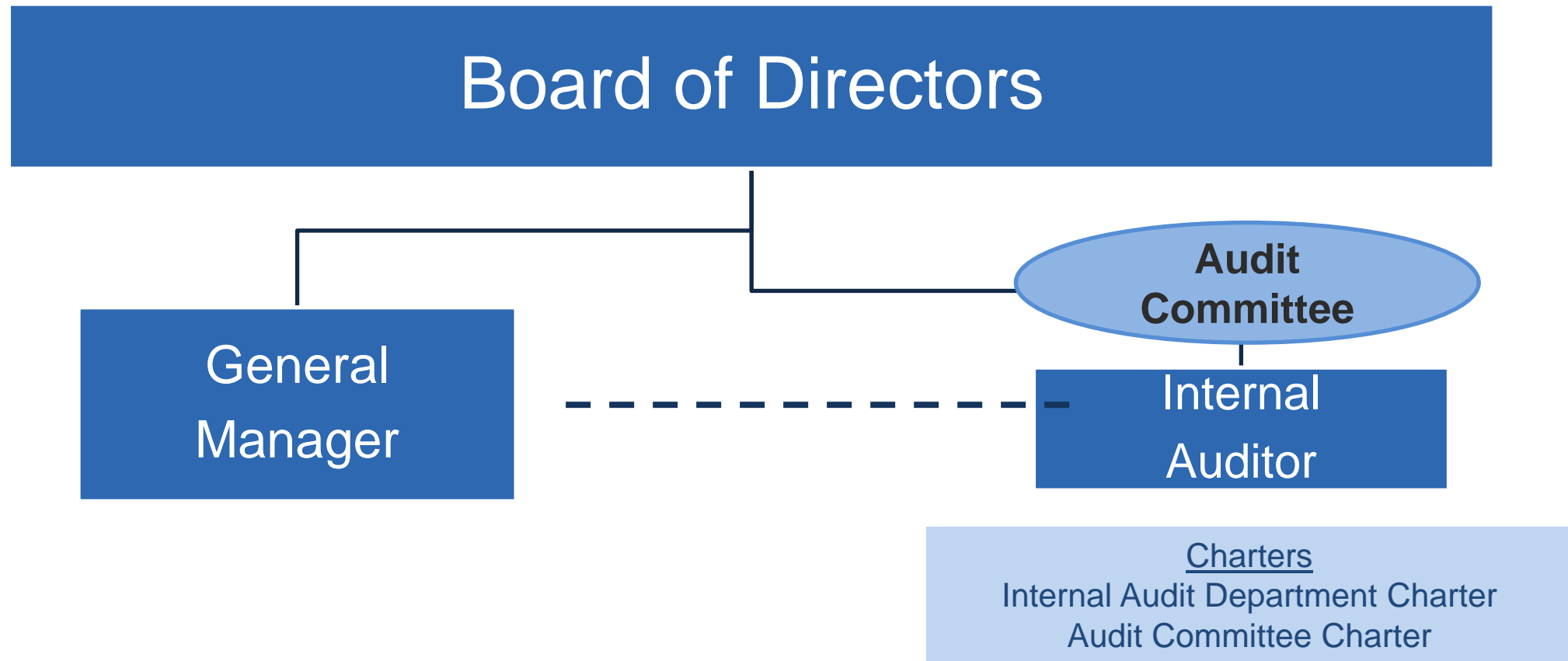
Background

- Formation of Internal Audit Department & Audit Committee
 - 2004
 - 2005
 - 2017
- **Guidance over Internal Audit activities:**
 - IIA: Institute of Internal Auditors
 - GFOA: Government Finance Officers Association
 - AICPA: American Institute of Certified Public Accountants



The Audit Function

This reporting model is supported by best practices and guidance recommended by IIA, GFOA and AICPA



The Audit Function & Audit Committee Resources



Charter Requirements

Audit Oversight & Responsibilities

- Internal Audit Department
- External Audit
- Financial Reporting
- Internal Controls
- Risk Management
- Compliance



INLAND EMPIRE UTILITIES AGENCY Audit Committee Charter Approved on December 16, 2020

PURPOSE

The Audit Committee (Committee) is established under the authority of the Inland Empire Utilities Agency (IEUA or Agency) Board of Directors (Board) and reports directly to the Board. The primary purpose of the Audit Committee is to assist the Board in fulfilling their oversight responsibilities for financial reporting, internal controls, and compliance with legal and regulatory requirements applicable to Agency operations.

While assisting the Board with these fiduciary duties, the Committee also provides an open avenue of communication between the Board, IEUA Management, the Internal Audit Department, and the external auditors. This advisory and oversight link provides the following benefits to the organization and stakeholders:

- Increased objectivity and credibility of financial reports.
- Increased management accountability.
- Support for measures to improve management performance and internal controls.
- Increased employee awareness of unethical, questionable, or illegal activities.
- Enhanced independence and effectiveness of the Internal Audit Department.
- Assurance that appropriate management action plans are implemented for audit findings and recommendations.
- Support the Internal Audit Department audit projects, evaluations and analysis.

The Role of the Internal Audit Department

- Serve as the “Eyes and ears” of the Board
- Objective and independent evaluations and reviews
- Assist the Agency in achieving its goals
- Assess internal controls
- Provide assurance on processes and procedures
- Promote strong ethical culture
- On-going monitoring and compliance
- Assist in safeguarding assets
- Raise any potential red flags
- Make recommendations to improve operations
- Communicate results & provide audit reports

Definition of Internal Auditing

(as defined by the Institute of Internal Auditors)

Internal auditing is an independent, objective assurance and consulting activity designed to add value and improve an organization’s operations. It helps an organization accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes.

Types of Audits Performed by Internal Audit



Internal Audit Department Responsibilities

- Operational Audits
- Make recommendations to improve operations
- Follow-ups Reviews
- Agency Policy reviews
- Special Requests
- Quality Control Review of CAFR
- Ethics Point
- Annual Audit Plan
- RFP for External Financial Audit Services
- Charters & Standard Operating Procedures
- Continuous Professional Development
- IAD Website on AIM
- Administrative requirements

Mission Statement

The Internal Audit Department seeks to improve the operations of the Agency by providing unbiased and objective assessments to ensure Agency resources are efficiently and effectively managed in order to achieve Agency goals and objectives. The Internal Audit Department will help the Agency achieve its goals and objectives, improve operations, and instill confidence among its employees and citizens it serves by providing independent, objective assurance and consulting services and provide management and the Board of Directors with recommendations to:

- *Promote a sound control environment*
- *Provide independent, objective assurance and governance.*
- *Improve Agency risk management, control and governance.*
- *Promote the Agency's vision and mission with a high degree of professionalism.*
- *Assist the Board of Directors and senior management achieve organizational goals and objectives.*

Audit Committee Meetings

- Audit Reports
 - Scope
 - Observations & Recommendations
 - Auditee Response & Discussion
 - Direction to Proceed
- Required and/or Routine Audit Items
- Administrative Items

Prior Internal Audit Department Audits

- Warehouse Operations
- Human Resources – Benefit processes, Hiring and Promotions processes
- Finance & Accounting – Accounts Payable, Accounts Receivable, Petty Cash
- Finance & Accounting – Payroll, Wire Transfers Interfund Transactions
- Construction Management
- Contracts and Procurement
- Laboratory
- Environmental Compliance
- Pre-Treatment and Source Control
- Planning and Environmental Resources
- Integrated Systems Services – IT equipment
- Vehicle Inventory & Security
- Energy/Utility Bills
- Regional Contract Audits of the 7 contracting agencies
- P-Cards and Credit Cards
- Garden In Every School Program
- Grants – processes, Agency Covid-19 expenses
- Policy review and recommendations
- Miscellaneous projects and requests

Next Steps and Plans

- Audit Projects
- Risk Assessment
- Resources
- Annual Audit Plan

Additional Resources related to The Audit Function (Electronic Documents - hyperlinked)

- IEUA Board Approved Charters
- 2004 Board Letter establishing the Audit Function
- The IIA's Guidance and best practice information
- IAD – Standard Operating Procedure
- GFOAs guidance and best practice information
- AICPA's guidance and best practice information
- Association of Certified Fraud Examiner's 2020 Report to the Nations on Occupational Fraud and Abuse

Provide direction for Audit Responsibilities and future Audit Committee Meetings

The Audit Function Report is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment and Business Practices by describing the functions, roles and responsibilities of the Internal Audit Department, the Audit Committee, External Financial Auditors and the Audit Committee Advisor and a plan to ensure the Agency maintains the highest standards of fiscal integrity and accountability, provide a system of sound checks and balances, and ensure a truly independent view of Agency operations.

**INFORMATION
ITEM**

4F

Date: March 17, 2021

To: The Honorable Board of Directors

Committee: Audit

From: Teresa Velarde, Manager of Internal Audit

03/08/21

Manager Contact: Teresa Velarde, Manager of Internal Audit

Subject: Internal Audit Department Quarterly Status Report for March 2021

Executive Summary:

The Audit Committee Charter requires that a written status report be prepared and submitted each quarter. The Internal Audit Department Quarterly Status Report includes a summary of significant internal and external audit activities for the reporting period.

During this quarter, Internal Audit (IA) staff completed the following projects: Request For Proposals for External Audit Services and Single Audit Services for Inland Empire Utilities Agency, Chino Basin Regional Financing Authority, and Inland Empire Regional Composting Authority; and the Report on The Audit Function. IA assisted various departments and requests including review of policies, processes and finalizing the financial statement reviews. IA has multiple projects that are in process in addition to the various on-going and required administrative projects, as well as participating in various ad-hoc committees. IA continues to assist with any requests for audit work, review of Agency policies and procedures, and provide recommendations to improve internal controls. The attached Quarterly Status Report provides details and information of the audit projects.

Staff's Recommendation:

This is an information item.

Budget Impact Budgeted (Y/N): N Amendment (Y/N): N Amount for Requested Approval:

Account/Project Name:

N/A

Fiscal Impact (explain if not budgeted):

N/A

Prior Board Action:

On June 17, 2020, the Board of Directors approved the Fiscal Year 2020/21 Annual Audit Plan. The plan was in accordance with auditing standards and the Charter.

On December 16, 2020, the Board of Directors reconfirmed the approved Audit Committee and the Internal Audit Department Charters.

Environmental Determination:

Not Applicable

Business Goal:

The IA Status Report is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment, and Business Practices by describing IA's progress in providing independent evaluations and audit services of Agency financial and operational activities and making recommendations for improvement, to promote a strong, ethical internal control environment, safeguarding Agency's assets and fiscal health, providing recommendations to improve efficiencies and to assist management in achieving organizational goals and objectives.

Attachments:

Attachment 1 - Internal Audit Departemnt Quarterly Status Report for March 2021

Internal Audit Department Quarterly Status Report for March 2021

Projects Completed This Period

Project:

Coordinate the Request for Proposal, Evaluations and Selection Process for External Financial Audit and Single Audit Services for Inland Empire Utilities Agency (IEUA), the Chino Basin Regional Financing Authority (CBRFA) and Inland Empire Regional Composting Authority (IERCA).

Scope:

To secure the best qualified professional services of an independent public accounting firm to perform the annual financial audit and single audits of IEUA, financial audits of the CBRFA and IERCA. To comply with California Government Code and industry best practices to ensure proper auditor rotation for audit services. Additionally, to ensure a qualified certified public accounting firm performs the required financial audits in accordance with all regulatory requirements and the Agency's Fiscal Ordinance to help fulfill the Audit Committee's oversight responsibilities for financial reporting.

Status: **COMPLETE**

The current contract with Lance, Soll and Lunghard, LLP for External Financial Auditing Services expired on December 31, 2020. The Agency is required to rotate auditors every 6 years. IA took the lead on working with the Contracts Department to release a Request for Proposal. IA coordinated with representatives from Finance and Accounting and the Grants Departments and the Audit Committee Advisor to form an evaluation committee. Seven firms submitted a proposal, after the initial evaluation of proposals, five firms were selected for a virtual interview. The evaluation committee recommends CliftonLarsonAllen LLP to serve as the Agency's Financial Auditors for the upcoming years.

Additional details of the evaluation process and the firm are included under a separate cover.

Project: **Report: The Audit Function & Reference Material**

Scope:

To provide a report about the Agency's Audit Function, including historical information, purpose and roles of the Internal Audit Department, the Audit Committee, the Audit Committee's Financial Advisor and the External Auditors. Additionally, to provide information about the types of audits performed by the Internal Audit Department and the chartered responsibilities of the Internal Audit Department.

Status: **COMPLETE**

The IAD has completed a comprehensive report, power-point presentation and reference handbook describing the best practices and recommended guidance for the Audit Function as outlined by the Government Financial Officers Association, the American Institute of Certified Public Accountants (AICPA) and the Institute of Internal Auditors (IIA). The handbook contains various resources and toolkits from IIA, GFOA and AICPA to assist the Audit Function in exercising their duties and provide additional information.

The items are included under a separate cover.

Project: **Quality Control Review of Inland Empire Regional Composting Authority's (IERCA) FY 2019/20 Annual Financial Report**

Scope:

Perform a Quality Control Review of the IERCA's Annual Financial Report to review that financial information transfers accurately between the financial schedules and within the narratives, check for mathematical accuracy and for overall professional presentation.

Internal Audit Department Quarterly Status Report for March 2021

IA's review includes the following procedures:

- Verified that the FY 2019 amounts/totals for all financial statements were transferred accurately from the FY 2019 published Annual Financial Report to the FY 2020 Annual Financial Report.
- Recalculated the FY 2020 totals on all financial statements for mathematical accuracy.
- Checked titles and years to ensure updates were made for the years they correspond to.
- Reviewed narratives for completeness, spelling and grammatical accuracy.
- Reviewed all sections of the Annual Financial Report to ensure consistency and a professional presentation.

Status: **COMPLETE**

The Annual Financial Report is prepared by the Agency's Finance and Accounting Department. If identified, IA provided comments and recommendations for their review and consideration. The final report is presented during the scheduled IERCA Board Meeting.

Projects in Progress

Project: **Review the Agency's practice and use of Electronic Signatures**

Scope:

Business Information Services (BIS) requested the assistance of IA to evaluate the Agency's current use of electronic signatures using DocuSign®, a secured signature service.

Status: **In Progress**

Due to the current remote work status and in response to ensure all transactions are processed timely, to not disrupt business continuity and ensure all necessary documents are approved and signed as required, the use of electronic approvals and signatures has increased. This initial and preliminary evaluation focused on how the Agency's electronic methods for signatures, such as DocuSign®, Adobe Acrobat and other electronic signatures are being used within the Agency. IA reviewed the various Agency internal and external forms and documents that require electronic signatures and evaluated the types of electronic signatures that may be practical and necessary. IA has discussed the preliminary results of the evaluation and recommendations with BIS management and the Executive Management team for their consideration. Additional evaluation of these processes will be completed once remote work status transitions to the original on-site work status. A final report or results will be documented at that time.

Project: **Asset Management Steering Committee & Safety Committee**

Scope:

IA participates in meetings related to the Agency's Asset Management program and the Safety programs to gain an understanding of the Agency's priorities and understand Agency risks, represent the department and be a resource to provide feedback and recommendations.

Status: **On-going**

During this quarter, IA staff participated in monthly meetings for each. Understanding Agency priorities and potential risks assists in audit planning. These meetings require approximately 1 hour each per month and do not compromise the independence of the auditors or the Internal Audit Department.

Project: **Sewer System Management Plan Audit**

Scope:

IA participated in the ad-hoc committee to perform the required 5-year audit and the biannual review of the Agency's Sewer System Management Plan (SSMP) as required by the State Water Regional Control Board

Internal Audit Department Quarterly Status Report for March 2021

(SWRCB) adopted Order No. 2006-0003. Statewide General Wastewater Discharge Requirements (WDR) for Sanitary Sewer Systems. This Order requires that owners of wastewater collection systems with more than a mile of pipeline have in place a SSMP to comply with the terms of this Order, which is to reduce the number and severity of Sanitary Sewer Overflows (SSOs), to audit the program every two years, and revise the SSMP every five years.

Status: Complete

This project required approximately 45 hours of staff time. The project is owned by the Operations Department, IA participated as one of the review team members to evaluate the Board-approved SSMP and provide recommendations to update and improve the document by the required SWRCB deadline. This project did not compromise the independence of the auditor or the Internal Audit Department.

Project: FY 2019/20 Single Audit filing for IEUA

Scope:

IA works closely with the External Financial Auditor and the Grants Department to ensure the completion and filing of the required Agency's Single Audit Report with all required regulatory agencies.

Status: In Progress

According to the Uniform Guidance (Title 2, *Code of Federal Regulations*, Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards*, Subpart F— Audit Requirements) any non-federal entities that expend \$750,000 or more in federal awards in a fiscal year are required to have a Single Audit performed in accordance with the Single Audit Act. Single Audit reporting packages are due nine months after the fiscal year end, by March 31st of the following year. A Single Audit has been required for the Agency every year since FY 2006/07.

Lance, Soll and Lunghard, LLP completed the Agency's Single Audit for FY 2019/20 at the end of February 2021. IA and the Grants Department have reviewed the Single Audit report. LSL is in the process of finalizing the report and submitting it to the federal government. IA certifies the submission and takes the lead to ensure the Single Audit Reporting package is filed with all the required regulatory agencies. IA continues to work closely with LSL and the Grants Department to finalize the filing of the Single Audit Reporting package before the required due date of March 31, 2021.

A status update on this project will be provided in the next Internal Audit Department Quarterly Status Report for June 2021.

Project: Final Report: Review of Agency COVID-19 Expenses

Scope:

The original evaluation reviewed the expenses incurred by the Agency in response to the ongoing global pandemic (COVID-19). Expenses include costs incurred to comply with health guidelines, to prevent the spread of COVID-19, protect Agency personnel and the public, cost related to transitioning employees to work from home and ensuring proper on-site precautions. The objectives of the review were to evaluate if the expenses are in compliance with all Agency policies and procedures, follow proper internal controls and contain the required supporting documentation.

Status: In Progress

In the Fall of 2020, IA worked closely with the Grants Department to evaluate the COVID-19 expenditures incurred by the Agency and submitted for possible reimbursement by the Federal Emergency Management Agency (FEMA). As of October 31, 2020, the Agency has incurred approximately \$600,000 in expenses for laptops, computer-related equipment and accessories, personal protective equipment (PPE), disinfection/cleaning services, etc. The Grants Department have submitted over \$550,000 in costs for potential reimbursement, while they continue to work with other departments to compile additional costs.

Internal Audit Department Quarterly Status Report for March 2021

IA plans to coordinate with the Grants Department to determine if there are any other COVID-19 expenses incurred by the Agency since the interim report was issued in December 2020 and/or to provide a status update if any reimbursements have been received by FEMA.

A final report will be presented to the Audit Committee when final financial information is available.

Project: **IEUA Internal Audit Department –
Department Presentation for Human Resources Monthly Brown Bag Series**

Scope:

The Agency's Human Resources Department (HR) developed a series of high-level Brown Bag workshops that are facilitated by Agency staff on various Agency's functions and programs. Presentations are conducted virtually via Microsoft Teams and approximately 100 employees attend. IA has been asked to participate in this Brown Bag series and host a 1-hour presentation on the role of IA.

Status: **In Progress**

IA plans to participate in the Brown Bag series in 2021, date to be determined. IA appreciates the opportunity to provide an overview of the IA department's mission, purpose, function, roles and responsibilities.

Additional details will be documented in the next Internal Audit Department Quarterly Status Report.

Project: **Follow-Up IT Equipment Audit – Integrated Systems Services (ISS)**

Scope: **In Progress**

To evaluate the status of the two open recommendations from the original Information Technology (IT) Equipment audit completed in 2012. The recommendations are:

- Original Recommendation # 3 (dated August 2012): ISS implement appropriate tracking systems that include relevant information on IT purchases (i.e., serial numbers, etc.), are updated timely and are complete and accurate. ISS should establish procedures to enter, modify, and delete information in the tracking systems that address areas such as timeliness for updates, responsibilities for maintaining the systems, and the types of items included in the tracking system.
- Original Recommendation # 1 (dated November 2012): ISS should ensure that any services procured with P-Cards are expressly authorized by the Manager of CAP, CFO, AGM or GM prior to committing to services.

Status:

IA will meet with ISS to evaluate the remaining two recommendations. Based on the results of the discussions with the Operations Division and ISS Management, IA will assess the feasibility to expand the scope of the audit to evaluate additional areas to provide added value to the department and Agency.

Additional details will be documented in the next Internal Audit Department Quarterly Status Report.

Internal Audit Department

Quarterly Status Report for March 2021

Report on Open Audit Recommendations as of March 2021

The IAD Charter requires IA to follow-up on the status of outstanding recommendations to determine if corrective actions have been implemented. Follow-up reviews are scheduled through the Board-approved Annual Audit Plan. Executive Management supports the implementation efforts of the recommendations previously provided and/or the development of alternative controls to address the original risks identified.

Status: On-going

As of March 2021, there are 74 outstanding audit recommendations. Details about each of the outstanding recommendations is submitted with the Annual Audit Plan each June. This summary provides an updated count of the outstanding recommendations. This summary includes new recommendations provided during this fiscal year as well as considers any recommendations cleared during the year (up to the prior quarter). A follow up review is typically scheduled between 12–24 months from the date of the original audit, to allow time for full implementation. If a recommendation is deemed not implemented, the recommendation remains outstanding or alternate controls to mitigate any risks are evaluated to determine if the risk has been addressed and the recommendation is no longer applicable. A follow up review is also scheduled sooner, if requested by the Audit Committee or Executive Management and/or the department audited. The audited business units are encouraged to submit additional information for IA review if they determine the recommendation has been satisfied.

Area Audited	Report Issued Date	No. of Recs. Remaining to be Verified by IA*	Planned Follow-Up
Accounts Payable Follow-Up (Deferred Recommendations related to Agency Policies)	August 29, 2013	2	FY 2021
Follow-Up – IT Equipment Audit – ISS	February 29, 2016	2	FY 2021
Master Trade Contracts	September 1, 2016	5	FY 2021
Follow-Up – IT Equipment Audit – FAD	December 5, 2016	6	FY 2021
2017 Petty Cash Audit & Follow-Up Review	June 5, 2017	7	FY 2022
Water Use Efficiency Programs Audit	June 5, 2017	6	FY 2022
Contracts and Procurement Follow-Up Audit	August 30, 2017	1	FY 2022
Payroll Operations Audit	August 30, 2017	5	FY 2022
Procurement Card Audit	March 1, 2018	7	FY 2022
Wire Transfers Audit	March 1, 2018	4	FY 2022
Inter-fund Transactions Audit	August 30, 2018	1	FY 2023
Agency Vehicle Operational Follow-up audit: Review of Vehicle Inventory Procedures	November 29, 2018	3	FY 2023
Accounts Payable Follow-Up Audit	May 30, 2019	4	FY 2024
Voyager Fuel Card Audit	May 30, 2019	4	FY 2024
Human Resources Audit: Hiring and Promotions Operations Review	December 2, 2019	7	FY 2024
Recycled Water Revenues Audit	May 28, 2020	3	FY 2024
Human Resources: Workload Indicators Review	May 29, 2020	2	FY 2024
Human Resources Operational Audit: Administration of Employee Training and Development Programs	August 31, 2020	5	FY 2025
Total Outstanding Audit Recommendations		74	

Recommendations related to the Regional Contract Review (these recommendations are planned for full implementation with the renegotiation of the Regional Contract IA will not follow up on these items until the renegotiation has been finalized)			
Regional Contract Review – Final Audit Report	December 16, 2015	31	Pending – Renegotiation of the Regional Contract

*The Outstanding Recommendations Table does not update the status of recommendations resolved by follow-up audits during the current quarter or add any new recommendations resulting from the projects completed during this quarter (as noted in this Status Report). The table is revised for those changes once all items have been received by the Audit Committee and the Board of Directors which is when items are determined to be final.

Internal Audit Department Quarterly Status Report for March 2021

Management Requests

Scope:

Assist Agency Management with requests for analysis, evaluations and verification of information, assist with the interpretation of policies and procedures, and/or provide review and feedback on new policies or procedures. These services are provided according to the IAD Charter, the Annual Audit Plan, and best practices. The management request projects are short-term projects, typically lasting no more than 60–75 hours where IA determines it has the necessary staff, skills and resources to provide the requested assistance without having to delay/defer scheduled audits and priority projects. The scope of each review is agreed upon between the department manager requesting the evaluation, review, analysis or assistance, the Manager of IA and when deemed appropriate by Executive Management. Projects and committee participation has been described above.

Special Projects

Scope:

Perform special reviews and projects including analyzing transactions, evaluating documents and policies, verifying information, assisting with interpretation of Agency Policies or other required procedures, and providing recommendations and feedback on results of the analysis, engaging necessary assistance if and/or when necessary, reporting to the General Manager and the Audit Committee. These services are provided according to the IA and Audit Committee Charters, the Annual Audit Plan, and/or best practices.

Special Projects can be short or long-term projects, typically requiring more than 80 hours of staff time and requiring setting aside or delaying work on scheduled audit projects. The scope of the review is not generally known, and the work must be handled with the highest degree of confidentiality and care, as with all audit projects. Special Projects are usually considered highly confidential.

Internal Audit Staffing and Professional Development

As required by the *International Standards for the Professional Practice of Internal Auditing*, and the IA Charter, auditors must regularly enhance their knowledge, skills, and other competencies through continuing professional development. During the past quarter, IA staff has continued to stay abreast of industry developments through review of industry literature and participation in on-line webinars.

During this quarter, the Manager of IA attended a multi-day training on Crucial Conversations as required by Agency Management. In January 2021, staff attended a 1-hour training called Developing the Leaders Around You. Additionally, in January, staff attended the Women in Water webinar hosted by Eastern Municipal Water District. In February 2020, staff attended the 2021 California Society Municipal Finance Officers (CSMFO) Virtual Annual Conference *Together Toward Tomorrow*. In this month, IA staff also attended a 2-hour webinar on the Ethical Considerations for Internal Auditors hosted by the IIA San Gabriel Valley Chapter. All training hours met the requirements of Continuing Professional Education (CPE) and earned CPE credits.

The Internal Audit Manager is a member of the governing board of the Inland Empire Chapter of the IIA. The governing board sets direction for the chapter. One Senior Auditor serves the same local Chapter and holds an officer position, Chapter Secretary.

Internal Audit Department Quarterly Status Report for March 2021

Two IA members are preparing for the 3-part Certified Internal Auditor (CIA) examination and certification. The CIA is the only globally recognized certification for internal audit professionals and is the highest certification that can be attained by an internal auditor.

The Manager of IA has a Master's degree in Public Administration (MPA). The Manager of IA and Senior Auditor are Certified Government Audit Professionals (CGAP®). The CGAP® certification program is designed for auditors working in the public sector and demonstrates government knowledge and expertise.

The Internal Audit Department is staffed as follows:

- 1 Full-time Manager of Internal Audit
 - 1 Full-time Senior Internal Auditor
-

Future Audit Committee Meetings

- *Monday, June 7, 2021 – Regularly Scheduled Audit Committee Meeting*
 - *September 2021 - Special Audit Committee Meeting (Date to be finalized)
(this year, the regularly scheduled date falls on a Holiday)*
 - *Monday, December 6, 2021 – Regularly Scheduled Audit Committee Meeting*
 - *Monday, March 7, 2022 – Regularly Scheduled Audit Committee Meeting*
-

**INFORMATION
ITEM**

4G

Regulatory Update: Water Use Efficiency



Changes in Water Use Efficiency Regulatory Policy

2007: AB 1420

Urban water suppliers must demonstrate implementation of DMMs in 2010 UWMPs to be eligible for State grant and loan funding

2009: SB X7-7

Retail agencies must set a 20% reduction in gpcd water use by 2020 (and 10% reduction by 2015) for State grant and loan eligibility. **Water suppliers** must meet the goals

2018: SB 606/AB 1668

Urban retail water suppliers must calculate a WUO by 11/2023 and every year thereafter. Penalties for not meeting WUO are up to \$10,000/day (after a grace period)

Calculating an Urban Water Use Objective

Indoor Residential



+

Outdoor Residential



+

Outdoor CII



+

Water Losses



Indoor Standard =
 $55 \text{ GPCD} * \text{Pop.} * 365 \text{ days}$

GPCD will reduce to 52.5 by
2030 and 50 after 2030

Outdoor Target =
 $(\text{Act. Evaporation} - \text{Eto}) * (\text{Landscape Area}) * (\text{Evaporation Adj. Factor})$

DWR will provide residential
landscape area measurements for
each agency by Jan 2021

CII Outdoor Standard is TBD

DWR will develop
recommendations via a stakeholder
process.

Loss Target =
 $(\text{Loss Standard per Connection}) * (\text{Number of Connections})$

State to adopt water loss standards
for urban retail water providers by
1 July 2020.

