



**AGENDA  
REGULAR MEETING OF THE  
BOARD OF DIRECTORS**

**WEDNESDAY, DECEMBER 16, 2020  
10:00 A.M.**

**INLAND EMPIRE UTILITIES AGENCY\*  
VIEW THE MEETING LIVE ONLINE AT [IEUA.ORG](http://IEUA.ORG)  
TELEPHONE ACCESS: (415) 856-9169 / Conf Code: 811 284 110#**

PURSUANT TO THE PROVISIONS OF EXECUTIVE ORDER N-25-20 ISSUED BY GOVERNOR GAVIN NEWSOM ON MARCH 12, 2020, AND EXECUTIVE ORDER N-29-20 ISSUED BY GOVERNOR GAVIN NEWSOM ON MARCH 17, 2020 AND IN AN EFFORT TO PROTECT PUBLIC HEALTH AND PREVENT THE SPREAD OF COVID-19, THERE WILL NO PUBLIC LOCATION FOR ATTENDING IN PERSON.

The public may participate and provide public comment during the meeting by dialing into the number provided above. Alternatively, you may email your public comments to the Board Secretary/Office Manager Denise Garzaro at [dgarzaro@ieua.org](mailto:dgarzaro@ieua.org) no later than 24 hours prior to the scheduled meeting time. Your comments will then be read into the record during the meeting.

**CALL TO ORDER OF THE INLAND EMPIRE UTILITIES AGENCY BOARD OF DIRECTORS MEETING**

**FLAG SALUTE**

**PUBLIC COMMENT**

Members of the public may address the Board on any item that is within the jurisdiction of the Board; however, no action may be taken on any item not appearing on the agenda unless the action is otherwise authorized by Subdivision (b) of Section 54954.2 of the Government Code. Those persons wishing to address the Board on any matter, whether or not it appears on the agenda, are requested to email the Board Secretary no later than 24 hours prior to the scheduled meeting time or address the Board during the public comments section of the meeting. Comments will be limited to three minutes per speaker. Thank you.

**ADDITIONS TO THE AGENDA**

In accordance with Section 54954.2 of the Government Code (Brown Act), additions to the agenda require two-thirds vote of the legislative body, or, if less than two-thirds of the members are present, a unanimous vote of those members present, that there is a need to take immediate action and that the need for action came to the attention of the local agency subsequent to the agenda being posted.

1. **NEW, PROMOTED AND RECLASSIFIED EMPLOYEE INTRODUCTIONS**

2. **CONSENT ITEMS**

NOTICE: All matters listed under the Consent Calendar are considered to be routine and non-controversial and will be acted upon by the Board by one motion in the form listed below. There will be no separate discussion on these items prior to the time the Board votes unless any Board members, staff or the public requests specific items be discussed and/or removed from the Consent Calendar for separate action.

A. **MINUTES**

Approve minutes of the November 18, 2020 Board Meeting.

B. **REPORT ON GENERAL DISBURSEMENTS** *(Finance & Admin)*

Staff recommends that the Board approve the total disbursements for the month of October 2020, in the amount of \$20,222,969.94.

C. **2021 LEGISLATIVE POLICY PRINCIPLES** *(Community & Leg)*

Staff recommends that the Board adopt the 2021 Legislative Policy Principles.

D. **INTERNAL AUDIT DEPARTMENT CHARTER AND AUDIT COMMITTEE CHARTER** *(Audit)*

Staff recommends that the Board:

1. Approve the Audit Committee Charter and the Internal Audit Department Charter; and
2. Direct staff to implement the charters.

E. **RP-1 CENTRIFUGE FOUL AIR LINE RETROFIT EQUIPMENT PRE-PURCHASE** *(Eng/Ops/WR)*

Staff recommends that the Board:

1. Award the pre-purchase of four centrifuge covers for the RP-1 Centrifuge Foul Air Line Retrofit, Project No. EN19043, to Alfa Laval Inc., in the amount of \$136,242; and
2. Authorize the General Manager to execute the purchase order, subject to non-substantive changes.

F. **CONTRACT AMENDMENT FOR JANITORIAL SERVICES** *(Eng/Ops/WR)*

Staff recommends that the Board:

1. Approve the janitorial services contract amendment with Priority Building Services, LLC., for a one-year extension in the amount of \$263,264.09; and
2. Authorize the General Manager to execute the contract amendment.

**G. UNARMED UNIFORMED SECURITY SERVICES CONTRACT (Finance & Admin)**

Staff recommends that the Board:

1. Approve the amendment to Contract Number 4600002018 with Allied Universal Security Services for IEUA uniformed security services, for a one-year extension through December 31, 2021 for a not-to-exceed amount of \$250,000; and
2. Authorize the General Manager to execute the contract amendment subject to non-substantive changes.

**H. ADOPTION OF RESOLUTION NO. 2020-12-1, CERTIFYING THE CEQA ADDENDUM FOR THE RP-5 EXPANSION PROJECT (Eng/Ops/WR)**

Staff recommends that the Board:

1. Approve the RP-5 Expansion Project CEQA Addendum to the Programmatic Environmental Impact Report (SCH No. 2016061064);
2. Adopt Resolution No. 2020-12-1, certifying the attached RP-5 Expansion CEQA Addendum as complete; and
3. Authorize the General Manager to execute the Notice of Determination and to file with San Bernardino County and the State Clearinghouse.

**I. ADOPTION OF RESOLUTION NO. 2020-12-2, AMENDING THE AGENCY'S SALARY SCHEDULE/MATRIX FOR ALL GROUPS**

Staff recommends that the Board adopt Resolution No. 2020-12-2, amending the Agency's Salary Schedule/Matrix for all groups.

**3. ACTION ITEMS**

**A. ELECTION OF OFFICERS**

Staff recommends that the Board elect, by majority vote, a President, Vice President, and Secretary/Treasurer of the Inland Empire Utilities Agency Board of Directors for one-year terms beginning January 1, 2021.

**B. APPOINTMENT OF A DIRECTOR TO THE METROPOLITAN WATER DISTRICT OF SOUTHERN CALIFORNIA**

Staff recommends that the Board review and consider its appointment of a Director to serve on the Metropolitan Water District of Southern California Board by majority vote.

**C. ADOPTION OF RESOLUTION NO. 2020-12-3, APPOINTING AGENCY REPRESENTATIVE AND ALTERNATE REPRESENTATIVE TO THE SAWPA COMMISSION**

Staff recommends that the Board adopt, by majority vote, Resolution No. 2020-12-3, appointing the Agency representative and alternate representative to the Santa Ana Watershed Project Authority (SAWPA) Commission.

**D. APPOINTMENT OF A REPRESENTATIVE AND ALTERNATE REPRESENTATIVE FOR SAWPA PROJECT AGREEMENT (PA) 23 AND PROJECT AGREEMENT 24**

Staff recommends that the Board appoint a representative and alternate representative for the SAWPA PA 23, and for the SAWPA PA 24.

**E. IEUA FISCAL YEAR (FY) 2019/20 SINGLE AUDIT AND AUDITED COMPREHENSIVE ANNUAL FINANCIAL REPORT (Audit)(Finance & Admin)**

Staff recommends that the Board:

1. Approve the Single Audit Report for fiscal year ended June 30, 2020, in draft form;
2. Approve the Comprehensive Annual Financial Report for fiscal year ended June 30, 2020; and
3. Direct staff to distribute the report as appropriate, to the various federal, state, and local agencies, financial institutions, bond rating agencies, and other interested parties.

**4. INFORMATION ITEMS**

**A. FISCAL YEAR 2020/21 FIRST QUARTER BUDGET VARIANCE, PERFORMANCE GOAL UPDATES, AND BUDGET TRANSFERS (WRITTEN/POWERPOINT) (Finance & Admin)**

**B. ENGINEERING AND CONSTRUCTION MANAGEMENT PROJECT UPDATES (POWERPOINT) (Eng/Ops/WR)**

**RECEIVE AND FILE INFORMATION ITEMS**

**C. RP-5 EXPANSION PROJECT UPDATE: DECEMBER 2020 (POWERPOINT) (Eng/Ops/WR)**

**D. TREASURER'S REPORT OF FINANCIAL AFFAIRS (WRITTEN/POWERPOINT) (Finance & Admin)**

**E. WATER CONNECTION FEE AUDIT – FOLLOW-UP REVIEW (WRITTEN/ POWERPOINT) (Audit)**



- F. **INTERIM REPORT: REVIEW OF AGENCY COVID-19 EXPENSES (WRITTEN/POWERPOINT)** *(Audit)*
- G. **INTERNAL AUDIT DEPARTMENT QUARTERLY STATUS REPORT FOR DECEMBER 2020 (WRITTEN)** *(Audit)*
- H. **PUBLIC OUTREACH AND COMMUNICATION (WRITTEN)** *(Community & Leg)*
- I. **STATE LEGISLATIVE REPORT FROM WEST COAST ADVISORS (WRITTEN)** *(Community & Leg)*
- J. **FEDERAL LEGISLATIVE REPORT AND MATRIX FROM INNOVATIVE FEDERAL STRATEGIES (WRITTEN)** *(Community & Leg)*
- K. **CALIFORNIA STRATEGIES, LLC MONTHLY ACTIVITY REPORT (WRITTEN)** *(Community & Leg)*

5. **AGENCY REPRESENTATIVES' REPORTS**

- A. **SAWPA REPORT (WRITTEN)**  
December 1, 2020 and December 15, 2020 SAWPA Commission meetings.
- B. **MWD REPORT (WRITTEN)**  
December 8, 2020 MWD Board meeting.
- C. **REGIONAL SEWERAGE PROGRAM POLICY COMMITTEE REPORT (WRITTEN)**  
December 3, 2020 Regional Sewerage Program Policy Committee meeting.
- D. **CHINO BASIN WATERMASTER REPORT (WRITTEN)**  
November 19, 2020 CBWM Board meeting.
- E. **CHINO BASIN DESALTER AUTHORITY**  
The next CDA Board Meeting is scheduled for January 7, 2021.
- F. **INLAND EMPIRE REGIONAL COMPOSTING AUTHORITY**  
The next IERCA Board Meeting is scheduled for February 1, 2021.

6. **GENERAL MANAGER'S REPORT (WRITTEN)**

7. **BOARD OF DIRECTORS' REQUESTED FUTURE AGENDA ITEMS**

**8. DIRECTORS' COMMENTS**

**A. CONFERENCE REPORTS**

This is the time and place for the Members of the Board to report on prescheduled Committee/District Representative Assignment meetings, which were held since the last regular Board meeting, and/or any other items of interest.

**9. CLOSED SESSION**

**A. PURSUANT TO GOVERNMENT CODE SECTION 54956.9(a) –  
CONFERENCE WITH LEGAL COUNSEL – EXISTING LITIGATION**

1. Ortiz v. IEUA, Case No. CIVDS 2020900

**ADJOURN**

\*A Municipal Water District

In compliance with the Americans with Disabilities Act, if you need special assistance to participate in this meeting, please contact the Board Secretary/Office Manager (909) 993-1736, 48 hours prior to the scheduled meeting so that the Agency can make reasonable arrangements.

**Declaration of Posting**

I, Denise Garzaro, Board Secretary/Office Manager of the Inland Empire Utilities Agency\*, A Municipal Water District, hereby certify that a copy of this agenda has been posted by 5:30 p.m. on the Agency's website at [www.ieua.org](http://www.ieua.org) and at the Agency's main office, 6075 Kimball Avenue, Building A, Chino, CA on Thursday, December 10, 2020.



*Denise Garzaro, CMC*

**CONSENT  
CALENDAR  
ITEM**

**2A**



**MINUTES OF THE  
MEETING OF THE  
INLAND EMPIRE UTILITIES AGENCY  
BOARD OF DIRECTORS**

**WEDNESDAY, NOVEMBER 18, 2020  
10:00 A.M.**

**DIRECTORS PRESENT:**

Kati Parker, President  
Steven J. Elie, Secretary/Treasurer

**DIRECTORS PRESENT via Video/Teleconference:**

Jasmin A. Hall, Vice President  
Michael Camacho  
Paul Hofer

**STAFF PRESENT:**

Shivaji Deshmukh, General Manager  
Christiana Daisy, Executive Manager of Engineering/AGM  
Denise Garzaro, Board Secretary/Office Manager  
Daniel Solorzano, Technology Specialist I  
Wilson To, Technology Specialist II

**STAFF PRESENT via Video/Teleconference:**

Kathy Besser, Executive Manager of External Affairs & Policy Development/AGM  
Randy Lee, Executive Manager of Operations/AGM  
Christina Valencia, Executive Manager of Finance & Administration/AGM  
Adham Almasri, Senior Engineer  
Jerry Burke, Manager of Engineering  
Pietro Cambiaso, Deputy Manager of Planning & Environmental Resources  
Andrea Carruthers, Manager of External Affairs  
Javier Chagoyen-Lazaro, Manager of Finance & Accounting  
Don Hamlett, Acting Deputy Manager of Integrated Systems Services  
Elizabeth Hurst, Senior Environmental Resource Planner  
Jennifer Hy-Luk, Administrative Assistant II  
Sylvie Lee, Manager of Planning & Environmental Resources  
Jason Marseilles, Deputy Manager of Engineering  
Cathleen Pieroni, Manager of Government Relations  
Matthew Poeske, Senior Engineer  
Craig Proctor, Deputy Manager of Planning & Environmental Resources  
Jeanina Romero, Executive Assistant  
Travis Sprague, Associate Engineer  
Teresa Velarde, Manager of Internal Audit  
Brian Wilson, Senior Engineer

**OTHERS PRESENT via Teleconference:**

Jean Cihigoyenetché, JC Law Firm

A meeting of the Board of Directors of the Inland Empire Utilities Agency\* was held at the Agency Headquarters, 6075 Kimball Avenue, Bldg. A, Chino, California and via video/teleconference on the above date.

President Kati Parker called the meeting to order at 10:01 a.m. and dispensed the Pledge of Allegiance. Board Secretary/Office Manager Denise Garzaro took roll call to establish a quorum. A quorum was present.

**PUBLIC COMMENT**

There were no public comments.

**ADDITIONS TO THE AGENDA**

There were no changes/additions/deletions to the agenda.

**1. NEW, PROMOTED AND RECLASSIFIED EMPLOYEE INTRODUCTIONS**

The following staff members from the Engineering, Planning, and Science Division were promoted:

- Travis Sprague, Senior Project Manager, promoted November 15, 2020, Engineering & Construction Management
- Ryan Ward, Assistant Engineer, promoted November 15, 2020, Engineering & Construction Management

The following staff members from the Operations and Maintenance Division were introduced or reclassified:

- Michael Conway, Maintenance Supervisor, hired November 2, 2020, South Plant Maintenance
- Jeffrey Hyder, Electrical & Instrumentation Technician III, reclassified September 6, 2020, South Plant Maintenance

The Board of Directors welcomed Mr. Conway to the IEUA team and congratulated Mr. Sprague, Mr. Ward and Mr. Hyder on their promotions and reclassifications.

**2. CONSENT ITEMS**

**A. MINUTES**

**B. REPORT ON GENERAL DISBURSEMENTS (*Finance & Admin*)**

**C. SAN ANTONIO CREEK CHANNEL AND ENGLISH/CARBON CANYON CHANNEL EASEMENTS FOR RECYCLED WATER LINE (*Eng/Ops/WR*)**

**D. SCADA ENTERPRISE SYSTEM CONSULTING ENGINEERING SERVICES CONTRACT AMENDMENT** *(Eng/Ops/WR)*

**E. RP-1 TERTIARY TREATMENT BLEACH MIXING SYSTEM REPAIRS** *(Eng/Ops/WR)*

**F. CCWRF ASSET MANAGEMENT AND IMPROVEMENTS CONSULTANT CONTRACT AMENDMENT** *(Eng/Ops/WR)*

MOVED BY DIRECTOR ELIE, SECONDED BY DIRECTOR CAMACHO, AGENDA ITEM NOS. 2A THROUGH 2F APPROVED AS REFELCTED BELOW, BY THE FOLLOWING VOTE:

Ayes: Camacho, Elie, Hofer, Hall, Parker

Noes: None

Absent: None

Abstain: None

- Approved minutes of the October 7, 2020 Board Workshop/Meeting and October 21, 2020 Board Meeting.
- Approved the total disbursements for the month of September 2020, in the amount of \$16,551,642.02.
- Approved the purchase of the easements in the amount of \$324,091.50; Amended the Total Project Budget and FY 2020/21 Budget for the SBCFCD Recycled Water Easement, Project No. EN15043, in the amount of \$75,000; and Authorized the General Manager to sign the Easement Deed for the purchase of the easements subject to non-substantive changes.
- Approved a contract amendment for the SCADA Enterprise System, Project No. EN13016, to Eramosa International Inc., for a not-to-exceed amount of \$1,342,300, increasing the contract from \$2,403,528 to \$3,745,828 (35% increase); and Authorized the General Manager to execute the contract amendment subject to non-substantive changes.
- Awarded a construction contract for the RP-1 Tertiary Treatment Bleach Mixing System Repairs, Project No. EN20041, to W.A. Rasic Construction Co. Inc., in the amount of \$417,600; and Authorized the General Manager to execute the contract subject to non-substantive changes.
- Approved an amendment to the consulting engineering services contract for the CCWRF Asset Management and Improvements, Project No. EN17006, to CDM Smith, for the not-to-exceed amount of \$169,920, adjusting the contract from \$2,960,364 to \$3,130,284 (6% increase); and Authorized the General Manager to execute the contract amendment subject to non-substantive changes.

### 3. **ACTION ITEM**

#### A. **ADOPTION OF RESOLUTION NO. 2020-11-1, AMENDING THE AGENCY'S SALARY SCHEDULE/MATRIX FOR ALL GROUPS**

General Manager Shivaji Deshmukh stated that in advance of some changes he intends to implement to the organizational structure of the Agency, a number of title changes are required to more accurately align the titles with their job descriptions, in addition to some changes in salary ranges to better align with job duties.

MOVED BY DIRECTOR CAMACHO, SECONDED BY DIRECTOR HOFER, THE BOARD APPROVED AGENDA ITEM NO. 3A AS RECOMMENDED, ADOPTING RESOLUTION NO. 2020-11-1, AMENDING THE AGENCY'S SALARY SCHEDULE/MATRIX FOR ALL GROUPS, BY THE FOLLOWING VOTE:

Ayes: Camacho, Elie, Hofer, Hall, Parker

Noes: None

Absent: None

Abstain: None

### 4. **INFORMATION ITEMS**

#### A. **DEBT OVERVIEW** *(Finance & Admin)*

Manager of Finance and Accounting Javier Chagoyen-Lazaro provided a presentation on the Agency's debt and debt management.

The Directors provided feedback on the presentation and stressed the importance of sharing this information with other entities to correct misinformation that has been expressed regarding the Agency's total debt.

#### B. **UPPER SANTA ANA RIVER MULTIPLE SPECIES HABITAT CONSERVATION PLAN UPDATE** *(Eng/Ops/WR)*

Senior Environmental Resource Planner Elizabeth Hurst provided an update on the Upper Santa Ana River Multiple Species Habitat Conservation Plan.

#### C. **ENGINEERING AND CONSTRUCTION MANAGEMENT UPDATES** *(Eng/Ops/WR)*

Manager of Engineering Jerry Burke provided updates on the following projects: RP-1 Mechanical Restoration and Upgrades; RP-1 Flare Improvements; IERCF Design Build Wash Pad Cover; and Lower Day Basin Improvements.

### **THE FOLLOWING ITEMS WERE RECEIVED AND FILED BY THE BOARD**

#### D. **RP-5 EXPANSION PROJECT UPDATE: NOVEMBER 2020**

#### E. **TREASURER'S REPORT OF FINANCIAL AFFAIRS**

#### F. **PUBLIC OUTREACH AND COMMUNICATION**

**G. STATE LEGISLATIVE REPORT FROM WEST COAST ADVISORS**

**H. FEDERAL LEGISLATIVE REPORT AND MATRIX FROM INNOVATIVE FEDERAL STRATEGIES**

**I. CALIFORNIA STRATEGIES, LLC MONTHLY ACTIVITY REPORT**

**5. AGENCY REPRESENTATIVES' REPORTS**

**A. SAWPA REPORT**

President Parker reported that at the November 3, 2020 SAWPA Commission meeting, the Commission voted to support inclusion of an initial Phase 1A Monitoring Program to Assess Homelessness Impact on Water Quality in the Upper Santa Ana River Watershed estimated to cost \$88,800.

**B. MWD REPORT**

Director Camacho reported that at its November 10, 2020 meeting, the MWD Board approved an agreement with LA County Sanitation. He asked General Manager Deshmukh to provide additional details.

General Manager Deshmukh explained that the action taken marks a significant milestone for Metropolitan's "Regional Recycled Water" program, a partnership between Metropolitan and the Los Angeles County Sanitation Districts. The Board unanimously approved the authorization of \$30.2 million towards the development of a programmatic environmental planning document in support of a 150-mgd potable reuse facility and distribution system in Carson. The Board is expected to consider whether or not to build the facilities in 2024.

Between now and the final decision in 2024, Metropolitan is also expected to complete a final cost estimate and a Cost of Service Study to determine how the costs of the proposed project will be allocated to Metropolitan's rates and charges.

**C. REGIONAL SEWERAGE PROGRAM POLICY COMMITTEE REPORT**

President Parker reported that at the November 5, 2020 Regional Sewerage Program Policy Committee meeting the Regional Contract Negotiations were discussed. President Parker noted the importance for all stakeholders to work cooperatively towards a successful negotiation.

**D. CHINO BASIN WATERMASTER REPORT**

Director Elie reported that at its October 22, 2020 meeting, the CBWM Board approved the 2020 OBMP Report.

**E. CHINO BASIN DESALTER AUTHORITY**

Director Elie reported that at the November 5, 2020 Special CDA Board meeting, several contracts were awarded in relation to the South Archibald Plume.

**F. INLAND EMPIRE REGIONAL COMPOSTING AUTHORITY**

Director Camacho reported that the IERCA Board met on November 2, 2020, and the items covered were routine in nature.



**6. GENERAL MANAGER'S REPORT**

General Manager Deshmukh explained that the General Manager's written report has been updated to a more concise format.

General Manager Deshmukh provided an update on the WSIP Program, indicating that staff continues to engage with the General Managers of the member agencies to develop strategies to address water resources challenges and opportunities in the IEUA service area. Based on these discussions, in early 2021 staff will evaluate if there is interest in moving forward with an early funding request to the California Water Commission. The project would be eligible for reimbursement of up to 5 percent of project costs dating back to August 14, 2017, approximately \$2 million. The evaluation of the program facilities and operations is on-going in response to the WSIP performance requirements and water resources challenges.

He added that at the November 17, 2020, San Antonio Water Company Board of Directors meeting, he formally introduced himself and provided an update on the Agency's initiatives.

**7. BOARD OF DIRECTORS' REQUESTED FUTURE AGENDA ITEMS**

There were no requested future agenda items.