+ Variances + Recycled Water Bonus

= Water Supplier's Unique Water Use Objective

Status of State Agencies Workgroups

Workgroup	Status	Deadline
Wholesale Water Loss	✓ Completed report to the legislature	
Urban Water Management Plan Guidebook (DWR)	<ul style="list-style-type: none"> Kick-off: March 10, 2020 Draft Guidebook Workshop: 9/16/20 	Early 2021 UWMPs due 7/1/2021
Annual Water Supply and Demand Assessment (DWR Guidance)	<ul style="list-style-type: none"> Kick-off: March 9, 2020 Webinar 1/27/2021 	Must be submitted with UWMP 7/1/21
Landscape Area Workgroup	<ul style="list-style-type: none"> Kick-off: February 26, 2020 Last mtg: 2/12/2021 	Jan. 1, 2021 to provide water suppliers w/data
Water Use Studies Workgroup (indoor water use study, variances, CII BMPs)	<ul style="list-style-type: none"> Kick-off: November 2019 Study not yet published 	Jan. 1, 2021
Standards, Methodologies, and Performance Measures Workgroup	<ul style="list-style-type: none"> Kick-off: October 28, 2020 Last mtg: 2/24/2021 	DWR recommendations to SWRCB by October 21, 2021
Data Streamlining Workgroup	<ul style="list-style-type: none"> Not yet kicked off 	No deadline

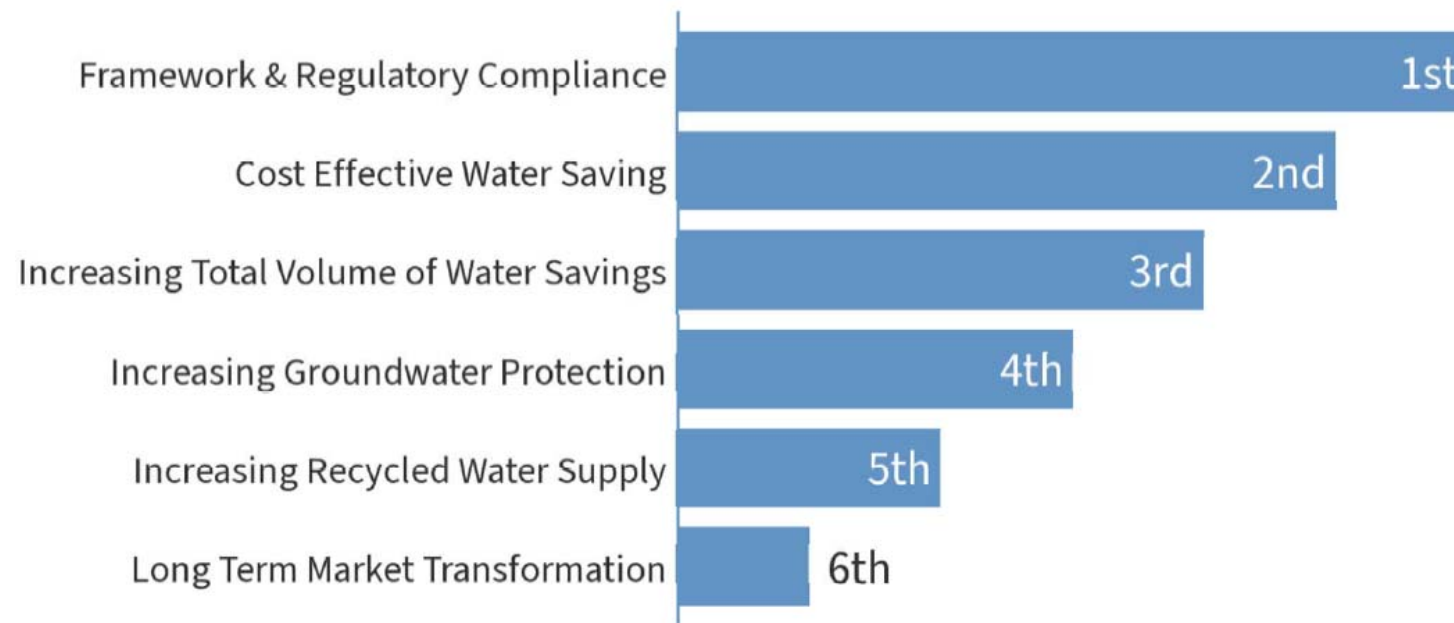
Data Sources – Supplier Landscape Area (LAs)

- LAs data is provided to suppliers by DWR
- DWR produced three classes of supplier level aggregated landscape areas
 - Irrigable-Irrigated (LAs-II)
 - Irrigable-Not-Irrigated (LAs-INI)
 - Not Irrigable (LAs-NI)
- Irrigable landscape area is the sum total of LAs-II and LAs-INI
 - Irrigable = LAs-II + LAs-INI
- All retail water suppliers will receive their landscape area by the end of March 2021
- LAM Stakeholder meeting in April 2021



Recent Survey of IEUA Member Agency WUE Priorities

What are Your Agency's Overall Objectives for WUE?





IEUA Established Forum for Regional WUE Advocacy

“Divide and Conquer” meetings

Share Info

Regional Analysis

Comment Regionally, as appropriate



INFORMATION
ITEM

4H

RP-5 Expansion

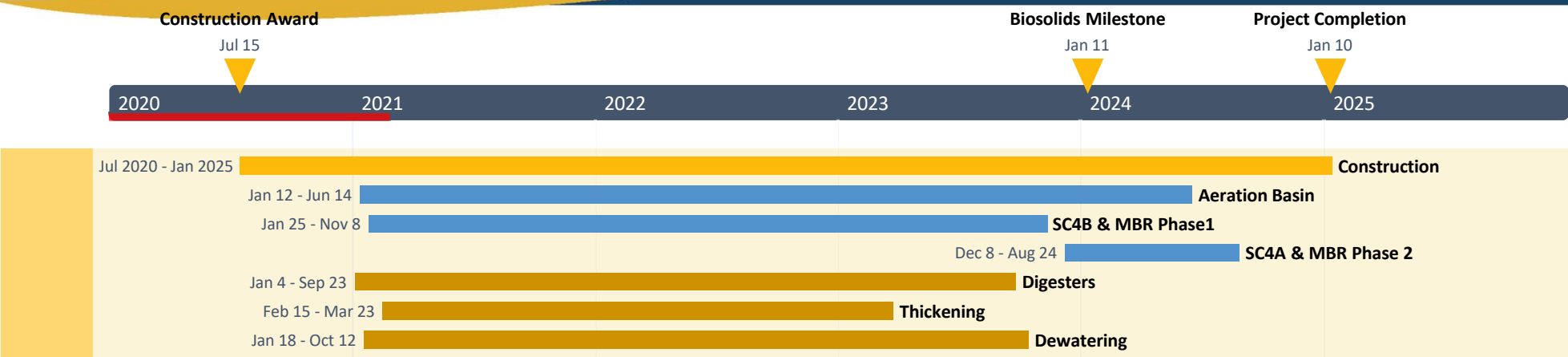
Project Update: March 2021

Project Nos. EN19001 and EN19006



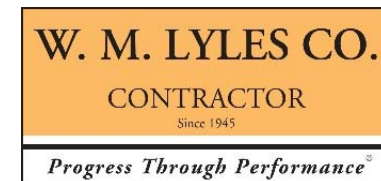
RP5: Project Status

Day 228 of 1640 = 14%



Role	Firm	Contract	This Month's Payment	Total Paid	% Complete
Contractor	WM Lyles	\$ 330,047,427	\$2,834,402	\$12,182,284	3.7%
Designer	Parsons	\$ 31,685,239	\$390,6356	\$23,412,912	75%
Construction Management	Arcadis	\$ 21,125,523	\$281,044	\$2,770,338	13%

RP-5: Construction Team



Partnering: 3/29/21



RP-5: Parsons - Management Team

PARSONS



Satish Kamath, PE, BCEE

Vice President, Company Officer

- Responsible for Parsons Performance
- Water/Wastewater Practice Lead in California
- RP-5 responsible for adequate resource availability



Ali Ahmadi, PhD, PE

Design Manager

- RP-5 coordinates efforts of design disciplines/team during construction

RP-5: Parsons

PARSONS



75 Years



**16,000+
Employees**



**\$4B+
Annual Revenue**



**10,000+
Degrees/Certifications**



- **28 countries**
- **50 states**



**National Water/Wastewater
Design Center in Pasadena, CA**

- **Water/Wastewater**
- **RP-5 Project**

RP-5: Arcadis - Management Team



Rick Farr

Vice President

- Responsible for West Region PM/CM
- RP-5 responsible for CM team resources and construction advisor to IEUA



Dick Pascucci

Construction Manager

- RP-5 responsible for Construction Management Team and Inspections

RP-5: Arcadis



Arcadis at a Glance



Arcadis Generates
\$4.2 Billion in Revenues

Worldwide

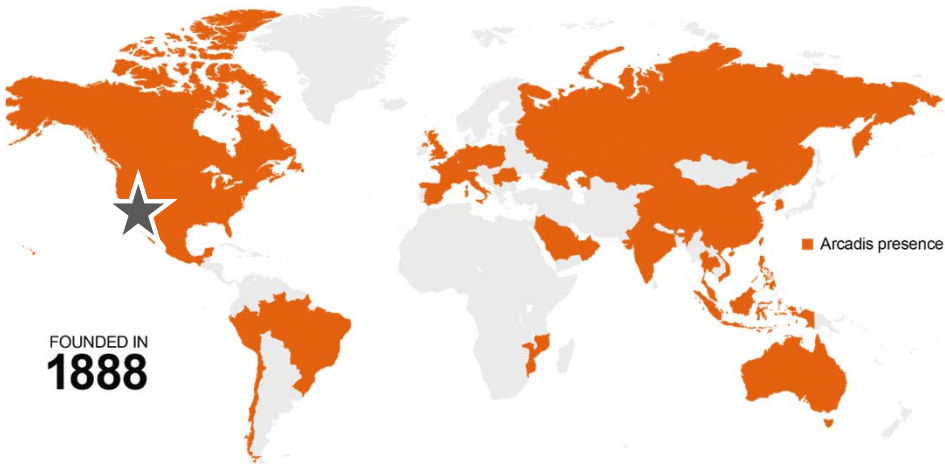
27,000 +
Staff

70+
Countries

North America

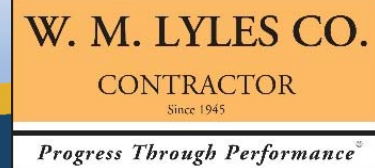
5,000 +
Staff

>120 +
Offices



- RP-5 Project

RP-5: W.M. Lyles Co. - Management Team



Stan Simmons
President/CEO



Grant Gourley
Vice President
Southern Division Manager



KEN STROSNIDER
EXECUTIVE VICE PRESIDENT
CHIEF OF OPERATIONS



JUAN AHUMADA
RP-5 PROJECT EXECUTIVE
SOUTHERN DIVISION

RP-5: W.M. Lyles Co. – Reference Projects

LACSD Palmdale WRP Stage 5 Plant Expansion Phase 1

- \$116M Expansion to 15 MGD
- 9 Final Sedimentation Tanks
- 6 Aeration Tanks
- RAS Pump Station



RP-5 Expansion Means:

- Sustained Revenue for 4 years
- Growth Opportunity for Employees



East County Advanced Water Purification Project

- \$320M Progressive DB
- 16 MGD WRF
- 11.5 MGD AWP Facility
- Solids Handling Facility

Stockton Regional Water Control Facility Improvements Project

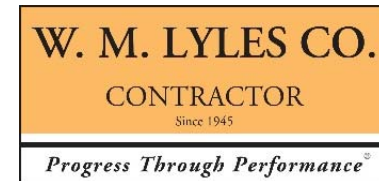
- \$204M Progressive DB
- 82 MGD major update + expansion to 105 MGD



OCSD Sludge Dewatering & Odor Control Project

- \$138M WW Construction
- 100 MGD Plant

RP-5: Construction Team



RP-5: Major Activity Areas This Month & Next Month

- Power Center 9
- Power Center 4
- Digesters
- Aeration Basin Channels
- Secondary Clarifier 4B/ MBR Phase 1



RP-5: January 26th Flyover



RP-5: January 26th Flyover Solids



Questions?

**INFORMATION
ITEM**

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Date: March 17, 2021

To: The Honorable Board of Directors

Committee: Finance & Administration

ADD
From: Shivaji Deshmukh, General Manager

03/10/21

Executive Contact: Christina Valencia, Executive Manager of Finance & Administration/AGM

Subject: Treasurer's Report of Financial Affairs

Executive Summary:

The Treasurer's Report of Financial Affairs for the month ended January 31, 2021 is submitted in a format consistent with the State requirements.

For the month of January 2021, total cash, investments, and restricted deposits of \$545,119,669 reflects an increase of \$5,463,704 compared to the total of \$539,655,965 reported for December 2020. The increase can be attributed to property tax receipts from the San Bernardino County Tax Assessor, Grant receipt from U.S. Bureau of Reclamation for the ground water well cleanup project and increase in wastewater connection fees collected by member agencies and held on behalf of the Agency. As a result, the average days cash on hand for the month ended January 31, 2021 increased from 286 days to 296 days.

The unrestricted Agency investment portfolio yield in January 2021 was 1.199 percent, a decrease of 0.054 percent compared to the December 2020 yield of 1.253 percent. The decrease in yield is attributed to current market conditions resulting in declining yields in the Local Agency Investment Fund (LAIF) and the California Asset Management Program (CAMP) pool accounts.

Staff's Recommendation:

The Treasurer's Report of Financial Affairs for the month ended January 31, 2021 is an information item for the Board of Director's review.

Budget Impact *Budgeted (Y/N):* N *Amendment (Y/N):* Y *Amount for Requested Approval:*

Account/Project Name:

Fiscal Impact (explain if not budgeted):

Interest earned on the Agency's investment portfolio increases the Agency's overall reserves.

Prior Board Action:

On February 17, 2021 the Board of Directors approved the Treasurer's Report of Financial Affairs for the month ended December 31, 2020.

Environmental Determination:

Not Applicable

Business Goal:

The Treasurer's Report of Financial Affairs is consistent with the Agency's Business Goal of Fiscal Responsibility in providing financial reporting that accounts for cash and investment activities to fund operating requirements and to optimize investment earnings.

Attachments:

Attachment 1 - Background

Attachment 2 - PowerPoint

Attachment 3 - Treasurer's Report of Financial Affairs

Background

Subject: Treasurer's Report of Financial Affairs

The Treasurer's Report of Financial Affairs for the month ended January 31, 2021 is submitted in a format consistent with State requirements. The monthly report denotes investment transactions that have been executed in accordance with the Agency's Investment Policy (Resolution No. 2020-4-3).

Agency total cash, investments, and restricted deposits for the month of January 2021 was \$545.1 million, an increase of \$5.5 million compared to the \$539.6 million reported for the month ended December 2020. The increase can be attributed to property tax receipts from the San Bernardino County Tax Assessor, Grant receipt from U.S. Bureau of Reclamation for the ground water well cleanup project and increase in wastewater connection fees collected by member agencies and held on behalf of the Agency.

PFM continues to focus on investment discipline and maintaining a neutral duration strategy to ensure current investments align with the Agency's Investment Policy's goals of preservation of capital in the overall portfolio, provide sufficient liquidity to meet to operating requirements, and attain a market rate of return throughout budgetary and economic cycles.

Table 1 represents the unrestricted Agency investment portfolio by authorized investment and duration, with a total portfolio amount of \$170.9 million. The Agency portfolio excludes cash and restricted deposits in the amount of \$374.2 million held by member agencies and with fiscal agents, including \$232 million in capitalized interest and debt proceeds for the RP-5 Expansion Project.

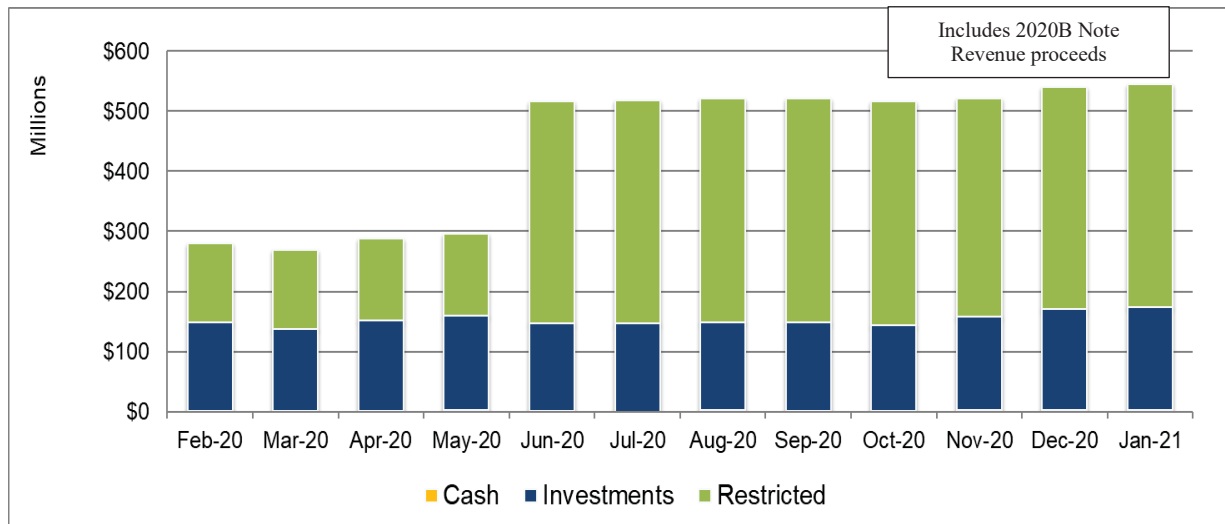
Table 1: Agency Portfolio

Authorized Investments	Allowable Threshold (\$ million or %)	Investment Value as of December 31, 2020 (\$ million)				Average Yield %	Portfolio% (Unrestricted)
		Under 1 Year	1-3 Years	Over 3 Years	Total		
LAIF*- Unrestricted	\$75	\$55.0	\$0.0	\$0.0	\$55.0	0.458%	32.19%
CAMP** – Unrestricted	n/a	10.6			10.6	0.110%	6.18%
Citizens Business Bank – Sweep	40%	10.0			10.0	0.200%	5.86%
Sub-Total Agency Managed		\$75.6	\$0.0	\$0.0	\$75.6	0.375%	44.23%
Brokered Certificates of Deposit	30%	\$0.8	\$3.1	\$0.0	\$4.0	1.69%	2.31%
Medium Term Notes	30%	1.1	10.6	7.0	18.7	2.374%	10.95%
Municipal Bonds	10%			2.1	2.1	0.986%	1.25%
US Treasury Notes	n/a		21.1	14.5	35.6	2.423%	20.83%
US Gov't Securities	n/a	1.6	17.6	15.7	34.9	1.063%	20.43%
Sub-Total PFM Managed		\$3.5	\$52.4	\$39.4	\$95.3	1.85%	55.77%
Total		\$79.1	\$52.4	\$39.4	\$170.9	1.199%	100.0%

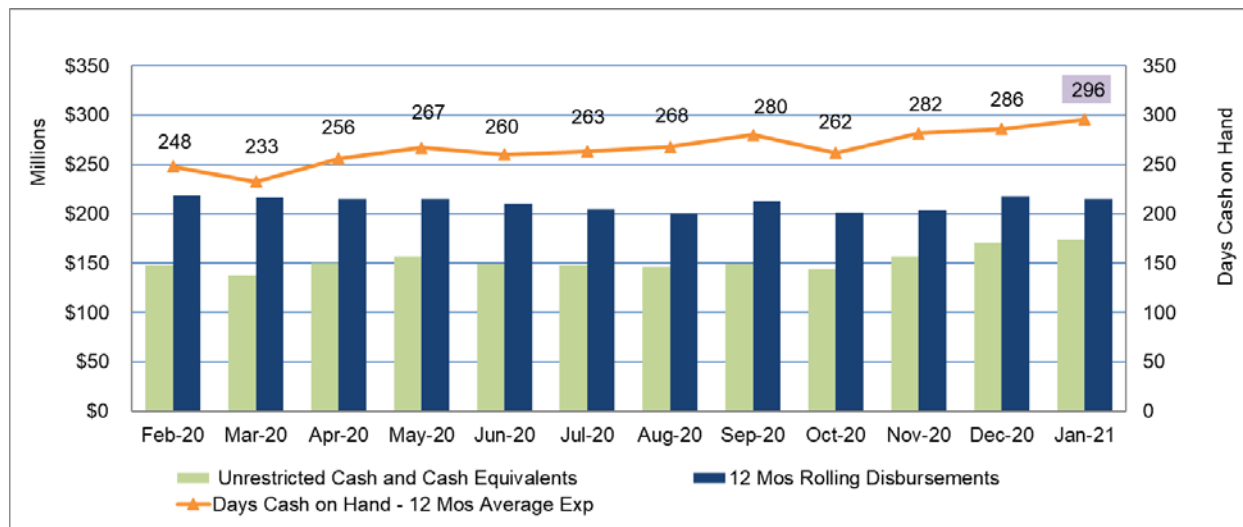
*LAIF – Local Agency Investment Fund

**CAMP – California Asset Management Program

+/- due to rounding

Figure 1: Cash, Investments, and Restricted Deposits

Average days cash on hand is calculated by using the monthly ending balance of unrestricted cash and cash equivalents divided by disbursements associated with operating expenses, debt service costs, and capital expenditures. The average days cash on hand for the month ended January 2021 increased from 286 days to 296 days as shown in Figure 2.

Figure 2: Days Cash on Hand – 12 Month Rolling Average

Monthly cash and investment summaries are available on the Agency's website at:

<https://www.ieua.org/read-our-reports/cash-and-investment/>

Treasurer's Report of Financial Affairs for Month Ended January 31, 2021



Javier Chagoyen-Lazaro
Manager of Finance and Accounting
March 2021

Agency Liquidity

- Decrease in overall yield is attributed to lower yields in liquid investments, such as the Citizens Business Bank Repurchase account, Local Agency Investment Fund (LAIF) and California Asset Management Program (CAMP).

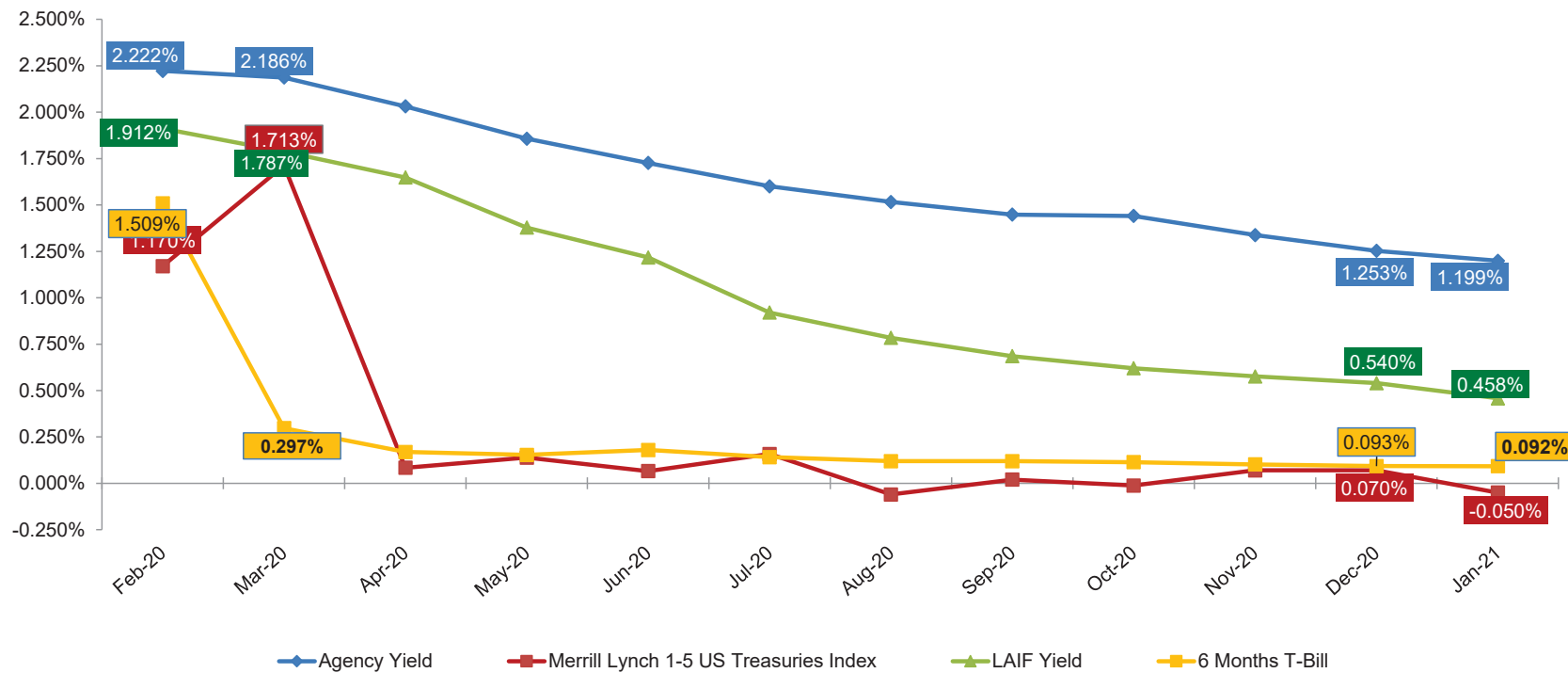
Description	January \$ Millions	December \$ Millions	Increase / (Decrease) \$ Millions
Investment Portfolio	\$170.9	\$168.1	\$2.8
Cash and Restricted Deposits	\$374.2	\$371.5	\$2.7
Total Investments, Cash, and Restricted Deposits	\$545.1	\$539.6	\$5.5
Investment Portfolio Yield	1.199%	1.253%	(0.054%)
Weighted Average Duration (Years)	1.45	1.48	(0.03)
Average Cash on Hand (Days)	296	286	10

Monthly cash and investment summaries available at: <https://www.ieua.org/read-our-reports/cash-and-investment/>

Agency Investment Position

Authorized Investments	Allowable Threshold (\$ million or %)	Under 1 Year	1 – 3 Years	Over 3 Years	Total	Average Yield %	Portfolio % (Unrestricted)
Local Agency Investment Fund	\$75	\$55.0	\$0.0	\$0.0	\$55.0	0.458%	32.19%
California Asset Management Program	n/a	10.6			10.6	0.110%	6.18%
Citizens Business Bank – Sweep	40%	10.0			10.0	0.200%	5.86%
Sub-Total Agency Managed		75.6	0.0	0.0	75.6	0.375%	44.23%
Brokered Certificates of Deposit (CD)	30%	0.8	3.1		4.0	1.690%	2.31%
Medium Term Notes	30%	1.1	10.6	7.0	18.7	2.374%	10.95%
Municipal Bonds	10%			2.1	2.1	0.986%	1.25%
US Treasury Notes	n/a		21.1	14.5	35.6	2.423%	20.83%
US Government Securities	n/a	1.6	17.6	15.7	34.9	1.063%	20.43%
Sub-Total PFM Managed		3.5	52.4	39.4	95.3	1.85%	55.77%
Total		\$79.1	\$52.4	\$39.4	\$170.9	1.199%	100.0%

Portfolio Yield Comparison



◆ Agency Yield
 ■ Merrill Lynch 1-5 US Treasuries Index
 ▲ LAIF Yield
 ■ 6 Months T-Bill

Questions

The Treasurer's Report of Financial Affairs is consistent with the Agency's business goal of fiscal responsibility.



TREASURER'S REPORT OF FINANCIAL AFFAIRS

For the Month Ended January 31, 2021



All investment transactions have been executed in accordance with the criteria stated in the Agency's Investment Policy (Resolution No. 2020-4-3) adopted by the Inland Empire Utilities Agency's Board of Directors during its regular meeting held on April 15, 2020.

The funds anticipated to be available during the next six-month period are expected to be sufficient to meet all foreseen expenditures during the period.

* A Municipal Water District

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
January 31, 2021

	January	December	Variance
<u>Cash, Bank Deposits, and Bank Investment Accounts</u>	\$2,509,900	\$2,229,253	\$280,647
<u>Investments</u>			
Agency Managed			
Citizens Business Bank (CBB) Repurchase (Sweep)	\$10,023,399	\$7,438,699	\$2,584,700
Local Agency Investment Fund (LAIF)	55,012,535	54,951,171	61,364
California Asset Management Program (CAMP)	10,557,784	10,556,713	1,071
Total Agency Managed Investments	75,593,718	72,946,583	\$2,647,135
PFM Managed			
Certificates of Deposit	\$3,955,000	\$3,955,000	\$0
Municipal Bonds	2,141,529	1,936,558	204,971
Medium Term Notes	18,713,360	18,768,438	(55,078)
U.S. Treasury Notes	35,591,825	34,767,444	824,381
U.S. Government Sponsored Entities	34,912,498	35,708,351	(795,853)
Total PFM Managed Investments	95,314,212	95,135,791	\$178,421
Total Investments	\$170,907,930	\$168,082,374	\$2,825,556
Total Cash and Investments Available to the Agency	\$173,417,830	\$170,311,627	\$3,106,203
<u>Restricted Deposits</u>			
CAMP Water Connection Reserve	\$20,869,618	\$20,245,298	\$624,320
LAIF Self Insurance Reserve	6,581,511	6,574,369	7,142
Bond and Note Accounts	33,022,420	33,019,072	3,348
2020B Construction Accounts	197,056,537	196,759,871	296,666
Capital Capacity Reimbursement Account (CCRA) Deposits Held by Member Agencies**	92,028,179	90,465,833	1,562,346
California Employers' Retirement Benefit Trust Account - CERBT (Other Post Employment Benefits - OPEB)	21,425,224	21,561,615	(136,391)
Escrow Deposits	718,350	718,280	70
Total Restricted Deposits	\$371,701,839	\$369,344,338	\$2,357,501
<i>Total Cash, Investments, and Restricted Deposits</i>	\$545,119,669	\$539,655,965	\$5,463,704

**Total reported as of December 2020 excluding Chino Hills and Montclair

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
January 31, 2021

Cash, Bank Deposits, and Bank Investment Accounts

CBB Demand Account (Negative balance offset by CBB Sweep Balance)	\$918,834
CBB Payroll Account	-
CBB Workers' Compensation Account	115,837
Subtotal Demand Deposits	<u>\$1,034,671</u>

Other Cash and Bank Accounts

Petty Cash	\$2,250
Subtotal Other Cash	<u>\$2,250</u>

US Bank Pre-Investment Money Market Account

\$1,472,979

Total Cash and Bank Accounts

\$2,509,900

Unrestricted Investments

CBB Repurchase (Sweep) Investments

Fannie Mae	\$2,491,389
Subtotal CBB Repurchase (Sweep)	<u>\$10,023,399</u>

Local Agency Investment Fund (LAIF)

LAIF Fund	\$55,012,535
Subtotal Local Agency Investment Fund	<u>\$55,012,535</u>

California Asset Management Program (CAMP)

Short Term	\$10,557,784
Subtotal CAMP	<u>\$10,557,784</u>

Subtotal Agency Managed Investment Accounts

\$75,593,718

Brokered Certificates of Deposit

Brokered Certificates of Deposit	\$3,955,000
Subtotal Brokered Certificates of Deposit	<u>\$3,955,000</u>

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
January 31, 2021

Unrestricted Investments Continued

Municipal Bonds

Subtotal State and Local Municipal Bonds	\$2,141,529
Subtotal State and Municipal Bonds	<u>\$2,141,529</u>

Medium Term Notes

Hershey Company	\$334,769
American Honda Finance	767,016
Bank of NY Mellon	1,371,048
American Express	785,488
Walt Disney Company	798,692
Paccar Financial Corp	929,498
Visa Inc	795,407
Bank of America	811,542
Oracle Corp	1,389,001
Amazon Inc	925,996
Burlington North Santa Fe Corp	790,800
Bank of NY Mellon	1,387,595
Apple Inc. Corp.	563,463
UnitedHealth Group Inc	755,741
Pfizer Inc	1,276,393
Walmart Inc	1,495,196
JP Morgan Chase	570,000
Toyota Motor	318,078
Toyota Motor	429,152
Novartis Capital	1,475,744
Bristol Myers	<u>742,742</u>
Subtotal Medium Term Notes	\$18,713,360

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
January 31, 2021

U.S. Treasury Notes

Treasury Note	\$35,591,825
Subtotal U.S. Treasury Notes	<u>\$35,591,825</u>

Unrestricted Investments Continued

U.S. Government Sponsored Entities

Fannie Mae Bank	19,415,896
Freddie Mac Bank	\$8,899,031
Federal Home Loan Bank	6,597,570
Subtotal U.S. Government Sponsored Entities	<u>\$34,912,498</u>

Subtotal PFM Managed Investment Accounts	<u>\$95,314,212</u>
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<i>Total Investments</i>	<u>\$170,907,930</u>
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Restricted Deposits

Investment Pool Accounts

CAMP - Water Connection Reserves	\$20,869,618
LAIF - Self Insurance Fund Reserves	6,581,511
Subtotal Investment Pool Accounts	<u>\$27,451,129</u>

Bond and Note Accounts

2017A Debt Service Accounts	\$431
2020A Debt Service Accounts	5,529
2020B Capitalized Interest	33,011,488
2020B Debt Service Accounts	4,972
Subtotal Bond and Note Accounts	<u>\$33,022,420</u>

2020B Construction Project Accounts

LAIF Construction Project Accounts	\$186,543,657
CAMP Construction Project Accounts	10,512,879
Subtotal 2020B Construction Project Accounts	<u>\$197,056,537</u>

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
January 31, 2021

Restricted Deposits Continued

CCRA Deposits Held by Member Agencies

City of Chino	\$15,521,760
Cucamonga Valley Water District	11,492,523
City of Fontana	17,680,989
City of Montclair	3,920,319
City of Ontario	31,199,634
City of Chino Hills	6,122,295
City of Upland	6,090,659
Subtotal CCRA Deposits Held by Member Agencies**	<u>\$92,028,179</u>

***Total reported as of December 2020 excluding Chino Hills and Montclair*

CalPERS

CERBT Account (OPEB)	<u>\$21,425,224</u>
Subtotal CalPERS Accounts	\$21,425,224

Escrow Deposits

Stanek Construction	\$322,718
Genesis Construction	47,403
W.M. Lyles Construction	<u>348,230</u>
Subtotal Escrow Deposits	\$718,350

Total Restricted Deposits

\$371,701,839

Total Cash, Investments, and Restricted Deposits as of January 31, 2021

\$545,119,669

Total Cash, Investments, and Restricted Deposits as of 01/31/2021

\$545,119,669

Less: Total Cash, Investments, and Restricted Deposits as of 12/31/2020

539,655,965

Total Monthly Increase (Decrease)

\$5,463,704

INLAND EMPIRE UTILITIES AGENCY

Cash and Investment Summary

Month Ended
January 31, 2021

	Par	Cost Basis	Term	January	%	%	Maturity	Market
	Amount	Amount	(Days)	Value	Coupon	Yield to Maturity	Date	Value
<u>Cash, Bank Deposits, and Bank Investment Accounts</u>								
Citizens Business Bank (CBB)								
Demand Account	\$918,834	\$918,834	N/A	\$918,834		N/A	N/A	\$918,834
Payroll Checking	0	0	N/A	0		N/A	N/A	0
Workers' Compensation Account	115,837	115,837	N/A	115,837		N/A	N/A	115,837
Subtotal CBB Accounts	\$1,034,671	\$1,034,671		\$1,034,671				\$1,034,671
US Bank (USB)								
Custodial Money Market (<i>Investment Mgmt.</i>)	\$1,167,955	\$1,167,955	N/A	\$1,167,955		0.01%	N/A	\$1,167,955
Custodial Money Market (<i>Debt Service</i>)	305,024	305,024	N/A	305,024		0.01%	N/A	305,024
Subtotal USB Account	\$1,472,979	\$1,472,979		\$1,472,979		0.01%		\$1,472,979
Petty Cash	\$2,250	\$2,250	N/A	\$2,250		N/A	N/A	\$2,250
Total Cash, Bank Deposits and Bank Investment Accounts	\$2,509,900	\$2,509,900		\$2,509,900				\$2,509,900
<u>Investments</u>								
CBB Daily Repurchase (Sweep) Accounts								
Fannie Mae	\$2,491,389	\$2,491,389	N/A	\$2,491,389		0.20%	N/A	\$2,491,389
FHLMC	\$7,532,010	\$7,532,010	N/A	\$7,532,010		0.20%	N/A	\$7,532,010
Subtotal CBB Repurchase Accounts	\$10,023,399	\$10,023,399		\$10,023,399		0.20%		\$10,023,399
LAIF Accounts								
Non-Restricted Funds	\$55,012,535	\$55,012,535	N/A	\$55,012,535		0.458%	N/A	\$55,012,535
Subtotal LAIF Accounts	\$55,012,535	\$55,012,535		\$55,012,535		0.458%		\$55,012,535
CAMP Accounts								
Non-Restricted Funds	\$10,557,784	\$10,557,784	N/A	\$10,557,784		0.11%	N/A	\$10,557,784
Subtotal CAMP Accounts	\$10,557,784	\$10,557,784		\$10,557,784		0.11%		\$10,557,784
Subtotal Agency Managed Investment Accounts	\$75,593,718	\$75,593,718		\$75,593,718		0.38%		\$75,593,718

INLAND EMPIRE UTILITIES AGENCY

Cash and Investment Summary

Month Ended
January 31, 2021

	Par	Cost Basis	Term	January	%	%	Maturity	Market
	Amount	Amount	(Days)	Value	Coupon	Yield to Maturity	Date	Value
Investments (continued)								
Brokered Certificates of Deposit (CDs)								
Royal Bank of Canada NY	815,000	815,000	1095	815,000	3.24%	3.24%	06/07/21	824,227
Credit Suisse NY	745,000	745,000	533	745,000	0.52%	0.52%	02/01/22	746,318
Societe Generale NY	935,000	935,000	731	935,000	1.80%	1.80%	02/14/22	948,688
Sumitomo Mitsui Bank	745,000	745,000	870	745,000	0.70%	0.70%	07/08/22	748,165
DNB Bank ASA NY	715,000	715,000	1092	715,000	2.04%	2.03%	12/02/22	739,261
Subtotal Brokered CDs	\$3,955,000	\$3,955,000		\$3,955,000		1.69%		\$4,006,660
US Treasury Note								
US Treasury Note	1,625,000	1,577,519	1323	1,614,162	1.750%	2.60%	11/30/21	1,647,090
US Treasury Note	1,220,000	1,180,350	1436	1,208,320	1.875%	2.75%	03/31/22	1,245,163
US Treasury Note	3,070,000	2,969,266	1336	3,038,106	1.875%	2.82%	03/31/22	3,133,319
US Treasury Note	3,790,000	3,678,225	1302	3,753,686	1.875%	2.75%	03/31/22	3,868,169
US Treasury Note	1,605,000	1,558,480	1474	1,590,703	1.875%	2.64%	04/30/22	1,640,360
US Treasury Note	1,300,000	1,252,570	1456	1,283,256	1.750%	2.72%	06/30/22	1,330,063
US Treasury Note	2,065,000	1,980,706	1577	2,032,608	1.750%	2.76%	09/30/22	2,121,142
US Treasury Note	894,000	864,177	1641	882,423	2.000%	2.80%	10/31/22	923,334
US Treasury Note	1,040,000	990,356	1580	1,017,095	1.750%	2.93%	01/31/23	1,073,800
US Treasury Note	310,000	293,289	1699	302,554	1.500%	2.74%	02/28/23	318,767
US Treasury Note	1,235,000	1,189,508	1508	1,211,228	1.500%	2.44%	03/31/23	1,271,471
US Treasury Note	2,000,000	1,899,453	1618	1,945,377	1.38%	2.58%	06/30/23	2,059,062
US Treasury Note	1,260,000	1,196,951	1630	1,224,801	1.25%	2.44%	07/31/23	1,294,650
US Treasury Note	650,000	667,088	1611	660,299	2.88%	2.25%	09/30/23	696,922
US Treasury Note	3,450,000	3,484,904	1713	3,470,723	2.75%	2.52%	11/15/23	3,698,508
US Treasury Note	2,525,000	2,479,826	1786	2,498,897	2.13%	2.52%	11/30/23	2,665,059
US Treasury Note	120,000	120,356	1795	120,211	2.63%	2.56%	12/31/23	128,550
US Treasury Note	2,345,000	2,355,534	1792	2,351,960	2.00%	1.90%	04/30/24	2,480,570
US Treasury Note	575,000	580,930	1824	579,047	2.00%	1.78%	06/30/24	609,680
US Treasury Note	1,000,000	1,016,172	1818	1,011,351	2.13%	1.78%	07/31/24	1,065,938
US Treasury Note	1,310,000	1,349,146	1824	1,338,695	2.13%	1.50%	09/30/24	1,398,834
US Treasury Note	1,100,000	1,135,965	1822	1,127,003	2.25%	1.57%	10/31/24	1,181,125
US Treasury Note	510,000	521,814	1823	519,232	2.13%	1.63%	11/30/24	545,859
US Treasury Note	815,000	810,034	2219	810,088	0.38%	0.50%	12/31/25	813,090
Subtotal US Treasuries	\$35,814,000	\$35,152,619		\$35,591,825		2.42%		\$37,210,524

INLAND EMPIRE UTILITIES AGENCY

Cash and Investment Summary

Month Ended
January 31, 2021

	Par	Cost Basis	Term	January	%	%	Maturity	Market
	Amount	Amount	(Days)	Value	Coupon	Yield to Maturity	Date	Value
Investments (continued)								
U.S. Government Sponsored Entities								
Federal Home Loan Bank	1,570,000	1,584,915	992	1,573,804	3.00%	2.63%	10/12/21	1,601,822
Federal Home Loan Bank	1,725,000	1,724,552	1,001	1,724,694	0.25%	0.26%	06/03/22	1,728,245
Fannie Mae Bond	890,000	886,903	1,096	888,355	1.38%	1.49%	09/06/22	907,683
Federal Home Loan Bank	1,280,000	1,277,645	1,092	1,278,391	1.38%	1.44%	02/17/23	1,312,544
Fannie Mae Bond	1,360,000	1,355,906	365	1,356,860	0.25%	0.35%	05/22/23	1,363,154
Freddie Mac Bond	1,450,000	1,444,461	1,810	1,447,344	2.75%	2.83%	06/19/23	1,539,680
Freddie Mac Bond	1,330,000	1,326,116	1,095	1,326,897	0.25%	0.35%	06/26/23	1,333,211
Fannie Mae Bond	1,875,000	1,870,969	1,095	1,871,727	0.25%	0.32%	07/10/23	1,879,198
Fannie Mae Bond	645,000	645,000	1,092	645,000	0.36%	0.36%	08/18/23	646,359
Freddie Mac Bond	885,000	884,097	1,098	884,232	0.25%	0.28%	08/24/23	887,081
Fannie Mae Bond	855,000	853,273	1,741	854,055	2.88%	2.92%	09/12/23	915,337
Fannie Mae Bond	1,820,000	1,803,274	1,772	1,811,005	2.88%	3.08%	09/12/23	1,948,437
Freddie Mac Bond	970,000	969,855	1,098	969,871	0.30%	0.31%	09/28/23	970,735
Freddie Mac Bond	805,000	804,203	1,079	804,246	0.25%	0.28%	02/04/23	806,184
Federal Home Loan Bank	870,000	895,642	1,772	885,050	3.38%	2.72%	12/08/23	948,586
Fannie Mae Bond	680,000	677,470	1,823	678,475	2.50%	2.58%	02/05/24	726,757
Federal Home Loan Bank	190,000	189,327	1,824	189,592	2.50%	2.58%	02/13/24	203,161
Fannie Mae Bond	2,055,000	2,130,234	1,769	2,116,071	1.63%	0.85%	01/07/25	2,158,751
Freddie Mac Bond	1,215,000	1,214,064	1,825	1,214,245	1.50%	1.52%	02/12/25	1,271,274
Federal Home Loan Bank	950,000	945,288	1,824	946,040	0.50%	0.60%	04/14/25	955,067
Fannie Mae Bond	495,000	496,629	1,792	496,400	0.63%	0.56%	04/22/25	500,246
Fannie Mae Bond	1,275,000	1,272,374	1,824	1,272,781	0.63%	0.67%	04/22/25	1,288,511
Fannie Mae Bond	1,425,000	1,432,296	1,782	1,431,309	0.63%	0.52%	04/22/25	1,440,101
Fannie Mae Bond	240,000	241,126	1,716	241,048	0.50%	0.40%	06/17/25	241,020
Fannie Mae Bond	995,000	996,473	1,693	996,389	0.50%	0.47%	06/17/25	999,229
Fannie Mae Bond	1,435,000	1,441,931	1,778	1,441,225	0.50%	0.40%	06/17/25	1,441,099
Fannie Mae Bond	1,480,000	1,476,936	1,824	1,477,318	0.50%	0.54%	06/17/25	1,486,290
Freddie Mac Bond	895,000	890,543	1,824	891,015	0.38%	0.48%	07/21/25	893,536
Fannie Mae Bond	950,000	945,554	1,824	945,939	0.38%	0.47%	08/25/25	947,127
Freddie Mac Bond	1,365,000	1,360,891	1,824	1,361,182	0.38%	0.44%	09/23/25	1,360,803
Fannie Mae Bond	895,000	891,796	1,869	891,938	0.50%	0.57%	11/07/25	896,603
Subtotal U.S. Gov't Sponsored Entities	\$34,870,000	\$34,929,742		\$34,912,498		1.06%		\$35,597,830

INLAND EMPIRE UTILITIES AGENCY

Cash and Investment Summary

Month Ended
January 31, 2021

	Par	Cost Basis	Term	January	%	%	Maturity	Market
	Amount	Amount	(Days)	Value	Coupon	Yield to Maturity	Date	Value
Investments (continued)								
Municipal Bonds								
CA State Earthquake Authority Taxable Rev	\$195,000	\$195,000	1060	\$195,000	1.48%	1.48%	07/01/23	\$199,054
Maryland State GO Bond	250,000	249,930	1457	249,939	0.51%	0.52%	08/01/24	250,555
CA State Dept of Wtr Res	100,000	100,000	1578	100,000	0.56%	0.56%	12/01/24	100,000
Univ. of CA Revenue Bond	90,000	90,326	1764	90,288	0.88%	0.81%	05/15/25	91,678
Univ. of CA Revenue Bond	205,000	205,000	1764	205,000	0.88%	0.88%	05/15/25	208,821
Florida State Board of Admin. Taxable Rev	200,000	201,414	1749	201,302	1.26%	1.11%	07/01/25	205,688
Florida State Board of Admin. Taxable Rev	520,000	520,000	1749	520,000	1.26%	1.26%	07/01/25	534,789
Los Angeles CCD CA Taxable GO Bonds	375,000	375,000	1725	375,000	0.77%	0.77%	08/01/25	376,541
NJ TPK Authority	205,000	205,000	1792	205,000	1.05%	1.05%	01/01/26	207,068
Subtotal State and Local Municipal Bonds	\$2,140,000	\$2,141,670		\$2,141,529		0.99%		\$2,174,194
Medium Term Notes								
Hershey Company	\$335,000	\$334,769	1101	\$334,769	3.10%	3.12%	05/15/21	\$337,767
American Honda Finance	800,000	767,016	1181	767,016	1.65%	3.00%	07/12/21	804,959
Bank of NY Mellon	1,400,000	1,371,048	1391	1,371,048	2.60%	3.18%	02/07/22	1,431,466
American Express	800,000	785,488	1415	785,488	2.70%	3.20%	03/03/22	819,386
Walt Disney Company	815,000	798,692	1416	798,692	2.45%	3.00%	03/04/22	833,740
Paccar Financial Corp	930,000	929,498	1096	929,498	2.65%	2.67%	05/10/22	958,660
Visa Inc	825,000	795,407	1611	795,407	2.15%	3.03%	09/15/22	849,166
Bank of America	90,000	86,542	1647	86,542	2.50%	3.43%	10/21/22	91,416
Oracle Corp	1,420,000	1,389,001	1763	1,389,001	2.63%	3.11%	02/15/23	1,484,356
Amazon Inc	935,000	925,996	1409	925,996	2.40%	2.66%	02/22/23	973,840
Burlington North Santa Fe Corp	800,000	790,800	1792	790,800	3.00%	3.26%	03/15/23	839,053
Bank of NY Mellon	1,375,000	1,387,595	1555	1,387,595	3.50%	3.27%	04/28/23	1,469,694
Apple Inc. Corp.	565,000	563,463	1095	563,463	0.75%	0.84%	05/11/23	571,246
UnitedHealth Group Inc	720,000	755,741	1330	755,741	3.50%	2.08%	06/15/23	773,752
Pfizer Inc	1,260,000	1,276,392	1807	1,276,393	2.95%	2.67%	03/15/24	1,356,593
Walmart Inc	1,425,000	1,495,196	1768	1,495,196	2.85%	1.78%	07/08/24	1,538,276
JP Morgan Chase	570,000	570,000	1461	570,000	0.81%	0.65%	09/16/24	573,472
Bank of America	725,000	725,000	1464	725,000	1.80%	0.81%	10/24/24	727,621
Toyota Motor	315,000	318,078	1724	318,078	1.80%	1.58%	02/13/25	328,435
Toyota Motor	425,000	429,152	1724	429,152	1.80%	1.58%	02/13/25	443,126
Novartis Capital	1,425,000	1,475,744	1743	1,475,744	1.75%	0.98%	02/14/25	1,486,852
Bristol Myers	650,000	742,742	1780	742,742	3.88%	0.88%	08/15/25	735,173
Subtotal Medium Term Notes	\$18,605,000	\$18,713,360		\$18,713,360		2.37%		\$19,428,048
Subtotal PFM Managed Investment Accounts	\$95,384,000	\$94,892,391		\$95,314,213		1.85%		\$98,417,257
Total Investments	\$170,977,718	\$170,486,108		\$170,907,930				\$174,010,975

(Source of Investment Amortized Cost: PFM)

INLAND EMPIRE UTILITIES AGENCY

Cash and Investment Summary

Month Ended
January 31, 2021

	Par	Cost Basis	Term	January	%	%	Maturity	Market
	Amount	Amount	(Days)	Value	Coupon	Yield to Maturity	Date	Value
Restricted Deposits								
Investment Pool Accounts								
CAMP - Water Connection Reserves	\$20,869,618	\$20,869,618	N/A	\$20,869,618		0.11%	N/A	\$20,869,618
LAIF - Self Insurance Reserves	6,581,511	6,581,511	N/A	6,581,511		0.46%	N/A	6,581,511
Total Investment Pool Accounts	27,451,129	27,451,129		27,451,129		0.19%		27,451,129
Bond and Note Accounts								
2017A Debt Service Accounts	\$431	\$431	N/A	\$431		0.00%		\$431
2020A Debt Service Accounts	5,529	5,529	N/A	5,529		0.00%		5,529
2020B Debt Service Accounts	4,972	4,972	N/A	4,972		0.00%		4,972
2020B Capitalized Interest Account	33,011,488	33,011,488	N/A	33,011,488		0.11%		33,011,488
Total Bond and Note Accounts	\$33,022,420	\$33,022,420		\$33,022,420		0.11%		\$33,022,420
2020B Construction Project Account								
LAIF Construction Fund	\$186,543,657	\$186,543,657	N/A	\$186,543,657		0.46%	N/A	\$186,543,657
CAMP Construction Fund	10,512,879	10,512,879		10,512,879		0.11%		10,512,879
Subtotal 2020B Construction Fund	\$197,056,537	\$197,056,537		\$197,056,537		0.44%		\$197,056,537
Total 2020B Construction Project Accts	\$197,056,537	\$197,056,537		\$197,056,537		0.439%		\$197,056,537
CCRA Deposits Held by Member Agencies								
City of Chino	\$15,521,760	\$15,521,760	N/A	\$15,521,760		N/A	N/A	\$15,521,760
City of Chino Hills	6,122,295	6,122,295	N/A	6,122,295		N/A	N/A	6,122,295
Cucamonga Valley Water District	11,492,523	11,492,523	N/A	11,492,523		N/A	N/A	11,492,523
City of Fontana	17,680,989	17,680,989	N/A	17,680,989		N/A	N/A	17,680,989
City of Montclair	3,920,319	3,920,319	N/A	3,920,319		N/A	N/A	3,920,319
City of Ontario	31,199,634	31,199,634	N/A	31,199,634		N/A	N/A	31,199,634
City of Upland	6,090,659	6,090,659	N/A	6,090,659		N/A	N/A	6,090,659
Subtotal CCRA Deposits Held by Member Agencies**	\$92,028,179	\$92,028,179		\$92,028,179				\$92,028,179

**Total reported as of December 2020 excluding Chino Hills and Montclair

INLAND EMPIRE UTILITIES AGENCY

Cash and Investment Summary

Month Ended
January 31, 2021

	Par	Cost Basis	Term	January	%	%	Maturity	Market
	Amount	Amount	(Days)	Value	Coupon	Yield to Maturity	Date	Value
CalPERS Deposits								
CERBT Account (OPEB)	\$16,000,000	\$16,000,000	N/A	\$21,425,224		N/A	N/A	\$21,425,224
Subtotal CalPERS Deposits	\$16,000,000	\$16,000,000		\$21,425,224				\$21,425,224
Escrow Deposits								
Stanek Contractors Escrow	\$322,718	\$322,718	N/A	\$322,718		N/A	N/A	\$322,718
Genesis Construction	47,403	47,403	N/A	47,403		N/A	N/A	47,403
W. M. Lyles Construction #	348,230	348,230	N/A	348,230		N/A	N/A	348,230
Subtotal Escrow Deposits	\$718,350	\$718,350		\$718,350				\$718,350
Total Restricted Deposits	\$366,276,616	\$366,276,615		\$371,701,840				\$371,701,839
Total Cash, Investments, and Restricted Deposits as of January 31, 2021	\$539,764,233	\$539,272,624		\$545,119,670				\$548,222,714

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
January 31, 2021

January Purchases

No.	Date	Transaction	Investment Security	Type	Par Amount Purchased	Investment Yield to Maturity
1	01/11/21	Purchase	US Treasury Note	USTN	815,000	0.38%
2	01/22/21	Purchase	NJ TPK Municipal Bond	Muni	205,000	1.05%
					<u><u>\$ 1,020,000</u></u>	

January Investment Maturities, Calls & Sales

No.	Date	Transaction	Investment Security	Type	Par Amount Matured/Sold	Investment Yield to Maturity
1	01/11/21	Sale	Fannie Mae	GSE	\$795,000	2.63%
					<u><u>\$ 795,000</u></u>	

Total Maturities, Calls & Sales

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
January 31, 2021

Directed Investment Category

	Amount Invested	Yield
CBB Repurchase (Sweep)	\$10,023,399	0.200%
LAIF - Unrestricted	55,012,535	0.458%
CAMP - Unrestricted	10,557,784	0.110%
Brokered Certificates of Deposit	3,955,000	1.690%
Medium Term Notes	18,713,360	2.374%
Municipal Bonds	2,141,529	0.986%
US Treasury Notes	35,591,825	2.423%
U.S. Government Sponsored Entities	34,912,498	1.063%
Total Investment Portfolio	\$170,907,930	

Investment Portfolio Rate of Return

1.199%

Restricted/Transitory/Other Accounts

	Amount Invested	Yield
CCRA Deposits Held by Member Agencies	\$92,028,179	N/A
CalPERS OPEB (CERBT) Account	21,425,224	N/A
CAMP Restricted Water Connection Reserve	20,869,618	0.110%
LAIF Restricted Insurance Reserve	6,581,511	0.458%
US Bank - 2017A Debt Service Accounts	431	0.000%
US Bank - 2020A Refunding Bond Accounts	5,529	0.000%
US Bank - 2020B Revenue Note Accounts	33,016,460	0.110%
US Bank - Pre-Investment Money Market Account	1,472,979	0.010%
LAIF Construction Account	186,543,657	0.458%
CAMP Construction Account	10,512,879	0.110%
Citizens Business Bank - Demand Account	918,834	N/A
Citizens Business Bank - Workers' Compensation Account	115,837	N/A
Citizens Business Bank - Payroll Account	0	N/A
Other Accounts*	2,250	N/A
Escrow Account	718,350	N/A