**8. DIRECTORS' COMMENTS AND CONFERENCE REPORTS**

Director Elie stated that he attended the RP-5 Virtual Groundbreaking on October 29; and that he participated in the IEUA Virtual Service Awards on November 4 and the Southern California Water Coalition 36<sup>th</sup> Annual Event and Awards Presentation on November 12.

Vice President Hall stated that she attended the Annual Water Resources Virtual Conference from November 9 through November 12.

President Parker stated that she attended the RP-5 Virtual Groundbreaking on October 29 and the WELL 2020 Virtual Conference: Climate Change and Political Climate Impacting CA Water on November 13.

Directors Camacho, Elie and Hofer commended President Parker on her service and dedication to the Agency and provided well wishes as her term on the Board comes to an end.

President Parker detailed her history with IEUA and expressed appreciation to staff and her fellow Board Members for the relationships that have been built throughout her time on the Board.

**9. ADJOURNMENT**

With no further business, President Parker adjourned the meeting at 11:28 a.m.

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Steven J. Elie, Secretary/Treasurer

**APPROVED: DECEMBER 16, 2020**

**CONSENT  
CALENDAR  
ITEM**

**2B**

**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**From:** Shivaji Deshmukh, General Manager

**Committee:** Finance & Administration

12/09/20

**Executive Contact:** Christina Valencia, Executive Manager of Finance & Administration/AGM

**Subject:** Report on General Disbursements

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**Executive Summary:**

Total disbursements for the month of October 2020 were \$20,222,969.94. Disbursement activity included check payments of \$1,179,822.37 to vendors and \$19,892.30 for worker's compensation related costs. Electronic payments included the Automated Clearing House (ACH) of \$9,704,291.70 and wire transfers (excluding payroll) of \$7,689,206.91. The total payroll was \$1,623,349.76 for employees and \$6,406.90 for the Board of Directors.

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**Staff's Recommendation:**

Approve the total disbursements for the month of October 2020, in the amount of \$20,222,969.94.

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**Budget Impact** *Budgeted (Y/N):* N *Amendment (Y/N):* N *Amount for Requested Approval:*

*Account/Project Name:*

*Fiscal Impact (explain if not budgeted):*

**Prior Board Action:**

On November 18, 2020 the Board of Directors approved the September 2020 Report on General Disbursements totaling \$16,551,642.02.

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

The report on general disbursements is consistent with the Agency's Business Goal of Fiscal Responsibility in providing financial reporting that accounts for general disbursements associated with operating requirements.

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**Attachments:**

Attachment 1 - Background

Attachment 2 - Details of General Disbursements

## Background

Subject: Report on General Disbursements

Table 1 summarizes the disbursements detailed in each of the six attachments affixed to this letter. Table 2 lists the disbursements in excess of an aggregated \$500,000 per vendor and is presented in largest to smallest dollar value.

**Table 1: Disbursement Details**

Attachment	Payment Type	Amount
2A	Vendor Checks	\$ 1,179,822.37
2B	Workers' Comp Checks	\$ 19,892.30
2C	Vendor ACHs	\$ 9,704,291.70
2D	Vendor Wires (excludes Payroll)	\$ 7,689,206.91
2E	Payroll-Net Pay-Directors	\$ 6,406.90
2F	Payroll-Net Pay-Employees	\$ 1,623,349.76
<b>Total Disbursements</b>		<b>\$20,222,969.94</b>

**Table 2: Disbursements in Excess of \$500,000 per Vendor**

Vendor	Amount	Description
MWD	\$ 6,234,122.50	August 2020 Water Purchases
WM LYLES COMPANY	\$ 1,105,500.67	Professional Svc's for: EN17043 – RP-4 Primary Clarifier Rehabilitation; EN17110-Rp-4 Process Improvements
STANEK CONSTRUCTORS INC	\$ 980,279.82	Professional Svc's for: EN19010 – RP-4 Influent Screen Replacement; EN14042 - 1158 Recycled Water Pump Station Upgrades
SO. CALIF EDISON	\$ 725,824.17	08/14/20 – 10/19/20 Electricity
PERS	\$ 684,427.52	10/20 Health Ins / P/R 21, 22, Def Comp
IRS	\$ 674,837.00	P/R 19, 20; Dir 09 Payroll Taxes
INLAND EMPIRE REGIONAL COMPOSTING AUTHORITY	\$ 560,365.29	08/20, 09/20 RP1 Biosolids; 08/20 RP2 Biosolids

# Attachment 2A

## Vendor Checks

Inland Empire Util.Agency  
Chino, CA  
Company code 1000

Check Register  
CEB Disbursement Account -October 2020

11/25/2020 / 11:56:05  
User: CCAMPBRL  
Page: 1

Bank	CBB	CITIZENS BUSINESS BANK			ONTARIO CA	917610000
Bank Key	122234149					
Acct number	CHECK	231167641				
Check						
Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void
233479	2200110461	10/08/2020	USD	69,300.00	ACUMOR SOLUTIONS LLC PASADENA CA	10/13/2020
233480	2200110422	10/08/2020	USD	2,873.29	AIRGAS WEST INC PASADENA CA	10/13/2020
233481	2200110426	10/08/2020	USD	849.47	AMETEK BROOKFIELD CHANDLER AZ	10/21/2020
233482	2200110418	10/08/2020	USD	2,720.00	ASSOC OF CALIFORNIA WATER AGENSACRAMENTO CA	10/15/2020
233483	2200110435	10/08/2020	USD	608.03	BOOT BARN INC IRVINE CA	10/15/2020
233484	2200110455	10/08/2020	USD	125.00	CALIF WATER EFFICIENCY PARTINERSACRAMENTO CA	10/27/2020
233485	2200110427	10/08/2020	USD	759.00	CALIF WATER ENVIRONMENT ASSOC OAKLAND CA	10/28/2020
233486	2200110477	10/08/2020	USD	147.63	CARL H TAYLOR III CRYSTAL RIVER FL	10/15/2020
233487	2200110441	10/08/2020	USD	11,860.82	CINTAS CORPORATION LOC#150 PHOENIX AZ	10/14/2020
233488	2200110434	10/08/2020	USD	643.15	CINTAS FIRST AID & SAFETY LOCCINCINNATI OH	10/14/2020
233489	2200110475	10/08/2020	USD	414.50	CITY EMPLOYEES ASSOCIATES LONG BEACH CA	10/14/2020
233490	2200110468	10/08/2020	USD	630.57	CITY OF CHINO CHINO CA	10/16/2020
233491	2200110459	10/08/2020	USD	1,000.00	COALITION ACCREDITED LABORATORPAPADENA CA	10/13/2020
233492	2200110444	10/08/2020	USD	763.50	CONCENTRA MEDICAL CENTERS RANCHO CUCAMONGA CA	10/14/2020
233493	2200110464	10/08/2020	USD	6,449.20	CONSTRUCTION TESTING AND RIVERSIDE CA	10/14/2020
233494	2200110449	10/08/2020	USD	927.50	CONTROLWORKS, INC. CHINO CA	10/15/2020
233495	2200110470	10/08/2020	USD	371.10	CUCAMONGA VALLEY WATER DISTRICTLOS ANGELES CA	10/14/2020
233496	2200110424	10/08/2020	USD	47,954.52	DELL MARKETING L P PASADENA CA	10/13/2020
233497	2200110429	10/08/2020	USD	2,407.11	ENVIRONMENTAL EXPRESS CHARLESTON SC	11/09/2020
233498	2200110476	10/08/2020	USD	2,321.44	FIDELITY SECURITY LIFE INSURANCINCINNATI OH	10/15/2020
233499	2200110423	10/08/2020	USD	2,204.25	FLW INC HUNTINGTON BEACH CA	10/19/2020
233500	2200110474	10/08/2020	USD	125.00	FRANCHISE TAX BOARD SACRAMENTO CA	10/20/2020
233501	2200110471	10/08/2020	USD	2,921.08	FRONTIER COMMUNICATIONS CORP CINCINNATI OH	10/21/2020
233502	2200110453	10/08/2020	USD	1,000.00	GARDENSOFT CORPORATION THOUSAND OAKS CA	10/13/2020
233503	2200110447	10/08/2020	USD	21,708.00	HARPER & ASSOCIATES ENGINEERINGCORONA CA	
233504	2200110479	10/08/2020	USD	98.00	HAWES, STEPHANIE CHINO HILLS CA	11/04/2020
233505	2200110478	10/08/2020	USD	24.26	HEATH, SHARYL CHINO HILLS CA	10/09/2020
233506	2200110454	10/08/2020	USD	521.51	HOWDEN ROOTS LLC DALLAS TX	10/14/2020
233507	2200110465	10/08/2020	USD	179.57	IBS INLAND VALLEY UPLAND CA	10/16/2020
233508	2200110466	10/08/2020	USD	27,902.30	ICF JONES & STOKES INC CHICAGO IL	10/14/2020
233509	2200110452	10/08/2020	USD	6,067.78	IDEXX DISTRIBUTION INC ATLANTA GA	10/14/2020
233510	2200110445	10/08/2020	USD	1,010.09	INDUSTRIAL RUBBER & SUPPLY INC SAN BERNARDINO CA	10/14/2020
233511	2200110472	10/08/2020	USD	51.00	INLAND EMPIRE UNITED WAY RANCHO CUCAMONGA CA	10/16/2020
233512	2200110443	10/08/2020	USD	50.00	INTERNATIONAL PUBLIC MANAGEMENALEXANDRIA VA	10/16/2020
233513	2200110458	10/08/2020	USD	31,875.39	JAMES MOMINN INC RIVERSIDE CA	10/15/2020
233514	2200110437	10/08/2020	USD	24,951.25	KENNEDY/JENKS CONSULTANTS INC PORTLAND OR	10/15/2020
233515	2200110446	10/08/2020	USD	446.50	KIM'S MASTER AUTO REPAIR CHINO CA	10/15/2020
233516	2200110451	10/08/2020	USD	15,360.00	LABORATORY SERVICE AND CONSULTVACAVILLE CA	10/15/2020
233517	2200110463	10/08/2020	USD	5,490.00	LECLAIRE & ASSOCIATES COSTA MESA CA	10/14/2020
233518	2200110462	10/08/2020	USD	19,079.40	LIBERTY LANDSCAPING INC RIVERSIDE CA	10/26/2020
233519	2200110473	10/08/2020	USD	15,562.18	LIFE INSURANCE COMPANY OF PHILADELPHIA PA	10/16/2020
233520	2200110448	10/08/2020	USD	156.00	LITTLE SISTER'S TRUCK WASH, INGENOA NV	10/20/2020
233521	2200110428	10/08/2020	USD	22.76	MIDPOINT BEARING ONTARIO CA	10/13/2020
233522	2200110420	10/08/2020	USD	121.03	OFFICE DEPOT PHOENIX AZ	10/16/2020

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Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void
233523	2200110469	10/08/2020	USD	5,689.52	ONTARIO MUNICIPAL UTILITIES COONTARIO CA	10/14/2020
233524	2200110436	10/08/2020	USD	1,102.08	PANIHER PROTECTION ORANGE CA	10/13/2020
233525	2200110421	10/08/2020	USD	754.26	PERKINELMER HEALTH SCIENCES INCHICAGO IL	10/19/2020
233526	2200110438	10/08/2020	USD	2,306.12	PONTON INDUSTRIES INC YORBA LINDA CA	10/21/2020
233527	2200110419	10/08/2020	USD	29.63	REM LOCK & KEY ONTARIO CA	10/15/2020
233528	2200110432	10/08/2020	USD	369.38	RESTEK CORP LANCASTER PA	10/14/2020
233529	2200110431	10/08/2020	USD	60.00	SAN BERNARDINO COUNTY RECORDERSAN BERNARDINO CA	10/19/2020
233530	2200110467	10/08/2020	USD	10,000.00	SOCIAL AND ENVIRONMENTAL CALABASAS CA	11/09/2020
233531	2200110425	10/08/2020	USD	25,000.00	SOUTHERN CALIFORNIA WATER COALCORONA CA	11/13/2020
233532	2200110442	10/08/2020	USD	17,327.11	SUN WIRELESS SAN DIEGO CA	10/14/2020
233533	2200110460	10/08/2020	USD	1,850.07	SUPPLY SOLUTIONS CERRITOS CA	10/13/2020
233534	2200110440	10/08/2020	USD	40.00	THE INSTITUTE OF INTERNAL AUDIORLANDO FL	10/14/2020
233535	2200110457	10/08/2020	USD	191.76	TRAJAN SCIENTIFIC AMERICAS INCPFLUGERVILLE TX	10/16/2020
233536	2200110430	10/08/2020	USD	357.25	VERIZON WIRELESS DALLAS TX	10/14/2020
233537	2200110456	10/08/2020	USD	3,875.00	WATER SYSTEMS CONSULTING INC SAN LUIS OBISPO CA	10/21/2020
233538	2200110450	10/08/2020	USD	1,914.67	WESTERN AUDIO VISUAL ORANGE CA	10/14/2020
233539	2200110433	10/08/2020	USD	27,790.25	WILDERMUTH ENVIRONMENTAL INC LAKE FOREST CA	10/19/2020
233540	2200110439	10/08/2020	USD	302.47	WORLDWIDE EXPRESS PASADENA CA	10/13/2020
233541	2200110545	10/08/2020	USD	188.02	AMERICAN HERITAGE LIFE INSURANDALLAS TX	10/23/2020
233542	2200110546	10/08/2020	USD	269.52	COLONIAL LIFE & ACCIDENT INSURCOLUMBIA SC	10/23/2020
233543	2200110549	10/08/2020	USD	221.25	LEGALSHIELD ADA OK	10/23/2020
233544	2200110547	10/08/2020	USD	616.14	PERS LONG TERM CARE PROGRAM PASADENA CA	10/21/2020
233545	2200110548	10/08/2020	USD	25.00	WILCO LIFE INSURANCE COMPANY CHARLOTTE NC	10/26/2020
233546	2200110690	10/22/2020	USD	68,532.20	ADVANCED INDUSTRIAL SERVICES ILOS ALAMITOS CA	11/05/2020
233547	2200110655	10/22/2020	USD	2,000.60	AIRGAS WEST INC PASADENA CA	10/27/2020
233548	2200110681	10/22/2020	USD	51,593.68	BANNER BANK CARLSBAD CA	10/27/2020
233549	2200110682	10/22/2020	USD	1,125.00	BETSY JACOBSON AND ASSOCIATES ENCINITAS CA	10/27/2020
233550	2200110652	10/22/2020	USD	65,821.25	BROWN AND CALDWELL WALNUT CREEK CA	11/04/2020
233551	2200110697	10/22/2020	USD	1,835.10	BURRTEC WASTE INDUSTRIES INC FONTANA CA	10/29/2020
233552	2200110656	10/22/2020	USD	1,172.00	CALIF WATER ENVIRONMENT ASSOC OAKLAND CA	11/19/2020
233553	2200110666	10/22/2020	USD	620.00	CALPELRA PLEASANTON CA	10/28/2020
233554	2200110704	10/22/2020	USD	147.63	CARL H TAYLOR III CRYSTAL RIVER FL	10/27/2020
233555	2200110659	10/22/2020	USD	1,454.50	CDW GOVERNMENT INC CHICAGO IL	10/29/2020
233556	2200110705	10/22/2020	USD	300.00	CHEN, JESSICA CHINO HILLS CA	10/27/2020
233557	2200110657	10/22/2020	USD	754.50	CHINO BASIN WATER CONSERVATIONMONTCLAIR CA	10/28/2020
233558	2200110672	10/22/2020	USD	3,603.03	CINTAS CORPORATION LOC#150 PHOENIX AZ	10/29/2020
233559	2200110664	10/22/2020	USD	1,339.18	CINTAS FIRST AID & SAFETY LOCCINCINNATI OH	10/28/2020
233560	2200110703	10/22/2020	USD	414.50	CITY EMPLOYEES ASSOCIATES LONG BEACH CA	10/27/2020
233561	2200110693	10/22/2020	USD	1,598.57	CITY OF CHINO CHINO CA	10/28/2020
233562	2200110674	10/22/2020	USD	93.00	CONCENTRA MEDICAL CENTERS RANCHO CUCAMONGA CA	10/28/2020
233563	2200110688	10/22/2020	USD	4,694.55	CONSTRUCTION TESTING AND RIVERSIDE CA	10/28/2020
233564	2200110695	10/22/2020	USD	596.65	CUCAMONGA VALLEY WATER DISTRICTLOS ANGELES CA	10/27/2020
233565	2200110676	10/22/2020	USD	4,490.00	DAVID WHEELER'S PEST CONTROL INORCO CA	10/28/2020
233566	2200110678	10/22/2020	USD	50.00	EPIC LAND SOLUTIONS INC PHOENIX AZ	10/28/2020



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Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void
233567	2200110653	10/22/2020	USD	6,542.58	FLO SYSTEMS INC ANAHEIM HILLS CA	10/27/2020
233568	2200110685	10/22/2020	USD	513.97	FLUME INC SAN LUIS OBISPO CA	10/28/2020
233569	2200110696	10/22/2020	USD	905.25	FONTANA WATER COMPANY FONTANA CA	10/28/2020
233570	2200110702	10/22/2020	USD	125.00	FRANCHISE TAX BOARD SACRAMENTO CA	10/29/2020
233571	2200110699	10/22/2020	USD	2,955.41	FRONTIER COMMUNICATIONS CORP CINCINNATI OH	10/29/2020
233572	2200110692	10/22/2020	USD	29,471.00	HALIBURTON INTERNATIONAL FOODS ONTARIO CA	10/27/2020
233573	2200110684	10/22/2020	USD	48,397.53	HTE ENGINEERING LLC SANDY UT	11/04/2020
233574	2200110679	10/22/2020	USD	3,637.58	ICE QUBE INC GREENSBURG PA	10/27/2020
233575	2200110691	10/22/2020	USD	315.50	IFLOW ENERGY SOLUTIONS INC SANTA ANA CA	10/27/2020
233576	2200110675	10/22/2020	USD	153.44	INDUSTRIAL RUBBER & SUPPLY INC SAN BERNARDINO CA	10/27/2020
233577	2200110701	10/22/2020	USD	51.00	INLAND EMPIRE UNITED WAY RANCHO CUCAMONGA CA	10/30/2020
233578	2200110689	10/22/2020	USD	96,142.84	KASA CONSTRUCTION INC CHINO CA	11/23/2020
233579	2200110706	10/22/2020	USD	300.00	KUMAR, RITESH UPLAND CA	10/27/2020
233580	2200110698	10/22/2020	USD	932.79	LEVEL 3 COMMUNICATIONS LLC DENVER CO	11/02/2020
233581	2200110663	10/22/2020	USD	861.00	LIEBERT CASSIDY WHITMORE LOS ANGELES CA	10/30/2020
233582	2200110677	10/22/2020	USD	5,288.43	LILLESTRAND LEADERSHIP CONSULTING CHINO HILLS CA	11/04/2020
233583	2200110687	10/22/2020	USD	68,847.16	MC PAINTING OCEANSIDE CA	10/28/2020
233584	2200110665	10/22/2020	USD	4,391.66	NAUMANN HOBBS MATERIAL HANDLING LOS ANGELES CA	10/28/2020
233585	2200110670	10/22/2020	USD	500.00	NOBEL SYSTEMS INC SAN BERNARDINO CA	10/27/2020
233586	2200110667	10/22/2020	USD	33,843.77	NORSTAR PLUMBING & ENGINEERING ALTA LOMA CA	10/29/2020
233587	2200110694	10/22/2020	USD	219.30	ONTARIO MUNICIPAL UTILITIES CO ONTARIO CA	10/26/2020
233588	2200110686	10/22/2020	USD	115,000.00	P&O SOLUTIONS NEWTOWN SQUARE PA	10/30/2020
233589	2200110707	10/22/2020	USD	300.00	PASCUA, IAN CHINO CA	10/28/2020
233590	2200110683	10/22/2020	USD	610.56	QUINN COMPANY LOS ANGELES CA	10/27/2020
233591	2200110708	10/22/2020	USD	300.00	RAMOS, MICHAEL RANCHO CUCAMONGA CA	10/29/2020
233592	2200110661	10/22/2020	USD	30,000.00	SAN BERNARDINO COUNTY SAN BERNARDINO CA	10/29/2020
233593	2200110662	10/22/2020	USD	240.00	SAN BERNARDINO COUNTY RECORDERS SAN BERNARDINO CA	10/29/2020
233594	2200110671	10/22/2020	USD	1,861.00	SAN BERNARDINO COUNTY SAN BERNARDINO CA	10/29/2020
233595	2200110700	10/22/2020	USD	156.00	SHERIFF'S COURT SERVICES SAN BERNARDINO CA	10/30/2020
233596	2200110654	10/22/2020	USD	1,271.90	SOUTHWEST ALARM SERVICE UPLAND CA	10/27/2020
233597	2200110673	10/22/2020	USD	14,134.56	U S BANK ST LOUIS MO	10/27/2020
233598	2200110669	10/22/2020	USD	494.84	URIMAGE BLOOMINGTON CA	10/28/2020
233599	2200110680	10/22/2020	USD	22,546.00	UTILIQUEST LLC ATLANTA GA	10/26/2020
233600	2200110709	10/22/2020	USD	381.30	VERDECIA, AIDA RANCHO CUCAMONGA CA	10/27/2020
233601	2200110660	10/22/2020	USD	12,144.85	VERIZON WIRELESS DALLAS TX	10/27/2020
233602	2200110658	10/22/2020	USD	3,927.25	WM CORPORATE SERVICES INC LOS ANGELES CA	10/26/2020
233603	2200110668	10/22/2020	USD	147.24	WORLDWIDE EXPRESS PASADENA CA	10/26/2020
233604	2200111026	10/29/2020	USD	539.86	ANDERSON, JOHN L CHINO CA	11/10/2020
233605	2200110995	10/29/2020	USD	2,384.57	BANK OF AMERICA, N.A. CHARLOTTE NC	10/30/2020
233606	2200111023	10/29/2020	USD	200.43	BREIG, ANNA VICTORVILLE CA	
233607	2200111019	10/29/2020	USD	473.43	CITY OF CHINO CHINO CA	11/04/2020
233608	2200110992	10/29/2020	USD	5,530.00	CIVILTEC ENGINEERING, INC. MONROVIA CA	
233609	2200111016	10/29/2020	USD	840.00	CONSTRUCTION TESTING AND RIVERSIDE CA	11/10/2020
233610	2200111021	10/29/2020	USD	363.75	CUCAMONGA VALLEY WATER DISTRICT LOS ANGELES CA	11/04/2020