Total Restricted/Transitory/Other Accounts

\$374,211,739

Average Yield of Other Accounts

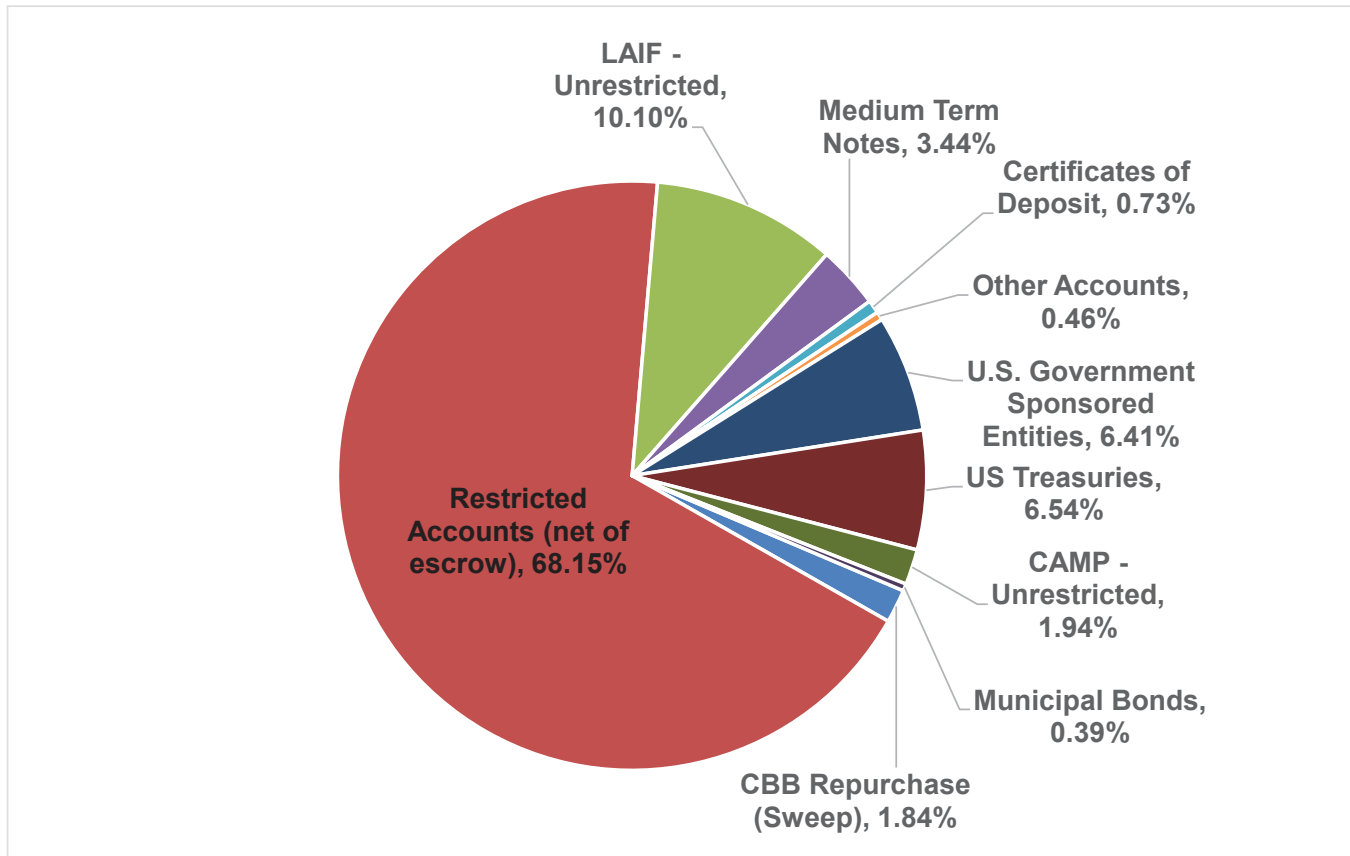
0.369%

Total Agency Directed Deposits

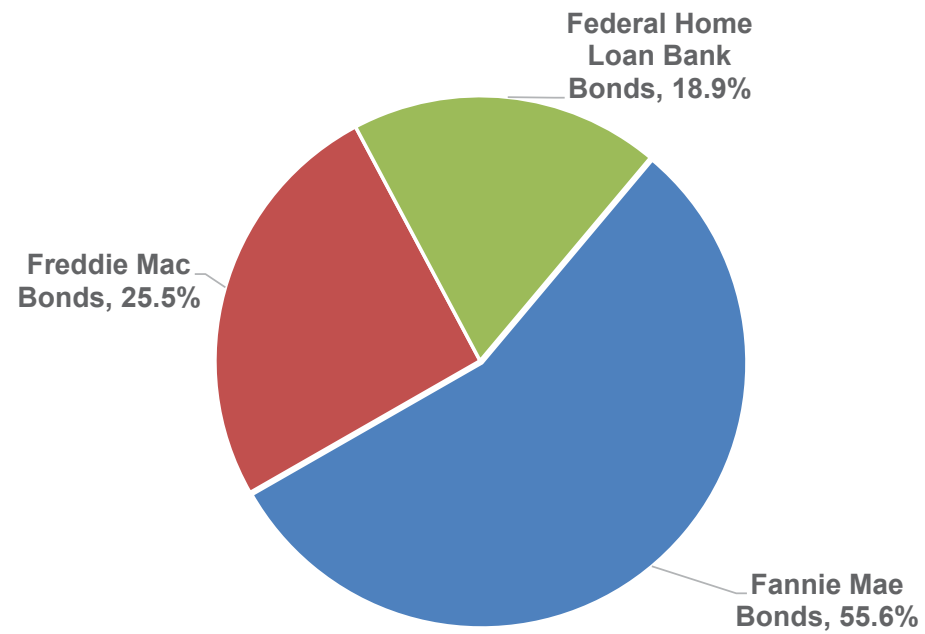
\$545,119,669

*Petty Cash

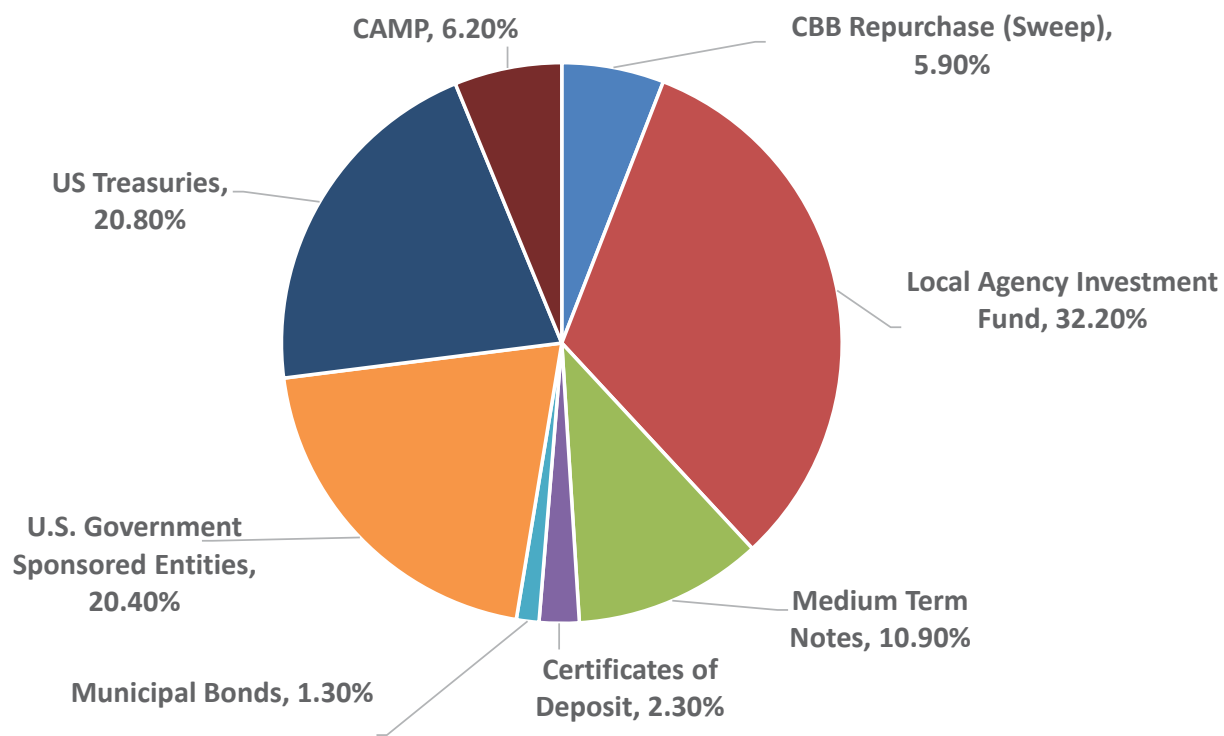
Inland Empire Utilities Agency
Treasurer's Report of Financial Affairs
Month Ended January 31, 2021
Agency Investment Portfolio (Net of Escrow Accounts)
\$544,401,319



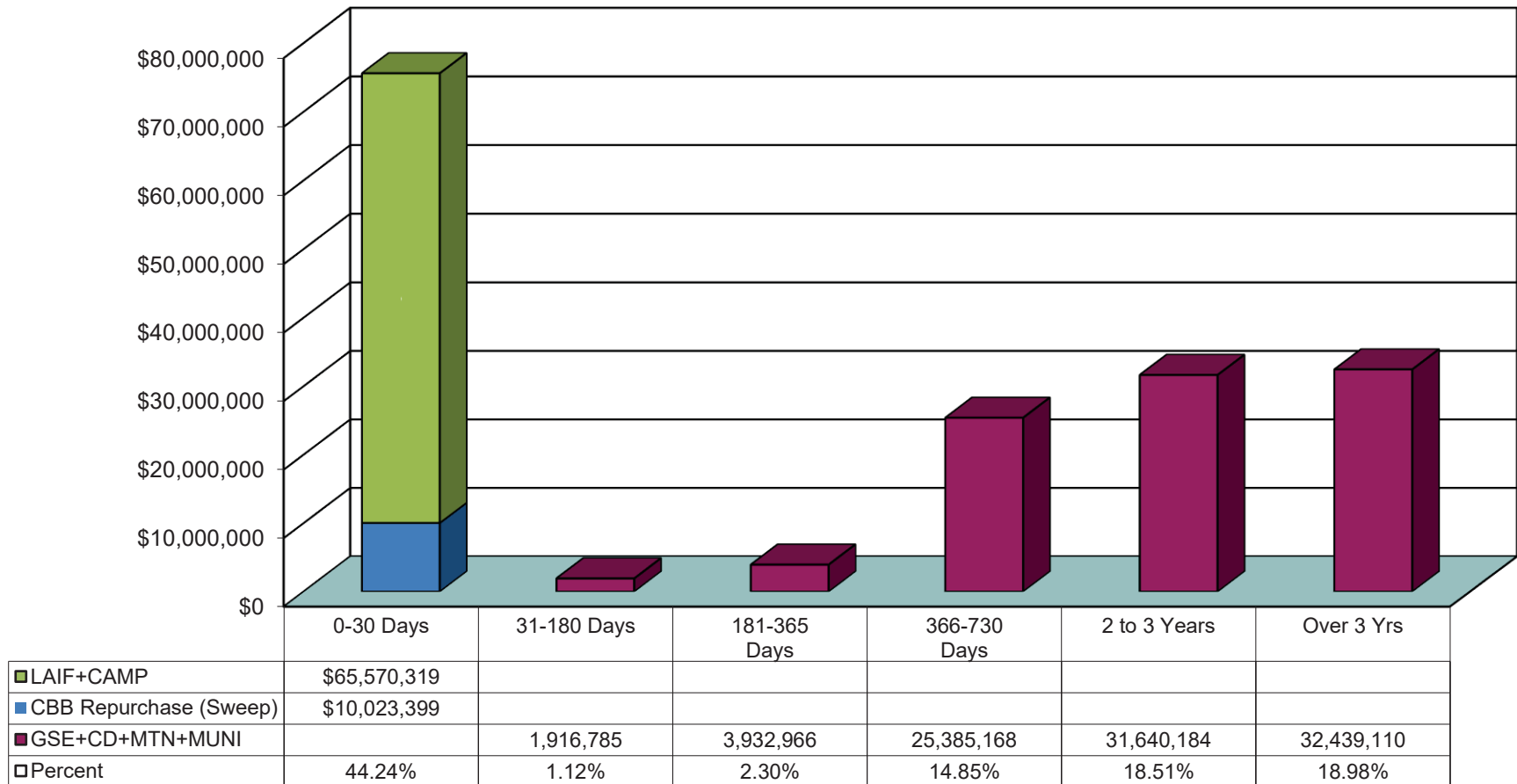
Inland Empire Utilities Agency
Treasurer's Report of Financial Affairs
Month Ended January 31, 2021
U.S. Government Sponsored Entities Portfolio
\$34,912,498



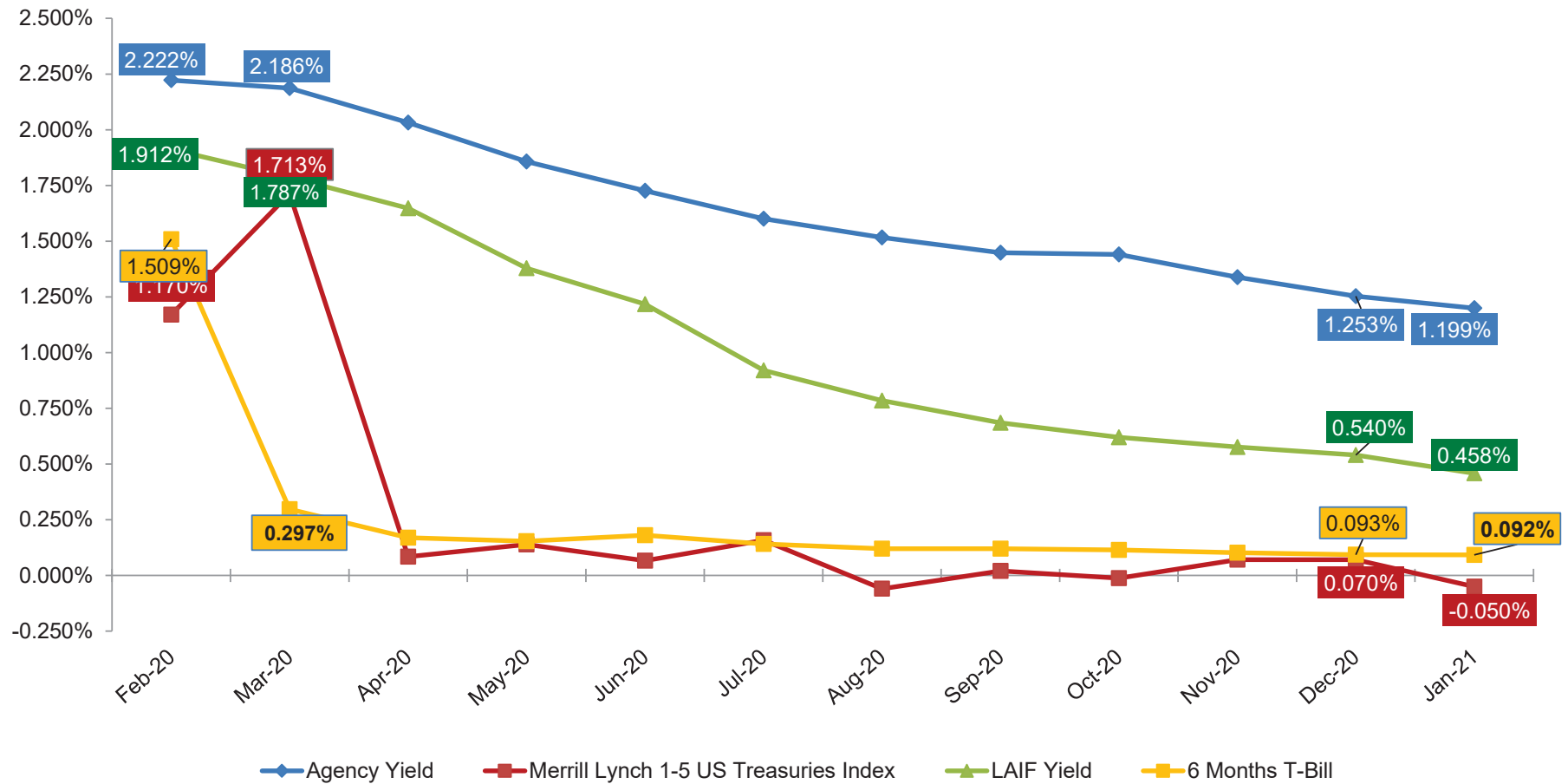
Inland Empire Utilities Agency
Treasurer's Report of Financial Affairs
Month Ended January 31, 2021
Unrestricted Agency Investment Portfolio
\$170,907,930



Inland Empire Utilities Agency
Treasurer's Report of Financial Affairs
 Month Ended January 31, 2021
Agency Investment Portfolio Maturity Distribution (Unrestricted)
\$170,907,930



Inland Empire Utilities Agency
Treasurer's Report of Financial Affairs
Month Ended January 31, 2021
Agency Investment Portfolio Yield Comparison



**INFORMATION
ITEM**

4J

Date: March 17, 2021

To: The Honorable Board of Directors

From: Shivaji Deshmukh, General Manager

Committee: Community & Legislative Affairs

03/10/21

Executive Contact: Kathy Besser, Executive Manager of Government & Ext. Affairs/AGM

Subject: Public Outreach and Communication

Executive Summary:

- March 7-13, Groundwater Awareness Week
- March 15-22, Fix a Leak Week
- March 22, World Water Day

The Agency celebrated Engineers Week throughout the last week of February by sharing an update on the progress the Agency's engineers have made on the RP-5 Expansion and highlighted three of IEUA's engineers. Staff held a virtual field trip during Engineers Week. With more than 80 fifth grade students in attendance, staff gave an overview of IEUA and completed a foil boat activity incorporating STEAM components.

Staff launched the Water Scout Badge Program for Boy Scouts and Girl Scouts and began promoting it on the Agency's social media channels. The program, which is offered as a resource under Owlle's Virtual Adventures, offers scouts the opportunity to earn a Fun Badge upon completion.

Staff's Recommendation:

This is an informational item for the Board of Directors to receive and file.

Budget Impact *Budgeted (Y/N): Y Amendment (Y/N): N Amount for Requested Approval:*

Account/Project Name:

Fiscal Impact (explain if not budgeted):

Prior Board Action:

N/A

Environmental Determination:

Not Applicable

Business Goal:

IEUA is committed to providing a reliable and cost-effective water supply and promoting sustainable water use throughout the region.

IEUA is committed to enhancing and promoting environmental sustainability and the preservation of the region's heritage.

Attachments:

Attachment 1 - Background

Background

Subject: Public Outreach and Communication

March

- March 4, World Engineering Day
- March 5, Employee Appreciation Day
- March 7-13, Groundwater Awareness Week
- March 12, International Grant Professionals Day
- March 15-22, Fix a Leak Week
- March 22, World Water Day

Media and Outreach

- Staff continues to publish reels on the @IEUAWater and @chinocreekwetlands social media profiles. Recently, the @chinocreekwetlands reels profile showcased just under 2,000 views per reel for the most recently published.
- The Agency continues to remind the public of what can and cannot be flushed through the *No Wipes in the Pipes* campaign. External Affairs staff is working with the Collections team to develop additional messaging and campaign images for outreach purposes, as well as content for messaging on proper FOG disposal.
- The Agency recognized World Wetlands Day on social media by educating the public on the importance of wetlands and published a video taking viewers on a virtual tour of the Chino Creek Wetlands and Educational Park.
- Staff began promoting the Agency's annual Water is Life Student Art Poster Contest through videos and story posts on social media.
- The Agency celebrated Engineers Week throughout the last week of February by sharing an update on the progress the Agency's engineers have made on the RP-5 Expansion, hosting a virtual field trip in which staff led an engineer-themed activity and highlighted three of IEUA's engineers.
- Staff recognized Skip the Straw Day on social media by sharing a post on the importance of practicing sustainability in order to contribute to a healthy environment.
- The Agency continues to publish content on LinkedIn and has gained 36 followers since January 2020 with 712 page views in the last 30 days.
- February: 21 posts were published to the IEUA Facebook page, 23 posts were published to IEUA's Instagram grid, 23 tweets were sent on the @IEUAWater Twitter handle, and seven posts were published to the IEUA LinkedIn page.
 - The top three Facebook posts, based on reach and engagement, in the month of February were:
 - 2/7 Super Bowl Sunday (What Cannot Be Flushed)
 - 2/2 World Wetlands Day: Virtual Chino Creek Wetlands and Educational Park Tour
 - 2/14 Valentine's Day (Appreciation Post)
 - The top three Twitter tweets, based on reach and engagement, in the month of

February were:

- 2/7 Super Bowl Sunday (What Cannot Be Flushed)
- 2/17 Water Word Wednesday
- 2/22 Engineers Week: Thank You Post
- The top three Instagram posts, based on reach and engagement, in the month of February were:
 - 2/7 Super Bowl Sunday (What Cannot Be Flushed)
 - 2/2 World Wetlands Day
 - 2/23 Engineers Week: RP-5 Expansion Progress Time-lapse
- The top three LinkedIn posts, based on impressions and reactions, in the month of February were:
 - 2/3 Employee Recognition Post: Senior Engineer Joshua Aguilar SAWPA Blog Feature
 - 2/1 Employee Recognition Post: Deputy Manager of Strategic Planning & Resources Pietro Cambiaso on California Public Utilities Commission's Clean Energy Finance Workshop Panel
 - 2/8 News Release: Agency Receives Five Awards from CWEA
- An education ad ran in the *Chino Valley Champion's Chino Connection Magazine* section on February 20.
- A Nextdoor ad ran on February 25 featuring the new Water Scout Badge Program.

For the month of February, there were 13,604 searches for a park in IEUA's service area on Yelp, where Chino Creek Wetlands and Educational Park was viewed 1,043 times on a mobile device.

Education and Outreach Updates

- Staff held a virtual field trip during National Engineers Week. With more than 80 fifth grade students in attendance, staff gave an overview of IEUA and completed a foil boat activity incorporating STEAM components.
- Staff launched the Water Scout Badge Program for Boy Scouts and Girl Scouts and began promoting it on the Agency's social media channels. The program, which is offered as a resource under Owl's Virtual Adventures, offers scouts the opportunity to earn a Fun Badge upon completion.
- Staff is promoting the Agency's 2021 "Water is Life" Student Art Poster Contest. Staff is developing an efficient digital submission process. The deadline to submit posters for the 2021 contest has been extended to April 1.
- The Garden in Every School® program is moving forward with the installation of a water-wise garden at St. Joseph School (Upland). CBWCD has installed irrigation lines and raised garden beds, and students have been watching their garden installation via ZOOM. Kindergarten students returned to campus Monday, February 22. First through six grades will return to on-campus learning Monday, March 1. Safety measures remain in place while CBWCD is onsite. St. Joseph included IEUA's Plant Factory How-To video on YouTube as part of their garden curriculum. Randall Pepper Elementary School (Fontana) and Loving Savior of the Hills (Chino Hills) remain on hold.
- Solar Cup 2021 is underway. The first virtual challenge is a "Blender Challenge" consisting of two parts. The first involves playing a video game that was created for this

year's Solar Cup. Students played the game as many times as possible to earn points. The second part showed students how to build the game using 3D modeling and animation using Blender and the Armory 3D game engine programs. Students have learned skills to enhance the game and/or build another computer game of their own design. Students earned points for different models created. The first challenge deadline was February 24. Chino Hills High School, the winner of last year's competition, is participating in this year's program.

Agency-Wide Membership Updates

- Randy Lee, Executive Manager of Operations/AGM, attended the NWRI Board of Directors Meeting January 12.
- Richard Lao, Senior Environmental Resources Planner, attended the Southern California Alliance of Publicly Owned Treatment Works (SCAP) Air Quality Committee Meeting on January 13.
- Richard Lao, Senior Environmental Resources Planner, attended the California Association of Sanitation Agencies (CASA) Water Regulatory Working Group Committee Meeting on January 21.
- Richard Lao, Senior Environmental Resources Planner, attended the California Association of Sanitation Agencies (CASA) Air Quality, Climate Change, and Energy Workgroup Meeting on January 26.

INFORMATION
ITEM

4K



February 26, 2021

To: Inland Empire Utilities Agency

From: Michael Boccadoro
Beth Olhasso

RE: February Report

Overview:

It's that time of year when water managers start to look very closely at the sierra snowpack, reservoir storage and drought numbers—and the numbers aren't looking good. With San Luis Reservoir, the main south-of-Delta storage facility for the State Water Project, at 69 percent of average for this time of the year and 58 percent capacity and Oroville at 55 percent of average and 38 percent capacity, there is cause for worry. Adding further to the concern, the statewide snowpack is at just 54 percent of April 1 average and 64 percent of normal. Finally, all but the very northwest tip of the state is experiencing some sort of drought conditions with over 30 percent of the state experiencing extreme or exceptional drought. Water managers throughout the state are hoping for a "March Miracle" to help salvage the water year.

Recently, the Department of Water Resources (DWR) held the second Water Studies workgroup meeting to discuss "variance" recommendations in the developing water-use efficiency standards for the State Water Board to consider. It was discussed that the "principles of Model Water Efficient Landscape Ordinance (MWELO)" for outdoor irrigation using recycled water will be recommended at 1.0ET adjustment factor. The need for a variance beyond 1.0ET when using high TDS recycled water was also discussed.

On February 17, the California Public Utilities Commission released a white paper, "Utility Costs and Affordability of the Grid of the Future: An Evaluation of Electric Costs, Rates and Equity Issues." Generally, the paper projects potentially significant utility customer cost increases due to the state's efforts to harden the grid against wildfire and the pursuit of zero-emission transportation, a critical component to meet its greenhouse gas and climate goals.

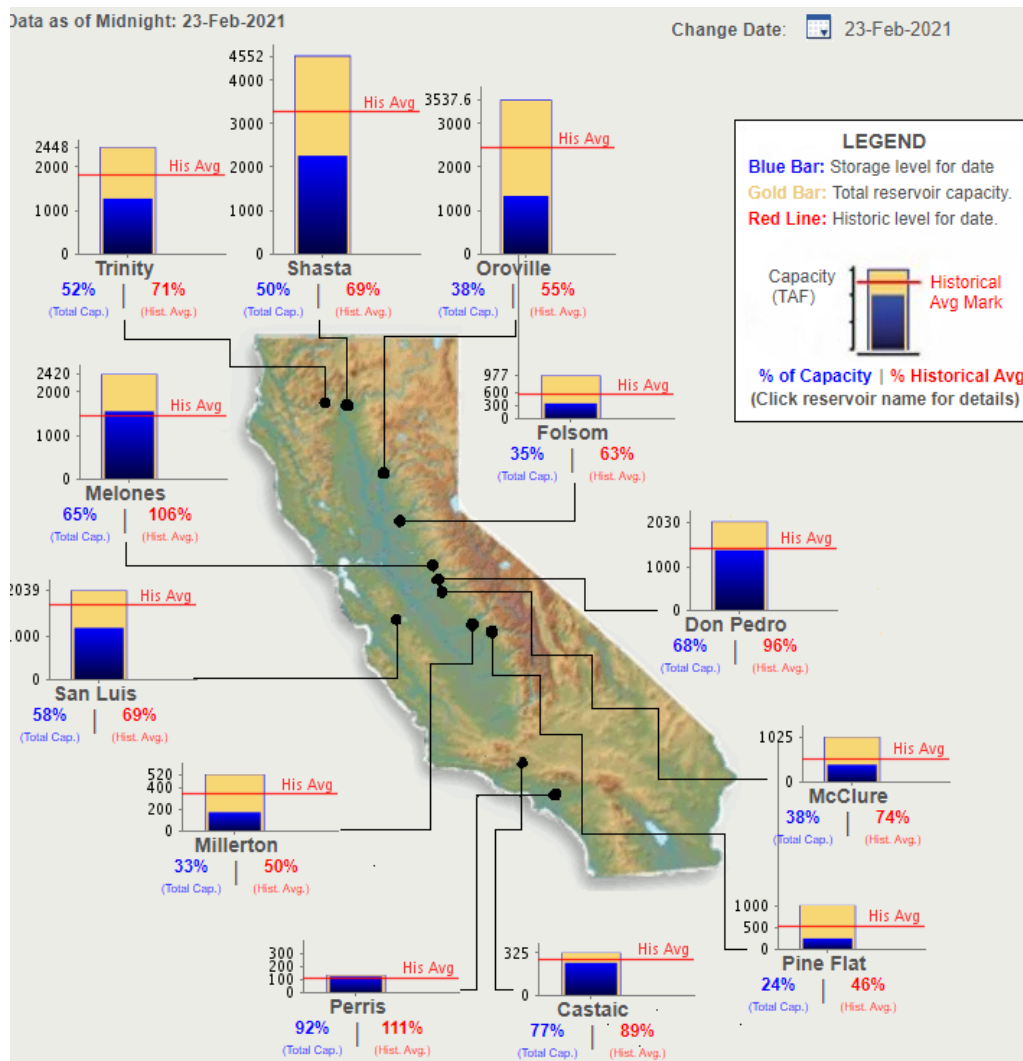
Activity has really picked up in Sacramento with the February 19 bill introduction deadline now passed, the universe of legislation is now better known, and bills are starting to be set for committee hearings. There have been 1,560 Assembly bills and 808 Senate bills introduced for the first year of the two-year session. As expected, there is significant legislative effort to address issues concerning wildfire, COVID-19 relief, and affordable housing, among others. Additionally, there are quite a few bills that have been re-introduced from last year that were shelved because of the pandemic including flushable product labeling, creating a process for the SWRCB to regulate constituents of emerging concern, the Climate Resilience Bond and others. New measures have also been introduced that would lower the Gallons Per Capita Daily (GPCD) targets for indoor water use, and a bill that would drastically change the process by which waste discharge permits are issued. Policy committees will start to meet and hear bills ahead of the April 30 deadline for bills to be out of their first house policy committee.

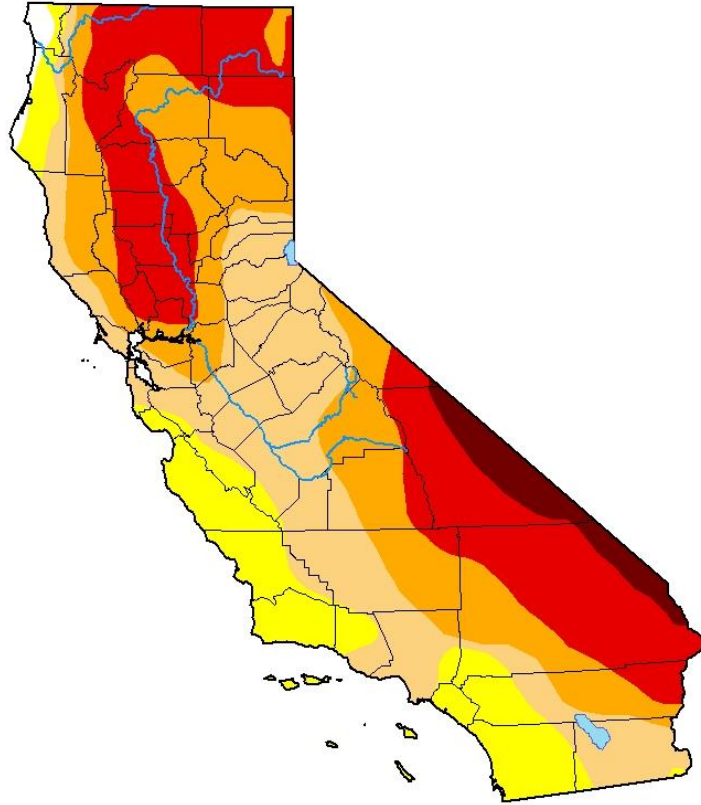
Inland Empire Utilities Agency

Status Report – February 2021

Water Supply Conditions

It's that time of year when water managers start to look very closely at the sierra snowpack, reservoir storage and drought numbers—and the numbers aren't looking good. With San Luis Reservoir, the main south-of-Delta storage facility for the State Water Project, at 69 percent of average for this time of the year and 58 percent capacity and Oroville at 55 percent of average and 38 percent capacity, there is cause for worry. Additional concern is warranted with the statewide snowpack at just 54 percent of April 1 average and 64 percent of normal. Finally, all but the very northwest tip of the state is experiencing some sort of drought conditions with over 30 percent of the state experiencing extreme or exceptional drought. Water managers throughout the state are hoping for a "March Miracle" to help salvage the water year.

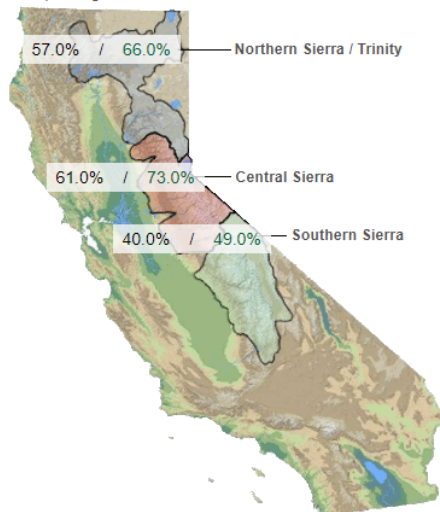




Provided by the California Cooperative Snow Surveys

Data For: 24-Feb-2021

% Apr 1 Avg. / % Normal for this Date



57.0% / 66.0% — Northern Sierra / Trinity

61.0% / 73.0% — Central Sierra

40.0% / 49.0% — Southern Sierra

Change Date :



24-Feb-2021

NORTH

Data For: 24-Feb-2021

Number of Stations Reporting	32
Average snow water equivalent	16.3"
Percent of April 1 Average	57%
Percent of normal for this date	66%

CENTRAL

Data For: 24-Feb-2021

Number of Stations Reporting	42
Average snow water equivalent	17.7"
Percent of April 1 Average	61%
Percent of normal for this date	73%

SOUTH

Data For: 24-Feb-2021

Number of Stations Reporting	29
Average snow water equivalent	10.2"
Percent of April 1 Average	40%
Percent of normal for this date	49%

STATEWIDE SUMMARY

Data For: 24-Feb-2021

Number of Stations Reporting	103
Average snow water equivalent	15.2"
Percent of April 1 Average	54%
Percent of normal for this date	64%

Water Use Efficiency Legislation Implementation Update

The state has been working to implement the Water Use Efficiency (WUE) legislation from 2018—specifically the provisions of the WUE law that concern outdoor irrigation using non-potable recycled water.

Recently, the Department of Water Resources (DWR) held the second Water Studies Workgroup meeting to discuss “variance” recommendations in the developing water-use efficiency standards for the State Water Board to consider. It was discussed that the “principles of Model Water Efficient Landscape Ordinance (MWELO)” for outdoor irrigation using recycled water will be recommended at 1.0ET adjustment factor. The need for a variance beyond 1.0ET when using high TDS recycled water was also discussed.

In 2017, WaterReuse California funded a white paper with Southern California Salinity Coalition and National Water Research Institute (NWRI) that discussed the need for a variance from the 1.0ET for recycled water -- [“Accounting for High Salinity in Recycled Water”](#). This white paper explaining the need for the variance request has proved to be critical in the discussions. There is opposition to the recycled water variances by some environmental groups, but it looks like there is a path forward.

The high total dissolved solids (TDS) recycled water variance will specifically be addressed in meetings on 5/13, 6/10, and 7/8 with final recommendations going to the Water Board on October 1, 2021.

CPUC Paper Highlights Energy Increasing Much Faster than Inflation Rate

On February 17, the California Public Utilities Commission released a white paper, “Utility Costs and Affordability of the Grid of the Future: An Evaluation of Electric Costs, Rates and Equity Issues.” Generally, the paper projects potentially significant utility customer cost increases due to the state’s ongoing pursuit zero emission of transportation and wildfire system hardening as critical components to meet greenhouse gas and climate goals.

Some of the key findings in the paper include findings that California rates are already more than double the national average and since 2013, the rates of all three major Investor Owned Utilities have increased significantly. Southern California Edison is in the best shape with an increase of 6 percent, while Pacific Gas & Electric and San Diego Gas & Electric ring in at 37 percent and 48 percent, respectively. SCE is currently requesting a major increase from the CPUC.

Adding to the concern is that fact that major financial commitments to wildfire mitigation and transportation electrification have not been fully reflected in rates yet. California’s energy rates are some of the highest in the country. The paper’s 10-year forecast shows an annual average increase for SCE of about 3.5 percent, or close to 40 percent during this period.

These findings are likely to intensify debate among lawmakers, regulators and stakeholders over the state’s decarbonization strategies and how varying policies may be impacted by higher utility rates. For example, a 10 cent per kilowatt increase in electricity rates results in about a 15 percent decrease in customer demand for electric vehicles.

Legislative Update

Activity has really picked up in Sacramento with the February 19 bill introduction deadline passed, the universe of legislation is now better known, and bills are starting to be set for committee hearings. A large number of spot bills are still being flushed out at this time. There have been 1,560 Assembly bills and 808 Senate bills introduced for the first year of the two-year session. As expected, there is significant legislative effort to address issues concerning wildfire, COVID-19 relief, and affordable housing, among others. Additionally, there are quite a few bills that have been re-introduced from last year that were shelved because of the pandemic.

Re-Introductions from 2020:

- AB 818 (Bloom)- Solid Waste: premoistened nonwoven disposable wipes: This is a re-introduction by the California Association of Sanitation Agencies (CASA). They are co-sponsoring the legislation with the disposable wipes industry which could require specific “Do Not Flush” labeling on specific disposable wipes. IEUA Supported this legislation in 2020.
- SB 230 (Portantino)- State Water Resources Control Board: Constituents of Emerging Concern (CECs): This bill is a reintroduction of a bill sponsored by MWD and California Municipal Utilities Association (CMUA) that would require the SWRCB to convene a Science Advisory Panel to review and provide recommendations to the board on further action to regulate CECs in the state. The goal is to have a process by which the board decides to regulate CECs rather than the unpredictable process currently used. IEUA Supported this legislation in 2020.
- SB 273 (Hertzberg) Water Quality: municipal wastewater agencies: This legislation, sponsored by CASA, would authorize a wastewater agency to capture and treat stormwater and use ratepayer funds to do so.
- Climate Bonds:
 - SB 45 (Portantino): Wildfire Prevention, Safe Drinking Water, Drought Preparation, and Flood Protection Act of 2022: This is a reintroduction of Senator Allen’s SB 45 from 2020. It is a \$5.5 Billion bond for the November 2022 ballot.
 - AB 1500 (E. Garcia) Safe Drinking Water, Wildfire Prevention, Drought Preparation, Flood Protection, Extreme Heat Mitigation, and Workforce Development Bond Act of 2022. This legislation is similar to Asm. Garcia’s bond from 2020. It is a \$6.7 billion bond for the November 2022 ballot.

New bills that will likely be of interest to IEUA include:

- AB 377 (R. Rivas): Water quality: impaired waters. This legislation would require all surface waters to be fishable, swimmable and drinkable by 2050. The bill attempts to do this by taking away regional board discretion to issue waste discharge and MS4 permits in accordance with a larger basin plan in favor of a one size fits all approach to managing water quality.
- AB 1434 (Friedman) Urban water use objectives: indoor residential water use: This legislation would set the standard for indoor water use at 48 gallons per capita daily (GPCD) beginning in 2023, 44 GPCD in 2025 and 40 GPCD in 2030.
- SB 372 (Leyva) medium and heavy-duty fleet purchasing assistance program: zero emission vehicles. The bill, while still a work in progress, seeks to make financing tools available to help

transition medium and heavy-duty truck fleets to zero emission vehicles. The bill currently fails to include off-road or construction equipment as eligible in the program.

Committee hearings are soon to be scheduled ahead of the April 30 policy committee deadline.

Additionally, budget sub committees are meeting to hear and act on the Governor's proposed budget. The Assembly Sub Committee on Resources and Transportation recently met and discussed an appropriation of \$126 million of Prop. 68 funds for Delta habitat restoration, a key piece to help the Bay-Delta Voluntary Agreements move forward. There was no opposition to the funding. The Senate budget subcommittee will consider the funding soon.

In other budget action, recently the California Municipal Utilities Agency (CMUA), the California Special Districts Association (CSDA), the California Association of Sanitation Agencies (CASA) and the Association of California Water Agencies (ACWA) launched an effort to secure \$1 billion in funding to help water, wastewater and public electric customers with their bills. They hope to secure some of the "extra revenue" the state has in the coffers for the 2021-2022 fiscal year.

IEUA BILLS—February 26, 2021 PROPOSED PRIORITY BILLS

Bill Number	Author/Sponsor	Title and/or Summary	Summary	IEUA Position
AB 377	Asm. R. Rivas/ CA Coastkeeper	Water quality: impaired waters	Would require all California surface waters to be fishable, swimmable, and drinkable by January 1, 2050, as prescribed. The bill would prohibit the state board and regional boards from authorizing an NPDES discharge, waste discharge requirement, or waiver of a waste discharge requirement that causes or contributes to an exceedance of a water quality standard, or from authorizing a best management practice permit term to authorize a discharge that causes or contributes to an exceedance of a water quality standard in receiving waters. The bill would prohibit, on or after January 1, 2030, a regional water quality control plan from including a schedule for implementation for achieving a water quality standard that was adopted as of January 1, 2021, and would prohibit a regional water quality control plan from including a schedule for implementation of a water quality standard that is adopted after January 1, 2021, unless specified conditions are met.	
AB 818	Asm. Bloom/ CASA	Solid Waste: premoistened nonwoven disposable wipes	Would require, except as provided, certain premoistened nonwoven disposable wipes manufactured on or after July 1, 2022, to be labeled clearly and conspicuously with the phrase “Do Not Flush” and a related symbol, as specified. The bill would prohibit a covered entity, as defined, from making a representation about the flushable attributes, benefits, performance, or efficacy of those premoistened nonwoven disposable wipes, as provided. The bill would establish enforcement provisions, including authorizing a civil penalty not to exceed \$2,500 per day, up to a maximum of \$100,000 per violation, to be imposed on a covered entity who violates those provisions.	IEUA Supported near identical bill in 2019/2020 AB 1672 (Bloom)
AB 1434	Asm. Friedman	Urban water use objectives: Indoor water use	Would establish, beginning January 1, 2023, until January 1, 2025, the standard for indoor residential water use as 48 gallons per capita daily. The bill would establish, beginning January 1, 2025, the standard as 44 gallons per capita daily and, beginning January 1, 2030, 40 gallons per capita daily. The bill would eliminate the requirement that	

			the department, in coordination with the state board, conduct necessary studies and investigations and jointly recommend to the Legislature a standard for indoor residential water use.	
AB 1500	Asms. E. Garcia/Mullin	Safe Drinking Water, Wildfire Prevention, Drought Preparation, Flood Protection, Extreme Heat Mitigation, and Workforce Development Bond Act of 2022	Would enact the Safe Drinking Water, Wildfire Prevention, Drought Preparation, Flood Protection, Extreme Heat Mitigation, and Workforce Development Bond Act of 2022, which, if approved by the voters, would authorize the issuance of bonds in the amount of \$6,700,000,000 pursuant to the State General Obligation Bond Law to finance projects for safe drinking water, wildfire prevention, drought preparation, flood protection, extreme heat mitigation, and workforce development programs.	
SB 45	Sen. Portantino	Wildfire Prevention, Safe Drinking Water, Drought Preparation, and Flood Protection Bond act of 2022	Would enact the Wildfire Prevention, Safe Drinking Water, Drought Preparation, and Flood Protection Bond Act of 2022, which, if approved by the voters, would authorize the issuance of bonds in the amount of \$5,510,000,000 pursuant to the State General Obligation Bond Law to finance projects for a wildfire prevention, safe drinking water, drought preparation, and flood protection program.	
SB 222	Sen. Dodd	Water Affordability Assistance Program	Would establish the Water Affordability Assistance Fund in the State Treasury to help provide water affordability assistance, for both drinking water and wastewater services, to low-income ratepayers and ratepayers experiencing economic hardship in California. The bill would make moneys in the fund available upon appropriation by the Legislature to the state board to provide, as part of the Water Affordability Assistance Program established by the bill, direct water bill assistance, water bill credits, water crisis assistance, affordability assistance, and short-term assistance to public water systems to administer program components.	
SB 223	Sen. Dodd	Discontinuation of residential water service	Current law prohibits an urban and community water system, defined as a public water system that supplies water to more than 200 service connections, from discontinuing residential water service for nonpayment	

			until a payment by a customer has been delinquent for at least 60 days. Current law requires an urban and community water system to have a written policy on discontinuation of residential service for nonpayment, including, among other things, specified options for addressing the nonpayment. Current law requires an urban and community water system to provide notice of that policy to customers, as provided. This bill would apply those provisions, on and after July 1, 2022, to a very small community water system, defined as a public water system that supplies water to 200 or fewer service connections used by year-long residents.	
SB 230	Sen. Portantino/ CMUA & MWD	State Water Resources Control Board: Constituents of Emerging Concern	Would require the State Water Resources Control Board to establish, maintain, and direct an ongoing, dedicated program called the Constituents of Emerging Concern Program to assess the state of information and recommend areas for further study on, among other things, the occurrence of constituents of emerging concern (CEC) in drinking water sources and treated drinking water. The bill would require the state board to convene, by an unspecified date, the Science Advisory Panel to review and provide recommendations to the state board on CEC for further action, among other duties. The bill would require the state board to provide an annual report to the Legislature on the ongoing work conducted by the panel.	IEUA supported nearly identical legislation in 2020.
SB 273	Sen. Hertzberg/ CASA	Water quality: municipal wastewater agencies	Would authorize a municipal wastewater agency, as defined, to enter into agreements with entities responsible for stormwater management for the purpose of managing stormwater and dry weather runoff, to acquire, construct, expand, operate, maintain, and provide facilities for specified purposes relating to managing stormwater and dry weather runoff, and to levy taxes, fees, and charges consistent with the municipal wastewater agency's existing authority in order to fund projects undertaken pursuant to the bill. The bill would require the exercise of any new authority granted under the bill to comply with the Cortese-Knox-Hertzberg Local Government Reorganization Act of 2000. To the extent this requirement	IEUA supported near identical legislation in 2020

			would impose new duties on local agency formation commissions, the bill would impose a state-mandated local program.	
SB 372	Sen Leyva/ NRDC	Medium and heavy-duty fleet purchasing assistance program: zero-emission vehicles	Would require an unspecified agency to establish a program to make financing tools and nonfinancial supports available to the operators of medium- and heavy-duty vehicle fleets to enable those operators to transition their fleets to zero-emission vehicles. The bill would require the agency to consult with various state agencies and stakeholders in the development and implementation of the program.	

**INFORMATION
ITEM**

4L

INNOVATIVE FEDERAL STRATEGIES, LLC

Comprehensive Government Relations

MEMORANDUM

To: IEUA Community and Legislative Affairs Committee

From: Letitia White, Jean Denton, Drew Tatum, and Sarah Persichetti

Date: February 26, 2021

Re: February Monthly Legislative Update

House Democrats' COVID Aid Reconciliation Legislation

During the month of February, House Committees have met, marked up, and reported out legislative recommendations for a \$1.9 trillion coronavirus relief package that is set to be considered in the House on Friday, February 26.

The Senate will likely consider the legislation during the week of March 1, 2021. The Senate Parliamentarian ruled on Thursday, February 25 that a provision that would raise the federal minimum wage to \$15 per hour violates the "Byrd Rule" requiring that all provisions in reconciliation legislation have a direct budgetary impact. Items that violate the requirements can be removed from the package with a point of order that would take 60 votes to defeat in the Senate.

Since House Democrats do not plan to drop the provision or others that may violate the rule, the legislation will be amended in the Senate. The House will likely consider the Senate's changes during the week of March 8.

Mandatory funding, program changes, and tax policies aimed primarily at mitigating the continuing effects of the Covid-19 pandemic are included in a budget reconciliation package dubbed the American Rescue Plan.

The \$1.9 trillion bill includes provisions that would:

- Provide \$1,400 in direct payments to individuals and dependents.
- Extend pandemic unemployment programs.
- Expand tax credits for families and for employers who offer paid leave.
- Fund state and local government aid, testing and vaccine activities, schools, mass transit systems, restaurants and other small business, child care, housing, nutrition, and more.
- Increase the minimum wage to \$15 an hour over five years.
- Expand subsidies to purchase health insurance under the Affordable Care Act for two years.
- Temporarily increase Medicaid funding to states that expand their programs.

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The legislation is being considered under the budget reconciliation process that would allow passage in the Senate with only a simple majority. Both chambers adopted S. Con. Res. 5, which directed 12 committees in the House and 11 in the Senate to report recommendations with an overall cost of \$1.9 trillion to their respective Budget panels.

Below is a summary of provisions included in the legislation as amended by a manager's amendment submitted to the House Rules Committee.

STATE & LOCAL AID

The measure includes \$350 billion to help state, local, tribal, and territorial governments pay for unbudgeted expenses tied to the Covid-19 emergency.

The CARES Act provided \$150 billion for that purpose, limiting the use of funds to cover emergency-related costs incurred from March 1 through December 30, 2020, if the spending wasn't already accounted for in state or local budgets. In follow-up guidance, the Treasury Department said recipients couldn't use the funds to fill revenue shortfalls. Virus relief legislation enacted in December extended the spending deadline to cover costs incurred through Dec. 31, 2021.

The measure would create funds that together would provide an additional \$350 billion in state and local aid, including:

- \$195.3 billion for states and Washington, DC. Each state plus the District of Columbia would receive at least \$500 million. The measure also would provide funds to compensate D.C. for the money it would have received as a state under the CARES Act, which grouped it in with territories. Remaining state funds would be allocated based on the number of unemployed people.
- \$130.2 billion for local governments (divided equally among Cities and Counties). Funding for local governments would include \$65.1 billion for counties, \$45.6 billion for metropolitan cities, and \$19.5 billion for towns with fewer than 50,000 people. The Treasury Department would have to make most payments within 60 days of receiving certification from state and local recipients describing their need and intended use for the funds. States would have to distribute funds to smaller towns within 30 days of receiving a payment from the department, with extensions permitted. States that miss the deadline would have to pay back any undistributed funds. A town couldn't receive more than 75% of its budget as of Jan. 27, 2020.
- \$20 billion for federally recognized tribal governments.
- \$4.5 billion for territories.

Use of Funds: State and local recipients could the funds to:

Respond to the Covid-19 emergency and address its economic effects.

Cover costs incurred due to the emergency.

Replace revenue that was lost, delayed, or reduced relative to revenue projections as of Jan. 27, 2020.

Recipients could transfer funds to private nonprofit groups, public benefit corporations involved in passenger or cargo transportation, and special-purpose units of state or local governments.

TAX PROVISIONS

The bill would provide another round of direct payments of as much as \$1,400 for an individual, \$2,800 for joint filers, and \$1,400 for each qualifying dependent. The payments would begin to phase out for individuals with adjusted gross incomes of \$75,000 and would be zero for AGIs of \$100,000 or more. Those amounts would be doubled for joint filers.

Dependents would include full-time students younger than 24 and adult dependents. Individuals who died before Jan. 1, 2021, wouldn't be eligible for the payments. Payments would be based on 2019 or 2020 tax returns. The Treasury Department could provide payments to individuals who haven't filed based on return information available to the department.

The measure would expand the child tax credit, which provides a credit of as much as \$2,000 for each child younger than 17, for 2021. The bill's changes to the CTC would include making it fully refundable, meaning the entire credit could be provided as a refund if it exceeds an individual's income tax liability, instead of partially refundable under current law; increasing the maximum credit to \$3,600 for each child younger than 6 and \$3,000 for other children; and allowing it to be claimed for 17-year-olds. The increased credit amount would be phased out beginning at an adjusted gross income level of \$75,000 for individuals and \$150,000 for joint filers. Once the credit reaches \$2,000, the current law phase-outs levels, \$200,000 for individuals and \$400,000 for joint filers, would apply.

The bill would temporarily increase the value of the child and dependent care tax credit, which covers 35% of care expenses of as much as \$3,000 for one dependent or \$6,000 for two or more dependents. The measure would, during 2021, make the credit refundable; increase the maximum allowable expenses to \$8,000 for one dependent and \$16,000 for two or more; allow the credit to cover 50% of expenses, and begin phasing out the credit at \$125,000, instead of \$15,000.

The measure would extend through December 31 an employee retention credit established by the CARES Act. Employers would receive refundable credits against the Medicare payroll tax beginning July 1.

The bill would extend through September 30 tax credits for employer-provided paid sick and family leave, which were established under the Families First Coronavirus Response Act. The credits would be taken against the Medicare payroll tax after March 31. The value of the credits would be increased to match the employer's share of Medicare and Social Security taxes on qualifying wages.

The measure also would increase the wages covered by the paid family leave credit to \$12,000 per worker, from \$10,000, cover 60 days of paid leave for self-employed individuals instead of 50, expand the paid family leave credit to cover a Covid-19 diagnosis or caregiving for a quarantined individual, expand the paid leave credits to cover Covid-19 vaccinations or wait times for test results or diagnoses, and allow government entities that are tax-exempt organizations to claim the credits.

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The federal minimum wage would be increased to \$15 an hour from \$7.25 by 2025, starting with an increase to at least \$9.50 in 2021. Beginning in the fifth year after the initial increase, the wage would be annually adjusted to reflect any increases in the median hourly wage for all workers, as determined by the Bureau of Labor Statistics. The bill would stipulate that the minimum wage couldn't decrease.

The measure would modify and extend several pandemic-related unemployment benefits created under the CARES Act and extended under the year-end spending and aid package.

It would increase to \$400 per week, from \$300, the Federal Pandemic Unemployment Compensation. The extra payments would apply to weeks of unemployment after March 14 and through August 29. The bill would extend through August 29 other CARES Act jobless benefits slated to expire on March 14, with changes that would include:

It also would extend through August 29 federal payments to nonprofits and government agencies for 75%, increased from 50%, of the costs of providing unemployment benefits.

HEALTH CARE

Covid-19 vaccines and treatments would be covered until a year after the pandemic ends at no cost to beneficiaries under Medicaid and the Children's Health Insurance Program. The federal medical assistance percentage (FMAP) would be increased to 100% for vaccine costs during that period. Vaccines and treatment would also be covered for the uninsured. Outpatient drugs used for Covid-19 treatment would be included in the Medicaid Drug Rebate Program.

Funding for the Health and Human Services Department to respond to the pandemic would include:

- \$47.8 billion for testing and tracing activities.
- \$8.5 billion for vaccine activities at the Centers for Disease Control and Prevention.
- \$7.66 billion to expand the public health workforce, including grants to state, local, and territorial health departments.
- \$7.6 billion for community health centers.
- \$6.09 billion for tribal health programs.
- \$6.05 billion to support manufacturing and purchasing vaccines.
- \$3.5 billion for block grant programs under the Substance Abuse and Mental Health Services Administration.
- \$1.75 billion for genomic sequencing and surveillance.

The measure would subsidize 85% of premiums for individuals eligible for COBRA continuation coverage if they lose their job. The premium assistance under the measure would be available through September 30 for individuals who were involuntarily separated from their jobs or had their hours reduced. It wouldn't be available once an individual becomes eligible for coverage under another group health plan or Medicare.

The measure would provide \$1 billion under the Temporary Assistance for Needy Families (TANF) program. The bulk of the funding would be allotted to states and Washington, DC,

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based on the number of children in the state and its spending for assistance in 2019. States could use a maximum of 15% of funding for administrative purposes.

The measure would provide about \$24 billion for grants to child care providers to use for payroll, rent, personal protective equipment, mental health support, and other needs. They would have to provide tuition relief to families and couldn't furlough or reduce pay for employees.

The measure would provide \$10 billion to use the Defense Production Act to purchase, produce, and distribute medical supplies and equipment related to Covid-19. That would include tests, face masks, personal protective equipment, and drugs and vaccines to treat or prevent Covid-19. Under the DPA, the president can require manufacturers to prioritize contracts related to national defense and other emergencies. It also authorizes the president to allocate scarce goods and provide incentives such as loans and contracts to help expand production.

The measure would provide:

- \$4.5 billion for the Low Income Home Energy Assistance Program.
- \$1.44 billion for programs under the Older Americans Act, including \$750 million for nutrition programs.
- \$852 million for the Corporation for National and Community Service, including \$620 million for AmeriCorps.
- \$450 million for programs under the Family Violence Prevention and Services Act, including \$198 million for grants to support survivors of sexual assault.
- \$350 million for programs under the Child Abuse Prevention and Treatment Act
- \$50 million for the Title X Family Planning program.

SMALL BUSINESS AID

The measure would increase funding and expand eligibility for the Paycheck Protection Program, and would allow forgiveness for additional expenses. The measure would increase the program's lending authority by \$7.25 billion, to \$813.7 billion, and appropriate the same amount for the Small Business Administration (SBA) to guarantee additional loans.

The measure would expand the eligibility rules to cover most other types of tax-exempt groups, including 501(c)(5) labor organizations, 501(c)(7) social and recreation clubs, and 501(c)(8) fraternal benefit societies. Religious educational groups that might otherwise be barred under SBA rules would be permitted. 501(c)(4) social welfare groups, such as AARP, the ACLU, Americans for Prosperity, and the National Rifle Association, would still be prohibited.

The measure would expand PPP loan forgiveness to include payments made for premiums on behalf of individuals who qualify for COBRA health insurance continuation coverage. The change would apply to loan forgiveness applications received following the measure's enactment.

The measure would provide \$25 billion for a Restaurant Revitalization Fund to be administered by the SBA.

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Eligible recipients would include restaurants, bars, food trucks, and caterers, including businesses in airport terminals and tribally owned entities.

Disqualified businesses would include those run by state or local governments, companies that manage more than 20 locations including affiliates, live venues seeking grants under the year-end Covid-19 relief package, and publicly traded companies.

Additional funding also would be made available for advance payments to eligible entities under the SBA's Economic Injury Disaster Loan (EIDL) program.

The reconciliation measure would provide \$10 billion for the State Small Business Credit Initiative, which would support as much as \$100 billion in small business financing through state and local programs, according to a House Financial Services Committee majority staff memo.

The measure also would provide:

- \$1.25 billion in additional funding for SBA grants to live venues and other cultural institutions under a program in the year-end relief package.
- \$840 million in additional administrative funds for the SBA to carry out the Paycheck Protection Program and other initiatives to aid small businesses during Covid-19.
- \$390 million to administer the SBA's disaster loan program and \$70 million for the cost of additional loans.

HOUSING AID

The reconciliation measure would provide \$20.3 billion for rental assistance payments through the Treasury Department, building off the \$25 billion in the year-end Covid-19 package. Funds would be allocated to states and to localities with at least 200,000 people. Each state plus the District of Columbia would receive at least \$152 million. The measure also would set aside \$305 million for several U.S. territories and \$1.2 billion for "high-need grantees" based on their population of low-income renter households, rental market costs, and employment trends.

Grantees would have to use the funds to provide financial assistance to eligible households, including for rental and utility payments. Total assistance provided to a household under the measure and the year-end package couldn't cover more than 18 months.

Households would qualify for rental assistance if they have:

- At least one member who qualified for unemployment benefits, had their income reduced, or experienced other financial hardship due to Covid-19.
- At least one member who can provide an eviction notice, evidence of unsafe living conditions, or other information to show they're at risk of homelessness or housing instability.
- Household income that doesn't exceed 80% of the area median income.

The measure would provide \$9.96 billion to establish a Homeowner Assistance Fund at the Treasury Department. The department would allocate funds requested by states, territories, and tribes to prevent homeowner mortgage defaults, foreclosures, and displacements. Funds could be used to:

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- Reduce mortgage principal amounts.
- Assist homeowners with mortgage, utilities, tax, and insurance payments.
- Reimburse state and local governments for money spent since Jan. 21, 2020, to prevent housing losses due to Covid-19.

The measure would provide \$5 billion for emergency Section 8 Housing Choice Vouchers. The Housing and Urban Development Department would have to provide the vouchers through public housing agencies to individuals and families who are currently or recently homeless, and to those who are fleeing domestic violence, sexual assault, or human trafficking.

Public housing agencies couldn't reissue the vouchers after September 30, 2023.

An additional \$5 billion would be allocated to state and local governments to provide supportive services for homeless and other at-risk individuals. The funds could be used to:

- Provide tenant-based rental assistance.
- Develop affordable housing.
- Offer services such as housing counseling and homelessness prevention.
- Acquire noncongregate shelter units, such as hotel rooms, that could be converted to permanent housing.

AGRICULTURE & NUTRITION

The measure would extend a 15% increase to monthly benefits under the Supplemental Nutrition Assistance Program (SNAP) through September 30. Created by the year-end spending and coronavirus response package, the increase is scheduled to lapse on June 30.

The measure would provide \$490 million to the Agriculture Department to increase the amount of the cash-value voucher provided under the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) to as much as \$35 during the pandemic. Participating states could apply the increase for as long as four months after opting in. The increased authority for both states and the department would end on September 30.

TRANSPORTATION

The measure would provide \$30.5 billion for grants to transit agencies, which could use the money for operating expenses including payroll costs and purchasing personal protective equipment.

The bill would provide \$8 billion in fiscal 2021 for airports, including airport concessions. Airports that receive funding would be required to retain at least 90% of personnel employed as of March 27, 2020, through September 30.

The Transportation Department could provide a waiver for the requirement if it determines that an airport is experiencing economic hardship or the requirement reduces aviation safety or security.

Airports that don't comply with workforce retention requirements and don't receive a waiver could have money clawed back by the department.

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The measure would provide \$3 billion to create a payroll support program for aviation manufacturers. The measure includes \$15 billion for the airline industry, building on previous tranches of support.

The measure would provide \$1.5 billion for Amtrak in fiscal 2021, including funds to restore the frequency of long-distance routes.

EDUCATION

The measure would provide \$128.6 billion for grants to states to support local educational agencies in addressing learning loss.

Twenty percent of the funding would have to be used for summer learning, after-school programs, or extended-day or extended-year programs, as well as to provide equitable services to students who aren't in public schools. The rest could be used for a number of education-related expenses, including inspection and improvement of school facilities to ensure adequate air quality, providing mental health services, and technology purchases.