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Bank	CBB	CITIZENS BUSINESS BANK				ONTARIO CA	917610000
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Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void	
233611	2200111025	10/29/2020	USD	539.86	HOBBS, DIANA APPLE VALLEY CA	11/06/2020	
233612	2200111024	10/29/2020	USD	539.86	HORNE, WILLIAM YUCCA VALLEY CA	11/04/2020	
233613	2200110967	10/29/2020	USD	50.00	INSTITUTE OF INTERNAL AUDITORSRIVERSIDE CA		
233614	2200111009	10/29/2020	USD	2,395.00	JER HR GROUP LLC RALEIGH NC	11/03/2020	
233615	2200111035	10/29/2020	USD	100.00	Jayadharma, Sogata Chino Hills CA	11/09/2020	
233616	2200111020	10/29/2020	USD	6,817.46	ONTARIO MUNICIPAL UTILITIES COONTARIO CA	11/03/2020	
233617	2200111017	10/29/2020	USD	7,145.20	RADAR ENVIRONMENTAL INC ANAHEIM CA	11/12/2020	
233618	2200111036	10/29/2020	USD	50.00	Tai, Diep Fontana CA		
233619	2200110969	10/29/2020			voided by SHEATH - Printed incorrectly	10/29/2020	
233620	2200111037	10/29/2020	USD	373.62	SO CALIF GAS MONTEREY PARK CA	11/05/2020	
233621	2200111044	10/29/2020	USD	1,000.00	FIRST AMERICAN TITLE COMPANY RIVERSIDE CA		
* Payment method Check			USD	1,179,822.37			

Total of all entries

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Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void
**			USD	1,179,822.37		

## Attachment 2B

### Workers' Comp Checks

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CBB Workers Compensation Account-Oct'20

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Bank	CBB	CITIZENS BUSINESS BANK				ONTARIO CA 917610000	
Bank Key	122234149						
Acct number	WCOMP	231159290					
Checks created manually							
Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code		Enca./void
05480	2200110643	10/07/2020	USD	10,000.00	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		10/16/2020
05481	2200110644	10/07/2020	USD	2,532.10	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		10/20/2020
05482	2200110645	10/07/2020	USD	20.70	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		10/13/2020
05483	2200110646	10/07/2020	USD	153.83	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		10/13/2020
05484	2200110647	10/14/2020	USD	2,307.40	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		10/30/2020
05485	2200110648	10/14/2020	USD	263.78	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		10/19/2020
05486	2200110649	10/14/2020	USD	9.28	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		10/20/2020
05487	2200110650	10/14/2020	USD	0.86	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		10/19/2020
05488	2200110651	10/14/2020	USD	20.70	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		10/19/2020
05489	2200110710	10/21/2020	USD	187.15	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		10/29/2020
05490	2200110711	10/21/2020	USD	383.46	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		10/26/2020
05491	2200110712	10/21/2020	USD	950.32	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		10/29/2020
05492	2200110713	10/21/2020	USD	32.85	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		
05493	2200111067	10/28/2020	USD	2,307.40	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		
05494	2200111068	10/28/2020	USD	564.07	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		
05495	2200111069	10/28/2020	USD	158.40	YORK RISK SERVICES GROUP INC RANCHO CUCAMONGA CA		
* Payment method Checks created manually			USD	19,892.30			

Total of all entries

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Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void
**			USD	19,892.30		

# Attachment 2C

## Vendor ACHs

Check Payee / Description	Amount
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ACH	AQUA BEN CORPORATION			
	DAFT-4,600 Lbs Hydrofloc 748E	41309		4,460.85
	RP1-23,000 Lbs Hydrofloc 750A	41310		29,243.35
			- - - - -	
	AQUA BEN CORPORATION		\$	33,704.20
ACH	CALTROL INC			
	5 Sapphire Orifice Imprvd Shrouds	CD99111012		5,410.47
			- - - - -	
	CALTROL INC		\$	5,410.47
ACH	HASCO OIL COMPANY, INC.			
	RP5Mnt-Mobil Delvac 1300 Super-2 Drums	0234809-IN		3,640.32
			- - - - -	
	HASCO OIL COMPANY, INC.		\$	3,640.32
ACH	HOME DEPOT CREDIT SERVICES			
	GWOps-Nozzle-Multi Pattern Rear Trigger	4520029		10.75
	GWOps-Clr Ld Pr Orgnzrs,Stack Totes	4520026		150.68
	GWOps-Hammer	5524070		27.98
	GWOps-Brt Dily, Snp Hks, At Trm & Bmr Rst	7523322		167.99
	GWOps-Mnt Cstrs w/Brk, Strg Bns, Utlty Kn	8540750		73.17
	GWOps-Wrkbkch, Srg Prtctr, Psh Brm/Scrprr	9511250		625.97
			- - - - -	
	HOME DEPOT CREDIT SERVICES		\$	1,056.54
ACH	NAPA GENUINE PARTS COMPANY			
	6 Blue Def 2.5 Gals	4584-313499		97.69
	2 Refund Core Deposits	4584-305618		155.16-
	2 Refund Core Deposits	4584-311021		155.16-
	Grease	4584-311477		288.34
	Wheel Changer	4584-310487		192.87
			- - - - -	
	NAPA GENUINE PARTS COMPANY		\$	268.58
ACH	ROYAL INDUSTRIAL SOLUTIONS			
	Class J Time Delay Fuses	6046-685016		913.86
	Wire	6046-685158		25.82
	HID Lamps	6046-685259		413.11
	Timer Relays	6046-684145		400.60
	Covers/Gaskets	6046-684725		10.82
	Micro Switch	6046-683366		49.34
	Metal Halide Lamps, HID Lamps	6046-685270		501.55
	HID Lamps	6046-685295		82.62
			- - - - -	
	ROYAL INDUSTRIAL SOLUTIONS		\$	2,397.72
ACH	SANTA ANA WATERSHED			
	July 2020 Truck Discharge	9770		12,777.12
	July 2020 Service	9776		81,960.62
	Cost Sharing for SARCCUP Program	62952		49,557.40
			- - - - -	
	SANTA ANA WATERSHED		\$	144,295.14
ACH	WAXIE SANITARY SUPPLY			
	Towels, Paper Towels	79425624		1,861.93
	Hand Sanitizer Gel	79444047		398.68
			- - - - -	



Check		Payee / Description	Amount
		WAXIE SANITARY SUPPLY	\$ 2,260.61
ACH		PACIFIC PARTS & CONTROLS	
		Control Panel Touchscreen I437062	5,495.81
		PACIFIC PARTS & CONTROLS	\$ 5,495.81
ACH		PETE'S ROAD SERVICE	
		Flat Repair f/Veh 1901 440006-00	21.66
		Tire f/Veh 1504 436280-00	337.87
		PETE'S ROAD SERVICE	\$ 359.53
ACH		CHINO BASIN WATERMASTER	
		50% Cost Sharing-Model Meetings, Technic 2020-08-A	542.00
		67% Cost Sharing-WEI Invoice 2020327 2020-08-C	4,496.17
		CHINO BASIN WATERMASTER	\$ 5,038.17
ACH		BLACK & VEATCH CORPORATION	
		EN17044-7/2020 Professional Services 1326284	8,112.64
		BLACK & VEATCH CORPORATION	\$ 8,112.64
ACH		KONICA MINOLTA	
		9/20 Lease Copiers-C759,808,658E,458E 36207056	2,107.10
		9/20 Lease Copiers-C658,C308,C759,C659 36207055	2,761.14
		KONICA MINOLTA	\$ 4,868.24
ACH		GENESIS CONSTRUCTION	
		EN17110.03-8/20 Pay Est 1 PE 1-EN17110.	187,267.80
		GENESIS CONSTRUCTION	\$ 187,267.80
ACH		CHINO CREEK TOASTMASTER CLUB	
		Chen,T-10/20-3/21 Toastmaster Membership CHEN 10/20	51.00
		CHINO CREEK TOASTMASTER CLUB	\$ 51.00
ACH		THATCHER COMPANY OF CALIFORNIA	
		TP1-45,140 Lbs Aluminum Sulfate 278135	3,673.85
		RP4-44,940 Lbs Aluminum Sulfate 278261	3,648.67
		THATCHER COMPANY OF CALIFORNIA	\$ 7,322.52
ACH		ENVIRONMENTAL CONSULTING & TES	
		3 Liter Algae 131	126.00
		ENVIRONMENTAL CONSULTING & TES	\$ 126.00
ACH		PALM AUTO DETAIL INC	
		8/20 Carwash Svcs f/Agency Fleet Vehicle 20899-2	1,900.00
		PALM AUTO DETAIL INC	\$ 1,900.00
ACH		INLAND EMPIRE REGIONAL	
		RP1/RP2-8/20 Biosolids 90027336	272,558.04

Check	Payee / Description	Amount
	INLAND EMPIRE REGIONAL	\$ 272,558.04
ACH	W M LYLES COMPANY	
	EN18006-8/20 Pay Est 1 PE 1-EN18006	99,234.96
	W M LYLES COMPANY	\$ 99,234.96
ACH	KAESER COMPRESSORS	
	RP4Mnt-Rpr Kit Fan Wheel w/Fan Coupling, 913843128	241.43
	KAESER COMPRESSORS	\$ 241.43
ACH	RSD	
	Auto Drain 55326115-00	1,074.42
	RSD	\$ 1,074.42
ACH	OLIN CORP	
	RP5-4,914 Gals Sodium Hypochlorite 2865243	3,950.86
	RP5-4,876 Gals Sodium Hypochlorite 2866618	3,920.30
	RP4-5,026 Gals Sodium Hypochlorite 2870981	4,040.90
	TP1-4,826 Gals Sodium Hypochlorite 2871716	3,880.10
	TP1-4,918 Gals Sodium Hypochlorite 2872183	3,954.07
	TP1-4,904 Gals Sodium Hypochlorite 2873074	3,942.82
	RP5-4,892 Gals Sodium Hypochlorite 2862628	3,933.17
	TP1-4,906 Gals Sodium Hypochlorite 2873075	3,944.42
	CCWRP-4,704 Gals Sodium Hypochlorite 2872697	4,021.92
	CCWRP-4,934 Gals Sodium Hypochlorite 2870980	3,966.94
	RP5-4,942 Gals Sodium Hypochlorite 2872695	3,973.37
	TP1-4,768 Gals Sodium Hypochlorite 2873555	4,076.64
	RP5-4,954 Gals Sodium Hypochlorite 2871717	3,983.02
	OLIN CORP	\$ 51,588.53
ACH	ALLIED UNIVERSAL SECURITY SERV	
	Sept 2020 Monthly Patrol Service 10364598	11,224.08
	RP1-August 2020 Monthly Guard Service 10439460	4,896.78
	ALLIED UNIVERSAL SECURITY SERV\$	16,120.86
ACH	EUROFINS EATON ANALYTICAL LLC	
	9/1/2020 Water Sample Analysis L0530727	800.00
	8/31/2020 Water Sample Analysis L0529451	10.00
	8/24/2020 Water Sample Analysis L0528586	20.00
	8/11/2020 Water Sample Analysis L0528042	1,575.00
	8/25/2020 Water Sample Analysis L0529885	800.00
	8/19/2020 Water Sample Analysis L0527853	800.00
	EUROFINS EATON ANALYTICAL LLC \$	4,005.00
ACH	ATKINSON, ANDELSON, LOYA,	
	8/2020 General Labor Advice 603838	28,993.50
	ATKINSON, ANDELSON, LOYA,	\$ 28,993.50
ACH	ENVIRONMENTAL SCIENCE ASSOCIAT	
	EN17041-7/2020 Professional Services 157232	2,421.35
	ENVIRONMENTAL SCIENCE ASSOCIAT\$	2,421.35

Check	Payee / Description	Amount
ACH	MICROAGE Sophos Software Protection 2123285	5,665.77
	MICROAGE \$	5,665.77
ACH	CALIFORNIA WATER TECHNOLOGIES Phills-17,291.12 lbs Ferric Chloride Sol 38143	5,457.34
	RP1-16,127.64 lbs Ferric Chloride Soluti 38152	5,090.12
	RP1-16,238.40 lbs Ferric Chloride Soluti 38242	5,125.08
	CALIFORNIA WATER TECHNOLOGIES \$	15,672.54
ACH	HUMPHREY CONSTRUCTORS EN20036.02-Ret Rls 1-Final EN20036-RET R	2,910.70
	HUMPHREY CONSTRUCTORS \$	2,910.70
ACH	FERREIRA COASTAL CONSTRUCTION EN20015-8/20 Pay Est 6 PE 6-EN20015	61,840.25
	FERREIRA COASTAL CONSTRUCTION \$	61,840.25
ACH	TECHNICAL SYSTEMS INC EN17082-7/26-8/25 Prof Svcs 7735A-004	17,530.00
	EN17082-6/15-7/25 Prof Svcs 7735A-003	31,707.50
	EN17082-4/13-6/20 Prof Svcs 7735A-002	35,865.00
	TECHNICAL SYSTEMS INC \$	85,102.50
ACH	WEST COAST ADVISORS 7/20 Prof Svcs 12766	9,800.00
	9/20 Prof Svcs 12802	9,500.00
	WEST COAST ADVISORS \$	19,300.00
ACH	MANAGED MOBILE INC Repair Parts/Labor for Agency Vehicles IN00-0204688	715.86
	MANAGED MOBILE INC \$	715.86
ACH	PACIFIC COURIERS INC August 2020 Messenger Svc & Lab Delivery 20-08-2007	3,751.01
	PACIFIC COURIERS INC \$	3,751.01
ACH	WALLACE & ASSOCIATES CONSULTIN PL19005-8/3-8/30 On-Call Admin Svcs 20-08-IEUA-AD	10,500.00
	4600002051-8/3-8/30 Prof Svcs 2020-08-IEUA	23,680.00
	PL19005-6/29-8/2 On-Call Admin Svcs 20-07-IEUA-AD	7,000.00
	WALLACE & ASSOCIATES CONSULTIN\$	41,180.00
ACH	PRIORITY BUILDING SERVICES LLC August 2020 Janitorial Service 70819	20,698.00
	PRIORITY BUILDING SERVICES LLC\$	20,698.00
ACH	ECOTECH SERVICES INC	

Check	Payee / Description	Amount
	8/20 Residential Home Pressure Regulatio 1739	19,015.59
	ECOTECH SERVICES INC	\$ 19,015.59
ACH	JC LAW FIRM	
	8/20 Watermaster 0793	2,610.00
	8/20 Regional Contract 0791	9,150.00
	8/20 General Legal 0789	29,880.00
	8/20 IEUA vs Spicer-EN17018 0792	1,590.00
	8/20 RCA Legal 0790	210.00
	8/20 San Bernardino Transit Auth vs IEUA 0795	60.00
	8/20 Kaiser vs CBWCD (Ely Basin) 0794	10,350.00
	JC LAW FIRM	\$ 53,850.00
ACH	INTERA INCORPORATED	
	WR16025/EN16035-5/20 Prof Svcs 05-20-33	810.00
	INTERA INCORPORATED	\$ 810.00
ACH	AMAZON BUSINESS	
	Sears Refrigerator Inlet Water Valve 14CF-NX31-W1D	37.66
	AMAZON BUSINESS	\$ 37.66
ACH	AMERICAN OFFICE PROFESSIONALS	
	Service Call HP LJ3800,Arm,Board (\$89.95 2653	277.77
	AMERICAN OFFICE PROFESSIONALS	\$ 277.77
ACH	ALL CAL EQUIPMENT SERVICES INC	
	Crane Inspections 60275	8,790.00
	ALL CAL EQUIPMENT SERVICES INC	\$ 8,790.00
ACH	INNOVYZE INC	
	EE&CM-Annl Subscrptn/Lic-InfoWtr/InfoSwr USIN018203	19,918.00
	INNOVYZE INC	\$ 19,918.00
ACH	THE SOLIS GROUP	
	EN15012-6/1-6/30 Prof Svcs 5841	1,492.00
	THE SOLIS GROUP	\$ 1,492.00
ACH	LA OPINION	
	PI-Water Conservation Ads-7/22/20 Clbrtn 107290720	1,100.00
	PI-Water Conservation Ads-8/19/20 Splsh 107290820	1,100.00
	LA OPINION	\$ 2,200.00
ACH	RBC RESOURCES	
	WR20028/WR20029-8/1-8/31 Prof Svcs 20	2,618.00
	RBC RESOURCES	\$ 2,618.00
ACH	CAPO PROJECTS GROUP LLC	
	FM20002-6/2020 Professional Services 3899	870.00
	EN19010-6/2020 Professional Services 3896	290.00

Check	Payee / Description	Amount
	EN18006-8/2020 Professional Services 4086	580.00
	EN17082-6/2020 Professional Services 3895	435.00
	EN15012-6/2020 Professional Services 3894	290.00
	FM20002-7/2020 Professional Services 4001	290.00
	EN14042-6/2020 Professional Services 3897	217.50
	EN17110-6/2020 Professional Services 3898	290.00
	EN17082-7/2020 Professional Services 3997	290.00
	EN17082-8/2020 Professional Services 4084	290.00
	EN14042-7/2020 Professional Services 3999	217.50
	EN15012-8/2020 Professional Services 4083	290.00
	CAPO PROJECTS GROUP LLC \$	4,350.00
ACH	PAUL REDVERS BROWN INC	
	7/23-8/31 Strategic Assessment Plan Svcs IEUA007	2,392.50
	PAUL REDVERS BROWN INC \$	2,392.50
ACH	FLEETISTICS	
	GEOTAB Materials 88386	2,696.30
	FLEETISTICS \$	2,696.30
ACH	SO CALIF EDISON	
	SnSvnRW-8/25-9/24 6000 Cherry Ave 3035434317 9/	71.84
	I/WLn-8/18-9/17 34 East End-Grand 3001323338 9/	14.77
	BrksStBsn-8/14-9/15 10661 Silicon Ped 3024530748 8/	91.30
	VctrBsn/MWDCB11-8/19-9/18 Victoria St/Ba 2264009051 9/	166.37
	MntclBsn-8/14-9/15 4700 San Jose 3024530725 8/	110.19
	MntclSplTbx-8/18-9/17 11294 Roswell Ave 3045296064 9/	70.78
	930RsvrPrjct-8/24-9/23 2950 Galloping Hi 3042003812 9/	168.27
	MWDTrnt15T-8/17-9/16 12100 Banyan St 3024905261 9/	31.55
	MWDTrnt18-8/18-9/17 13400 Betsy Ross Ct 3025206224 9/	30.58
	MWDCB14/20-8/18-9/17 5752 East Ave/475 1 2305258691 9/	194.23
	BrksRWTmOt-8/17-9/16 4349 Orchard St 3032880824 9/	24.22
	SO CALIF EDISON \$	974.10
ACH	SO CALIF GAS	
	MntBldg/whs-8/17-9/16 2604 Walnut St 13484545 9/20	61.58
	RP1Cmplx-8/26-9/25 2450 Phila St 12140888 9/20	28.65
	RP4-8/14-9/5 12811 6th St 10605111 9/20	24.44
	TP5-8/25-9/24 6075 Kimball Ave 15579076 9/20	18.25
	RP5-8/25-9/24 6075 Kimball Ave 13619305 9/20	1,822.34
	Lab-8/26-9/25 2450 Phila St 15577783 9/20	14.79
	HQA/B-8/25-9/24 6075 Kimball Ave 11489982 9/20	91.04
	SO CALIF GAS \$	2,061.09
ACH	CAMACHO, MICHAEL	
	MlgReim-9/20 Meetings-Camacho,M MLG 9/20	59.17
	CAMACHO, MICHAEL \$	59.17
ACH	RITZINGER, BRENT	
	Reim-9/22/20 Epoxy,Conn,Bolts,Washers,Wi 9/22/20 SUPPL	82.15
	Reim-9/21/20 MTZ 65 RAD 9/21/20 SUPPL	326.90
	RITZINGER, BRENT \$	409.05

Check Payee / Description	Amount
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ACH	BIESIADA, JOSH	
	Reim-6/29/20 Ontario Airport Access Badg 6/29/20 OIA B	70.00
		-
	BIESIADA, JOSH	\$ 70.00

ACH	PARKER, KATI	
	MlgReim-8/2020-9/2020 Meetings-Parker,K MLG 8/20-9/20	21.28
		-
	PARKER, KATI	\$ 21.28

ACH	NASHED, KIROLS	
	Reim-DCA Engineer In Training Certificat EIT171198 202	225.00
		-
	NASHED, KIROLS	\$ 225.00

ACH	J R FILANC CONSRUCTION CO INC	
	EN15012.01-Ret Rls 1-Partial	
	EN15012-RET R	44,959.01
		-
	J R FILANC CONSRUCTION CO INC	\$ 44,959.01

ACH	SOUTHERN CALIF GAS COMPANY	
	EN22002-Mv Gs Lns f/Flwmtr Rplcmnt-NRW E 002041690269	129,484.17
		-
	SOUTHERN CALIF GAS COMPANY	\$ 129,484.17

ACH	HACH COMPANY	
	Inv-Lamp Assys	
	12063466	173.79
	TP1Ops-DPD Total Bulk Dispensers + Powde	
	12077326	341.89
	Inv-Convertible pH Sensor,PH7 Buffer	
	12075684	2,594.77
	CCWTP-Wiper for Immersion Probe	
	12072047	4,915.44
	CCWTP-Pole Mounting Hardware Solids	
	12068561	709.63
		-
	HACH COMPANY	\$ 8,735.52

ACH	KVAC ENVIRONMENTAL SERVICES IN	
	FltMnt-Hzmt Dpspl Rmvl-Flmmbl Liqs,Chlrf KS2001186	3,490.00
		-
	KVAC ENVIRONMENTAL SERVICES IN\$	3,490.00

ACH	AQUA BEN CORPORATION	
	RP1-23,000 Lbs Hydrofloc 750A	
	41345	29,243.35
	DAFT-4,600 Lbs Hydrofloc 748E	
	41143	4,460.85
	DAFT-4,600 Lbs Hydrofloc 748E	
	41344	4,460.85
		-
	AQUA BEN CORPORATION	\$ 38,165.05

ACH	FISHER SCIENTIFIC	
	Buffer,EPTP Rck	
	4758715	264.70
	Hexanes Pesticide	
	7823499	859.50
	Methylene Chloride Optima	
	7580416	1,752.36
	Analytical Balance	
	4214727	6,479.55
	Starterpac Installation	
	7580414	460.90
		-
	FISHER SCIENTIFIC	\$ 9,817.01

ACH	HASCO OIL COMPANY, INC.	
	RP5Mnt-Mbl-Delvac 1300 Spr,Grease XHP222 0235272-IN	2,232.81
		-