Outside of the Education Department, the measure would provide:

- \$850 million for support to schools funded or operated by the Bureau of Indian Education and for tribal colleges and universities.
- \$200 million to the Institute of Museum and Library Services for library improvements.
- \$135 million for grants through the National Endowment for the Arts.
- \$135 million for grants through the National Endowment for the Humanities.

VETERANS AFFAIRS

The measure would provide \$17 billion to the Veterans Affairs Department (VA), including:

- \$13.5 billion for health care, which would include as much as \$4 billion for the Veterans Community Care program.
- \$750 million for State Veterans Homes.
- \$272 million for claims and appeals processing.
- \$100 million for supply chain modernization initiatives.

ADDITIONAL PROVISIONS

FEMA Disaster Relief: The measure would provide \$50 billion for the Federal Emergency Management Agency in fiscal 2021. Funding would remain available through September 30, 2025.

The funding could also be used to provide financial assistance for pandemic-related funeral expenses with a 100% federal cost share.

Economic Adjustment Assistance: The measure would provide \$3 billion for the Commerce Department's Economic Adjustment Assistance Program to assist states and territories with pandemic response efforts. The total amount allocated to eligible recipients would be determined using federal economic data sources, such as unemployment claims and gross domestic product, to measure levels of economic injury.

The measure would allocate 15% of the funds for communities with job losses in the tourism industry.

Broadband: The measure would create an “Emergency Connectivity Fund” in the U.S. Treasury and appropriate \$7.6 billion into it to cover the purchase of broadband service and devices by schools and libraries for use by students, staff, and patrons at other locations.

Water Assistance: The package would provide \$500 million for the Low-Income Household Drinking Water and Wastewater Emergency Assistance Program created under the year-end spending deal.

EPA Programs: The legislation includes \$100 million for the Environmental Protection Agency, which would be split among grants to address disproportionate environmental harms to minority and low-income populations, and grants under the Clean Air Act.

Fish & Wildlife: The measure would provide \$95 million to the U.S. Fish and Wildlife Service, which would be used for wildlife inspections, care of captive endangered species, and research related to wildlife disease outbreaks.

Lawmakers to Pursue Ambitious Water Infrastructure Upgrades

Chairman of the House Transportation and Infrastructure Committee Chairman Peter DeFazio (D-OR) said he is prepared to pursue ambitious goals including more investment in the Clean Water State Revolving Fund (CWSRF).

The fund needs to be reauthorized and modernized this year, lawmakers and witnesses said at a subcommittee hearing on Tuesday, February 23. The 2020 Water Resources Development Act didn’t include a reauthorization of the CWSRF.

The Democratic-led Moving Forward Act, which the House passed last year and is expected to serve as a template for the Biden administration’s major infrastructure proposal, included an authorization of \$40 billion over five years for the CWSRF. That figure would represent a major increase for the fund, which receives about \$2 billion annually.

Separately, the committee plans to soon reintroduce the bipartisan Water Quality Protection and Job Creation Act, which would authorize \$20 billion over five years in wastewater infrastructure through the CWSRF.

“We’re not going to be puny,” said Chairman DeFazio. “At the current level investment, it would take 171 years to bring the existing systems up to a state of good repair, and that doesn’t even include areas that don’t have systems, like tribal territories and others,” the chairman said, calling it unacceptable.

Chairman DeFazio said he believed the Biden administration would “adopt very ambitious goals” in its infrastructure proposal, expected in the coming weeks.

Representative David Rouzer (R-NC), the Ranking Member on the Water Resources and Environment Subcommittee that held the hearing, said eye-popping price tags weren't practical.

"Talk of authorizing enormous dollar amounts is not going to address these needs, since unrealistically high dollar numbers that will never get funded create a false hope, and ultimately solve nothing," he said.

Oil and Gas Leasing Pause to be Temporary According to Haaland in Nomination Hearing

Interior Secretary nominee Representative Deb Haaland (D-NM) said on Wednesday, February 24 that President Biden's pause on new oil and gas leasing is a temporary measure and won't be a "permanent thing."

"This pause ... It's just that, it's a pause. It's not going to be a permanent thing where we're saying we're restricting all these lands from something," Representative Haaland said in response to a question on the moratorium from Senator Mike Lee (R-UT).

The White House last month put a temporary pause without a set end date on granting new leases for publicly owned lands and waters for oil and gas drilling.

The Interior Department has continued to issue new permits on public lands that are already leased, though it instituted a temporary elevated review process, and it has also not stopped current drilling activity.

However, when Biden was on the campaign trail, he pledged to ban new permits for oil and gas on public lands and waters.

Representative Haaland is currently awaiting a confirmation vote, and she needs to win over moderates like Senator Joe Manchin (D-WV) so that she can get confirmed. Manchin announced after her hearing that he would support her nomination, easing her path to confirmation.

She has faced scrutiny from conservatives over her progressive viewpoints like opposition to fracking. Her comments on Wednesday came during her second day of questioning by senators as they consider her nomination.

During the hearing, Senator Steve Daines (R-MT) asked Haaland about Biden's stated goal of conserving 30 percent of lands and waters by 2030 and whether that pertains to all U.S. lands or just public lands.

Representative Haaland, who introduced a similar resolution in the House last year, said the initiative is "not just relegated to public lands."

"The 30 by 30 initiative that President Biden has embraced will be an opportunity for so many Americans to participate in conserving that amount of land and water," she said.

Representative Haaland also faced several questions about positions she took as a lawmaker, but stressed that representing one part of the country as a member of Congress is different from leading a Cabinet department.

“The role of Cabinet secretary is far different from that of a congresswoman,” she said during the hearing. “I’m not just worried about my one district in New Mexico, but the entire country.”

House Conservatives Push Back Earmarks as Committee Announces Return

House conservatives are pushing back against efforts to restore the use of earmarks, as Democrats gear up to revive the practice that allows lawmakers to secure federal funding for specific projects.

During the last week of February the House Freedom Caucus took an official position against earmarks, with members arguing the practice is a pathway toward more corruption in Congress.

Republicans in the lower chamber ended the use of earmarks in 2011, saying they contributed to a number of earlier scandals.

While Democrats and a number of GOP lawmakers argue that reforming the earmark process would help bring the power of the purse back to the legislative branch, critics argue it's ripe for abuse.

“The old system was flawed, leading to corruption and coercion. Congress was right to stop the rampant earmark abuse and work towards a more transparent appropriations process. However, in attempting to correct this spending mechanism, we ceded too much power to the executive branch,” Freedom Caucus Chairman Andy Biggs (R-AZ) said in a statement.

“Congress has become too comfortable with top-line appropriations, letting unelected bureaucrats determine our nation’s spending priorities. We cannot return to the old earmarks rules. However, Congress must reassert its Article I, Section 8 spending authority to control the budget,” he added.

Representative Chip Roy (R-TX), another Freedom Caucus member, argued earmarks are “little more than legislative bribery.”

House Majority Leader Steny Hoyer (D-MD) has said there is bipartisan support for the resurgence of earmarks with safeguards put in place to increase transparency. He said on Wednesday, February 24 that he’s “talked to a lot of Republicans who I expect are going to be requesting earmarks for their districts.”

Representative Mario Díaz-Balart (R-FL), a senior member of the powerful House Appropriations Committee, said he feels it’s a necessary move to restore Congress’s constitutional authority.

“The entire federal spending is earmarks — every penny it. Right now, Congress has ceded all of that authority to the administration, which I think is clearly against the spirit of the Constitution,” he said, adding that there needs to be transparency in the process.

The House Appropriations Committee announced on Friday, February 26 that the Committee will accept requests for “Community Project Funding,” but with several new changes, including:

- Transparency
 - All Requests Online: Members are required to post every Community Project Funding request online simultaneously with their submission to the Committee. The website must be searchable. The House Appropriations Committee will establish an online “one-stop” link to all House Members’ project requests.
 - Early Public Disclosure: To facilitate public scrutiny of Community Project Funding, the Committee will release a list of projects funded the same day as the Subcommittee markup, or 24 hours before full committee consideration if there was no Subcommittee markup.
 - No Financial Interest: Members must certify to the Committee that they, their spouse, and their immediate family have no financial interest in the projects they request. This is an expansion beyond the underlying requirements in House Rules in order to cover immediate families of Members.
- Limited Approach
 - Ban on For-Profit Recipients: There is a ban on directing Community Project Funding to for-profit grantees. Members may request funding for State or local governmental grantees and for eligible non-profits.
 - Cap on Overall Funding: The Committee will limit Community Project Funding to no more than 1 percent of discretionary spending, a recommendation of the bipartisan House Select Committee on the Modernization of Congress.
 - Member Requests Capped: The Committee will accept a maximum of 10 community project requests from each member, though only a handful may actually be funded.
- Rigorous Vetting
 - Mandatory Audit: The Committee will require the Government Accountability Office to audit a sample of enacted community project funding and report its findings to Congress.
- Community Support
 - Demonstrations of Community Engagement: Members must provide evidence of community support that were compelling factors in their decision to select the requested projects. This policy was recommended by the bipartisan House Select Committee on the Modernization of Congress.

Lawmakers Push Biden Administration on PFAS

Six states with drinking water standards for PFAS are now wrestling with what those limits mean when water contamination from Department of Defense sites seep into their community.

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Members of Congress from both parties are starting to vent their frustration at military foot-dragging even as states take different paths to address the contamination. States are taking various approaches from lawsuits, to keeping a watchful eye on the Biden administration.

Senate Majority Leader Chuck Schumer (D-NY) plans to press the issue with the Defense Department. In October, Leader Schumer sent a letter to the Secretary of the Navy to expand PFAS investigations at the former Naval Weapons Industrial Reserve Plant in Calverton, New York. He also asked the Navy to provide public water connections where PFAS levels in private wells exceed the state standard.

Leader Schumer isn't alone. On January 29, a group of 132 Republican and Democratic legislators asked President Joe Biden in a letter to direct federal agencies to act on PFAS. Legislators from both parties also criticized the Defense Department for a lack of action during the task force meeting.

"The federal government is the federal government," Representative Dan Kildee (D-MI) said during a congressional PFAS task force meeting. "We shouldn't have one branch of government regulating PFAS, and the other one trying to avoid regulations. And unfortunately, that's been the position of the DOD and it's not acceptable."

Additionally, Senator Shelley Moore Capito (R-WV) in a letter to the White House on Wednesday, February 17 said the Biden administration has frozen a "significant" action on perfluoroalkyl and polyfluoroalkyl substances (PFAS) drinking water standards with a recent executive order and asked the administration to "promptly" publish the rule in question.

In the letter to White House chief of staff Ron Klain, Senator Capito said Klain's January 20 memo, which froze all new or pending rules until the administration can review them, affected the PFAS rule.

"I have long taken a lead role in bipartisan efforts to address PFAS, and of significant importance to me is the timely action of EPA [the Environmental Protection Agency] to promulgate a National Primary Drinking Water Regulation under the Safe Drinking Water Act," Senator Capito, the ranking member of the Senate Environment and Public Works Committee, wrote in the letter Wednesday.

The letter notes that the EPA finalized a determination to regulate two PFAS substances, perfluorooctanesulfonic acid and perfluorooctanoic acid under the Safe Drinking Water Act on January 15.

"This final regulatory determination was a vital step toward ensuring the protection of public health across the nation, as well as to my constituents specifically. It still has not been published in the Federal Register," Senator Capito wrote.

EPA press secretary Nick Conger confirmed that the rule is currently under review.

“EPA is following the science and the law in accordance with the Biden-Harris Administration’s executive orders and other directives in considering our next steps to address PFAS and to protect public health and the environment,” Conger said in a statement. “EPA is committed to addressing this complex public health challenge.”

Lawmakers Eyeing Massive Infrastructure Proposal, with Extra Provisions

Democrats’ second major legislative initiative later this year will include a massive infrastructure proposal—plus some extra provisions—but it’s unclear if they’ll look to add a corporate tax increase, an immigration plan, or debt limit measures to the package.

President Joe Biden has said an infrastructure package is a high priority. Because congressional Democrats haven’t yet adopted a fiscal year 2022 budget resolution, they could use that resolution to provide reconciliation instructions for an infrastructure measure later this year, enabling senators to pass it with a simple majority. The package may have a significant focus on climate change, too.

House Transportation and Infrastructure Chairman Peter DeFazio (D-OR) has previously proposed a \$1.5 trillion infrastructure package. Progressives think that measure is “an excellent start,” Representative Jayapal said. The Congressional Progressive Caucus proposed a \$2 trillion infrastructure package in 2017.

Progressives think an infrastructure bill should include “family infrastructure,” including investments in 600,000 “long-term care” health workers and childcare, among other things, Representative Jayapal said.

Democrats aren’t sure if a second reconciliation bill should include a rollback of the Trump tax cuts, Representative Jayapal said. President Biden has proposed increasing the corporate tax rate to 28% from the current 21% and increasing the top individual income tax rate to 39.6% from 37%. Progressives also would like to add a wealth tax, Jayapal said.

Adding a tax increase to the package would raise revenue and could offset the cost of some of the more expensive infrastructure proposals, so the decision on taxes would have an effect on the overall cost of the next reconciliation bill.

Lawmakers Unveil Conservations Corps Legislation

On Friday February 19, Representative Joe Neguse (D-CO), House Natural Resources Subcommittee on National Parks, Forests and Public Lands Chair, and Senator Ron Wyden (D-OR) unveiled legislation that was originally introduced in 2020 to fund a conservation workforce.

The aim of the 21st Century Conservation Corps Act would include wildfire prevention, maintenance of public lands, and bolstering the outdoor recreation industry with rural job creation, especially amid the coronavirus pandemic, according to a release.

Highlights of the legislation include:

- Establishes a \$9 billion fund for qualified land and conservation corps to increase job training and hiring specifically for jobs in the woods, helping to restore public lands and provide jobs in a time of need.
- Provides an additional \$3.5 billion for the U.S. Forest Service and \$2 billion for the U.S. Bureau of Land Management to support science-based projects aimed at improving forest health and reducing the risk of catastrophic wildfire.
- Establishes a \$2 billion fund to provide economic relief for outfitters and guides holding U.S. Forest Service and U.S. Department of the Interior special use permits.
- Provides \$2 billion for the National Fire Capacity program, which helps the Forest Service implement FireWise, to prevent, mitigate, and respond to wildfire around homes and businesses on private land.
- Provides \$2 billion for the FEMA Building Resilient Infrastructure and Communities (BRIC) program to improve resiliency for communities impacted by wildfire.
- Provides \$6 billion for U.S. Forest Service, \$6 billion for the National Park Service, and \$2 billion for the Bureau of Land Management maintenance accounts to create jobs, reduce the maintenance backlog, and expand access to recreation.

Representative Jared Huffman (D-CA), one of the original cosponsors of the legislation, “In rural communities, focusing on public health and safety means also preparing communities for wildfire, keeping our forests healthy, and investing in the public lands that support rural economies.”

Leaders Face Process Questions on Debt Limit

Lawmakers have until late summer or early fall to address the debt limit and avert an economic mess, and Democratic leaders will need to decide whether they’ll suspend or raise the measure or seek a path that requires bipartisan support.

The debt ceiling will be reinstated August 1 after a two-year suspension, but Treasury officials are expected to be able to delay a lapse by a few weeks or months using “extraordinary measures” such as delayed payments. That effective deadline will likely be in the late summer or early fall. Failing to raise the debt limit would cause the government to default on payments, and could have “catastrophic” economic repercussions, Treasury officials have warned.

House and Senate Democratic leaders haven’t said how exactly they plan to address the debt ceiling. They could consider two different fast-track procedures in the House and Senate to address the debt limit, but each option has downsides.

House members can use the Gephardt rule, named for former Representative Dick Gephardt (D-MO), to suspend the debt ceiling for a full fiscal year, without actually voting on a debt limit measure. Under the Gephardt rule, which is part of the House rules, a vote to adopt a budget resolution triggers passage — without a vote — of a separate resolution suspending the debt limit for that full fiscal year. Senators would still have to pass the measure. But the maneuver can help House members avoid the political pressure of a vote that’s specifically on the debt ceiling.

House Democrats decided not to use the Gephardt rule when they voted to adopt their fiscal 2021 budget resolution. But they plan to use the rule when they vote later this year on a fiscal 2022 resolution. That would advance a measure to suspend the debt limit through September 30, 2022. A vote on a fiscal 2022 budget resolution would typically come sometime in the spring of 2021.

Democrats could pass a debt limit measure through the Senate with a simple majority rather than 60 votes by using budget reconciliation. But that process specifically allows lawmakers to increase the debt limit, not to suspend it, so leaders would likely have to choose between the Gephardt rule and reconciliation.

Another key variable is whether conservatives want to use a debt ceiling vote as leverage for other priorities. Lawmakers last voted in 2019 to suspend the debt ceiling with bipartisan support. But debt limit negotiations in 2011 led to a standoff that culminated in the passage of the Budget Control Act of 2011. Republicans also withheld support for a 2013 debt limit deal, pushing for a repeal of the Affordable Care Act, a standoff that lawmakers didn't overcome until the end of that year's government shutdown.

Congressional leaders will also have to decide whether to attach a debt limit measure to another must-pass piece of legislation. That decision may be guided by the exact timing of the "X date," when Treasury officials can't keep using extraordinary measures to push back the debt limit deadline. For example, if the effective deadline is close to the September 30 government funding deadline, a debt limit measure could be combined with an appropriations measure to entice more members to vote in favor.

U.S. Officially Rejoins Paris Climate Agreement

The U.S. on Friday, February 19, officially rejoined the Paris climate agreement.

On his first day in office, President Biden signed an executive order that set the country on track to rejoin the global accord, but it took 30 days for the country to formally re-enter.

"Climate change and science diplomacy can never again be 'add-ons' in our foreign policy discussions," Secretary of State Antony Blinken said. "Addressing the real threats from climate change and listening to our scientists is at the center of our domestic and foreign policy priorities."

Under the Paris deal, the world's countries agree to attempt to limit the planet's warming to less than 2 degrees Celsius below pre-industrial levels, with the further goal of limiting warming to 1.5 degrees.

The Trump administration pulled the U.S. out of the agreement, arguing that it was burdensome for business and workers.

But Biden has stressed that he sees an opportunity for jobs as the country moves toward clean energy. He has also argued that climate change is among several crises the country currently faces.

Special envoy John Kerry will be leading much of the administration's climate diplomacy, including at a United Nations conference set for November in Scotland, where countries will formally adopt more stringent climate commitments.

Former President Obama set the goal of reducing the U.S. emissions by between 26 and 28 percent compared to 2005 levels by 2025.

The U.S. has not increased its commitments since Obama joined the agreement, while other countries have set more ambitious goals. Biden administration officials have said that they will announce updated goals before a summit on Earth Day this year.

Biden Administration to Prioritize Climate Change in Infrastructure Programs

The Biden administration is revamping a key infrastructure grant program to prioritize projects that address climate change and racial equity.

The Transportation Department included the new criteria in its announcement February 24 that it will award \$889 million in grants to major freight and highway projects in fiscal 2021 through the Infrastructure for Rebuilding America program.

The Biden administration has repeatedly touted sustainable and equitable infrastructure as major elements of its agenda, in a substantial shift from the Transportation Department under former President Donald Trump, which didn't list either environmental or racial equity issues among its primary considerations.

"We are committed to not just rebuilding our crumbling infrastructure, but building back in a way that positions American communities for success in the future — creating good paying jobs, boosting the economy, ensuring equity, and tackling our climate crisis," Transportation Secretary Pete Buttigieg said in a statement.

This is the first time the grants will consider climate and racial justice issues as criteria, according to the department. The Obama administration evaluated whether projects mitigated "harm to communities and the environment," but the new grant framework elevates the importance of those factors.

The grants are considered discretionary funding, which gives the department more say in how the money is spent than it has for mandatory programs set by Congress.

The department will evaluate projects this year on whether they were planned as part of a larger strategy to address climate change, or if they help to reduce greenhouse gas emissions. Priority projects could involve zero-emission vehicle infrastructure or multimodal options to help people drive less.

On February 25, the White House also rescinded a Trump-era draft guidance that proposed to limit the consideration of greenhouse gas emissions in infrastructure decisions.

The 2019 draft guidance sought to limit consideration of long-term greenhouse gas emissions by preventing consideration of impacts deemed “remote or speculative,” in analyses required by the National Environmental Policy Act (NEPA).

“Today’s action is a first step toward providing greater certainty in the Federal environmental review process, and will help Federal agencies put their decisions on firmer legal and scientific footing,” said a statement from Jomar Maldonado, the associate director for NEPA at the White House’s Council on Environmental Quality (CEQ).

NEPA requires environmental impact analyses to be carried out ahead of projects like pipelines, highways and drilling on public lands.

The 2019 guidance aimed to replace an Obama administration guidance. The Trump administration billed its move as a way to expedite federal permits.

“CEQ’s draft guidance is intended to assist agencies in meeting their obligations under NEPA and to improve the timeliness of permitting decisions for projects to modernize our nation’s infrastructure,” CEQ Chairwoman Mary Neumayr said in a statement at the time.

The Obama guidance, issued in 2016, recommended that agencies use projected greenhouse gas emissions when preparing the analyses as a stand-in for estimating a project’s climate change impacts.

In its statement on February 18, the White House said that it will review and “as necessary, revise and update” the 2016 policy.

In the meantime, it is encouraging agencies to consider all available resources in considering climate change impacts of their actions, including the 2016 guidance “as appropriate and relevant.”

Senate Votes 57-43 to Acquit former President Trump in Impeachment Trial

Former President Donald Trump was acquitted in his second impeachment acquittal on Saturday, February 13 after the Senate did not muster the 2/3 majority necessary to convict him on the single Article of Impeachment of the incitement of insurrection. The vote clears him of charges that he incited the mob that attacked the U.S. Capitol on January 6.

Senators voted 57-42 to convict President Trump of high crimes and misdemeanors for “willfully inciting violence against the Government of the United States,” Falling short of the necessary 67 votes, needed for conviction.

Every Democrat voted to find him “guilty,” the question technically before the Senate, and they were joined by seven GOP senators – Richard Burr (NC), Bill Cassidy (LA), Susan Collins (ME), Lisa Murkowski (AK), Mitt Romney (UT), Ben Sasse (NE), and Pat Toomey (PA).

The vote comes roughly five weeks after the attack on January 6, when a pro-Trump mob stormed the Capitol in an effort to stop the certification of the Electoral College vote by Congress. The Democratic-led House moved to impeach President Trump exactly one week later, with 10 Republicans supporting the effort.

The aftermath of the attack is still visible around the Capitol, where a fenced perimeter surround Capitol Hill and National Guard troops remain stationed around the complex.

The Senate trial, President Trump's second in roughly a year, was filled with historic markers: President Trump is the only president to go through the process twice and the first to face a trial after he left office. Unlike previous trials, Senator Patrick Leahy (D-VT), the president pro tempore of the Senate – and not the chief justice of the Supreme Court – presided.

But the outcome was forecast for weeks as a growing number of GOP senators embraced the argument that it was unconstitutional to convict a president after he has been removed from office by voters. Forty-four Republicans voted that the trial was unconstitutional when the question as put before the Senate prior to presentation of evidence on the article itself.

While the final outcome was largely certain from the beginning, the trial did get injected with last-minute chaos on Saturday, February 13, after the Senate voted to allow witnesses – a step senators on both sides of the aisle had expected they would skip over, instead moving directly to closing arguments and a final vote.

The decision caught Senate Democrats and Trump's team off guard, throwing the chamber into chaos amid an attempt to craft an agreement to bring forward the witnesses. Instead, all sides agreed to essentially backpedal and add a statement into the record from Representative Jaime Herrera Beutler (R-WA), whom Representative Jamie Raskin (D-MD), the lead House impeachment manager, had wanted to depose. Beutler released a statement on Friday night describing a conversation that took place during the Capitol riot between House Minority Leader Kevin McCarthy (R-CA) and then President Trump. In her statement, she said McCarthy recalled how he told Trump that the mob was made up of his supporters.

“That’s when, according to McCarthy, the president said: ‘Well, Kevin, I guess these people are more upset about the election than you are.’”

The agreement allowed the Senate to bypass calling additional witnesses, a move that could have delayed the trial for weeks. “It would not change the end result. It would be to everybody’s disadvantage to stretch this out another four to six weeks,” said Senator Roy Blunt (R-MO), a member of GOP leadership.

Bill Number	Sponsors	Title and/or Summary	Summary/Status	Latest Action
H.R.1319	Rep. John Yarmith (D-KY)	American Rescue Plan Act of 2021 (Coronavirus Relief Legislation) / To provide for reconciliation pursuant to title II of S. Con. Res. 5.	<p>The legislation would</p> <ul style="list-style-type: none"> - Provide an additional \$1,400 to individuals in the form of an economic impact payment. - Provide for the continuation and enhancement of Federal Unemployment Aid. - Provide \$25B in rental assistance - Provide for a 15% SNAP boost - Provide \$25B for childcare providers - Includes the temporary expansion of Child Tax Credits - Would mandate sick leave through 9/30 - Includes \$350B in state and local funding - Includes a proposal to raise the federal minimum wage to \$15/hr. 	<p>The House and Senate are seeking to pass the legislation using reconciliation instructions called for in S. Con. Res. 5, the FY21 budget resolution.</p> <p>The House will likely pass the legislation on Friday, February 26 or Saturday, February 27.</p> <p>The Senate will likely pass the legislation during the week of March 1 (with amendments). The House will then need to reconsider it during the week of March 8.</p>
H.R. 365	Rep. Greg Steube (R-FL)	To provide for the rescheduling of marijuana into schedule III of the Controlled Substances Act.		The legislation was introduced on January 19, 2021 and referred to the Committee on Energy and Commerce, and the Committee on the Judiciary.
S. 29	Sen. Amy Klobuchar (D-MN)	A bill to amend the Federal Water Pollution Control Act to reauthorize certain programs relating to nonpoint source management, and for other purposes.		The legislation was introduced on January 22, 2021 and referred to the Committee on Environment and Public Works.

Bill Number	Sponsors	Title and/or Summary	Summary/Status	Latest Action
H.R. 59	Rep. Don Young (R-AK)	Strengthening Fishing Communities and Increasing Flexibility in Fisheries Management Act	The legislation would amend the Magnuson-Stevens Fishery Conservation and Management Act to provide flexibility for fishery managers and stability for fishermen, and for other purposes.	The legislation was introduced on January 4, 2021 and referred to the House Committee on Natural Resources.
H.R. 456	Rep. Salud Carbajal (D-CA)	California Land Protection Act	The legislation would block new fracking or oil and gas drilling on federal lands on California's central and southern coasts.	<p>The legislation was introduced on January 25, 2021, and referred to the House Committee on Natural Resource.</p> <p>Two additional California members, Representatives Brownley and Panetta, are original cosponsors of the legislation.</p>
H.R. 516	Rep. Cori Bush (D-MO)	Environmental Justice Mapping and Data Collection Act	The legislation would create an interagency environmental justice mapping committee, led by the Environmental Protection Agency, that would to identify criteria, find data gaps, create a data repository, and work with communities to create an interactive mapping tool of based on cumulative impacts to locate environmental justice communities.	<p>The legislation was introduced on January 28, 2021, and referred to the House Committees on Energy & Commerce and Natural Resources.</p> <p>The legislation has 41 cosponsors.</p>

Bill Number	Sponsors	Title and/or Summary	Summary/Status	Latest Action
XX	Rep. Joe Neguse (D-CO) / Sen. Ron Wyden (D-OR)	21st Century Conservation Corps Act	The aim of the 21st Century Conservation Corps Act would include wildfire prevention, maintenance of public lands, and bolstering the outdoor recreation industry with rural job creation.	The legislation has not yet been introduced.
H.R 501	Rep. Nanette Diaz Barragan (D-CA)	Climate Smart Ports Act	To direct the Administrator of the Environmental Protection Agency to establish a program to award grants to eligible entities to purchase, and as applicable install, zero emissions port equipment and technology, and for other purposes.	The legislation was introduced on January 28, 2021 and referred to the House Committee on Energy & Commerce.
H.R. 1015	Rep. Grace Napolitano (D-CA)	Water Recycling Investment and Improvement Act	The legislation would assist water agencies with the expansion, planning, design, and building of water recycling plants and modernizing water infrastructure in California and other western states.	The legislation was introduced on February 11, 2021, and referred to the House Committee on Natural Resources.
H.R. 737	Rep. David Valadao (R-CA)	RENEW WIIN Act	The legislation would extend the authorities under the Water Infrastructure Improvements for the Nation Act of 2016 providing operational flexibility, drought relief, and other benefits to the State of California.	The legislation was introduced on February 2, 2021, and referred the House Committee on Natural Resources. 10 members of the California delegation have cosponsored the legislation.

Bill Number	Sponsors	Title and/or Summary	Summary/Status	Latest Action
S.91 / H.R.535	Sen. Krysten Sinema (D-AZ) / Rep. John Garamendi (D-CA)	Special Districts Provide Essential Services Act	The legislation would include special districts in the coronavirus relief fund and direct the Secretary of the Treasury to include special districts as an eligible issuer under the Municipal Liquidity Facility.	The legislation was introduced on 1/28 in both the House and Senate. It has been referred to relevant committees in both chambers.
H.R. 895 / S. 209	Rep. David Rouzer (R-NC) / Sen. Jeanne Shaheen (D-NH)	Emergency Assistance for Rural Water Systems Act	To provide for assistance to rural water, wastewater, and waste disposal systems affected by the COVID-19 pandemic, and for other purposes.	The legislation was introduced on February 5, 2021, and referred to the House Committee on Agriculture.