Check	Payee / Description		Amount
	HASCO OIL COMPANY, INC.	\$	2,232.81
ACH	HOME DEPOT CREDIT SERVICES		
	GWROps-Hangalls, Handy Hook, Bolts, Washers 3541192		26.53
	GWROps-Hepa Filters, Bag Filters, Hand Soa 4525272		99.43
	GWROps-Mchcns Tl St, Wht Pg Brds, Hks, Nzsl 9512494		235.56
	GWROps-Storage Engine Oil 6541756		31.09
	GWROps-Duct Tapes, Pipes, Yellow Paint Mar 1531354		27.27
	GWROps-Ear Muffs, Safety Eyeware, Wire Whe 1511379		87.70
	GwrOps-Scrwdrvr St, Rcht Mlt Bt, Hs Bbs, Hx 2531217		104.27
		- - - - -	
	HOME DEPOT CREDIT SERVICES	\$	611.85
ACH	NAPA GENUINE PARTS COMPANY		
	Clear Vinyl Tubing, Hose 3973-067080		71.09
		- - - - -	
	NAPA GENUINE PARTS COMPANY	\$	71.09
ACH	RMA GROUP		
	EN17049-1/13-2/16 Prof Svcs 69123		13,632.46
	EN19029-1/13-2/16 Prof Svcs 69124		3,072.78
		- - - - -	
	RMA GROUP	\$	16,705.24
ACH	UNDERGROUND SERVICE ALERT/SC		
	2019 Dig Safe Board CA Regulatory Fee - DSB20194680		338.67
	August 2020-270 Dig Alerts 820200336		455.50
		- - - - -	
	UNDERGROUND SERVICE ALERT/SC	\$	794.17
ACH	WEST VALLEY MOSQUITO AND		
	8/20 Midge Control f/Recharge Basins 2606		8,543.08
		- - - - -	
	WEST VALLEY MOSQUITO AND	\$	8,543.08
ACH	CHINO BASIN WATERMASTER		
	50% Cost Sharing - SB88 GRCC 2020-07-E		1,417.85
		- - - - -	
	CHINO BASIN WATERMASTER	\$	1,417.85
ACH	AGILENT TECHNOLOGIES		
	Custom Inorg Standard 119669412		209.84
	Big Universal Trap 119706137		1,495.18
	Custom Inorg Standard 119704421		510.74
		- - - - -	
	AGILENT TECHNOLOGIES	\$	2,215.76
ACH	ACCUSTANDARD INC		
	Performance Check Std, Calibration Std, Al 924861		621.26
		- - - - -	
	ACCUSTANDARD INC	\$	621.26
ACH	CAROLLO ENGINEERS		
	EN19051-6/2020 Professional Service 0188855		3,518.00
	EN17043-EN17110-8/2020 Professional Serv 0190664		33,868.80
	EN19051-5/2020 Professional Service 0187705		5,931.80
	EN19051-4/2020 Professional Service 0187046		7,183.95
	EN17043-EN17110-8/2020 Professional Serv 0190729		28,369.95
		- - - - -	

Check	Payee / Description	Amount
	CAROLLO ENGINEERS \$	78,872.50
ACH	RED WING SHOE STORE Emp Safety Shoe Purchase 816-1-67924	213.82
	RED WING SHOE STORE \$	213.82
ACH	TELEDYNE ISCO Power Supplys,Capacitor & Relay Kits,Pum S020418797	5,498.92
	TELEDYNE ISCO \$	5,498.92
ACH	ALFA LAVAL INC 2 Upper Belts, 1 Lower Belt 280047280	10,659.37
	ALFA LAVAL INC \$	10,659.37
ACH	ATMAA INC Analysis Reduced Sulfur Compounds,Tedlar 36522	293.00
	ATMAA INC \$	293.00
ACH	SCHNEIDER ELECTRIC SYSTEMS USA Site Svcs f/Foxboro Proprietary Switch 94222693	3,441.95
	SCHNEIDER ELECTRIC SYSTEMS USA\$	3,441.95
ACH	INLAND EMPIRE REGIONAL RP1-9/20 Biosolids 90027524	287,807.25
	INLAND EMPIRE REGIONAL \$	287,807.25
ACH	DESERT PUMPS AND PARTS INC Impeller,Clearance Ring 2914	12,235.00
	DESERT PUMPS AND PARTS INC \$	12,235.00
ACH	BENTLY NEVADA LLC 6 Proximity Probes 1010585613	3,288.88
	BENTLY NEVADA LLC \$	3,288.88
ACH	DC FROST ASSOCIATES INC Nord Gearmotor 42050	3,063.34
	DC FROST ASSOCIATES INC \$	3,063.34
ACH	RSD Pleated Filters 55330165-00	819.24
	RSD \$	819.24
ACH	TRAUTWEIN CONSTRUCTION INC EN17049-Ret Rls 1-Final EN17049-RET R	273,363.89
	TRAUTWEIN CONSTRUCTION INC \$	273,363.89
ACH	PEST OPTIONS INC August 2020 GWR Weed Abatement Services 356512	8,706.84



Check	Payee / Description		Amount
	PEST OPTIONS INC	\$	- - - - - 8,706.84
ACH	GEI CONSULTANTS INC PL19005-6/27-7/31 Prof Svcs	3076195	68,363.00
	GEI CONSULTANTS INC	\$	- - - - - 68,363.00
ACH	ROGERS, PETER J 10/1/20 Policy Cmmtt Fee-Rogers,P	RPC-10/1/20	100.00
	ROGERS, PETER J	\$	- - - - - 100.00
ACH	REED, RANDALL J 10/1/20 Policy Cmmtt Fee-Reed,R	RPC-10/1/20	100.00
	REED, RANDALL J	\$	- - - - - 100.00
ACH	CALIFORNIA STRATEGIES LLC 9/2020 Professional Services	092033	7,500.00
	CALIFORNIA STRATEGIES LLC	\$	- - - - - 7,500.00
ACH	ULLOA, EUNICE M 10/1/20 Policy Cmmtt Fee-Ulloa,E	RPC-10/1/20	100.00
	ULLOA, EUNICE M	\$	- - - - - 100.00
ACH	DORST-PORADA, DEBRA 10/1/20 Policy Cmmtt Fee-Porada,D	RPC-10/1/20	100.00
	DORST-PORADA, DEBRA	\$	- - - - - 100.00
ACH	ALTA FOODCRAFT WRHS-Coffee,Splenda,Cocoa	12017156	113.26
	ALTA FOODCRAFT	\$	- - - - - 113.26
ACH	GHD INC EN20056-5/31-6/27 Prof Svcs	144640	4,397.25
	EN20056-2/23-3/21 Prof Svcs	138815A	1,539.00
	GHD INC	\$	- - - - - 5,936.25
ACH	EUROFINS EATON ANALYTICAL LLC 8/11/2020 Water Sample Analysis	L0531279	3,090.00
	9/8/2020 Water Sample Analysis	L0531321	800.00
	8/11/2020 Water Sample Analysis	L0530517	3,190.00
	8/26/2020 Water Sample Analysis	L0530465	54.00
	8/26/2020 Water Sample Analysis	L0530464	54.00
	Overcharge on Invoice L0530517	I0534234	515.00-
	Overcharge on Invoice L0526557	I0534274	525.00-
	EUROFINS EATON ANALYTICAL LLC	\$	- - - - - 6,148.00
ACH	STONE, DEBRA KAYE 10/1/20 Policy Cmmtt Fee-Stone,D	RPC-10/1/20	100.00
	STONE, DEBRA KAYE	\$	- - - - - 100.00

Check Payee / Description	Amount
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ACH	CALIFORNIA WATER TECHNOLOGIES	
	Phills-17,348.40 lbs Ferric Chloride Sol 38124	5,475.40
	RP1-17,137.68 lbs Ferric Chloride Soluti 38195	5,408.90
		- - - - -
	CALIFORNIA WATER TECHNOLOGIES \$	10,884.30

ACH	V3IT CONSULTING INC	
	August 2020 Contract Screen Ref Sec ME31 V3IT2020IEUA0	2,653.50
	SAP BASIS August 2020 Support V3IT2020IEUA0	6,184.00
		- - - - -
	V3IT CONSULTING INC \$	8,837.50

ACH	MANAGED MOBILE INC	
	Repair Parts/Labor for Agency Vehicles IN00-0205410	617.23
	Repair Parts/Labor for Agency Vehicles IN00-0205411	444.39
		- - - - -
	MANAGED MOBILE INC \$	1,061.62

ACH	STORETRIEVE LLC	
	7/2020 Crtn PickUp/Dlvry/Strg 0138039	275.21
	8/2020 Crtn PickUp/Dlvry/Strg 0140078	275.21
		- - - - -
	STORETRIEVE LLC \$	550.42

ACH	AMAZON BUSINESS	
	3 Killer Filter Replacements 1DHG-J116-H4N	693.72
	2nd Stage Regulator 1G6T-TX33-MWH	59.53
		- - - - -
	AMAZON BUSINESS \$	753.25

ACH	SOLARWINDS INC	
	SAM200 Server & App Mgr Annl Mnt Rnw1 IN492050	15,538.00
		- - - - -
	SOLARWINDS INC \$	15,538.00

ACH	WESTLAND GROUP INC	
	EN15012-6/30-7/31 Prof Svcs 18087	3,577.50
		- - - - -
	WESTLAND GROUP INC \$	3,577.50

ACH	THE SOLIS GROUP	
	EN20037-8/1-8/31 Prof Svcs 6018	684.00
	EN22002-8/1-8/31 Prof Svcs 6019	1,652.00
	EN14042-8/1-8/31 Prof Svcs 6024	1,881.00
		- - - - -
	THE SOLIS GROUP \$	4,217.00

ACH	CAPO PROJECTS GROUP LLC	
	EN19010-8/2020 Professional Services 4085	290.00
	EN19010-7/2020 Professional Services 3998	290.00
	EN17110-7/2020 Professional Services 4000	3,915.00
		- - - - -
	CAPO PROJECTS GROUP LLC \$	4,495.00

ACH	JOHNSON, CAROLYN TENICE	
	10/1/20 Policy Cmmtt Fee-Johnson,T RPC-10/1/20	100.00
		- - - - -
	JOHNSON, CAROLYN TENICE \$	100.00

Check Payee / Description	Amount
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ACH	CIPO CLOUD SOFTWARE LLC		85,500.00
	9/20-9/21 CIPO Cloud Version 3.0 Subscri INV-001220		-
	CIPO CLOUD SOFTWARE LLC	\$	85,500.00

ACH	SOUTHWEST DOOR & FRAME INC		4,200.00
	Install Door Vision Lites	6106	-
	SOUTHWEST DOOR & FRAME INC	\$	4,200.00

ACH	SO CALIF EDISON		72.40
	Trnr3&4-8/28-9/29 916 Archibald Ave	3024859341 9/	-
	CCWRP/TP/RWPS-8/27-9/28 14950 Telephone	3010610784 9/	67,309.52
	TrnrBsnRW-8/28-9/29 1063 Turner Ave	3026143801 9/	15.67
	CCWRP/TP-8/27-9/28 14950 Telephone Ave	3018402051 9/	24.00
	8thStBsn-8/27-9/28 1180 8th St	3024625308 9/	94.10
	SO CALIF EDISON	\$	67,515.69

ACH	IEUA EMPLOYEES' ASSOCIATION		201.00
	P/R 21 10/9/20 Employee Ded	HR 0092700	12.00
		HR 0092600	-
	IEUA EMPLOYEES' ASSOCIATION	\$	213.00

ACH	IEUA SUPERVISORS UNION ASSOCIA		300.00
	P/R 21 10/9/20 Employee Ded	HR 0092700	-
	IEUA SUPERVISORS UNION ASSOCIA\$		300.00

ACH	IEUA GENERAL EMPLOYEES ASSOCIA		1,094.10
	P/R 21 10/9/20 Employee Ded	HR 0092700	-
	IEUA GENERAL EMPLOYEES ASSOCIA\$		1,094.10

ACH	WESTERN DENTAL PLAN		2,204.36
	9/20 Agency Dental Plan	9/2020	-
	WESTERN DENTAL PLAN	\$	2,204.36

ACH	PREFERRED BENEFIT INSURANCE		17,829.40
	9/20 Agency Dental Plan	EIA34095	-
	PREFERRED BENEFIT INSURANCE	\$	17,829.40

ACH	IEUA PROFESSIONAL EMPLOYEES AS		576.00
	P/R 21 10/9/20 Employee Ded	HR 0092700	-
	IEUA PROFESSIONAL EMPLOYEES AS\$		576.00

ACH	DISCOVERY BENEFITS INC		4,051.30
	P/R 21 10/9/20 Cafeteria Plan	HR 0092700	-
	DISCOVERY BENEFITS INC	\$	4,051.30

ACH	DISCOVERY BENEFITS INC		228.00
	August 2020 Admin Fees	0001216693-IN	-

Check	Payee / Description	Amount
	DISCOVERY BENEFITS INC \$	228.00
ACH	PATRICK W HUNTER P/R 21 10/9/20	248.50
	PATRICK W HUNTER \$	248.50
ACH	SKINNER, JOHN MlgReim-8/16/20 Call Out-Skinner,J MlgReim-8/31/20 Call Out-Skinner,J	31.63 31.63
	SKINNER, JOHN \$	63.26
ACH	NOEL, STEVE MlgReim-10/6/20 Call Out-Noel,S	28.75
	NOEL, STEVE \$	28.75
ACH	MCCHRISTY, KAREN Reim-10/1/20 Printer Ink	49.55
	MCCHRISTY, KAREN \$	49.55
ACH	RITZINGER, BRENT Reim-9/30/20Grommet,Bearing,Spacer	26.83
	RITZINGER, BRENT \$	26.83
ACH	NANGIA, SAPNA Reim-9/23/20 CIA Exam Practice Questions 9/23/20 EXAM	54.70
	NANGIA, SAPNA \$	54.70
ACH	LEE, SALLY H Reim-9/24/20 Postage Stamps Meter was Br 9/24/20 POSTA	109.50
	LEE, SALLY H \$	109.50
ACH	NG, ANDREW MlgReim-9/16/20 Call Out-Ng,A	44.85
	NG, ANDREW \$	44.85
ACH	AQUA BEN CORPORATION DAFT-13,800 Lbs Hydrofloc 748E RP1-23,000 Lbs Hydrofloc 750A	13,382.55 29,243.35
	AQUA BEN CORPORATION \$	42,625.90
ACH	FISHER SCIENTIFIC ML204T Analitical Balances	3,887.36
	FISHER SCIENTIFIC \$	3,887.36
ACH	NAPA GENUINE PARTS COMPANY Windshield Wash	42.54
	NAPA GENUINE PARTS COMPANY \$	42.54

Check	Payee / Description	Amount
ACH	ROYAL INDUSTRIAL SOLUTIONS	
	APC Smart UPS 6046-685292	3,083.02
	Heatsink Fan 6046-685290	955.96
	Wire 6046-685504	105.06
	PVC Coated Hubs,Couplings,Recessed Plugs 6046-684826	900.53
	DeviceNet Configuration Terminal 6046-684996	380.79
		- - - - -
	ROYAL INDUSTRIAL SOLUTIONS \$	5,425.36
ACH	WEST VALLEY MOSQUITO AND	
	7/20 Mosquito Control f/WW Trtmnt Facili 2598	1,361.60
		- - - - -
	WEST VALLEY MOSQUITO AND \$	1,361.60
ACH	G M SAGER CONSTRUCTION CO INC	
	EN20035-Sinkhole Repairs 40057	6,300.00
		- - - - -
	G M SAGER CONSTRUCTION CO INC \$	6,300.00
ACH	HDR ENGINEERING INC	
	EN19023-3/29-4/25 Prof Svcs 1200266210	6,399.94
		- - - - -
	HDR ENGINEERING INC \$	6,399.94
ACH	CHINO BASIN WATERMASTER	
	50% Cost Sharing - SB88 GRCC 2020-08-E	4,495.60
		- - - - -
	CHINO BASIN WATERMASTER \$	4,495.60
ACH	BLACK & VEATCH CORPORATION	
	EN17044-8/2020 Professional Services 1328538	10,301.93
		- - - - -
	BLACK & VEATCH CORPORATION \$	10,301.93
ACH	CAROLLO ENGINEERS	
	EN19051-8/2020 Professional Service 0190857	13,022.88
		- - - - -
	CAROLLO ENGINEERS \$	13,022.88
ACH	MCMASTER-CARR SUPPLY CO	
	Platform Sections,Support,Handrails 45738220	2,844.77
	Gore Gasket Tape 45722260	1,645.15
	Sorbent for Chemicals,Oil,Water 45690995	174.69
		- - - - -
	MCMASTER-CARR SUPPLY CO \$	4,664.61
ACH	LEE & RO INC	
	EN17041-7/1-8/7 Prof Svcs 1131-03/09	3,062.95
	EN17041-10/1/19-6/30/20 Prof Svcs 1131-03/08	13,458.60
	EN18006-8/1-8/31 Prof Svs 1165-20	25,557.00
		- - - - -
	LEE & RO INC \$	42,078.55
ACH	WILLIAMS SCOTSMAN INC	
	PL19005-10/7-11/6 Chino Basin Project Tr 8174201	2,171.46
		- - - - -
	WILLIAMS SCOTSMAN INC \$	2,171.46
ACH	NATIONAL BUSINESS INVESTIGATIO	

Check	Payee / Description	Amount
	Employee Background Chk DP, RG, JR, ESB      IEUA-1086	724.52
	NATIONAL BUSINESS INVESTIGATION\$	724.52
ACH	PARSONS WATER & INFRASTRUCTURE EN19001/EN19006-8/1-8/28 Prof Svcs      2009A535	35,096.25
	PARSONS WATER & INFRASTRUCTURE\$	35,096.25
ACH	APPLIED TECHNOLOGY GROUP INC Raise Yagi Antenna, Run Coax      INV0000020411	5,996.16
	APPLIED TECHNOLOGY GROUP INC \$	5,996.16
ACH	CS-AMSCO MWG 30.2 1-500 Turns, Inspect Fee, Program 16261	2,522.94
	CS-AMSCO \$	2,522.94
ACH	STANTEC CONSULTING INC EN17082-7/25-8/21 Prof Svcs      1699087	19,950.50
	EN14042-7/25-8/21 Prof Svcs      1699075	1,885.50
	EN15012-7/25-8/21 Prof Svcs      1698817	1,294.50
	STANTEC CONSULTING INC \$	23,130.50
ACH	NATIONAL CONSTRUCTION RENTALS RP1-9/10/20-10/7/20 Toilet, Handwash      5874324	211.55
	NATIONAL CONSTRUCTION RENTALS \$	211.55
ACH	DETECTION INSTRUMENTS CORP 58L Cylinder Hazardous      7664-47084	259.32
	DETECTION INSTRUMENTS CORP \$	259.32
ACH	OLIN CORP CCWRP-4,976 Gals Sodium Hypochlorite      2878630	4,140.03
	TP1-4,902 Gals Sodium Hypochlorite      2874678	4,078.46
	TP1-4,882 Gals Sodium Hypochlorite      2875033	4,061.82
	RP4-4,820 Gals Sodium Hypochlorite      2875464	4,010.24
	TP1-4,980 Gals Sodium Hypochlorite      2875466	4,143.36
	TP1-4,934 Gals Sodium Hypochlorite      2875837	4,105.09
	TP1-4,902 Gals Sodium Hypochlorite      2876108	4,078.46
	TP1-4,908 Gals Sodium Hypochlorite      2876861	4,083.46
	RP4-4,914 Gals Sodium Hypochlorite      2876863	4,088.45
	TP1-4,926 Gals Sodium Hypochlorite      2877438	4,098.43
	TP1-4,842 Gals Sodium Hypochlorite      2878261	4,028.54
	TP1-4,836 Gals Sodium Hypochlorite      2878629	4,023.55
	CCWRP-3,434 Gals Sodium Hypochlorite      2874677	3,039.09
	RP5-4,880 Gals Sodium Hypochlorite      2875463	4,060.16
	CCWRP-4,822 Gals Sodium Hypochlorite      2875465	4,011.90
	CCWRP-4,798 Gals Sodium Hypochlorite      2876399	4,246.23
	OLIN CORP \$	64,297.27
ACH	GK & ASSOCIATES 46-2849-8/20 Prof Svcs      20-080	14,027.00
	46-2849-8/20 Prof Svcs      20-081	25,469.40

Check	Payee / Description	Amount
	46-2849-8/20 Prof Svcs 20-082	13,944.00
	GK & ASSOCIATES \$	53,440.40
ACH	READY REFRESH BY NESTLE	
	8/2020 Bottled Water,Cooler Rental 10H0029859071	816.53
	9/2020 Bottled Water,Cooler Rental 10I0029859071	602.43
	READY REFRESH BY NESTLE \$	1,418.96
ACH	GRAPHIC PRODUCTS INC	
	RP2Ops-Floor Marking Tape-Red/White 2624942	227.26
	RP2Ops-Floor Marking Tape-Red/White 2623674	113.63
	GRAPHIC PRODUCTS INC \$	340.89
ACH	ADVANCED CHEMICAL TECHNOLOGY I	
	5/1/2020 Wtr Treatment Svc f/RP1,RP2,RP5 0332252-IN	445.00
	6/15/2020 Wtr Treatment Svc f/RP1,RP2,RP 0335942-IN	445.00
	7/23/2020 Wtr Treatment Svc f/RP1,RP2,RP 0339542-IN	445.00
	8/21/2020 Wtr Treatment Svc f/RP1,RP2,RP 0342188-IN	445.00
	9/16/2020 Wtr Treatment Svc f/RP1,RP2,RP 0344112-IN	445.00
	ADVANCED CHEMICAL TECHNOLOGY I \$	2,225.00
ACH	CARRIER CORPORATION	
	CCWRP-Replace 2.5 Ton A/C Unit 90073754	12,988.00
	RP2-Replace Two Mitsubishi Indoor Units 90073753	13,895.00
	CARRIER CORPORATION \$	26,883.00
ACH	ALTA FOODCRAFT	
	RP5-Everpure Filter, Water Block Deterre 52009444	145.63
	RP5-Coffee,Splenda,Sugar,Creamer,Tea,Coc 12021992	284.20
	ALTA FOODCRAFT \$	429.83
ACH	DOWNNS ENERGY	
	RP1-1,000 Gals Red Dyed Diesel Fuel 0270049-IN	2,011.72
	RP1-952.30 Gals Red Dyed Diesel Fuel 0268882-IN	2,040.22
	DOWNNS ENERGY \$	4,051.94
ACH	GHD INC	
	EN23002-3/29-4/25 Prof Svcs 140606	4,572.52
	EN19025-3/29-4/25 Prof Svcs 140616	70,116.33
	EN19025-4/26-5/30 Prof Svcs 143180-R	2,908.50
	EN23002-4/26-5/30 Prof Svcs 143183	970.50
	EN15002-6/1-6/27 Prof Svcs 144738	1,337.00
	EN15002-4/26-4/30 Prof Svcs 143027	1,408.00
	EN20056-4/26-5/30 Prof Svcs 143093	2,525.25
	EN20056-3/29-4/25 Prof Svcs 140717	6,447.00
	GHD INC \$	90,285.10
ACH	ATOM ENGINEERING CONSTRUCTION	
	O&M Ret Rls-1 Final 161101 490000 O&M RET RLS-1	1,402.70
	EN20060-Ret Rls 1-Final EN20060-RET R	5,369.90

Check	Payee / Description	Amount
	ATOM ENGINEERING CONSTRUCTION \$	6,772.60
ACH	CONSERV CONSTRUCTION INC	
	WR21019-9/2020 Professional Services 1602	42,958.30
	WR20006-9/2020 Professional Services 1603	25,391.31
	CONSERV CONSTRUCTION INC \$	68,349.61
ACH	EPI-USE AMERICA INC	
	8/2020 HCM Consulting 263911580	1,550.00
	EPI-USE AMERICA INC \$	1,550.00
ACH	ENVIRONMENTAL SCIENCE ASSOCIAT	
	EN19001/EN19006-8//2020 Professional Ser 157802	7,520.92
	ENVIRONMENTAL SCIENCE ASSOCIAT\$	7,520.92
ACH	MICROAGE	
	Cisco Fan/Duct Assembly 2123721	284.69
	MICROAGE \$	284.69
ACH	BERLIN PACKAGING LLC	
	1,440 - 32oz Round Jars 14-0058227	1,530.25
	BERLIN PACKAGING LLC \$	1,530.25
ACH	CALIFORNIA WATER TECHNOLOGIES	
	RP1-18,388.64 lbs Ferric Chloride Soluti 38214	5,803.74
	RP1-15,585.60 lbs Ferric Chloride Soluti 38208	4,919.05
	RP2-17,878.20 lbs Ferric Chloride Soluti 38225	5,642.63
	RP1-15,996.40 lbs Ferric Chloride Soluti 38241	5,048.71
	RP2-16,456.44 lbs Ferric Chloride Soluti 38162	5,193.90
	CALIFORNIA WATER TECHNOLOGIES \$	26,608.03
ACH	D & H WATER SYSTEMS INC	
	8 Tube Assemblies I2020-1044	525.34
	Noreprene Quick Disconnect, Kit I2020-1027	21,353.10
	D & H WATER SYSTEMS INC \$	21,878.44
ACH	ARCADIS U.S., INC.	
	EN19001/EN19006-8//2020 Professional Ser 34187177	170,459.85
	ARCADIS U.S., INC. \$	170,459.85
ACH	MANAGED MOBILE INC	
	Repair Parts/Labor for Agency Vehicles IN00-0205108	247.61
	Repair Parts/Labor for Agency Vehicles IN00-0205467	1,176.92
	Repair Parts/Labor for Agency Vehicles IN00-0205605	415.36
	MANAGED MOBILE INC \$	1,839.89
ACH	VIRAMONTES EXPRESS INC	
	RP2-8/10-8/14 Biosolids Hauling to IERCF 119390	3,094.76
	RP2-7/6-7/10 Biosolids Hauling to IERCF 119164	3,986.34
	RP2-7/13-7/17 Biosolids Hauling to IERCF 119166	2,913.96



Check	Payee / Description	Amount
	RP2-7/20-7/24 Biosolids Hauling to IERCF 119332	3,662.03
	RP2-7/27-7/31 Biosolids Hauling to IERCF 119334	3,133.56
	RP2-8/3-8/7 Biosolids Hauling to IERCF 119336	3,022.34
	RP1-8/10-8/14 Biosolids Hauling to IERCF 119389	4,508.52
		- - - - -
	VIRAMONTES EXPRESS INC \$	24,321.51
ACH	U S BANK - PAYMENT PLUS	
	100319 MISSION REPROGRAPHICS 2200110011	702.58
	107432 PMC ENGINEERING LLC 2200110071	2,006.35
	105316 PLUMBERS DEPOT INC 2200110070	2,873.37
	100150 HARRINGTON INDUSTRIAL PLASTICS LL 2200109773	4,461.88
	100319 MISSION REPROGRAPHICS 2200109774	16,242.79
	105213 BAVCO 2200109775	120.00
	100319 MISSION REPROGRAPHICS 2200109855	105.20
	104896 WESTERN WATER WORKS SUPPLY CO 2200109856	1,607.63
	107780 SUPERIOR ELECTRIC MOTOR SERVICE I 2200109857	12,152.67
	100319 MISSION REPROGRAPHICS 2200110069	5.39
		- - - - -
	U S BANK - PAYMENT PLUS \$	40,277.86
ACH	CSI SERVICES INC	
	EN14042-8/2020 Professional Svcs 10052	3,040.00
		- - - - -
	CSI SERVICES INC \$	3,040.00
ACH	AMAZON BUSINESS	
	12 Laptop Carrying Cases 1R79-XNWK-XG6	204.84
		- - - - -
	AMAZON BUSINESS \$	204.84
ACH	ALL CAL EQUIPMENT SERVICES INC	
	Crane Inspections 60388	750.00
		- - - - -
	ALL CAL EQUIPMENT SERVICES INC \$	750.00
ACH	T E ROBERTS INC	
	EN14043-Ret Rls 1-Final EN14043-RET R	106,827.24
		- - - - -
	T E ROBERTS INC \$	106,827.24
ACH	REDWOOD ENERGY STORAGE LLC	
	7/20 RP1/RP5/CCWRP Energy Storage System 1002	11,268.33
	6/20 RP1/RP5/CCWRP Energy Storage System 1001	9,303.33
		- - - - -
	REDWOOD ENERGY STORAGE LLC \$	20,571.66
ACH	REDWOOD ENERGY STORAGE II LLC	
	7/20 RP4 Energy Storage System Mgmt 2002	26,341.21
	6/20 RP4 Energy Storage System Mgmt 2001	26,553.33
		- - - - -
	REDWOOD ENERGY STORAGE II LLC \$	52,894.54
ACH	CAPO PROJECTS GROUP LLC	
	August 2020 Professional Services 4079	25,359.87
		- - - - -
	CAPO PROJECTS GROUP LLC \$	25,359.87
ACH	THE OFFICE EXPRESS	

Check	Payee / Description	Amount
	RP5 Ops 9/13 Meeting Breakfast 1033282	90.07
	RP5 Ops 9/11 Meeting Breakfast 1033262	108.11
	THE OFFICE EXPRESS \$	198.18
ACH	WOODARD & CURRAN INC	
	PL19005-5/30-7/31 Prof Svcs 180482	12,097.00
	WOODARD & CURRAN INC \$	12,097.00
ACH	MULTIVISTA	
	EN19001/EN19006-8//2020 Professional Ser 1811	1,757.36
	MULTIVISTA \$	1,757.36
ACH	SO CALIF EDISON	
	RP1-9/4-10/7 2450 Phila St 3001323341 9/	194,772.42
	RP1/RP5/8thStBsn-9/1-10/2 Kimball Ave/11 2281929265 9/	83.81
	JrpBsn-9/8-10/8 13450 Jurupa 3025480073 9/	2,093.53
	OntLS-9/3-10/6 2525 Riverside Dr 3027477844 9/	33.93
	CllgHgtS/LwrDy/RP3Bsn/TrnrBsn1-8/31-10/1 2258163831 9/	341.12
	PrdDchlStn-8/31-10/1 34 Johnson-Pine 3043797988 9/	562.38
	DclzBsn-9/2-10/5 13978 Phila 3024668725 9/	161.52
	SnSvn5PmpStn-8/31-10/1 13785 Banyan 3049769445 9/	558.44
	ZnRsvr-9/4-10/7 12749 6th St 3032567404 9/	128.15
	PrdLS-8/31-10/1 34 Johnson-Pine 3001323339 9/	52.47
	RP1StLts-9/1-10/1 34 Phila 3010508278 9/	18.40
	RP1-9/1-10/1 2450 Phila St 3016879871 9/	117.24
	ElyBsn-9/8-10/8 1665 Phila Ave 3024626850 9/	66.48
	RP2MntLnchRm-9/1-10/1 16400 El Prado Rd 3005927855 9/	10,667.50
	RP2MntLnchRm-9/1-10/1 16400 El Prado Rd 3015770035 9/	273.80
	BnaBsn-9/8-10/8 14017 Whittram Ped 3024706783 9/	30.27
	SO CALIF EDISON \$	209,961.46
ACH	SO CALIF GAS	
	CCWRP/TP-8/27-9/29 14950 Telephone Ave 14959861 9/20	17.13
	SO CALIF GAS \$	17.13
ACH	SHELL ENERGY NORTH AMERICA LP	
	RP1/RP2/RP5/CCWRP-9/1-9/30 Power Usage 1100002880309	3,019.05
	RP1/RP2/RP5/CCWRP-8/1-8/31 Power Usage 202008	241,879.53
	SHELL ENERGY NORTH AMERICA LP \$	244,898.58
ACH	FOUNDATION HA ENERGY GENERATIO	
	RP4/RWPS-8/1-8/31 12811 6th St-Wind Powe 2634	1,615.02
	FOUNDATION HA ENERGY GENERATIO\$	1,615.02
ACH	MEDEIROS, SHAWN	
	MlgReim-9/5/20 Call Out-Medeiros,S MLG 9/5/20	56.35
	MEDEIROS, SHAWN \$	56.35
ACH	RUSSO, EFRAIN	
	Reim-10/7/20 Overtime Meal 10/7/20 OT ME	10.00

Check	Payee / Description	Amount
	RUSSO, EFRAIN \$	10.00
ACH	ARAMBULA, BLANCA Reim-CALPELRA 2020 Membership Renewal 2020 CALPELRA	370.00
	ARAMBULA, BLANCA \$	370.00
ACH	RITZINGER, BRENT Reim-10/6/20 Washers,Nuts,Bolts 10/6/20 SUPPL	103.96
	RITZINGER, BRENT \$	103.96
ACH	DELGADO, ROBERTO Reim-9/2020-10/2020 Field Staff Apprecia BRKFST BURRIT	400.45
	DELGADO, ROBERTO \$	400.45
ACH	MARTINEZ, ARNOLDO MlgReim-10/13/20 Call Out-Martinez,A MLG 10/13/20	26.45
	MARTINEZ, ARNOLDO \$	26.45
ACH	ZAMORANO, JUAN MlgReim-10/4,5/2020 Call Out-Zamorano,J MLG 10/4,5/20	69.00
	ZAMORANO, JUAN \$	69.00
ACH	HOME DEPOT CREDIT SERVICES GWR-Ratchet & Sockets SAE/Metric 56 Piec 8900473	149.77
	GWR-Folding Platform Cart Heavy Duty 8351374	66.26
	HOME DEPOT CREDIT SERVICES \$	216.03
ACH	LIBERTY LANDSCAPING INC FcltyMgt-RP4-Rplc Manfunctioning Valve A 92584	295.00
	FcltyMgt-RP5-Rmvl Bgnvll Plnts,Hl & Dspl 92777	2,770.00
	FcltyMgt-HQ-Rplc Leaking Valve NR-21-8 92690	295.00
	FcltyMgt-RP4-Rplc Mnfctnng 2 Solar Time 92691	950.08
	LIBERTY LANDSCAPING INC \$	4,310.08
ACH	CITY RENTALS INC 9/28/20 Generator Rental 81341	50.00
	CITY RENTALS INC \$	50.00
ACH	FISHER SCIENTIFIC Repetitive Syringe Dispenser,Pottassium 9623238	510.06
	Methylene Chloride,Gls Fibr Filt,FB Grad 9623251	3,982.80
	Nitric Acid 9623241	744.77
	Sleeve Split 9744383	160.06
	Ethanol 9744376	116.08
	FISHER SCIENTIFIC \$	5,513.77
ACH	HOME DEPOT CREDIT SERVICES RP5Mnt-Iron/Rust/Stain Romovers 9902304	61.35
	RP5Mnt-Iron/Rust/Stain Romovers 9902721	61.35
	GWR-Utility Tub 3911456	163.64

Check	Payee / Description	Amount
	WQLab-Solar Salt Bags 0111451 43.35	
	GWROps-Ball Valve,Adapter,Tee 9610914 5.26	
	HOME DEPOT CREDIT SERVICES \$ - - - - - 334.95	
ACH	J R FILANC CONSRUCTION CO INC	
	EN15012.01-9/20 Pay Est 11 PE 11-EN15012 161,063.48	
	J R FILANC CONSRUCTION CO INC \$ - - - - - 161,063.48	
ACH	NAPA GENUINE PARTS COMPANY	
	Catch All Mats,Seat Cover,Macneil 4584-316366 253.13	
	Fuel Line Hose,,Primer Bulb Assembly 3973-068328 76.90	
	NAPA GENUINE PARTS COMPANY \$ - - - - - 330.03	
ACH	CHINO BASIN WATERMASTER	
	50% Cost Sharing-Model Meetings, Technic 2020-09-A 135.50	
	CHINO BASIN WATERMASTER \$ - - - - - 135.50	
ACH	NATIONAL INSTITUTE OF GOVERNME	
	Agency Annual Dues 419736 190.00	
	NATIONAL INSTITUTE OF GOVERNME\$ - - - - - 190.00	
ACH	GENESIS CONSTRUCTION	
	EN17110.03-9/20 Pay Est 2 PE 2-EN17110. 277,491.93	
	GENESIS CONSTRUCTION \$ - - - - - 277,491.93	
ACH	MCMASTER-CARR SUPPLY CO	
	Caution/Step Up Sign,Floor Marking Tape 46207016 75.23	
	MCMASTER-CARR SUPPLY CO \$ - - - - - 75.23	
ACH	RED WING SHOE STORE	
	Emp Safety Shoe Purchase 192-1-89071 193.94	
	Emp Safety Shoe Purchase 133-2-43917 203.63	
	Emp Safety Shoe Purchase 192-2-45064 164.84	
	Emp Safety Shoe Purchase 957-1-53720 184.78	
	Emp Safety Shoe Purchase 133-1-105476 213.33	
	RED WING SHOE STORE \$ - - - - - 960.52	
ACH	STRADLING YOCCA CARLSON & RAUT	
	EN17049/EN19001/EN19006-7/2020 Legal Ser 367173-0032 4,166.91	
	4/2020 Legal Services 364295-0032 1,728.00	
	3/2020 Legal Services 363387-0032 96.00	
	STRADLING YOCCA CARLSON & RAUT\$ - - - - - 5,990.91	
ACH	O I ANALYTICAL CORPORATION	
	TOC Analyzer 241233 23,373.70	
	Install TOC Analyzer 243216 5,093.00	
	O I ANALYTICAL CORPORATION \$ - - - - - 28,466.70	
ACH	W A RASIC CONSTRUCTION CO INC	

Check	Payee / Description	Amount
	EN17042-9/20 Pay Est 20	PE 20-EN17042 18,039.03
	W A RASIC CONSTRUCTION CO INC \$	18,039.03
ACH	INNOVATIVE FEDERAL STRATEGIES	
	10/2020 Prof Svcs	102020 7,200.00
	INNOVATIVE FEDERAL STRATEGIES \$	7,200.00
ACH	W M LYLES COMPANY	
	EN17043-9/30 Pay Est 6	PE 6-EN17043 224,383.82
	EN17110-9/30 Pay Est 6	PE 6-EN17110 435,512.29
	EN18006-9/30 Pay Est 2	PE 2-EN18006 346,369.60
	W M LYLES COMPANY \$	1,006,265.71
ACH	INSIDE PLANTS INC	
	FcltyMgt/Lab-9/20 Indoor Plant Care	81214 636.00
	INSIDE PLANTS INC \$	636.00
ACH	STANEK CONSTRUCTORS INC	
	EN19010-9/20 Pay Est 9	PE 9-EN19010 867,154.30
	STANEK CONSTRUCTORS INC \$	867,154.30
ACH	STANEK CONSTRUCTORS INC	
	EN14042-9/20 Pay Est 21	PE 21-EN14042 113,125.52
	STANEK CONSTRUCTORS INC \$	113,125.52
ACH	GEI CONSULTANTS INC	
	PL19005-8/1-8/28 Prof Svcs	3078088 18,106.00
	GEI CONSULTANTS INC \$	18,106.00
ACH	VAUGHAN'S INDUSTRIAL REPAIR CO	
	Rebuild RP1slds Aerzen Lobe Blower	023417 13,932.53
	VAUGHAN'S INDUSTRIAL REPAIR CO\$	13,932.53
ACH	OLIN CORP	
	RP5-4,876 Gals Sodium Hypochlorite	2863524 3,920.30
	CCWRP-4,426 Gals Sodium Hypochlorite	2880659 3,917.01
	RP5-4,912 Gals Sodium Hypochlorite	2880658 4,086.78
	RP5-4,902 Gals Sodium Hypochlorite	2879694 4,078.46
	RP5-4,878 Gals Sodium Hypochlorite	2877909 4,058.50
	RP5-4,902 Gals Sodium Hypochlorite	2876862 4,078.46
	TP1-4,792 Gals Sodium Hypochlorite	2848765 4,097.16
	RP4-4,506 Gals Sodium Hypochlorite	2848975 3,852.63
	TP1-4,816 Gals Sodium Hypochlorite	2848977 3,872.06
	RP4-4,578 Gals Sodium Hypochlorite	2855148 3,914.19
	TP1-4,728 Gals Sodium Hypochlorite	2858039 4,042.44
	TP1-4,974 Gals Sodium Hypochlorite	2860802 3,999.10
	TP1-4,744 Gals Sodium Hypochlorite	2864002 4,056.12
	TP1-4,836 Gals Sodium Hypochlorite	2864370 3,888.14
	TP1-4,884 Gals Sodium Hypochlorite	2865242 3,926.74
	TP1-4,892 Gals Sodium Hypochlorite	2865809 3,933.17
	RP4-4,736 Gals Sodium Hypochlorite	2866617 4,049.28

Check	Payee / Description		Amount
	RP5-4,826 Gals Sodium Hypochlorite	2860804	3,880.10
	CCWRP-4,948 Gals Sodium Hypochlorite	2859052	3,978.19
	RP5-4,776 Gals Sodium Hypochlorite	2850196	4,083.48
	TP1-4,868 Gals Sodium Hypochlorite	2881282	4,050.18
	TP1-4,914 Gals Sodium Hypochlorite	2881037	4,088.45
	RP4-4,914 Gals Sodium Hypochlorite	2881036	4,088.45
	TP1-4,886 Gals Sodium Hypochlorite	2880927	4,065.15
	TP1-4,826 Gals Sodium Hypochlorite	2880657	4,015.23
	TP1-4,904 Gals Sodium Hypochlorite	2880171	4,080.13
	RP4-4,828 Gals Sodium Hypochlorite	2879695	4,016.90
	TP1-4,924 Gals Sodium Hypochlorite	2879693	4,096.77
	RP4-4,862 Gals Sodium Hypochlorite	2873556	3,909.05
	TP1-4,888 Gals Sodium Hypochlorite	2872696	3,929.95
	TP1-4,802 Gals Sodium Hypochlorite	2866992	3,860.81
	TP1-4,968 Gals Sodium Hypochlorite	2866620	3,994.27
			- - - - -
	OLIN CORP	\$	127,907.65
ACH	DAVE'S PLUMBING		
	5 Water Softener Removal 9/2020	5283	1,225.00
			- - - - -
	DAVE'S PLUMBING	\$	1,225.00
ACH	JB'S POOLS & PONDS INC		
	9/20 Pond Maint Svc	2066760900	1,194.85
	7/20 Pond Maint Svc	2066760700	1,194.85
	8/20 Pond Maint Svc	2066760800	1,194.85
			- - - - -
	JB'S POOLS & PONDS INC	\$	3,584.55
ACH	CARRIER CORPORATION		
	HQD-Troubleshoot not Cooling	90074610	365.00
	RP5-PCC not Cooling	90074611	740.00
	RP5-Troubleshoot Oil Shed not Cooling	90074612	365.00
	RP1-PO Pump Station not Cooling	90074736	490.00
	RP1-Preformed LOTO	90074810	1,183.46
	RP5-Troubleshoot Clogged Condensation Dr	90074839	677.50
	RP4-Troubleshoot MCC1 not Cooling	90074769	1,016.45
			- - - - -
	CARRIER CORPORATION	\$	4,837.41
ACH	BIG SKY ELECTRIC INC		
	EN17044-9/20 Pay Est 17	PE 17-EN17044	126,163.10
			- - - - -
	BIG SKY ELECTRIC INC	\$	126,163.10
ACH	ENVIRONMENTAL SCIENCE ASSOCIAT		
	EN17041-8//2020 Professional Services	157952	420.76
			- - - - -
	ENVIRONMENTAL SCIENCE ASSOCIAT\$		420.76
ACH	CALIFORNIA WATER TECHNOLOGIES		
	CCWRP-16,100.96 lbs Ferric Chloride Solu	38283	5,081.71
	RP1-17,478.96 lbs Ferric Chloride Soluti	38254	5,516.62
	Phills-17,908.97 lbs Ferric Chloride Sol	38232	5,652.38
			- - - - -
	CALIFORNIA WATER TECHNOLOGIES	\$	16,250.71
ACH	FERREIRA COASTAL CONSTRUCTION		

Check	Payee / Description	Amount
	RW15004-9/20 Pay Estimate 4 PE 4-RW15004	239,186.25
	FERREIRA COASTAL CONSTRUCTION \$	239,186.25
ACH	FERREIRA COASTAL CONSTRUCTION EN19025-9/20 Pay Est 2 PE 2-EN19025	33,682.44
	FERREIRA COASTAL CONSTRUCTION \$	33,682.44
ACH	MANAGED MOBILE INC Repair Parts/Labor for Agency Vehicles IN00-0205983	712.35
	Repair Parts/Labor for Agency Vehicles IN00-0206130	323.86
	Repair Parts/Labor for Agency Vehicles IN00-0205141	505.39
	MANAGED MOBILE INC \$	1,541.60
ACH	AMAZON BUSINESS 2 MA-418-0-0-2 1Q4V-K794-FLV	1,832.21
	AMAZON BUSINESS \$	1,832.21
ACH	ARMENDAREZ III, JESUS M 10/1/20 Policy Cmmtt Fee-Armendarez,J RPC-10/1/20	100.00
	5/7/20 Policy Cmmtt Fee-Armendarez,J RPC-5/7/20	100.00
	ARMENDAREZ III, JESUS M \$	200.00
ACH	HOWDEN ROOTS LLC RP5Mnt-Probes-Vibration,Proximity 90182927	1,425.53
	HOWDEN ROOTS LLC \$	1,425.53
ACH	KIEWIT INFRASTRUCTURE WEST CO EN17082-9/20 Pay Est 11 PE 11-EN17082	477,897.50
	KIEWIT INFRASTRUCTURE WEST CO \$	477,897.50
ACH	SCHULER CONSTRUCTORS INC EN20058-9/20 Pay Est 1 PE 1-EN20058	7,980.00
	SCHULER CONSTRUCTORS INC \$	7,980.00
ACH	CAPO PROJECTS GROUP LLC June 2020 Professional Services 3893	26,519.64
	CAPO PROJECTS GROUP LLC \$	26,519.64
ACH	CIPO CLOUD SOFTWARE LLC RP5-8/2020-9/2020 CIPO Professional Serv INV-001222	4,000.00
	CIPO CLOUD SOFTWARE LLC \$	4,000.00
ACH	LIBERTY LANDSCAPING INC FcltyMgt-RP1-Rplc Manfunctioning Valve A 92835	295.00
	LIBERTY LANDSCAPING INC \$	295.00
ACH	EXBON DEVELOPMENT INC FM21005-9/20 Pay Estimate 3 PE 3-FM21005	258,119.94