INFORMATION
ITEM

4M



CALIFORNIA STRATEGIES, LLC

Date: February 25, 2021
To: Inland Empire Utilities Agency
From: John Withers, Jim Brulte
Re: California Strategies, LLC February 2021 Activity Report

- 1) This month Jim Brulte and John Withers participated in a senior staff meeting via Microsoft Meetings on February 01 due to the Coronavirus.
- 2) Topics of discussion included
 - a) Census and Redistricting
 - i) A general discussion with staff about various firms that do redistricting, and the expertise needed and the general approach to the effort.
 - b) WSIP
 - i) IEUA has received an additional \$5mil for the project bringing the total to \$212mil. IEUA Board requested update.
 - c) Regional Issues
 - i) SARCCUP issues and status was discussed.
 - d) IEUA Director Outreach and Support
 - i) A discussion on how IEUA senior staff can best support Directors was held.

**AGENCY
REPRESENTATIVES'
REPORTS**

5A



SAWPA

SANTA ANA WATERSHED PROJECT AUTHORITY

11615 Sterling Avenue, Riverside, California 92503 • (951) 354-4220

PURSUANT TO THE PROVISIONS OF EXECUTIVE ORDER N-29-20, THIS MEETING WILL BE CONDUCTED VIRTUALLY. ALL VOTES TAKEN WILL BE CONDUCTED BY ORAL ROLL CALL.

This meeting will be accessible as follows:

Meeting Access Via Computer (Zoom)*:	Meeting Access Via Telephone*:
<ul style="list-style-type: none">• https://sawpa.zoom.us/j/96728908962	<ul style="list-style-type: none">• 1 (669) 900-6833
<ul style="list-style-type: none">• Meeting ID: 967 2890 8962	<ul style="list-style-type: none">• Meeting ID: 967 2890 8962
* Participation in the meeting via the Zoom app (a free download) is strongly encouraged	

REGULAR COMMISSION MEETING TUESDAY, MARCH 16, 2021 – 9:30 A.M.

AGENDA

1. CALL TO ORDER (Jasmin A. Hall, Chair)

2. ROLL CALL

3. PUBLIC COMMENTS

Members of the public may address the Commission on items within the jurisdiction of the Commission; however, no action may be taken on an item not appearing on the agenda unless the action is otherwise authorized by Government Code §54954.2(b).

4. ITEMS TO BE ADDED OR DELETED

5. CONSENT CALENDAR

All matters listed on the Consent Calendar are considered routine and non-controversial and will be acted upon by the Commission by one motion as listed below.

A. APPROVAL OF MEETING MINUTES: MARCH 2, 2021

Recommendation: Approve as posted.

6. NEW BUSINESS

A. FYE 2022 AND 2023 OWOW AND ROUNDTABLES FUND DRAFT BUDGETS (CM#2021.19)

Presenter: Karen Williams

Recommendation: Review and discuss the draft FYE 2022 and 2023 OWOW and Roundtables Fund Budgets.

B. PROPOSITION 84 ROUND 2 IMPLEMENTATION GRANT AND PROPOSITION 1 ROUND 1 IMPLEMENTATION GRANT STATUS UPDATE (CM#2021.20)

Presenter: Marie Jauregui

Recommendation: Receive and file.

C. **DISADVANTAGED COMMUNITY INVOLVEMENT (DCI) PROGRAM STATUS (CM#2021.21)**

This item is subject to the provisions of Project Agreement 25

Presenter: Rick Whetsel

Recommendation: Receive and file.

7. **INFORMATIONAL REPORTS**

Recommendation: Receive for information.

A. **CASH TRANSACTIONS REPORT – JANUARY 2021**

Presenter: Karen Williams

B. **INTER-FUND BORROWING – JANUARY 2021 (CM#2021.17)**

Presenter: Karen Williams

C. **PERFORMANCE INDICATORS/FINANCIAL REPORTING – JANUARY 2021 (CM#2021.18)**

Presenter: Karen Williams

D. **PROJECT AGREEMENT 25 – OWOW FUND – FINANCIAL REPORT, DECEMBER 2020**

Presenter: Karen Williams

E. **PROJECT AGREEMENT 26 – ROUNDTABLE FUND – FINANCIAL REPORT, DECEMBER 2020**

Presenter: Karen Williams

F. **GENERAL MANAGER REPORT**

G. **STATE LEGISLATIVE REPORT**

Presenter: General Manager

H. **CHAIR’S COMMENTS/REPORT**

I. **COMMISSIONERS’ COMMENTS**

J. **COMMISSIONERS’ REQUEST FOR FUTURE AGENDA ITEMS**

8. **CLOSED SESSION**

There were no Closed Session items anticipated at the time of the posting of this agenda.

9. **ADJOURNMENT**

PLEASE NOTE:

Americans with Disabilities Act: If you require any special disability related accommodations to participate in this meeting, call (951) 354-4220 or email kberry@sawpa.org. 48-hour notification prior to the meeting will enable staff to make reasonable arrangements to ensure accessibility for this meeting. Requests should specify the nature of the disability and the type of accommodation requested.

Materials related to an item on this agenda submitted to the Commission after distribution of the agenda packet are available for public inspection during normal business hours at the SAWPA office, 11615 Sterling Avenue, Riverside, and available at www.sawpa.org, subject to staff's ability to post documents prior to the meeting.

Declaration of Posting

I, Kelly Berry, CMC, Clerk of the Board of the Santa Ana Watershed Project Authority declare that on March 9, 2021, a copy of this agenda has been uploaded to the SAWPA website at www.sawpa.org and posted at SAWPA's office at 11615 Sterling Avenue, Riverside, California.

2021 SAWPA Commission Meetings/Events

First and Third Tuesday of the Month

(NOTE: All meetings begin at 9:30 a.m., unless otherwise noticed, and are held at SAWPA.)

January 1/5/21 Commission Workshop [cancelled] 1/5/21 Special Commission Meeting 1/8/21 Special Commission Meeting 1/12/21 Special Commission Meeting 1/19/21 Regular Commission Meeting	February 2/2/21 Commission Workshop 2/16/21 Regular Commission Meeting
March 3/2/21 Commission Workshop 3/16/21 Regular Commission Meeting	April 4/6/21 Commission Workshop 4/20/21 Regular Commission Meeting
May 5/4/21 Commission Workshop 5/18/21 Regular Commission Meeting 5/4 – 5/7/21 ACWA Spring Conference, Monterey	June 6/1/21 Commission Workshop 6/15/21 Regular Commission Meeting
July 7/6/21 Commission Workshop 7/20/21 Regular Commission Meeting	August 8/3/21 Commission Workshop 8/17/21 Regular Commission Meeting
September 9/7/21 Commission Workshop 9/21/21 Regular Commission Meeting	October 10/5/21 Commission Workshop 10/19/21 Regular Commission Meeting
November 11/2/21 Commission Workshop 11/16/21 Regular Commission Meeting	December 12/7/21 Commission Workshop 12/21/21 Regular Commission Meeting 11/30 – 12/3/21 ACWA Fall Conference, Pasadena

**AGENCY
REPRESENTATIVES'
REPORTS**

5B



Regular Board Meeting

March 9, 2021

12:00 p.m.

Tuesday, March 9, 2021 Meeting Schedule	
9:00 AM	L&C
10:30 AM	RP&AM
11:30 AM	Break
12:00 PM	Board

Live streaming is available for all board and committee meetings on our mwdh2o.com website
([Click to Access Board Meetings Page](#))

Public Comment Via Teleconference Only: Members of the public may present their comments to the Board on matters within their jurisdiction as listed on the agenda via teleconference only. To participate call (404) 400-0335 and use Code: 9601962.

MWD Headquarters Building

•

700 N. Alameda Street

•

Los Angeles, CA 90012

1. Call to Order

- (a) Invocation: Patty J Quilizapa, Senior Deputy General Counsel,
Office of the General Counsel
- (b) Pledge of Allegiance: Director Russell Lefevre, City of Torrance

2. Roll Call

3. Determination of a Quorum

- 4. Opportunity for members of the public to address the Board on matters within the Board's jurisdiction. (As required by Government Code Section 54954.3(a))

5. OTHER MATTERS AND REPORTS

- A. Report on Directors' events attended at Metropolitan expense for month of February 2021

- B. Induction of new directors
 - 1. Induction of new Director Dennis Erdman from the Municipal Water District of Orange County
 - (a) Receive credentials
 - (b) Report on credentials by General Counsel
 - (c) File credentials
 - (d) Administer Oath of Office
 - (e) File Oath
 - 2. Induction of new Director Adan Ortega from the City of San Fernando
 - (a) Receive credentials
 - (b) Report on credentials by General Counsel
 - (c) File credentials
 - (d) Administer Oath of Office
 - (e) File Oath
 - 3. Induction of new Director Miguel Luna from the City of Los Angeles
 - (a) Receive credentials
 - (b) Report on credentials by General Counsel
 - (c) File credentials
 - (d) Administer Oath of Office
 - (e) File Oath
- C. Chairwoman's Monthly Activity Report
- D. Presentation of Commendatory Resolution for Director Solorio representing the City of Santa Ana
- E. Presentation of Commendatory Resolution for Director Treviño representing Upper San Gabriel Valley Municipal Water District
- F. General Manager's summary of activities for the month of February 2021
- G. General Counsel's summary of activities for the month of February 2021

- H. General Auditor's summary of activities for the month of February 2021
- I. Ethics Officer's summary of activities for the month of February 2021

CONSENT CALENDAR ITEMS — ACTION
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6. CONSENT CALENDAR OTHER ITEMS — ACTION

- A. Approval of the Minutes of the Meeting for February 9, 2021
(Copies have been submitted to each Director)
Any additions, corrections, or omissions
- B. Approve committee assignments
- C. Nomination and Election of nonofficer member of the Executive Committee for two-year term effective March 9, 2021
- D. Approve Commendatory Resolution for Director Adan Ortega representing the City of Fullerton

7. CONSENT CALENDAR ITEMS — ACTION

- 7-1** Authorize an agreement with Arcadis U.S., Inc., in an amount not-to-exceed \$950,000 for engineering design services to rehabilitate Garvey Reservoir and an agreement with Rincon Consultants, Inc., in an amount not to exceed \$300,000 to prepare associated CEQA documentation; the General Manager has determined that this proposed action is exempt or otherwise not subject to CEQA. (E&O)
- 7-2** Authorize an increase of \$100,000, to an amount not-to-exceed \$400,000 for a contract for legal services with Hanson Bridgett LLP to provide legal advice on deferred compensation plans, other employee benefits, taxes, and CalPERS matters; the General Manager has determined that the proposed action is exempt or otherwise not subject to CEQA. (L&C)

Subject
Updated

- 7-3** Authorize an exchange of access easements with Fondomonte California, LLC, in an unincorporated area of the county of Riverside in the Palo Verde Valley; the General Manager has determined that these actions are exempt or are not subject to CEQA. (RP&AM)
- 7-4** Authorize the General Manager to enter into a Coordinated Operating Agreement with San Bernardino Valley Municipal Water District and delegate authority to the General Manager to enter into related future agreements with Metropolitan member agencies and local agencies, as needed, for extraordinary supply consistent with the terms approved by the Board; the General Manager has determined that the proposed action is exempt or otherwise not subject to CEQA. (JT WP&S and C&LR)
- 7-5** Deferred
- 7-6** Authorize the General Manager to express support for AB 361 (Rivas, R. D-Hollister) Open meetings: local agencies: teleconferences; and AB 703 (Rubio, D-Baldwin Park) Open meetings: local agencies: teleconferences; the General Manager has determined that the proposed actions are exempt or otherwise not subject to CEQA. (C&L)
- 7-7** Authorize an increase in the maximum amount payable under contract with Shaw Law Group by \$200,000 to an amount not-to-exceed \$400,000 to conduct an independent review of allegations of systemic Equal Employment Opportunity related discrimination, harassment, and retaliation, and related concerns; the General Manager has determined that the proposed actions are exempt or otherwise not subject to CEQA. (OP&T)

END OF CONSENT CALENDAR ITEMS

8. OTHER BOARD ITEMS — ACTION

Subject
Updated

- 8-1** Report on San Diego County Water Authority v. Metropolitan Water District of Southern California, et al., San Francisco County Superior Court Case Nos. CPF-10-510830, CPF-12-512466, CPF-14-514004, CPF-16-515282, CPF-16-515391, CGC-17-563350, and CPF-18-516389; the appeals of the 2010 and 2012 actions, Court of Appeal for the First Appellate District Case Nos. A146901, A148266, and A161144, and California Supreme Court Case No. S243500; the petition for extraordinary writ in the 2010 and 2012 actions, Court of Appeal for the First Appellate District Case No. A155310; and the petition for extraordinary writ in the second 2016 action, Court of Appeal for the First Appellate District Case No. A154325 and California Supreme Court Case No. S251025; and authorize filing cross-complaints in San Francisco County Superior Court Case Nos. CPF-14-514004, and CPF-16-515282, and CPF-18-516389; the General Manager has determined that the proposed action is exempt or otherwise not subject to CEQA. (L&C)
[Conference with legal counsel – existing litigation and initiating litigation; to be heard in closed session pursuant to Gov. Code Sections 54956.9(d)(1) and 54956.9(d)(4)]

9. BOARD INFORMATION ITEMS

- 9-1** Update on Conservation Program
- 9-2** Report on Water Affordability and Shutoff Legislative Initiatives. (C&L)

10. FOLLOW-UP ITEMS

11. FUTURE AGENDA ITEMS

12. ADJOURNMENT

NOTE: Each agenda item with a committee designation will be considered and a recommendation may be made by one or more committees prior to consideration and final action by the full Board of Directors. The committee designation appears in parentheses at the end of the description of the agenda item e.g., (E&O, F&I). Committee agendas may be obtained from the Board Executive Secretary.

Writings relating to open session agenda items distributed to Directors less than 72 hours prior to a regular meeting are available for public inspection at Metropolitan's Headquarters Building and on Metropolitan's Web site <http://www.mwdh2o.com>.

Requests for a disability related modification or accommodation, including auxiliary aids or services, in order to attend or participate in a meeting should be made to the Board Executive Secretary in advance of the meeting to ensure availability of the requested service or accommodation.

**AGENCY
REPRESENTATIVES'
REPORTS**

5C



Regional Sewerage Program Policy Committee Meeting

**Thursday, March 4, 2021
3:30 p.m.
Teleconference Call**

PURSUANT TO THE PROVISIONS OF EXECUTIVE ORDER N-25-20 ISSUED BY GOVERNOR GAVIN NEWSOM ON MARCH 12, 2020, AND EXECUTIVE ORDER N-29-20 ISSUED BY GOVERNOR GAVIN NEWSOM ON MARCH 17, 2020 ANY COMMITTEE MEMBER MAY CALL INTO THE COMMITTEE MEETING WITHOUT OTHERWISE COMPLYING WITH ALL BROWN ACT'S TELECONFERENCE REQUIREMENTS.

In effort to prevent the spread of COVID-19, the Regional Sewerage Program Policy Committee Meeting will be held remotely by teleconference

Teleconference: 1-415-856-9169/Conference ID: 552 973 583#

This meeting is being conducted virtually by video and audio conferencing. There will be no public location available to attend the meeting; however, the public may participate and provide public comment during the meeting by calling into the number provided above. Alternatively, you may email your public comments to the Recording Secretary Sally H. Lee at shlee@ieua.org no later than 24 hours prior to the scheduled meeting time. Your comments will then be read into the record during the meeting.

Call to Order/Flag Salute

Roll Call

Public Comment

Members of the public may address the Committee on any item that is within the jurisdiction of the Committee; however, no action may be taken on any item not appearing on the agenda unless the action is otherwise authorized by Subdivision (b) of Section 54954.2 of the Government Code. Comments will be limited to three minutes per speaker.

Additions to the Agenda

In accordance with Section 54954.2 of the Government Code (Brown Act), additions to the agenda require two-thirds vote of the legislative body, or, if less than two-thirds of the members are present, a unanimous vote of those members present, that there is a need to take immediate action and that the need for action came to the attention of the local agency subsequent to the agenda being posted.

1. Technical Committee Report (Oral)

2. Action Item

- A. Meeting Minutes for February 4, 2021

3. Informational Items

- A. Regional Contract Negotiations Update (Oral)
- B. RP-5 Expansion Quarterly Project Update
- C. Operations Division Quarterly Update

4. Receive and File

- A. Property Taxes Inland Empire Utilities Agency Received from its Contracting Member Agencies
- B. Building Activity Report
- C. Recycled Water Distribution – Operations Summary
- D. Regional Contract Negotiations Meeting Notes

5. Other Business

- A. IEUA General Manager's Update
- B. Committee Member Requested Agenda Items for Next Meeting
- C. Committee Member Comments
- D. Next Meeting – April 1, 2021

Adjournment

In compliance with the Americans with Disabilities Act, if you need special assistance to participate in this meeting, please contact the Recording Secretary (909) 993-1926, 48 hours prior to the scheduled meeting so that the Agency can make reasonable arrangements.

DECLARATION OF POSTING

I, Sally H. Lee, Executive Assistant of the Inland Empire Utilities Agency, A Municipal Water District, hereby certify that a copy of this agenda has been posted to the IEUA Website at www.ieua.org and posted at the Agency's main office at 6075 Kimball Avenue, Building A, Chino, CA, by Thursday, February 25, 2021.



Sally H. Lee

**AGENCY
REPRESENTATIVES'
REPORTS**

5D

**CHINO BASIN WATERMASTER
WATERMASTER BOARD MEETING**

11:00 a.m. – February 25, 2021

Mr. Jim Curatalo – Chair

Mr. Jeff Pierson – Vice-Chair

Meeting Available by Remote Access Only*

Click on this [link](#) to access by PC/Smart Device

OR

Conference Call: (408) 650-3123

Code: 542-583-325

AGENDA

CALL TO ORDER

ROLL CALL

PUBLIC COMMENTS

AGENDA - ADDITIONS/REORDER

I. CONSENT CALENDAR

Note: All matters listed under the Consent Calendar are considered to be routine and non-controversial and will be acted upon by one motion in the form listed below. There will be no separate discussion on these items prior to voting unless any members, staff, or the public requests specific items be discussed and/or removed from the Consent Calendar for separate action.

A. MINUTES

Approve as presented:

1. Minutes of the Watermaster Board Meeting held January 28, 2021

B. FINANCIAL REPORTS

Receive and file as presented:

1. Cash Disbursements for the month of December 2020
2. Watermaster VISA Check Detail for the month of December 2020
3. Combining Schedule for the Period July 1, 2020 through December 31, 2020
4. Treasurer's Report of Financial Affairs for the Period December 1, 2020 through December 31, 2020
5. Budget vs. Actual Report for the Period July 1, 2020 through December 31, 2020
6. Cash Disbursements for January 2021 (Information Only)

C. APPLICATION: WATER TRANSACTION

Approve the proposed transaction:

The purchase of 1,000.0 acre-feet of water from West Valley Water District by Cucamonga Valley Water District. This purchase is made from West Valley Water District's Excess Carryover Account.

D. APPLICATION: RECHARGE

Approve Jurupa Community Services District's Application for Recharge and direct Watermaster staff to account for this recharge.

E. APPLICATION: LOCAL STORAGE AGREEMENTS

Approve the proposed agreements on the condition that Watermaster's approval of Storage Agreements authorizing storage in excess of the 500,000 acre-feet Safe Storage Capacity beyond June 30, 2021 are subject to Watermaster's further exercise of discretion to limit and reduce storage in accordance with the Peace Agreement.

F. RICHARD ANDERSON OVERLYING (AGRICULTURAL) POOL INTERVENTION REQUEST

Approve and direct staff to file the request for intervention with the Court.

II. BUSINESS ITEMS

A. 2013 RMPU PROJECTS FINANCING OPTIONS

Approve the 20 year loan term.

B. LOCAL STORAGE LIMITATION SOLUTION (DISCUSSION ONLY)

III. REPORTS/UPDATES

A. LEGAL COUNSEL REPORT

1. San Bernardino County Superior Court Emergency Order
2. Motion of Appropiative Pool Member Agencies Re: Agricultural Pool Legal and Other Expenses
3. March 26, 2021 Hearing
4. Kaiser Permanente Lawsuit

B. ENGINEER

1. Local Storage Limitation Solution – Engineering Analysis Results
2. History of Basin Storage Estimates – Errata
3. 2023 RMPU Scope of Work
4. GLMC – CY 2021 Schedule
5. PBHSC – CY 2021 Schedule

C. CHIEF FINANCIAL OFFICER

1. Fiscal Year 2020/21 Mid-Year Review
2. Fiscal Year 2021/22 Budget Schedule

D. GENERAL MANAGER

1. Proposed Changes to the Chino Basin Peace Agreement (2000)
2. San Sevaine Project Recognition
3. Watermaster Budget Process and Advisory Committee Role
4. Other

IV. BOARD MEMBER COMMENTS

V. OTHER BUSINESS

VI. CONFIDENTIAL SESSION - POSSIBLE ACTION

Pursuant to Article II, Section 2.6, of the Watermaster Rules & Regulations, a Confidential Session may be held during the Watermaster Board meeting for the purpose of discussion and possible action.

VII. FUTURE MEETINGS AT WATERMASTER*

02/23/21	Tue	9:00 a.m.	Groundwater Recharge Coordinating Committee (GRCC)
02/25/21	Thu	11:00 a.m.	Watermaster Board
03/04/21	Thu	9:00 a.m.	Ground-Level Monitoring Committee (GLMC)
03/10/21	Wed	1:30 p.m.	Prado Basin Habitat Sustainability Committee (PBHSC)

Watermaster's function is to administer and enforce provisions of the Judgment and subsequent orders of the Court, and to develop and implement an Optimum Basin Management Program

03/11/21	Thu	9:00 a.m.	Appropriative Pool Committee
03/11/21	Thu	11:00 a.m.	Non-Agricultural Pool Committee
03/11/21	Thu	1:30 p.m.	Agricultural Pool Committee
03/18/21	Thu	9:00 a.m.	Advisory Committee
03/23/21	Tue	10:00 a.m.	Fiscal Year 2021/22 Budget Release
03/25/21	Thu	11:00 a.m.	Watermaster Board

- * Due to the pandemic, and for the safeguarding of all, Watermaster meetings will continue to be held remotely until further notice.

ADJOURNMENT

**AGENCY
REPRESENTATIVES'
REPORTS**

5E

SPECIAL BOARD MEETING OF THE BOARD OF DIRECTORS CHINO BASIN DESALTER AUTHORITY

March 4, 2021 • 2:00 p.m.

NOTICE AND AGENDA

According to the directives from the California Department of Public Health and Executive Order issued by Governor Gavin Newsom, members of the public are invited to participate via video or teleconference:

To join teleconference:

Dial-in #: (669) 900 6833 Zoom Meeting ID: 813 5205 9101 Passcode: 380557

To join video conference:

Click on link: [Join Zoom Meeting](#)

Or copy URL: <https://us02web.zoom.us/j/81352059101?pwd=M01uOEVteVBCTnVyZ0tKVUxrb1hHdz09>

Or go to <https://zoom.us/> Zoom Meeting ID: 813 5205 9101 Passcode: 380557

CALL TO ORDER

ROLL CALL

PUBLIC COMMENT

*Members of the public may address the Board of Directors on any item that is within the jurisdiction of the Chino Basin Desalter Authority; however, no action may be taken on any item not appearing on the agenda unless the action is otherwise authorized by Subdivision (b) of Section 54954.2 of the Government Code. Those persons wishing to address the Board of Directors on any matter, whether or not it appears on the agenda, are requested to **submit their request to comment to the Board Secretary no less than one hour prior to the start of the meeting at (909) 218-3230 or ccosta@chinodesalter.org***

Under the provisions of the Brown Act, the CDA Board is prohibited from taking action on non-agendized matters. However, Board Members may respond briefly or refer the communication to staff. The CDA Board may also request the Secretary to calendar an item related to your communication at a future CDA Board meeting.

ACTION ITEMS

*Prior to action of the CDA Board, any member of the public will have the opportunity to address the CDA Board on any item listed on the agenda by submitting written comments **at least one hour prior to the start of the meeting at (909) 218-3230 or ccosta@chinodesalter.org**. Please note the agenda item number in your email.*

Comments will be read aloud during the meeting by the Board Secretary.

1. MINUTES OF FEBRUARY 4, 2021 SPECIAL BOARD MEETING

2. ADOPTION OF CHINO BASIN DESALTER AUTHORITY'S REVISED RESERVE POLICY

Report By: Thomas O'Neill, CDA General Manager/CEO

Staff Recommendation:

1. Approve the Chino Basin Desalter Authority's Reserve Policy as submitted; and
2. Adopt Resolution 2021-03 approving the CDA's Revised Reserve Policy.

3. RECONCILIATION OF THE ALLOCATION OF COSTS FOR FISCAL YEAR 2019/20

Report By: Thomas O'Neill, CDA General Manager/CEO

Staff Recommendation:

1. Approve the reconciliation of the allocation of costs for fiscal year 2019/20; and
2. Approve the analysis of reserve balance at June 30, 2020; and
3. Approve the refund of \$1,646,917 of the surplus to member agencies and allocate \$3,097,070 of the surplus to fund the Chino I GAC Project.

4. SOUTH ARCHIBALD PLUME CLEANUP PROJECT: TEMPORARY LICENSE AGREEMENT WITH LEWIS MANAGEMENT CORP FOR CONSTRUCTION OF MONITORING WELL II-MW4

Report By: Thomas O'Neill, CDA General Manager/CEO

Staff Recommendation:

1. Authorize the General Manager to execute a temporary license agreement with Lewis Management Corporation for construction of monitoring well II-MW4.

STAFF COMMENTS

- i. Deputy CDA General Counsel, Allison Burns
- ii. CDA CFO/Treasurer, Michael Chung
- iii. CDA General Manager/CEO, Thomas O'Neill

CLOSED SESSION

The Authority may adjourn to a Closed Session to consider litigation matters, personnel matters, or other matters as provided for in the Ralph M. Brown Act (Section 54950 et seq., of the Government Code).

**5. PUBLIC EMPLOYEE PERFORMANCE EVALUATION
Title: General Manager**

**6. CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION:
CONSIDERATION OF INITIATION OF LITIGATION PURSUANT TO
GOVERNMENT CODE SECTION 54956.9(D)(4)
(TWO POTENTIAL CASES)**

**7. CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION:
SIGNIFICANT EXPOSURE TO LITIGATION PURSUANT TO GOVERNMENT
CODE SECTION 54956.9(D)(2)
(TWO POTENTIAL CASES)**

DIRECTOR COMMENTS

ADJOURN

Any person with a disability who requires accommodations in order to participate in this meeting or for package materials in an alternative format should telephone Executive Assistant Casey Costa at (909) 218-3730, at least 48 hours prior to the meeting in order to make a request for a disability-related modification or accommodation. All accommodation requests will be handled swiftly and resolving all doubts in favor of access. Copies of records provided to Board Members which relate to any agenda item to be discussed in open session may be obtained from Chino Basin Desalter Authority at 3550 Philadelphia Street, Suite 170, Ontario, CA 91761.

Declaration of Posting

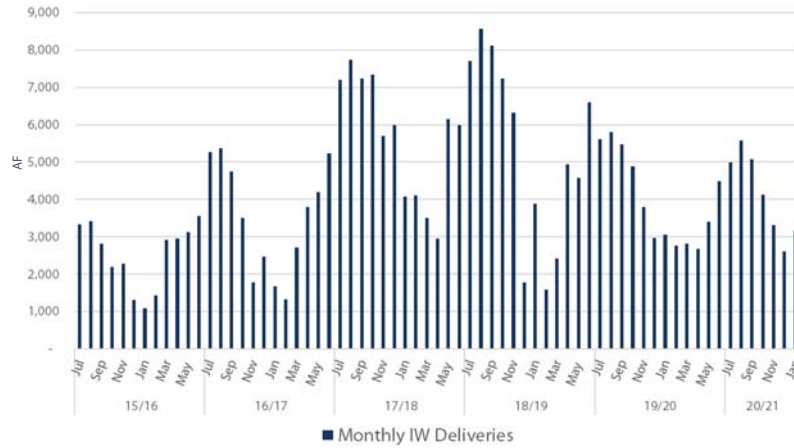
I, Casey Costa, Executive Assistant to the Chino Basin Desalter Authority, hereby certify that a copy of this agenda has been posted by 2:00 p.m. at the Chino Basin Desalter Authority's main office, 3550 Philadelphia Street, Suite 170, Ontario, CA on March 1, 2021.