Check	Payee / Description	Amount
	EXBON DEVELOPMENT INC \$	258,119.94
ACH	PILLSBURY WINTHROP SHAW PITMA 8/2020 Employee Benefits Advice 8364125	336.00
	PILLSBURY WINTHROP SHAW PITMA\$	336.00
ACH	SO CALIF EDISON MntclLS-9/10-10/12 34 Palmetto-Phila 3001323337 9/ 2,434.68 RP4-9/4-10/7 12811 6th St 3012355379 9/ 441.15 MntclBsn-9/15-10/15 4700 San Jose 3024530725 9/ 90.65 BrksStBsn-9/15-10/15 10661 Silicon Ped 3024530748 9/ 73.02 MWDTrnt15T-9/16-10/16 12100 Banyan St 3024905261 10 30.62 BrksRWTrnOt-9/16-10/16 4349 Orchard St 3032880824 10 22.81 HckryDm-9/8-10/8 13400 Whittram Ave 3025772313 9/ 154.92	
	SO CALIF EDISON \$	3,247.85
ACH	SHELL ENERGY NORTH AMERICA LP RP1/RP2/RP5/CCWRP-8/1-8/31 Power Usage 1100002880408	2,380.01
	SHELL ENERGY NORTH AMERICA LP \$	2,380.01
ACH	SOLAR STAR CALIFORNIA V LLC RP5/TP5/HQA/B-7/1-7/31 6075 Kimball Ave E02M0614-3770 26,195.83 RP5/TP5/HQA/B-8/1-8/31 6075 Kimball Ave E02M0614-3843 21,454.08 CCWRP/TP/RWPS-5/1-5/31 14950 Telephone A E02M0613-3843 10,007.96 CCWRP/TP/RWPS-6/1-6/30 14950 Telephone A E02M0613-3843 9,599.59 CCWRP/TP/RWPS-7/1-7/31 14950 Telephone A E02M0613-3843 9,919.51 CCWRP/TP/RWPS-8/1-8/31 14950 Telephone A E02M0613-3843 5,981.67	
	SOLAR STAR CALIFORNIA V LLC \$	83,158.64
ACH	IEUA EMPLOYEES' ASSOCIATION P/R 22 10/23/20 Employee Ded HR 0092800	198.00
	IEUA EMPLOYEES' ASSOCIATION \$	198.00
ACH	IEUA SUPERVISORS UNION ASSOCIA P/R 22 10/23/20 Employee Ded HR 0092800	300.00
	IEUA SUPERVISORS UNION ASSOCIA\$	300.00
ACH	IEUA GENERAL EMPLOYEES ASSOCIA P/R 22 10/23/20 Employee Ded HR 0092800	1,106.60
	IEUA GENERAL EMPLOYEES ASSOCIA\$	1,106.60
ACH	IEUA PROFESSIONAL EMPLOYEES AS P/R 22 10/23/20 Employee Ded HR 0092800	576.00
	IEUA PROFESSIONAL EMPLOYEES AS\$	576.00
ACH	DISCOVERY BENEFITS INC P/R 22 10/23/20 Cafeteria Plan HR 0092800	4,051.30
	DISCOVERY BENEFITS INC \$	4,051.30



Check	Payee / Description	Amount
ACH	PATRICK W HUNTER P/R 22 10/23/20	
	HR 0092800	248.50
	PATRICK W HUNTER	\$ 248.50
ACH	BARBER, MICHAEL Reim-8/8/20 Signature Scuba for Special	8/8/20 SIG SC 32.33
	BARBER, MICHAEL	\$ 32.33
ACH	ESCOBAR, MARY Emp Assist PC Loan Purchase	PC LOAN3 1,379.87
	ESCOBAR, MARY	\$ 1,379.87
ACH	ZUGHBI, JAMAL A Reim-DCA Mechanical Engineer Renew 2020	M 30708 2020 115.00
	ZUGHBI, JAMAL A	\$ 115.00
ACH	DIX, GARY Reim-9/16/20 Donuts for SAT Testing	9/16/20 DONUT 32.50
	DIX, GARY	\$ 32.50
ACH	LENING, SCOTT Reim-RP4 Operator Appreciation Breakfas	10/6/20 BRKFA 25.56
	LENING, SCOTT	\$ 25.56
ACH	CAMPOS, JESSE Emp Assist PC Loan Purchase	PCLOAN6 2,384.91
	CAMPOS, JESSE	\$ 2,384.91
ACH	ARAMBULA, BLANCA Reim-10/21-22/2020 IPMAHR Virtual Confer	10/8/20 IPMAH 50.00
	ARAMBULA, BLANCA	\$ 50.00
ACH	JONES, KYLE MlgReim-10/8/20 Call Out-Jones,K	MLG 10/8/2020 30.82
	JONES, KYLE	\$ 30.82
ACH	DIAS, MICHAEL Reim-10/14/20 Torch Kit, Padlock	10/14/20SUPPL 54.89
	Reim-10/17/20 Operator Staff Lunch	10/17/20 LUNC 29.89
	DIAS, MICHAEL	\$ 84.78
ACH	ALVARADO, NICOLAS F DEPIEROLA Reim-10/14/20 Overtime Meal	10/14/20 OT M 10.00
	ALVARADO, NICOLAS F DEPIEROLA	\$ 10.00
ACH	MARTINEZ, ARNOLDO MlgReim-10/17/20 Call Out-Martinez,A	MLG 10/17/20 29.90

Check Payee / Description		Amount
	MARTINEZ, ARNOLDO	\$ 29.90
ACH	MENDO, FEDERICO SORDO MlgReim-10/11/20 Call Out-Sordo,F MLG 10/11/20	71.30
	MENDO, FEDERICO SORDO	\$ 71.30
ACH	EMPOWER RETIREMENT P/R 22 10/23/20 Deferred Comp HR 0092800	84,985.13
	EMPOWER RETIREMENT	\$ 84,985.13
ACH	ESTRADA, JIMMIE J Reim Monthly Health Prem HEALTH PREM	539.86
	ESTRADA, JIMMIE J	\$ 539.86
ACH	LICHTI, ALICE Reim Monthly Health Prem HEALTH PREM	200.43
	LICHTI, ALICE	\$ 200.43
ACH	NOWAK, THEO T Reim Monthly Health Prem HEALTH PREM	539.86
	NOWAK, THEO T	\$ 539.86
ACH	SONNENBURG, ILSE Reim Monthly Health Prem HEALTH PREM	200.43
	SONNENBURG, ILSE	\$ 200.43
ACH	MILLER, ELMER L Reim Monthly Health Prem HEALTH PREM	864.82
	MILLER, ELMER L	\$ 864.82
ACH	DYKSTRA, BETTY Reim Monthly Health Prem HEALTH PREM	200.43
	DYKSTRA, BETTY	\$ 200.43
ACH	MUELLER, CAROLYN Reim Monthly Health Prem HEALTH PREM	200.43
	MUELLER, CAROLYN	\$ 200.43
ACH	GRIFFIN, GEORGE Reim Monthly Health Prem HEALTH PREM	200.43
	GRIFFIN, GEORGE	\$ 200.43
ACH	CANADA, ANGELA Reim Monthly Health Prem HEALTH PREM	200.43
	CANADA, ANGELA	\$ 200.43
ACH	CUPERSMITH, LEIZAR Reim Monthly Health Prem HEALTH PREM	200.43

Check	Payee / Description	Amount
	CUPERSMITH, LEIZAR \$ - - - - - 200.43	
ACH	DELGADO-ORAMAS JR, JOSE Reim Monthly Health Prem HEALTH PREM 339.43	
	DELGADO-ORAMAS JR, JOSE \$ - - - - - 339.43	
ACH	GRANGER, BRANDON Reim Monthly Health Prem HEALTH PREM 169.72	
	GRANGER, BRANDON \$ - - - - - 169.72	
ACH	GADDY, CHARLES L Reim Monthly Health Prem HEALTH PREM 169.72	
	GADDY, CHARLES L \$ - - - - - 169.72	
ACH	BAKER, CHRIS Reim Monthly Health Prem HEALTH PREM 30.72	
	BAKER, CHRIS \$ - - - - - 30.72	
ACH	WEBB, DANNY C Reim Monthly Health Prem HEALTH PREM 139.00	
	WEBB, DANNY C \$ - - - - - 139.00	
ACH	HUMPHREYS, DEBORAH E Reim Monthly Health Prem HEALTH PREM 169.72	
	HUMPHREYS, DEBORAH E \$ - - - - - 169.72	
ACH	MOUAT, FREDERICK W Reim Monthly Health Prem HEALTH PREM 169.72	
	MOUAT, FREDERICK W \$ - - - - - 169.72	
ACH	MORGAN, GARTH W Reim Monthly Health Prem HEALTH PREM 139.00	
	MORGAN, GARTH W \$ - - - - - 139.00	
ACH	ALLINGHAM, JACK Reim Monthly Health Prem HEALTH PREM 30.72	
	ALLINGHAM, JACK \$ - - - - - 30.72	
ACH	MAZUR, JOHN Reim Monthly Health Prem HEALTH PREM 501.91	
	MAZUR, JOHN \$ - - - - - 501.91	
ACH	HAMILTON, MARIA Reim Monthly Health Prem HEALTH PREM 139.00	
	HAMILTON, MARIA \$ - - - - - 139.00	
ACH	RAMOS, CAROL	

Check	Payee / Description	Amount
	Reim Monthly Health Prem	HEALTH PREM 30.72
	RAMOS, CAROL	\$ 30.72
ACH	FISHER, JAY	
	Reim Monthly Health Prem	HEALTH PREM 139.00
	FISHER, JAY	\$ 139.00
ACH	KING, PATRICK	
	Reim Monthly Health Prem	HEALTH PREM 30.72
	KING, PATRICK	\$ 30.72
ACH	HOWARD, ROBERT JAMES	
	Reim Monthly Health Prem	HEALTH PREM 30.72
	HOWARD, ROBERT JAMES	\$ 30.72
ACH	DIETZ, JUDY	
	Reim Monthly Health Prem	HEALTH PREM 139.00
	DIETZ, JUDY	\$ 139.00
ACH	DAVIS, GEORGE	
	Reim Monthly Health Prem	HEALTH PREM 30.72
	DAVIS, GEORGE	\$ 30.72
ACH	MONZAVI, TAGHI	
	Reim Monthly Health Prem	HEALTH PREM 30.72
	MONZAVI, TAGHI	\$ 30.72
ACH	PETERSEN, KENNETH	
	Reim Monthly Health Prem	HEALTH PREM 200.43
	PETERSEN, KENNETH	\$ 200.43
ACH	TRAUTERMAN, HELEN	
	Reim Monthly Health Prem	HEALTH PREM 200.43
	TRAUTERMAN, HELEN	\$ 200.43
ACH	TIEGS, KATHLEEN	
	Reim Monthly Health Prem	HEALTH PREM 539.86
	TIEGS, KATHLEEN	\$ 539.86
ACH	DIGGS, GEORGE	
	Reim Monthly Health Prem	HEALTH PREM 539.86
	DIGGS, GEORGE	\$ 539.86
ACH	HAYES, KENNETH	
	Reim Monthly Health Prem	HEALTH PREM 539.86
	HAYES, KENNETH	\$ 539.86

Check	Payee / Description	Amount
ACH	RODRIGUEZ, LOUIS Reim Monthly Health Prem	HEALTH PREM 169.72
	RODRIGUEZ, LOUIS	\$ 169.72
ACH	VARBEL, VAN Reim Monthly Health Prem	HEALTH PREM 339.43
	VARBEL, VAN	\$ 339.43
ACH	CLIFTON, NEIL Reim Monthly Health Prem	HEALTH PREM 501.91
	CLIFTON, NEIL	\$ 501.91
ACH	WELLMAN, JOHN THOMAS Reim Monthly Health Prem	HEALTH PREM 501.91
	WELLMAN, JOHN THOMAS	\$ 501.91
ACH	TROXEL, WYATT Reim Monthly Health Prem	HEALTH PREM 200.43
	TROXEL, WYATT	\$ 200.43
ACH	CORLEY, WILLIAM Reim Monthly Health Prem	HEALTH PREM 339.43
	CORLEY, WILLIAM	\$ 339.43
ACH	CALLAHAN, CHARLES Reim Monthly Health Prem	HEALTH PREM 30.72
	CALLAHAN, CHARLES	\$ 30.72
ACH	LESNIAKOWSKI, NORBERT Reim Monthly Health Prem	HEALTH PREM 200.43
	LESNIAKOWSKI, NORBERT	\$ 200.43
ACH	VER STEEG, ALLEN J Reim Monthly Health Prem	HEALTH PREM 169.72
	VER STEEG, ALLEN J	\$ 169.72
ACH	HACKNEY, GARY Reim Monthly Health Prem	HEALTH PREM 501.91
	HACKNEY, GARY	\$ 501.91
ACH	TOL, HAROLD Reim Monthly Health Prem	HEALTH PREM 200.43
	TOL, HAROLD	\$ 200.43
ACH	BANKSTON, GARY Reim Monthly Health Prem	HEALTH PREM 200.43
	BANKSTON, GARY	\$ 200.43

Check Payee / Description	Amount
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ACH	ATWATER, RICHARD Reim Monthly Health Prem	HEALTH PREM	139.00
			- - - - -
	ATWATER, RICHARD	\$	139.00
ACH	FIESTA, PATRICIA Reim Monthly Health Prem	HEALTH PREM	501.91
			- - - - -
	FIESTA, PATRICIA	\$	501.91
ACH	DIGGS, JANET Reim Monthly Health Prem	HEALTH PREM	678.86
			- - - - -
	DIGGS, JANET	\$	678.86
ACH	CARAZA, TERESA Reim Monthly Health Prem	HEALTH PREM	30.72
			- - - - -
	CARAZA, TERESA	\$	30.72
ACH	SANTA CRUZ, JACQUELYN Reim Monthly Health Prem	HEALTH PREM	863.71
			- - - - -
	SANTA CRUZ, JACQUELYN	\$	863.71
ACH	HECK, ROSELYN Reim Monthly Health Prem	HEALTH PREM	30.72
			- - - - -
	HECK, ROSELYN	\$	30.72
ACH	SOPICKI, LEO Reim Monthly Health Prem	HEALTH PREM	339.43
			- - - - -
	SOPICKI, LEO	\$	339.43
ACH	GOSE, ROSEMARY Reim Monthly Health Prem	HEALTH PREM	139.00
			- - - - -
	GOSE, ROSEMARY	\$	139.00
ACH	KEHL, BARRETT Reim Monthly Health Prem	HEALTH PREM	139.00
			- - - - -
	KEHL, BARRETT	\$	139.00
ACH	RITCHIE, JANN Reim Monthly Health Prem	HEALTH PREM	139.00
			- - - - -
	RITCHIE, JANN	\$	139.00
ACH	LONG, ROCKWELL DEE Reim Monthly Health Prem	HEALTH PREM	525.39
			- - - - -
	LONG, ROCKWELL DEE	\$	525.39
ACH	FATTAHI, MIR Reim Monthly Health Prem	HEALTH PREM	139.00
			- - - - -

Check Payee / Description		Amount
	FATTAHI, MIR	\$ 139.00
ACH	VERGARA, FLORENTINO Reim Monthly Health Prem	HEALTH PREM 339.43
	VERGARA, FLORENTINO	\$ 339.43
ACH	WALL, DAVID Reim Monthly Health Prem	HEALTH PREM 332.20
	WALL, DAVID	\$ 332.20
ACH	CHUNG, MICHAEL Reim Monthly Health Prem	HEALTH PREM 169.72
	CHUNG, MICHAEL	\$ 169.72
ACH	ADAMS, PAMELA Reim Monthly Health Prem	HEALTH PREM 30.72
	ADAMS, PAMELA	\$ 30.72
ACH	BLASINGAME, MARY Reim Monthly Health Prem	HEALTH PREM 525.39
	BLASINGAME, MARY	\$ 525.39
ACH	ANDERSON, KENNETH Reim Monthly Health Prem	HEALTH PREM 169.72
	ANDERSON, KENNETH	\$ 169.72
ACH	MOE, JAMES Reim Monthly Health Prem	HEALTH PREM 30.72
	MOE, JAMES	\$ 30.72
ACH	POLACEK, KEVIN Reim Monthly Health Prem	HEALTH PREM 863.71
	POLACEK, KEVIN	\$ 863.71
ACH	ELROD, SONDRAL Reim Monthly Health Prem	HEALTH PREM 169.72
	ELROD, SONDRAL	\$ 169.72
ACH	FRAZIER, JACK Reim Monthly Health Prem	HEALTH PREM 183.62
	FRAZIER, JACK	\$ 183.62
ACH	HOAK, JAMES Reim Monthly Health Prem	HEALTH PREM 139.00
	HOAK, JAMES	\$ 139.00
ACH	DEZHAM, PARIVASH Reim Monthly Health Prem	HEALTH PREM 30.72

Check	Payee / Description	Amount
	DEZHAM, PARIVASH \$ - - - - - 30.72	
ACH	FOLEY III, DANIEL J. Reim Monthly Health Prem HEALTH PREM 193.20	
	FOLEY III, DANIEL J. \$ - - - - - 193.20	
ACH	CLEVELAND, JAMES Reim Monthly Health Prem HEALTH PREM 139.00	
	CLEVELAND, JAMES \$ - - - - - 139.00	
ACH	LANGNER, CAMERON Reim Monthly Health Prem HEALTH PREM 629.49	
	LANGNER, CAMERON \$ - - - - - 629.49	
ACH	HAMILTON, LEANNE Reim Monthly Health Prem HEALTH PREM 30.72	
	HAMILTON, LEANNE \$ - - - - - 30.72	
ACH	HOOSHMAND, RAY Reim Monthly Health Prem HEALTH PREM 139.00	
	HOOSHMAND, RAY \$ - - - - - 139.00	
ACH	SCHLAPKOHL, JACK Reim Monthly Health Prem HEALTH PREM 139.00	
	SCHLAPKOHL, JACK \$ - - - - - 139.00	
ACH	POOLE, PHILLIP Reim Monthly Health Prem HEALTH PREM 30.72	
	POOLE, PHILLIP \$ - - - - - 30.72	
ACH	ADAMS, BARBARA Reim Monthly Health Prem HEALTH PREM 169.72	
	ADAMS, BARBARA \$ - - - - - 169.72	
ACH	RUESCH, GENECE Reim Monthly Health Prem HEALTH PREM 362.91	
	RUESCH, GENECE \$ - - - - - 362.91	
ACH	VANDERPOOL, LARRY Reim Monthly Health Prem HEALTH PREM 528.31	
	VANDERPOOL, LARRY \$ - - - - - 528.31	
ACH	AMBROSE, JEFFREY Reim Monthly Health Prem HEALTH PREM 339.43	
	AMBROSE, JEFFREY \$ - - - - - 339.43	
ACH	MERRILL, DIANE	



Check	Payee / Description	Amount
	Reim Monthly Health Prem HEALTH PREM	497.60
	MERRILL, DIANE \$	497.60
ACH	HOUSER, ROD	
	Reim Monthly Health Prem HEALTH PREM	501.91
	HOUSER, ROD \$	501.91
ACH	RUSO, VICKI	
	Reim Monthly Health Prem HEALTH PREM	193.20
	RUSO, VICKI \$	193.20
ACH	HUSS, KERRY	
	Reim Monthly Health Prem HEALTH PREM	856.19
	HUSS, KERRY \$	856.19
ACH	BINGHAM, GREGG	
	Reim Monthly Health Prem HEALTH PREM	525.39
	BINGHAM, GREGG \$	525.39
ACH	CHARLES, DAVID	
	Reim Monthly Health Prem HEALTH PREM	139.00
	CHARLES, DAVID \$	139.00
ACH	YEBOAH, ERNEST	
	Reim Monthly Health Prem HEALTH PREM	139.00
	YEBOAH, ERNEST \$	139.00
ACH	ALVARADO, ROSEMARY	
	Reim Monthly Health Prem HEALTH PREM	362.91
	ALVARADO, ROSEMARY \$	362.91
ACH	BARELA, GEORGE	
	Reim Monthly Health Prem HEALTH PREM	139.00
	BARELA, GEORGE \$	139.00
ACH	FETZER, ROBERT	
	Reim Monthly Health Prem HEALTH PREM	863.71
	FETZER, ROBERT \$	863.71
ACH	SPAETH, ERIC	
	Reim Monthly Health Prem HEALTH PREM	193.20
	SPAETH, ERIC \$	193.20
ACH	DAVIS, MARTHA	
	Reim Monthly Health Prem HEALTH PREM	30.72
	DAVIS, MARTHA \$	30.72

Check	Payee / Description	Amount
ACH	BRULE, CHRISTOPHER Reim Monthly Health Prem	HEALTH PREM 193.20
	BRULE, CHRISTOPHER	\$ 193.20
ACH	ROOS, JAMES Reim Monthly Health Prem	HEALTH PREM 362.91
	ROOS, JAMES	\$ 362.91
ACH	MULLANEY, JOHN Reim Monthly Health Prem	HEALTH PREM 332.20
	MULLANEY, JOHN	\$ 332.20
ACH	VALENZUELA, DANIEL Reim Monthly Health Prem	HEALTH PREM 525.39
	VALENZUELA, DANIEL	\$ 525.39
ACH	PACE, BRIAN Reim Monthly Health Prem	HEALTH PREM 525.39
	PACE, BRIAN	\$ 525.39
ACH	KING, JOSEPH Reim Monthly Health Prem	HEALTH PREM 139.00
	KING, JOSEPH	\$ 139.00
ACH	VILLALOBOS, HECTOR Reim Monthly Health Prem	HEALTH PREM 193.20
	VILLALOBOS, HECTOR	\$ 193.20
ACH	BAXTER, KATHLEEN Reim Monthly Health Prem	HEALTH PREM 193.20
	BAXTER, KATHLEEN	\$ 193.20
ACH	PENMAN, DAVID Reim Monthly Health Prem	HEALTH PREM 525.39
	PENMAN, DAVID	\$ 525.39
ACH	ANGIER, RICHARD Reim Monthly Health Prem	HEALTH PREM 525.39
	ANGIER, RICHARD	\$ 525.39
ACH	MERRILL, DEBORAH Reim Monthly Health Prem	HEALTH PREM 362.91
	MERRILL, DEBORAH	\$ 362.91
ACH	O'DEA, KRISTINE Reim Monthly Health Prem	HEALTH PREM 169.72
	O'DEA, KRISTINE	\$ 169.72