Casey Costa, Executive Assistant

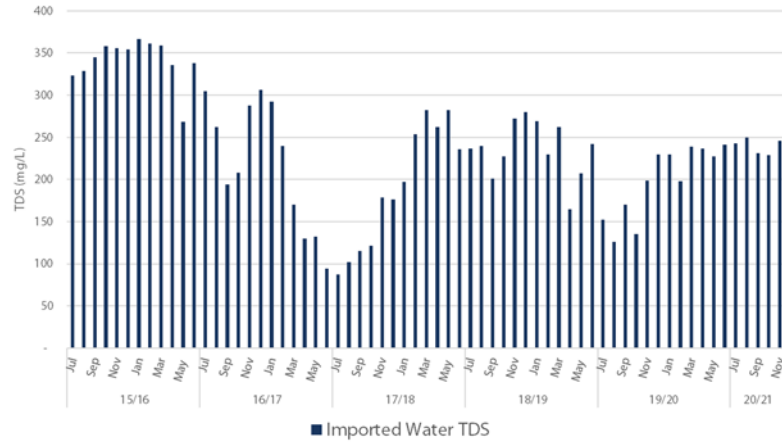
GENERAL MANAGER'S REPORT

Imported Water

Full Service Imported Water Deliveries Summary
(FY 2015/16 to 2020/21)

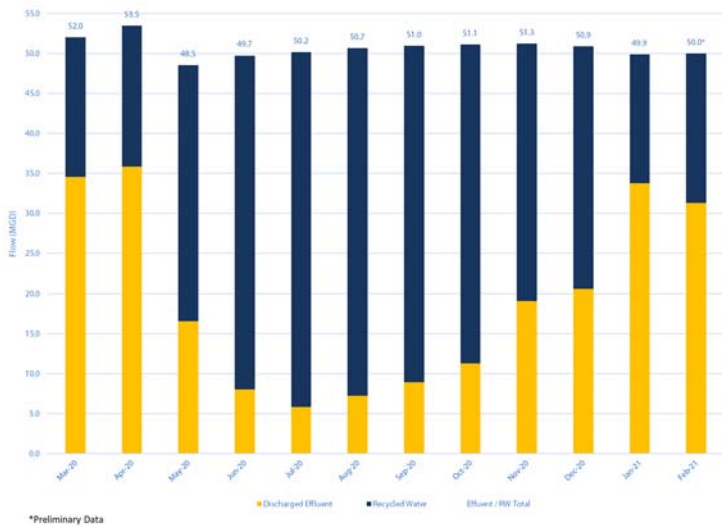


Imported Water TDS Summary
(FY 2015/16 to 2020/21)



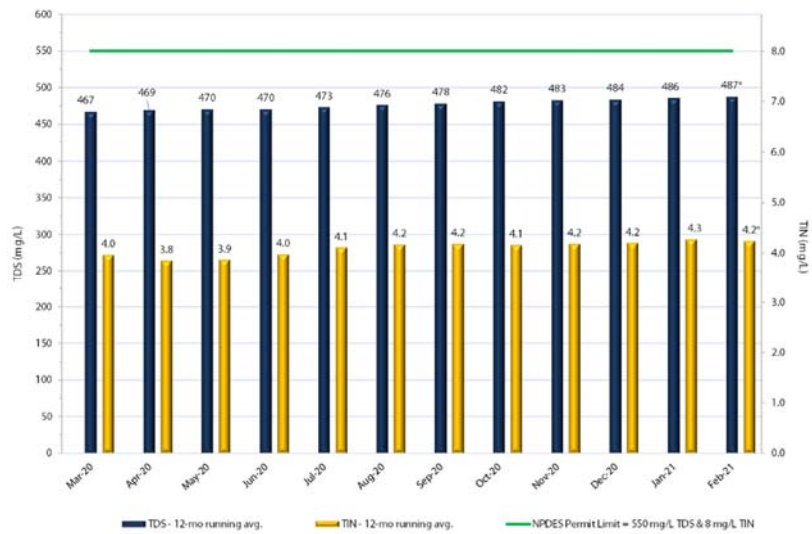
Recycled Water

Recycled Water Use



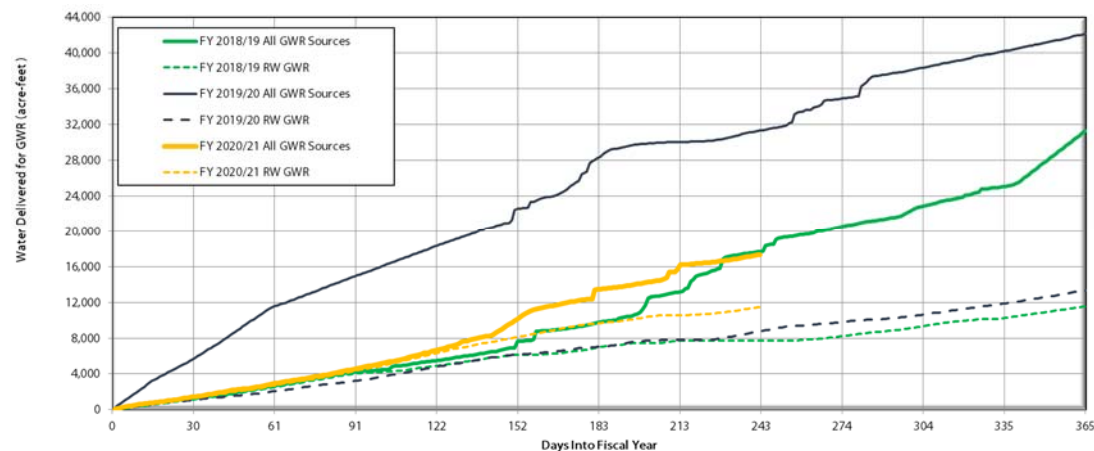
*Preliminary Data

Agency-Wide Effluent TDS & TIN – 12-Month Running Average



* Preliminary Data

Total Groundwater Recharge & Recycled Water Delivered to Groundwater Recharge

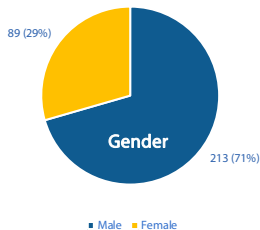
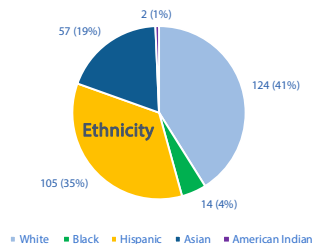
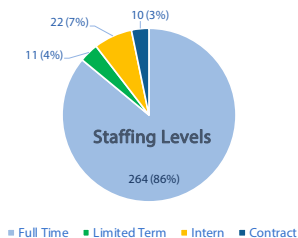


FEBRUARY 2021 NOTES:

- Recycled water delivery for recharge totaled 907 acre-feet.
- There was one rain event in February. The total stormwater and dry weather flow capture in February is preliminarily estimated at 219 acre-feet
- There was no recharge of Imported Water for February.
- Chino Basin Watermaster will remove 1.5% for evaporation losses for supplemental water sources (imported water and recycled water) recharged during February.
- Considering evaporation losses, total recharge for the month is preliminarily estimated at 1,112 acre-feet

Human Resources

Pulse of the Organization



COVID-19 Response:

Management team and key staff members continue to meet regularly to discuss Agency impacts from COVID-19. Please find more information of the vaccine roll out by San Bernardino County [here](#). Please find more details of each phase [here](#).



[Bid & Look Ahead Schedule](#)
[Active Capital Improvement Project Status](#)
[Emergency Projects](#)

Agency Highlights

- Engineers Week, February 21 -27, was dedicated to ensuring a diverse and well-educated future engineering workforce by increasing understanding of and interest in engineering and technology careers. Throughout the week, External Affairs staff developed social media outreach to highlight engineering careers and show appreciation for IEUA's team of engineers by showcasing their involvement in the RP-5 Expansion Project, sharing a kid-friendly engineering activity and featuring three engineering stories.
- CalRecycle has awarded IEUA a grant in the amount of \$397,639 through its Illegal Disposal Site Abatement Grant Program. The grant funding will allow IEUA to implement solutions that will remove illegally dumped trash and debris from multiple groundwater recharge sites and will account for approximately 95 percent of the total project costs. IEUA's project will install trash collection systems and fund periodic cleanup efforts over the next two years.
- On February 10, IEUA General Manager Shivaji Deshmukh and CBWM General Manager Peter Kavounas gave a presentation at the AGWA AGWT California Groundwater Webinar regarding Managing Salinity in the Chino Basin Groundwater Basin – A True Regional Partnership.
- On February 17, staff represented IEUA at Cal Baptist's Virtual Spring Expo.
- On February 24, the Agency and the University of California, Riverside (UCR) teamed up to co-host a Careers in the Water Industry Event. The event highlighted IEUA and 11 other local water and wastewater districts collectively educating students on the career options and pathways in the industry. The virtual event was conference style with speaking engagements from industry speakers including members of the Agency's management team.
- On February 25, a Brown Bag Series webinar featured a high-level overview of the Agency's energy management program and strategy. The presentation introduced the water-energy nexus and the Agency's expanding efforts toward long term sustainability. Staff showcased the Agency's approach to renewable energy and provided a virtual tour of the onsite generation, battery storage, and energy efficient projects.
- The monthly General Managers meeting took place on February 17. The group discussed Water Storage Investment Program (CBP) and the Water Committee Structure.
- On February 8, it was announced that a water agency in Florida was compromised, and an attempt was made to contaminate the City of Oldsmar's water supply. This news is a reminder of the importance of cybersecurity in our industry. Strong passwords, multi-factor authentication, not clicking on malicious links, and a robust cybersecurity program is essential to protecting public safety.
- On March 3, the Member Agency Finance Directors were invited to review the 2020 Rate Study Request for Proposal results and were asked for their feedback.

Grants

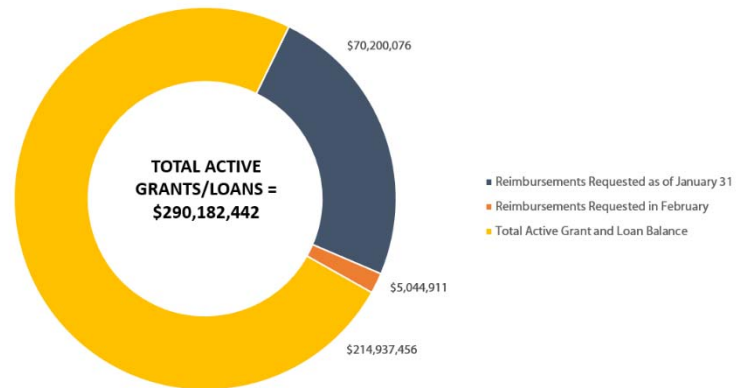
Applications/Award Update

GRANT/LOAN AWARD DETERMINATIONS

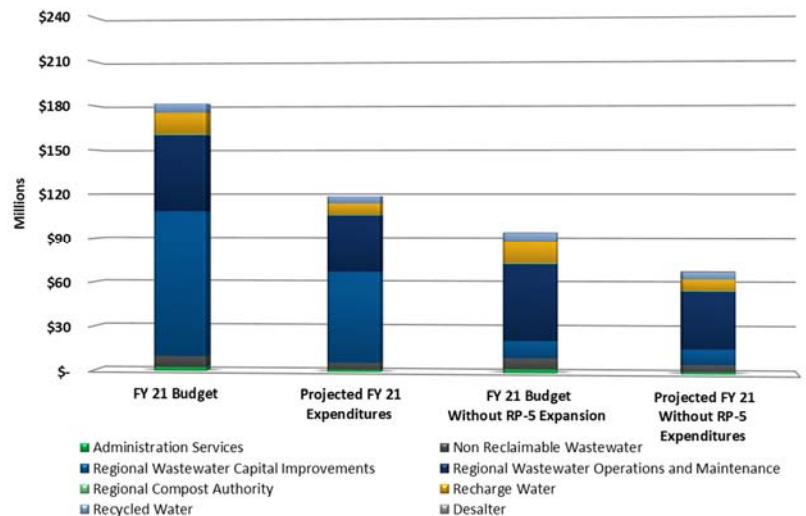
\$400K

CalRecycle – Illegal Disposal Site Abatement Program – Installation of Trash Collection Systems and Periodic Removal at Groundwater Recharge Basins

Financial Update

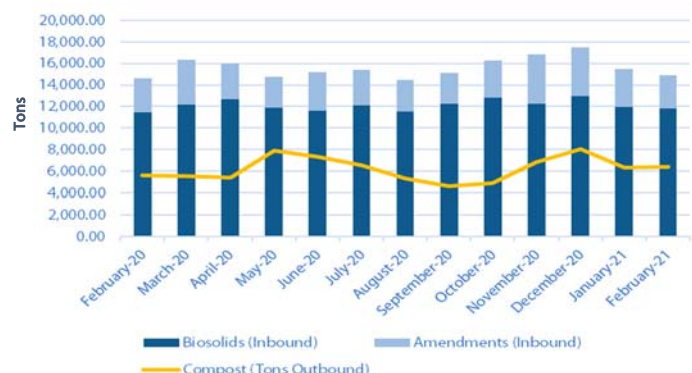


Engineering & Construction Management FY 20/21 Budget Status Update



Biosolids/Compost

IERCF Inbound & Outbound

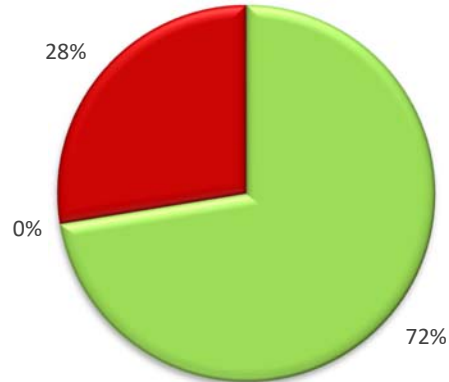


Bid and Award Look Ahead Schedule

Bid and Award Look Ahead Schedule			
	Project Name	Projected Bid Opening Date	Projected Bid Award Date
	Apr-21		
1	EN20056.00 RSS Haven Avenue Repairs	16-Mar-21	4/21/2021
2	RW15003.06 Wineville/Jurupa/Force Main Improvements	11-Mar-21	4/21/2021
3	EN19027.00 NRW Pipeline Relining Along Cucamonga Creek	4-Mar-21	4/21/2021
	Jun-21		
4	EN23002.00 Philadelphia Lift Station Force Main Improvements	17-May-21	6/16/2021
	Jul-21		
5	RW15003.03 Montclair Basin Improvements	1-Jun-21	7/21/2021
	Dec-21		
6	EN17006.00 CCWRF Asset Management and Improvements	6-Sep-21	12/15/2021
	Jan-22		
7	EN20064.00 NSNT Sewer Siphon Replacement	2-Dec-21	1/19/2022
	Mar-22		
8	EN15002.00 1158 Reservoir Site Cleanup	11-Jan-22	3/16/2022

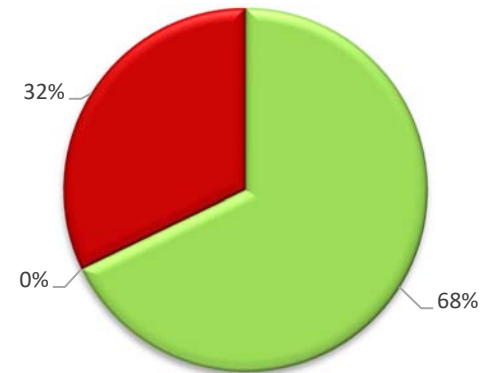
Active Capital Improvement Project Status

Design Schedule Performance



■ On-Time
 ■ Recovery in Progress
 ■ Behind Schedule

Construction Schedule Performance



■ On-Time
 ■ Recovery in Progress
 ■ Behind Schedule

Agency-Wide							
No.	Project ID	Project Title	Total Expenditures thru 2/28 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
1	EN20034.03	Solids Handling Facility Evaluation	177,141	228,865	Behind Schedule	Consultant Contract Award	This project does not involve any construction. The original evaluation completion date will be extended to the end of May 2021. External coordination with a member agency was necessary to address their concern along with the impact on the RP-5 Expansion Project had to be factored into the BCE evaluation for accuracy.
2	EN19023.00	Asset Management Planning Document	433,232	750,000	On-Time	Pre-Design	
3	EN19024.00	Regional System Asset Management (Assessment Only)	399,555	2,800,000	On-Time	Pre-Design	
4	EN19051.00	RW Hydraulic Modeling	77,612	225,282	On-Time	Design	
5	FM21005.01	Agency Wide Roofing Phase IV	4,340	1,500,000	On-Time	Design	
6	EN19030.00	WC Asset Management (Assessment Only)	63,521	130,000	On-Time	Construction	
7	FM21005.00	Agency Wide Roofing Phase III	1,346,208	3,585,000	On-Time	Project Acceptance	
Sub Total			2,501,610	9,219,147			

Carbon Canyon

No.	Project ID	Project Title	Total Expenditures thru 2/28 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
8	EN17006.00	CCWRF Asset Management and Improvements	3,048,630	26,803,299	On-Time	Design	
		Sub Total	3,048,630	26,803,299			

Chino Desalter Authority (CDA)

No.	Project ID	Project Title	Total Expenditures thru 2/28 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
9	EN16021.00	TCE Plume Cleanup	26,745,648	26,200,000	Behind Schedule	Construction	The project had to address additional regulatory requirements which resulted in additional scope, cost, and extended schedule. Also, ongoing construction activities were impacted by COVID-19 through shipments delays on equipment and materials and temporary halts on construction due to infected contractor staff. The project received regulatory approval on these delays.
		Sub Total	26,745,648	26,200,000			

Collections

No.	Project ID	Project Title	Total Expenditures thru 2/28 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
10	EN0000000066	Preserve Lift Station Improvements	31,229	-	On-Time	Consultant Contract Award	
11	EN21058.00	Regional Sewer-Hydraulic Modeling	15,126	70,000	On-Time	Pre-Design	
12	EN20064.00	NSNT Odor Complaints Mitigation	121,170	500,000	On-Time	Design	
13	EN23002.00	Philadelphia Lift Station Force Main Improvements	919,261	18,258,000	Behind Schedule	Design	The City of Ontario requested a different alignment for the project due to conflicts with future utilities. IEUA and the City have finally agreed on an alignment that will meet the project's needs and avoid conflicts. Once the new alignment is approved by the City of Ontario, the project will be re-baselined at construction contract award.
14	EN19027.00	NRW Pipeline Relining Along Cucamonga Creek	237,988	2,395,000	Behind Schedule	Bid & Award	In addition to permitting delays, the design took longer than anticipated due to shortage of the design consultant's resources. The schedule will be re-baselined once the construction contract is awarded.
15	EN20056.00	RSS Haven Avenue Repairs	297,688	5,850,000	Behind Schedule	Bid & Award	Design took longer than anticipated due to considering additional alternatives and obtaining traffic control permit from City of Ontario. The schedule will be re-baselined once the construction contract is awarded.
16	EN21014.00	NRWS Manhole Upgrades FY 20/21	21,360	180,000	On-Time	Construction	
17	EN21015.00	Collection System Upgrades FY 20/21	26,196	500,000	On-Time	Construction	
18	EN19025.00	Regional Force Main Improvements	542,545	4,800,000	On-Time	Construction	
19	EN19028.00	NRW Manhole and Pipeline Condition Assessment	115,958	915,000	On-Time	Construction	
20	EN22002.00	NRW East End Flowmeter Replacement	1,491,501	3,600,000	Behind Schedule	Construction	Construction was delayed due to utility conflicts which have been relocated. Contractor has agreed to a non-compensable time extension. No recovery is possible.
		Sub Total	3,820,021	37,068,000			

Groundwater Recharge

No.	Project ID	Project Title	Total Expenditures thru 2/28 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
21	EN21051.00	Ely Monitoring Well	9,795	585,000	On-Time	Pre-Design	
22	RW15003.03	Montclair Basin Improvements	281,744	1,788,100	Behind Schedule	Design	Army Corp permit requirements are substantially complete; however, the project is waiting on the final 401 permit with the state. In the meantime, the scheduled contract award is shifted to mid-2021 to align with potential grant funding. The project schedule will be re-baselined after the construction contract award.
23	RW15003.06	Wineville/Jurupa/Force Main Improvements	1,335,970	14,517,842	Behind Schedule	Design	All permitting requirements were met and issued. The project is currently in the bid phase. The project will be re-baselined after construction contract award.
24	RW15004.00	Lower Day Basin Improvements	2,757,777	4,008,000	Behind Schedule	Construction	The Project had unforeseen COVID-19 delays due to Southern California Edison not completing its electrical connection for the project. Due to Covid-19, Southern California Edison (SCE) needed more time to prepare its utility layout for the new power connections. Recovery plan is to mitigate extended delays by working with the contractor to provide portable power as a mean to complete the project without Edison.
25	EN21057.00	Recharge Basin Clean-up of Illegally Dumped Materials	-	245,538	N/A	Not Started	
		Sub Total	4,385,286	21,144,480			

Headquarters

No.	Project ID	Project Title	Total Expenditures thru 2/28 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
26	EN21049.00	Main HDQ Improvements Office Addition	18,006	140,000	On-Time	Pre-Design	
		<i>Sub Total</i>	<i>18,006</i>	<i>140,000</i>			

IERCF

No.	Project ID	Project Title	Total Expenditures thru 2/28 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
27	RA17007.01	IERCF Design Build Wash Pad Cover	471,595	457,318	On-Time	Project Acceptance	
		<i>Sub Total</i>	<i>471,595</i>	<i>457,318</i>			

Regional Water Recycling Plant No. 1 (RP-1)

No.	Project ID	Project Title	Total Expenditures thru 2/28 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
28	PA17006.03	Agency-Wide Aeration (Budget is in PA17006)	6,716	2,956,605	On-Time	Project Evaluation	
29	EN21055.00	RP-1 Blower Lamella Silencers Upgrades	5,042	300,000	On-Time	Project Evaluation	
30	EN22029.00	RP-1 Repurpose Lab Assessment	-	50,000	On-Time	Project Evaluation	
31	EN21042.00	RP-1 East Influent Gate Replacement	4,739	450,000	On-Time	Consultant Contract Award	
32	EN13016.05	SCADA Enterprise System - (Regional Water Recycling Plant No. 1)	1,922,904	7,091,113	Behind Schedule	Pre-Design	The project is behind schedule because the RP-4 SCADA migration was re-sequenced and completed before RP-1. The project will be re-baselined once the construction contract is awarded for RP-1. Recovery is not possible.
33	EN21053.00	RP-1 Old Effluent Structure Rehabilitation	9,293	630,000	On-Time	Pre-Design	
34	EN21044.00	RP-1 Dewatering Centrate and Drainage Valves (MOV)	12,224	320,000	On-Time	Design	
35	EN19043.00	RP-1 Centrifuge Foul Air Line	106,009	445,000	On-Time	Construction	
36	EN20041.00	RP-1 TP-1 Bleach Mixing Repairs	159,832	680,000	On-Time	Construction	
37	EN20065.00	RP-1 Solids Hot Water Loop Valves	542,342	1,560,000	On-Time	Construction	
38	EN20058.00	RP-1 TP-1 Waste Wash Water Basin Pumps Replacement	420,575	750,000	Behind Schedule	Construction	The contractor is receiving non-compensable time extensions for documented pump manufacturer delays and COVID 19 cases of contractor's field personnel. Recovery is not possible.
39	EN17044.00	RP-1 12 kV Switchgear and Generator Control Upgrades	6,253,195	6,370,248	Behind Schedule	Construction	Staff anticipates the final gear inspection will be completed on the next Southern California Edison shutdown in late March. Recovery is not possible.
40	EN17082.00	Mechanical Restoration and Upgrades	6,539,797	10,146,000	Behind Schedule	Construction	The project is behind schedule due to COVID-19 delaying pump manufacturing. The construction contract will be extended 47 calendar days. Recovery is not possible.
41	EN18006.00	RP-1 Flare Improvements	2,664,482	7,650,000	Behind Schedule	Construction	The original project completion date will be extended by about 3-4 months due to a conflict with existing utilities and electrical duct banks. The original design will be updated to reflect actual field conditions. A non-compensable time extension will be processed to reflect the revised schedule.
42	EN17042.00	Digester 6 and 7 Roof Repairs	4,624,601	6,731,000	Behind Schedule	Construction	Multiple construction delays, including the loss of the coatings sub-contractor, have placed the project behind schedule and recovery is not possible. A non-compensable time extension change order has been prepared for this purpose. Digester 6 repairs have been completed, and startup is near completion. Digester 7 activities will follow.
43	EN15012.01	RP-1 Plant No. 2 Effluent Conveyance Improvements	2,796,587	3,107,881	On-Time	Project Acceptance	
44	EN14042.00	1158 RWPS Upgrades	6,904,756	7,525,000	On-Time	Project Acceptance	
45	EN19009.00	RP-1 Energy Recovery	-	4,425,000	N/A	Not Started	
		<i>Sub Total</i>	<i>32,973,096</i>	<i>61,187,847</i>			

Regional Water Recycling Plant No. 2 (RP-2)							
No.	Project ID	Project Title	Total Expenditures thru 2/28 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
46		Non at this time	-	-	N/A		
		Sub Total	-	-			
Regional Water Recycling Plant No. 4 (RP-4)							
No.	Project ID	Project Title	Total Expenditures thru 2/28 (\$)	Total Project Budget (\$)	Project Schedule Performance		Schedule Recovery Plan
47	EN21060.00	RP-4 Energy Meters	-	50,000	On-Time	Project Evaluation	
48	EN21041.00	RP-4 Contact Basin Cover & Wet Well Passive Overflow Replacement	12,802	3,920,000	On-Time	Pre-Design	
49	EN19010.00	RP-4 Influent Screen Replacement	2,060,393	3,040,000	Behind Schedule	Construction	The schedule delay is partly due to manufacturer delays, IEUA requested work stoppage to allow another contractor to perform work in the area, delays due to operations' request, and COVID 19 cases of contractor's field personnel. Recovery is not possible.
50	EN17043.00	RP4 Primary Clarifier Rehab	2,576,882	7,681,542	On-Time	Construction	
51	EN17110.00	RP-4 Process Improvements	5,300,854	10,680,691	On-Time	Construction	
52	EN17110.03	RP-4 Aeration Basin Wall Repair	1,634,158	5,052,448	On-Time	Construction	
		Sub Total	11,585,089	30,424,681			
Regional Water Recycling Plant No. 5 (RP-5)							
No.	Project ID	Project Title	Total Expenditures thru 2/28 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
53	EN21047.00	RP-5 Bar Screen Inlet Gate Actuators Replacement	844	370,000	On-Time	Project Evaluation	
54	EN19001.00	RP-5 Expansion to 30 mgd	22,080,461	175,000,000	On-Time	Construction	
55	EN19006.00	RP-5 Biosolids Facility	20,342,594	165,400,000	On-Time	Construction	
		Sub Total	42,423,899	340,770,000			
Recycled Water							
No.	Project ID	Project Title	Total Expenditures thru 2/28 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
56	EN15002.00	1158 Reservoir Site Cleanup	101,298	1,215,000	Behind Schedule	Project Evaluation	Work plan approval from the Department of Toxic Substances Control (DTSC) has taken much longer than anticipated thus the delay. The project will be re-baselined upon approval from DTCS when the overall scope has been defined.
57	EN21045.00	Montclair Force Main Improvements	14,985	6,800,000	On-Time	Pre-Design	
58	EN21050.00	8th Street RW Turnout Connection to the 1630 W Pipeline	8,993	835,000	On-Time	Pre-Design	
59	EN17041.00	Orchard Recycled Water Turnout Improvements	195,058	477,000	On-Time	Construction	IEUA has obtained the U.S. Army Corps of Engineers (USACE) permit. The permit restricts work in the channel between October 15, 2020, and April 15, 2021. The project was awarded in February 2021 with construction starting in April 2021. Recovery is not possible. The project will be re-baselined when construction starts.
60	EN22004.00	1158 East Reservoir Re-coating/painting and Upgrades	1,469,257	1,750,000	On-Time	Construction	
		Sub Total	1,789,593	11,077,000			
		Overall Totals	129,762,471	564,491,772			

Emergency Projects

FY20/21 Emergency Projects									
	Project ID	Contractor	Task Order Description (Details of Circumstance and Cause of the Emergency)	Location	TO #	Original Not-to-Exceed /Estimate	Actual Cost thru 2/28	Date of Award	Status
CCWRF									
1	EN21019.02	SCW Contracting Corp	Sewer Line Repair Crossing Apartment Complex Chino	CCWRF	TO-0001	41,598	46,198	8/5/2020	Completed
				Sub Totals		41,598	46,198		
Recycled Water System									
2	EN21017.01	Norstar Plumbing	San Antonio Channel Segment B Pipeline	RW System	TO-0001	34,000	41,149	8/13/2020	Completed
3	EN21017.02	Norstar Plumbing	Prado Dechlor Leak	RW System	TO-0002	7,900	50,059	10/27/2020	Completed
4	EN21017.03	Norstar Plumbing	4TH Street RW Air Relief Valve	RW System	TO-0003	14,200	3,068	2/2/2021	Active
				Sub Totals		56,100	94,275		
RP-1									
5	EN21019.03	W.A. Rasic Contracting	RP-1 Dual Line Hot Water Loop Repair	RP-1	TO-0026	90,765	105,172	8/12/2020	Completed
6	EN21019.04	Mike Bubalo Construction	RP-1 2-inch Utility Water Leak	RP-1	TO-0003	15,850	561	2/13/2021	Active
				Sub Totals		106,615	105,734		
RP-2									
7	EN21019.01	Ferreira Construction	RP-2 Drain line replacement	RP-2	TO-0008	29,050	32,112	7/8/2020	Completed
				Sub Totals		29,050	32,112		
				Grand Total		233,363	278,318		

February Emergency						
Contractor	Task Order Description	Details of the Circumstances/Cause of Emergency	Scope of Repair	Location	Date of Call Out	Not-to-Exceed /Estimate
Norstar Plumbing	4TH Street RW Air Relief Valve	A car hit and damaged a recycled water air relief valve located on the sidewalk	The Contractor was instructed to excavate down to the below grade piping to determine the extent of the damage. Upon determining there was no further damage, the Contractor repaired the 4" piping and procured and installed a 4" recycled water ball valve, two bollards, and a new air relief cover.	RW System	2/4/2021	14,200
Mike Bubalo Construction	RP-1 2-inch Utility Water Leak	Operations required a contractor to repair a 2-inch Recycled water (RW) line break at RP-1. This water line was essential for providing recycled water to the digester's recirculation pumps.	Contractor excavated and exposed the 2-inch RW line. Once exposed the contractor removed the broken section of pipe and replaced it. The line was then put into service and the plant returned to normal operations.	RP-1	2/13/2021	15,850