Check Payee / Description		Amount
ACH	OAKDEN, LISA Reim Monthly Health Prem	HEALTH PREM 863.71
	OAKDEN, LISA	\$ 863.71
ACH	LAUGHLIN, JOHN Reim Monthly Health Prem	HEALTH PREM 139.00
	LAUGHLIN, JOHN	\$ 139.00
ACH	HUGHBANKS, ROGER Reim Monthly Health Prem	HEALTH PREM 193.20
	HUGHBANKS, ROGER	\$ 193.20
ACH	SPENDLOVE, DANNY Reim Monthly Health Prem	HEALTH PREM 169.72
	SPENDLOVE, DANNY	\$ 169.72
ACH	HOULIHAN, JESSE Reim Monthly Health Prem	HEALTH PREM 193.20
	HOULIHAN, JESSE	\$ 193.20
ACH	WARMAN, EVELYN Reim Monthly Health Prem	HEALTH PREM 30.72
	WARMAN, EVELYN	\$ 30.72
ACH	HERNANDEZ, DELIA Reim Monthly Health Prem	HEALTH PREM 193.20
	HERNANDEZ, DELIA	\$ 193.20
ACH	AVILA, ARTHUR L Reim Monthly Health Prem	HEALTH PREM 193.20
	AVILA, ARTHUR L	\$ 193.20
ACH	GUARDIANO, GARY Reim Monthly Health Prem	HEALTH PREM 169.72
	GUARDIANO, GARY	\$ 169.72
ACH	POMERLEAU, THOMAS Reim Monthly Health Prem	HEALTH PREM 30.72
	POMERLEAU, THOMAS	\$ 30.72
ACH	BARRER, SATURNINO Reim Monthly Health Prem	HEALTH PREM 332.20
	BARRER, SATURNINO	\$ 332.20
ACH	LACEY, STEVEN Reim Monthly Health Prem	HEALTH PREM 724.71

Check		Payee / Description	Amount
		LACEY, STEVEN	\$ 724.71
ACH	MILLS, JOHN	Reim Monthly Health Prem	HEALTH PREM 30.72
			- - - - -
	MILLS, JOHN		\$ 30.72
ACH	REED, RANDALL	Reim Monthly Health Prem	HEALTH PREM 664.39
			- - - - -
	REED, RANDALL		\$ 664.39
ACH	RAMIREZ, REBECCA	Reim Monthly Health Prem	HEALTH PREM 332.20
			- - - - -
	RAMIREZ, REBECCA		\$ 332.20
ACH	RAZAK, HALLA	Reim Monthly Health Prem	HEALTH PREM 139.00
			- - - - -
	RAZAK, HALLA		\$ 139.00
ACH	OSBORN, CINDY L	Reim Monthly Health Prem	HEALTH PREM 332.20
			- - - - -
	OSBORN, CINDY L		\$ 332.20
ACH	FESTA, GARY	Reim Monthly Health Prem	HEALTH PREM 245.25
			- - - - -
	FESTA, GARY		\$ 245.25
ACH	MENDEZ, DAVID G	Reim Monthly Health Prem	HEALTH PREM 193.20
			- - - - -
	MENDEZ, DAVID G		\$ 193.20
ACH	DELGADO, FLOR MARIA	Reim Monthly Health Prem	HEALTH PREM 139.00
			- - - - -
	DELGADO, FLOR MARIA		\$ 139.00
ACH	GROENVELD, NELLETJE	Reim Monthly Health Prem	HEALTH PREM 193.20
			- - - - -
	GROENVELD, NELLETJE		\$ 193.20
ACH	BATONGMALAQUE, CHARLIE L	Reim Monthly Health Prem	HEALTH PREM 562.23
			- - - - -
	BATONGMALAQUE, CHARLIE L		\$ 562.23
ACH	BOBBITT, JOHN	Reim Monthly Health Prem	HEALTH PREM 139.00
			- - - - -
	BOBBITT, JOHN		\$ 139.00
ACH	NEIGHBORS, CLAUDIA	Reim Monthly Health Prem	HEALTH PREM 193.20

Check	Payee / Description	Amount
	NEIGHBORS, CLAUDIA \$ - - - - -	193.20
ACH	JONES, LONDON S Reim Monthly Health Prem HEALTH PREM	193.20
	JONES, LONDON S \$ - - - - -	193.20
ACH	CHENG, TINA Y Reim Monthly Health Prem HEALTH PREM	200.43
	CHENG, TINA Y \$ - - - - -	200.43
ACH	JACKSON, PATRICIA M Reim Monthly Health Prem HEALTH PREM	169.72
	JACKSON, PATRICIA M \$ - - - - -	169.72
ACH	GIBSON, CONSTANCE A Reim Monthly Health Prem HEALTH PREM	139.00
	GIBSON, CONSTANCE A \$ - - - - -	139.00
ACH	GU, JASON Reim Monthly Health Prem HEALTH PREM	169.72
	GU, JASON \$ - - - - -	169.72
ACH	KOPP, LINDA L Reim Monthly Health Prem HEALTH PREM	193.20
	KOPP, LINDA L \$ - - - - -	193.20
ACH	SCHERCK, JOHN Reim Monthly Health Prem HEALTH PREM	322.62
	SCHERCK, JOHN \$ - - - - -	322.62
ACH	WOODRUFF, APRIL F Reim Monthly Health Prem HEALTH PREM	193.20
	WOODRUFF, APRIL F \$ - - - - -	193.20
ACH	ELEBY, CRYSTAL Reim Monthly Health Prem HEALTH PREM	524.02
	ELEBY, CRYSTAL \$ - - - - -	524.02
ACH	BUCHANAN, JAMES S Reim Monthly Health Prem HEALTH PREM	278.00
	BUCHANAN, JAMES S \$ - - - - -	278.00
ACH	LUCAS, LARRY Reim Monthly Health Prem HEALTH PREM	417.00
	LUCAS, LARRY \$ - - - - -	417.00
ACH	AQUA BEN CORPORATION	

Check	Payee / Description		Amount
	DAFT-4,600 Lbs Hydrofloc 748E	41445	4,460.85
	DAFT-11,500 Lbs Hydrofloc 748E	41452	11,152.13
	RP1-23,000 Lbs Hydrofloc 750A	41446	29,243.35
	RP2-24,840 Lbs Hydrofloc 748E	41418	26,765.10
			- - - - -
	AQUA BEN CORPORATION	\$	71,621.43
ACH	FISHER SCIENTIFIC		
	Ricca Buffer, MEMB for Cyanide	9976944	201.86
	KCL Cond Std	9976945	167.71
	Filter Caps, 5ml Vials	9859088	991.85
	White Respirators	0093469	328.78
	Sodium Salicylate Crystal	9859094	998.26
	Nitrite Std	9859091	52.46
	Ricca Buffer	9976946	132.27
			- - - - -
	FISHER SCIENTIFIC	\$	2,873.19
ACH	NAPA GENUINE PARTS COMPANY		
	Battery, Core Charge	4584-316322	611.22
	Battery, Core Charge	4584-316321	611.22
	3 Oil Filters, 3 Fuel Filters	4584-313332	183.80
	Battery Cable Terminal, Guards	3973-069636	13.45
	Refund Battery, Core Charge	317204	310.32
			- - - - -
	NAPA GENUINE PARTS COMPANY	\$	1,109.37
ACH	RMA GROUP		
	EN20014-7/13-8/16 Prof Svcs	71763	1,011.48
	EN21017.01-7/13-8/16 Prof Svcs	71764	460.74
			- - - - -
	RMA GROUP	\$	1,472.22
ACH	ROYAL INDUSTRIAL SOLUTIONS		
	Heatsink Fans	6046-685298	1,549.77
	Lugs, Receptacle, Connectors, Straps	6046-685923	62.32
	Programmable Logic Controller	6046-685315	298.47
	Lamps	6046-685635	413.11
	Aux Contact Block	6046-685445	42.95
	Graphic Terminal	6046-685720	2,471.08
	Heatsink Fan	6046-685041	938.66
	Fuses	6046-685914	137.06
	Fuses	6046-685880	19.18
	Wire, Connectors, Wire Marker Books	6046-685837	321.62
			- - - - -
	ROYAL INDUSTRIAL SOLUTIONS	\$	6,254.22
ACH	WAXIE SANITARY SUPPLY		
	Purell Touch Free Stands	79474459	732.70
	Hand Soap	79454362	669.64
	Lysol, Soaps, Towels, Liners, Kimwipes, Paper	79464608	2,701.77
			- - - - -
	WAXIE SANITARY SUPPLY	\$	4,104.11
ACH	WEST VALLEY MOSQUITO AND		
	8/20 Mosquito Control f/WW Trtmnt Facili 2605		456.37
			- - - - -
	WEST VALLEY MOSQUITO AND	\$	456.37

Check	Payee / Description	Amount
ACH	PACIFIC PARTS & CONTROLS DeviceNet Card I437695 618.03 Install Low Overload VFD I437973 8,344.00 PACIFIC PARTS & CONTROLS \$ 8,962.03	
ACH	PETE'S ROAD SERVICE Tow Charge f/Veh 0605 435561-00 185.29 PETE'S ROAD SERVICE \$ 185.29	
ACH	GRAINGER INC RP1Mnt-Safety Railing-Rplcmnt 9348307993 141.57 GRAINGER INC \$ 141.57	
ACH	CUCAMONGA VALLEY WATER DISTRIC 8/2020 Legal Fees for Chino Basin Water 20361 66.08 CUCAMONGA VALLEY WATER DISTRIC\$ 66.08	
ACH	DEZURIK INC Replacement for P/N 9602456 RPI/66015404 18,383.23 DEZURIK INC \$ 18,383.23	
ACH	CHINO BASIN WATERMASTER 67% Cost Sharing-WEI Invoice 2020368 2020-09-C 8,442.48 CHINO BASIN WATERMASTER \$ 8,442.48	
ACH	R F MACDONALD RP1 Boiler #1 Semi-Annual Cleaning 298447 4,457.00 R F MACDONALD \$ 4,457.00	
ACH	KONICA MINOLTA 10/20 Lease Copiers-C658,C308,C759,C659 36402520 3,017.56 10/20 Lease Copiers-C759,808,658E,458E 36402521 2,688.93 KONICA MINOLTA \$ 5,706.49	
ACH	BATTERY SPECIALTIES INC 30 Batteries 377220 881.71 BATTERY SPECIALTIES INC \$ 881.71	
ACH	AGILENT TECHNOLOGIES Acrolein, Acrylonitrile 119826386 60.17 Pentachloronitrobenzene 119826388 26.02 Inert 350 Ion Source Ay,Liner O-Rings 119826387 4,777.26 AGILENT TECHNOLOGIES \$ 4,863.45	
ACH	ACCUSTANDARD INC Acrolein, Acrylonitrile 926362 203.80 Custom Standard 926537 593.36 ACCUSTANDARD INC \$ 797.16	

Check Payee / Description		Amount
ACH	THATCHER COMPANY OF CALIFORNIA TP1-48,480 Lbs Aluminum Sulfate 278709	3,936.06
	THATCHER COMPANY OF CALIFORNIA\$	3,936.06
ACH	TRI STATE ENVIRONMENTAL 8/26/20 CCWRP Underground Storage Tank I 11565 9/23/20 CCWRP Underground Storage Tank I 11649	150.00 150.00
	TRI STATE ENVIRONMENTAL \$	300.00
ACH	RED WING SHOE STORE Emp Safety Shoe Purchase 133-1-106113 Emp Safety Shoe Purchase 133-1-106528	184.24 155.15
	RED WING SHOE STORE \$	339.39
ACH	TELEDYNE ISCO Suction Line S020420619	1,325.08
	TELEDYNE ISCO \$	1,325.08
ACH	TOM DODSON & ASSOCIATES EN19001/EN19006-8/6-9/15 Prof Svcs IE-340 20-4 RW15003-7/1-7/31 Prof Svcs IE-305 20-6	3,650.00 277.40
	TOM DODSON & ASSOCIATES \$	3,927.40
ACH	STRADLING YOCCA CARLSON & RAUT EN14042 8/2020 Legal Services 367986-0032	1,085.00
	STRADLING YOCCA CARLSON & RAUT\$	1,085.00
ACH	PALM AUTO DETAIL INC 9/20 Carwash Svcs f/Agency Fleet Vehicle 20999-2	2,070.00
	PALM AUTO DETAIL INC \$	2,070.00
ACH	ROSEMOUNT INC Inline Pressure Transmitter 71690490	1,863.78
	ROSEMOUNT INC \$	1,863.78
ACH	PARSONS WATER & INFRASTRUCTURE EN19001/EN19006-8/29-9/25 Prof Svcs 2010A290	93,833.14
	PARSONS WATER & INFRASTRUCTURE\$	93,833.14
ACH	HACH COMPANY TP4-Mnt/Rpr Probe RCC DR3800/3900 12070079 RP1Mnt-Door Assy Kit-Complete Front 12080011 RP1Mnt-Stblcl STD,Dml KCL Sltn 12080013	570.51 2,407.18 1,402.90
	HACH COMPANY \$	4,380.59
ACH	O S T S INC 9/2,9/16,9/17 Hazwoper FRO Refresher f/3 53355	3,300.00



Check	Payee / Description	Amount
	O S T S INC	\$ 3,300.00
ACH	TRUSSELL TECHNOLOGIES INC	
	EC-8/1-8/31 RP1/RP4 Free Chlorine Disinf 0000007475	2,401.25
	TRUSSELL TECHNOLOGIES INC	\$ 2,401.25
ACH	CS-AMSCO	
	Crimping Tool,Control Socket,Control Pin 16291	1,943.82
	CS-AMSCO	\$ 1,943.82
ACH	RSD	
	Coil Expansion Valves 55329252-00	90.37
	Duct Fans,Grills 55328792-00	1,226.40
	RSD	\$ 1,316.77
ACH	PEST OPTIONS INC	
	September 2020 Weed Abatement Services 358749	4,561.44
	September 2020 GWR Weed Abatement Servic 358807	8,706.84
	PEST OPTIONS INC	\$ 13,268.28
ACH	SCW CONTRACTING CORPORATION	
	EN21019.02-8/7-9/18 Prof Svcs 20157	39,551.56
	SCW CONTRACTING CORPORATION	\$ 39,551.56
ACH	SUNGARD AVAILABILITY SERVICES	
	11/20 Disaster Recovery Svc 152748692	853.00
	SUNGARD AVAILABILITY SERVICES	\$ 853.00
ACH	QED ENVIRONMENTAL SYSTEMS INC	
	Controller 0000279948	5,582.98
	QED ENVIRONMENTAL SYSTEMS INC	\$ 5,582.98
ACH	WESTECH ENGINEERING INC	
	Skimmer Assembly,Valve Disc 78396	6,519.19
	WESTECH ENGINEERING INC	\$ 6,519.19
ACH	GRAPHIC PRODUCTS INC	
	RP2Ops-Drlbl Tr Prntr,Lbl Rbbns-Blck,Wht 2623726	1,695.33
	GRAPHIC PRODUCTS INC	\$ 1,695.33
ACH	US BANK VOYAGER FLEET SYSTEMS	
	10/20 Gasoline f/Agency Vehicles 869315093043	7,725.34
	9/20 Gasoline f/Agency Vehicles 869315093039	7,543.66
	US BANK VOYAGER FLEET SYSTEMS	\$ 15,269.00
ACH	ALLIED UNIVERSAL SECURITY SERV	
	Oct 2020 Monthly Patrol Service 10480790	11,224.08
	ALLIED UNIVERSAL SECURITY SERV	\$ 11,224.08

Check	Payee / Description		Amount
ACH	CARRIER CORPORATION RP4-Troubleshoot MCC1 Unit not Working 90074833 1,183.76 HQB-Repair Ice Maker 90079470 3,117.37 CARRIER CORPORATION \$ 4,301.13		
ACH	BIG SKY ELECTRIC INC EN17044-8/20 Pay Est 16 PE 16-EN17044 283,615.71 Install MTE Passive Harmonic Filter 20-017-01 13,770.00 BIG SKY ELECTRIC INC \$ 297,385.71		
ACH	DOWNS ENERGY RP2-421.10 Gals Red Dyed Diesel Fuel 0270440-IN 863.16 DOWNS ENERGY \$ 863.16		
ACH	UPS PROTECTION INC Symmetra LX Power Module 2008011 1,340.42 UPS PROTECTION INC \$ 1,340.42		
ACH	GHD INC EN17042-3/1-8/31 Prof Svcs 149338 8,735.75 EN20034-8/30-9/26 Prof Svcs 150036 8,893.50 EN20034-8/1-8/29 Prof Svcs 148698 18,532.89 EN20034-7/15-8/1 Prof Svcs 147171 4,394.75 EN20056-6/28-8/1 Prof Svcs 146590 2,127.50 EN20041-8/30-9/26 Prof Svcs 149705 3,080.50 EN15002-6/30-8/29 Prof Svcs 148649 2,736.25 EN20041-5/19-8/1 Prof Svcs 146622 9,753.75 EN20041-12/12/19-2/22/20 Prof Svcs 137328 4,276.75 EN20036-2/24-3/21 Prof Svcs 138147 512.00 EN20041-11/18/19-12/12/19 Prof Svcs 133962 29,623.00 EN19025-8/30-9/26 Prof Svcs 149969 1,180.50 EN23002-8/30-9/26 Prof Svcs 149700 26,355.25 EN19025-8/2-8/29 Prof Svcs 148212 5,779.50 EN19025-5/31-8/1 Prof Svcs 146686 1,675.75 EN23002-5/31-8/1 Prof Svcs 146676 598.50 GHD INC \$ 128,256.14		
ACH	TRICO CORPORATION Oil Analysis P56251 128.00 TRICO CORPORATION \$ 128.00		
ACH	CONSERV CONSTRUCTION INC WR21025-9/2020 Professional Services 1600 5,175.00 WR21025-9/2020 Professional Services 1601 36,905.50 CONSERV CONSTRUCTION INC \$ 42,080.50		
ACH	EPI-USE LABS LLC 12/23/20-12/22/21 HCM Annual Maintenance INV-US5686 2,357.46 EPI-USE LABS LLC \$ 2,357.46		

Check	Payee / Description	Amount
ACH	AUTOZONE PARTS INC 100 Boxes Gloves 5618009225	2,693.75
	AUTOZONE PARTS INC \$	2,693.75
ACH	ATKINSON, ANDELSON, LOYA, 9/2020 General Labor Advice 606347	24,697.67
	ATKINSON, ANDELSON, LOYA, \$	24,697.67
ACH	SC FUELS TrnrBsn-593.1 Gals Dyed Diesel 691504R-DM	1,789.97
	TrnrBsn-300 Gals Dyed Diesel 1685695-IN	945.21
	TrnrBsn-404.1 Gals Dyed Diesel 1679060-IN	1,331.55
	TrnrBsn-300 Gals Dyed Diesel 1674512-IN	1,098.58
	TrnrBsn-300 Gals Dyed Diesel 1669417-IN	1,041.84
	TrnrBsn-250 Gals Dyed Diesel 665468R-DM	903.37
	SC FUELS \$	7,110.52
ACH	CALIFORNIA WATER TECHNOLOGIES RP2-16,039.40 lbs Ferric Chloride Soluti 38305	5,062.27
	Phills-16,718.10 lbs Ferric Chloride Sol 38291	5,276.48
	RP1-17,213.36 lbs Ferric Chloride Soluti 38358	5,432.79
	RP1-17,940.56 lbs Ferric Chloride Soluti 38300	5,662.31
	CALIFORNIA WATER TECHNOLOGIES \$	21,433.85
ACH	V3IT CONSULTING INC SAP August 2020 Support V3IT2020IEUA0	1,192.50
	V3IT CONSULTING INC \$	1,192.50
ACH	EVOQUA WATER TECHNOLOGIES LLC 10/1/20-12/31/20 DI Tank Rental 904631411	125.33
	EVOQUA WATER TECHNOLOGIES LLC \$	125.33
ACH	MANAGED MOBILE INC Repair Parts/Labor for Agency Vehicles IN00-0206218	130.70
	Repair Parts/Labor for Agency Vehicles IN00-0206242	1,314.30
	Repair Parts/Labor for Agency Vehicles IN00-0206217	130.70
	MANAGED MOBILE INC \$	1,575.70
ACH	WALLACE & ASSOCIATES CONSULTIN PL19005-8/31-9/27 On-Call Admin Svcs 20-09-IEUA-AD	10,500.00
	WALLACE & ASSOCIATES CONSULTIN\$	10,500.00
ACH	PRIORITY BUILDING SERVICES LLC HQA 7/2 Additional COVID Sanitizing Svc 70221	50.00
	PRIORITY BUILDING SERVICES LLC\$	50.00
ACH	NATIONAL ASSOCIATION 20/21 Agency Annual Membership 66685	38,940.00
	NATIONAL ASSOCIATION \$	38,940.00

Check Payee / Description	Amount
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ACH	ECOTECH SERVICES INC 9/20 Residential Home Pressure Regulatio 1761		12,484.37	
			- - - - -	
	ECOTECH SERVICES INC	\$	12,484.37	
ACH	TRANSENE COMPANY INC Yellow Buffer Solution	184671	329.09	
			- - - - -	
	TRANSENE COMPANY INC	\$	329.09	
ACH	AMERICAN OFFICE PROFESSIONALS Service Call HP LJ 3800 (\$89.95 Labor) 2646		89.95	
			- - - - -	
	AMERICAN OFFICE PROFESSIONALS	\$	89.95	
ACH	WESTLAND GROUP INC EN18006-7/31-8/31 Prof Svcs	18268R	4,342.50	
	EN17043/EN17110-6/30-8/31 Prof Svcs	18263	2,450.00	
			- - - - -	
	WESTLAND GROUP INC	\$	6,792.50	
ACH	MEANS CONSULTING LLC PL19005-9/2020 Professional Services	IE-5626	3,037.50	
			- - - - -	
	MEANS CONSULTING LLC	\$	3,037.50	
ACH	THE SOLIS GROUP EN19029-8/1-8/31 Prof Svcs	6028	98.50	
	EN18006-8/1-8/31 Prof Svcs	6021	1,893.00	
	EN17082-8/1-8/31 Prof Svcs	6022	1,882.00	
	EN15012.01-8/1-8/31 Prof Svcs	6023	1,492.00	
	EN17110.03-8/1-8/31 Prof Svcs	6025	1,884.00	
	EN17043/EN17110-8/1-8/31 Prof Svcs	6027	2,000.00	
			- - - - -	
	THE SOLIS GROUP	\$	9,249.50	
ACH	SCHULER CONSTRUCTORS INC EN21034.01-8/27-9/16 Prof Svcs	C2010-1R	22,508.46	
			- - - - -	
	SCHULER CONSTRUCTORS INC	\$	22,508.46	
ACH	ROCKDOVE SOLUTIONS INC 10/20-9/21 In Case of Crisis Innovator S 677		11,020.00	
			- - - - -	
	ROCKDOVE SOLUTIONS INC	\$	11,020.00	
ACH	RBC RESOURCES WR20028/WR20029-9/1-9/30 Prof Svcs	21	4,998.00	
			- - - - -	
	RBC RESOURCES	\$	4,998.00	
ACH	BUCKNAM INFRASTRUCTURE GROUP I EN20038-7/2020 Professional Services	358-02.01	2,795.50	
			- - - - -	
	BUCKNAM INFRASTRUCTURE GROUP I	\$	2,795.50	
ACH	WINSHUTTLE LLC 7/20-6/21 2 Developer/5 Runner Studio Mn 119388		8,884.39	

Check	Payee / Description	Amount
	WINSHUTTLE LLC	\$ 8,884.39
ACH	DECALS BY DESIGN INC Install 2 Decal Graphics Sets 15051	242.13
	DECALS BY DESIGN INC	\$ 242.13
ACH	PAUL REDVERS BROWN INC 9/1-9/30 Strategic Assessment Plan Svcs IEUA008	3,262.50
	PAUL REDVERS BROWN INC	\$ 3,262.50
ACH	FLEETISTICS GEOTAB Materials 88991	2,740.86
	FLEETISTICS	\$ 2,740.86
ACH	CASAMAR GROUP LLC EN19001/EN19006-8/2020-9/2020 Prof Servi 12500	6,041.84
	CASAMAR GROUP LLC	\$ 6,041.84
ACH	SO CALIF EDISON RP4/TP4/RCA-9/4-10/7 12811 6th St 3013233596 9/ 263,651.35 930RsvrPrjct-9/23-10/23 2950 Galloping H 3042003812 10 129.54 SnSvnRW-9/24-10/26 6000 Cherry Ave 3035434317 10 61.34 MntclSplltBx-9/17-10/19 11294 Roswell Ave 3045296064 10 62.00 MWDCB14/20-9/17-10/19 5752 East Ave/475 2305258691 10 187.67 MWDTTrnt18-9/17-10/19 13400 Betsy Ross Ct 3025206224 10 30.90 RP5/TP5/HQA/B-8/31-10/1 6075 Kimball Ave 3023582932 9/ 179,831.53 I/WLn-9/17-10/19 34 East End-Grand 3001323338 10 15.68 VctrBsn/MWDCB11-9/18-10/20 Victoria St/B 2264009051 10 155.06	
	SO CALIF EDISON	\$ 444,125.07
ACH	ELEBY, CRYSTAL Reim-Dental Cobra for Sep 2020 - Feb 202 COBRA REFUND	75.35
	ELEBY, CRYSTAL	\$ 75.35
ACH	RITZINGER, BRENT Reim-10/15/20 Tools for GWR 10/15/20 SUPP	34.75
	RITZINGER, BRENT	\$ 34.75
ACH	MARTINEZ, MILENA Reim-10/27/20 Smart & Final Supplies 10/27/20 SUPP	23.65
	MARTINEZ, MILENA	\$ 23.65
ACH	SOTELLO, SCOTT MlgReim-9/18/20 Call Out-Sotello,S MLG 9/18/20	24.84
	SOTELLO, SCOTT	\$ 24.84
ACH	EMPOWER RETIREMENT P/R 21 10/9/20 Deferred Comp Ded HR 0092700	83,378.26

Report: ZFIR TREASURER	Inland Empire Utilities Agency	Page 47
For 10/01/2020 ~ 10/31/2020	Treasurer Report	Date 11/25/2020

Check	Payee / Description	Amount
	EMPOWER RETIREMENT	\$ 83,378.26

Grand Total Payment Amount: \$ 9,704,291.70

# Attachment 2D

Vendor Wires  
(excludes Payroll)

Check Payee / Description	Amount
---------------------------	--------

Wire	STATE DISBURSEMENT UNIT P/R 22 10/23/20	HR	0092800	3,128.58	
				- - - - -	
	STATE DISBURSEMENT UNIT		\$	3,128.58	
Wire	STATE BOARD OF EQUALIZATION 9/20 Sales Tax Deposit		23784561 9/20	11,008.00	
				- - - - -	
	STATE BOARD OF EQUALIZATION		\$	11,008.00	
Wire	INTERNAL REVENUE SERVICE P/R 22 10/23/20 Taxes		HR 0092800	328,900.85	
				- - - - -	
	INTERNAL REVENUE SERVICE		\$	328,900.85	
Wire	PUBLIC EMPLOYEES RETIREMENT SY P/R 22 10/23/20 PERS Adj P/R 22 10/23/20 PERS		P/R 22 10/23 HR 0092800	676.88- 201,351.78	
				- - - - -	
	PUBLIC EMPLOYEES RETIREMENT SY\$			200,674.90	
Wire	METROPOLITAN WATER DISTRICT August 2020 Water Purchase		10168	6,234,122.50	
				- - - - -	
	METROPOLITAN WATER DISTRICT		\$	6,234,122.50	
Wire	EMPLOYMENT DEVELOPMENT DEPARTM		HR 0092600	383.87	
				- - - - -	
	EMPLOYMENT DEVELOPMENT DEPARTM\$			383.87	
Wire	INTERNAL REVENUE SERVICE		HR 0092600	2,466.58	
				- - - - -	
	INTERNAL REVENUE SERVICE		\$	2,466.58	
Wire	EMPLOYMENT DEVELOPMENT DEPARTM		HR 0092700 HR 0092700	10,423.35 67,747.51	
				- - - - -	
	EMPLOYMENT DEVELOPMENT DEPARTM\$			78,170.86	
Wire	INTERNAL REVENUE SERVICE		HR 0092700	343,469.57	
				- - - - -	
	INTERNAL REVENUE SERVICE		\$	343,469.57	
Wire	PUBLIC EMPLOYEES RETIREMENT SY P/R 21 10/9/20 PERS Adj P/R 21 10/9/20 PERS		P/R 21 10/9 A HR 0092700	124.49- 200,922.81	
				- - - - -	
	PUBLIC EMPLOYEES RETIREMENT SY\$			200,798.32	
Wire	STATE DISBURSEMENT UNIT P/R 21 10/9/20		HR 0092700	3,128.58	
				- - - - -	
	STATE DISBURSEMENT UNIT		\$	3,128.58	



Report: ZFIR TREASURER	Inland Empire Utilities Agency	Page 2
For 10/01/2020 ~ 10/31/2020	Treasurer Report	Date 11/25/2020

Check	Payee / Description	Amount
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Wire	PUBLIC EMPLOYEES' RETIREMENT S	
	10/20 Health Ins-Board	16180831 10/2 7,250.98
	10/20 Health Ins-Retirees, Employees	16180825 10/2 275,703.32
		- - - - -
	PUBLIC EMPLOYEES' RETIREMENT S\$	282,954.30

**Grand Total Payment Amount: \$ 7,689,206.91**

## Attachment 2E

Payroll-Net Pay-Directors

# INLAND EMPIRE UTILITIES AGENCY

## Ratification of Board of Directors

Payroll for October 9 ,2020

Presented at Board Meeting on December 16, 2020

DIRECTOR NAME	GROSS PAYROLL	NET PAYROLL
Jasmin Hall	\$3,312.32	\$2,093.14
Katherine Parker	\$4,278.24	\$1,551.48
Michael Camacho	\$4,504.74	\$1,695.10
Steven J. Elie	\$4,418.24	\$1,067.18
Paul Hofer	\$0.00	\$0.00
<b>TOTALS</b>	<b>\$16,513.54</b>	<b>\$6,406.90</b>

	Count	Amount
TOTAL EFTS PROCESSED	4	\$6,406.90
TOTAL CHECKS PROCESSED	0	\$0.00
CHECK NUMBERS USED	N/A	

**DIRECTOR PAYSHEET**  
**IEUA/MWD/IERCA/CBWM (alternate)/**  
**CBWB (alternate)/SAWPA (alternate)**  
**1 of 2**

MICHAEL CAMACHO  
EMPLOYEE NO.: 1140  
ACCOUNT NO.: 10200-120100-100000-501010

**SEPTEMBER 2020**

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
9/2/2020	IEUA – IEUA Board Workshop via MS Teams	Yes	\$260.00
9/9/2020	IEUA- IEUA Community & Legislative Affairs and Eng. Ops, & Water Resources Committee Meetings	Yes	\$260.00
9/10/2020	IEUA-Southern Coalition/Inland Caucus Mtg Conference Call	Yes	\$260.00
9/11/2020	IEUA - Go Green Presentation via Zoom	Yes	\$260.00
9/14/2020	MWD - Committee Meetings via Zoom	Yes	\$260.00
9/15/2020	MWD - Committee Meetings & Board Meeting via Zoom	Yes	\$260.00
9/16/2020	IEUA – IEUA Board Meeting in-person	Yes	\$260.00
9/22/2020	MWD - Committee Meetings via Zoom	Yes	\$260.00
9/23/2020	MWD - Upper San Gabriel Valley MWD Board Meeting via teleconference	Yes	\$260.00
9/23/2020	IEUA - Lunch Meeting with Shane Chapman/MWD RE: MWD Issues	Yes (same day)	\$0.00
9/24/2020	IEUA - CBWM Board Meeting via gotomeeting	Yes	\$260.00
9/28/2020	IEUA - Leadership Consultant Meeting in-person	Yes (10 mtg max)	\$0.00
9/29/2020	IEUA - Call with Jim Curatalo/CVWD RE: CBP	Yes (10 mtg max)	\$0.00

<b>TOTAL REIMBURSEMENT</b>	<b>\$2,600.00</b>
<b>TOTAL MEETINGS ATTENDED</b>	<b>13</b>
<b>TOTAL MEETINGS PAID</b>	<b>10</b>

**DIRECTOR PAYSHEET**  
**IEUA/MWD/IERCA/CBWM (alternate)/**  
**CBWB (alternate)/SAWPA (alternate)**  
**2 of 2**

**NOTE:**

**IEUA/MWD/IERCA**

*Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.*

**CBWM**

*Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 7/01/19). IEUA pays both primary and alternate for attendance. (i.e., \$135.00 - difference between Watermaster \$125.00 and Agency meetings \$260.00 (eff. 7/01/19). Chino Basin Watermaster does not compensate an alternate Director unless the alternate Director is attending on behalf of an absent primary Director. In accordance to Ordinance No. 98, Section 1, (i) Attendance at any meeting provided for under Sections 1.b,c,e, and f, shall also include payment of both the primary representative and the alternate representative to said body if they both attend said meeting. Record full amount on timesheet for attendance by alternates.*

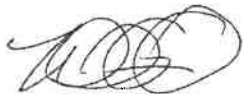
**CBWB**

*Up to 10 days of service per month per Ordinance No. 105 (i.e., \$160.00 - difference between CBWB (\$100.00 and Agency meetings \$260.00 (eff. 7/01/19)), including MWD meetings. CBWB pays directly to IEUA. Record full amount on timesheet.*

**SAWPA**

*Up to 10 days of service per month per Ordinance No. 105 (i.e., **\$40.00** – difference between SAWPA (\$220.00 (eff. 1/20) and Agency meetings \$260.00 (eff. 7/01/19), including MWD meetings. SAWPA pays both primary and alternate for attendance, including mileage.*

Director's Signature



Michael Camacho, Director



Approved by:

**DIRECTOR PAYSHEET  
IEUA/CBWM/CBWB  
CDA (alternate)  
1 of 2**

STEVEN J. ELIE  
EMPLOYEE NO.: 1175  
ACCOUNT NO.: 10200-120100-100000-501010

**SEPTEMBER 2020**

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
9/1/2020	IEUA – WaterNow Alliance Steering Committee Call	Yes	\$260.00
9/2/2020	IEUA – IEUA Board Workshop via MS Teams	Yes	\$260.00
9/8/2020	IEUA – Chino Hills Council Meeting via Zoom	Yes	\$260.00
9/9/2020	IEUA- IEUA Community & Leg. And Finance & Admin Committee Meetings via MS Teams	Yes	\$260.00
9/10/2020	IEUA - WaterNow Alliance Summit Strategy Call via Zoom	Yes	\$260.00
9/16/2020	IEUA- IEUA Board Meeting in-person	Yes	\$260.00
9/18/2020	IEUA - SCWC Conference Call RE: Kathy Cole Awards	Yes	\$260.00
9/24/2020	*CBWM - Special Watermaster Board Meeting via gotomeeting	Yes (declined pymt)	\$0.00
9/24/2020	IEUA - Meeting with Lewis Mgmt Corp via MS Teams	Yes (same day)	\$0.00
9/25/2020	*CBWM - Court Hearing by Court Call	Yes (declined pymt)	\$0.00

<b>TOTAL REIMBURSEMENT</b>	<b>\$1,820.00</b>
<b>TOTAL MEETINGS ATTENDED</b>	<b>10</b>
<b>TOTAL MEETINGS PAID</b>	<b>7</b>

**DIRECTOR PAYSHEET**  
**IEUA/CBWM/CBWB**  
**CDA (alternate)**  
**2 of 2**

**NOTE:**

**IEUA**

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.

**CBWM**

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 7/01/19). IEUA pays both primary and alternate for attendance. (i.e., \$135.00 - difference between Watermaster \$125.00 and Agency meetings \$260.00 (eff. 7/01/19). Chino Basin Watermaster does not compensate an alternate Director unless the alternate Director is attending on behalf of an absent primary Director. In accordance to Ordinance No. 98, Section 1, (i) Attendance at any meeting provided for under Sections 1.b,c,e, and f, shall also include payment to both the primary representative and the alternate representative to said body if they both attend said meeting. Record full amount on timesheet for attendance by alternates.

**CDA**

Up to 10 days of service per month per Ordinance No. 105, (i.e., \$120.00 - difference between CDA (\$150 and Agency meetings \$260.00 (eff. 7/01/19), including MWD meetings. CDA pays directly to IEUA. Record full amount on timesheet. CDA pays both primary and alternate for attendance

**CBWB**

Up to 10 days of service per month per Ordinance No. 105 (i.e., \$160.00 - difference between CBWB (\$100.00 and Agency meetings \$260.00 (eff. 7/01/19)), including MWD meetings. CBWB pays directly to IEUA. Record full amount on timesheet.

***\*Decline IEUA portion of CBWM***

Director's Signature



Steven J. Elie, Director



Approved by:

**IEUA DIRECTOR PAYSHEET****IEUA****1 of 2**

JASMIN A. HALL

EMPLOYEE NO.: 1256

ACCOUNT NO.: 10200-120100-100000-501010

**SEPTEMBER 2020**

<b>DATE</b>	<b>TYPE OF MEETING</b>	<b>ATTENDANCE</b>	<b>TOTAL COMPENSATION</b>
9/2/2020	IEUA – IEUA Board Meeting/Workshop via MS Teams	Yes	\$260.00
9/3/2020	IEUA – Meeting with GM Deshmukh and staff via MS Teams	Yes (staff)	\$0.00
9/9/2020	IEUA – Call with consultant Paul Brown	Yes	\$260.00
9/16/2020	IEUA – IEUA Board Meeting via MS Teams	Yes	\$260.00
9/16/2020	IEUA – State Water Board PFAS Order Compliance Webinar	Yes (same day)	\$0.00
9/19/2020	IEUA - Virtual Tour of Food Waste Recycling Program	Yes	\$260.00
9/21/2020	IEUA – CASA Board of Directors Strategic Planning via zoom	Yes	\$260.00
9/22/2020	IEUA – CASA Board of Directors Strategic Planning via zoom	Yes	\$260.00
9/22/2020	IEUA – Rialto City Council Meeting Virtual	Yes (same day)	\$0.00
9/22/2020	IEUA – Fontana City Council meeting Virtual	Yes (same day)	\$0.00
9/23/2020	IEUA – Women in Water - IE Webex Meeting	Yes	\$260.00
9/24/2020	IEUA - SCWC Stormwater Task Force via Zoom	Yes	\$260.00
9/28/2020	IEUA - Exploit the full WWT Potential Digester Optimization & Biogas Utilization via Zoom	Yes	\$260.00
9/29/2020	IEUA - AMI-Meter Data Analytics Webcast	Yes	\$260.00
9/30/2020	IEUA - Fontana USD - CTE Advisory Board Meeting via Zoom	Yes (10 mtg max)	\$0.00
9/30/2020	IEUA - BWHS Webinar: 25 years and Still Going Strong via Zoom	Yes (10 mtg max)	\$0.00
9/30/2020	IEUA - AABE CA PSPS & Wildfire Mitigation Information Session via MS Teams	Yes (10 mtg max)	\$0.00

**TOTAL REIMBURSEMENT** \$2,600.00**TOTAL MEETINGS ATTENDED** 17**TOTAL MEETINGS PAID** 10



IEUA DIRECTOR PAYSHEET

IEUA

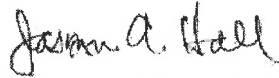
2 of 2

**NOTE:**

**IEUA**

*Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.*

Director's Signature



Jasmin A. Hall, Vice President



Approved by:

**DIRECTOR PAYSHEET**  
**IEUA/IERCA**  
**REGIONAL POLICY (alternate)**  
**1 of 1**

PAUL HOFER  
EMPLOYEE NO.: 1349  
ACCOUNT NO.: 10200-120100-100000-501010

**SEPTEMBER 2020**

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
9/2/2020	IEUA – IEUA Board Workshop via MS Teams	Yes	\$0.00
9/3/2020	IEUA – Call with Consultant Paul Brown	Yes	\$0.00
9/9/2020	IEUA- IEUA Finance & Admin. Committee Meeting via MS Teams	Yes	\$0.00
9/16/2020	IEUA- IEUA Board Meeting via MS Teams	Yes	\$0.00
9/22/2020	IEUA- Leadership Consultant Meeting via teleconference	Yes	\$0.00
9/29/2020	IEUA- Call with GM Deshmukh	Yes (staff)	\$0.00
TOTAL REIMBURSEMENT			\$0.00
TOTAL MEETINGS ATTENDED			6
TOTAL MEETINGS PAID			0

**NOTE:**

**IEUA/IERCA**

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19).  
IEUA pays both primary and alternate for attendance.

**Regional Policy Committee**

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19.)  
IEUA pays Regional Policy Committee members (total amount of \$260.00, should reflect on timesheet).

*Director Hofer has waived all stipend payments.*

Director's Signature



Paul Hofer, Director



Approved by:

**DIRECTOR PAYSHEET**  
**IEUA/REGIONAL POLICY/**  
**SAWPA/CDA/IERCA (alternate)**  
**1 of 1**

KATI PARKER  
 EMPLOYEE NO.: 1362  
 ACCOUNT NO.: 10200-120100-100000-501010

**SEPTEMBER 2020**

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
9/1/2020	SAWPA – Commission Workshop via Zoom	Yes	\$40.00
9/2/2020	IEUA - IEUA Board Workshop via MS Teams	Yes	\$260.00
9/3/2020	CDA– Chino Basin Desalter Authority Board Meeting via Zoom	Yes	\$260.00
9/3/2020	IEUA - Regional Sewerage Program Policy Committee Meeting via MS Teams	Yes (same day)	\$0.00
9/9/2020	IEUA- IEUA Community & Leg. And Eng. Ops, & Water Resources Committee Meetings via MS	Yes	\$260.00
9/15/2020	SAWPA – Commission Meeting via Zoom	Yes	\$40.00
9/16/2020	IEUA – IEUA Board Meeting in person	Yes	\$260.00
9/23/2020	IEUA - Women in Water IE Virtual Meeting	Yes	\$260.00
9/24/2020	SAWPA – OWOW Steering Committee Meeting via Zoom	Yes	\$40.00
9/29/2020	IEUA – Leadership Consultant Meeting via Zoom	Yes	\$260.00
<b>TOTAL REIMBURSEMENT</b>			<b>\$1,680.00</b>
<b>TOTAL MEETINGS ATTENDED</b>			<b>10</b>
<b>TOTAL MEETINGS PAID</b>			<b>9</b>

**NOTE:**

**IEUA/IERCA**

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.

**Regional Policy Committee**

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19.) IEUA pays Regional Policy Committee members (total amount of \$260.00, should reflect on timesheet).

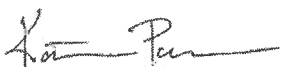
**SAWPA**

Up to 10 days of service per month per Ordinance No. 105 (i.e., **\$40.00** – difference between SAWPA (\$220.00 (eff. 1/20) and Agency meetings \$260.00 (eff. 7/01/19), including MWD meetings. SAWPA pays both primary and alternate for attendance, including mileage.

**CDA**

Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 7/01/19). Chino Desalter Authority will pay \$150.00 per meeting directly to the Agency. Record full amount on timesheet. CDA pays both primary and alternate for attendance.

Director's Signature



Kati Parker, President



Approved by:

## Attachment 2F

Payroll-Net Pay-Employees

Non-Board Members	PP 21 Checks	PP 21 EFTs	PP 22 Checks	PP 22 EFTs	October
NET PAY TO EE	\$0.00	\$811,152.50	\$0.00	\$812,197.26	\$1,623,349.76

# INLAND EMPIRE UTILITIES AGENCY

Payroll for October 9, 2020

Presented at Board Meeting on December 16, 2020

GROSS PAYROLL COSTS			\$1,462,184.60
DEDUCTIONS			(\$651,032.10)
NET PAYROLL			811,152.50
NET PAYROLL BREAKDOWN	CHECKS	EFT	TOTAL
CHECKS USED			
TRANSACTION PROCESSED	0	369	369
AMOUNT	\$0.00	\$811,152.50	<u>\$811,152.50</u>

# INLAND EMPIRE UTILITIES AGENCY

Payroll for October 23, 2020

Presented at Board Meeting on December 16, 2020

GROSS PAYROLL COSTS			\$1,449,429.53
DEDUCTIONS			(\$637,232.27)
NET PAYROLL			812,197.26
NET PAYROLL BREAKDOWN	CHECKS	EFT	TOTAL
CHECKS USED			
TRANSACTION PROCESSED		368	368
AMOUNT	\$0.00	\$812,197.26	<u>\$812,197.26</u>

**CONSENT  
CALENDAR  
ITEM**

**2C**



**Date:** December 16, 2020

**To:** The Honorable Board of Directors

*ADD*  
**From:** Shivaji Deshmukh, General Manager

**Committee:** Community & Legislative Affairs

12/09/20

**Executive Contact:** Kathy Besser, Executive Manager of Ext. Aff. & Policy Dev./AGM

**Subject:** 2021 Legislative Policy Principles

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**Executive Summary:**

Each year, the IEUA Board of Directors adopts federal and state legislative priorities for the upcoming year. The attached Legislative Policy Principles are consistent with the current mission and business goals of the Agency, and are tailored to fit relevant issues that may be addressed during the 2021 legislative sessions.

As legislation can at times be fast moving, the adoption of the Legislative Policy Principles allows staff to be more efficient and effective when taking positions on legislation. The Legislative Policy Principles provide staff with the necessary guidelines in order to make recommendations if actions need to be taken quickly, as well as making position recommendations to the Community and Legislative Affairs Committee and the Board.

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**Staff's Recommendation:**

Staff recommends that the Board adopt the 2021 Legislative Policy Principles.

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**Budget Impact** *Budgeted (Y/N):* Y *Amendment (Y/N):* N *Amount for Requested Approval:*

*Account/Project Name:*

Not Applicable

*Fiscal Impact (explain if not budgeted):*

Not Applicable

**Prior Board Action:**

January 15, 2020 - the Board adopted the 2020 Legislative Policy Principles

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

Approving legislative policy principles is in line with IEUA's Business Goal of advocating for development of policies, legislation and regulations that benefit the region.

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**Attachments:**

Attachment 1 - 2021 IEUA Legislative Policy Principles

# 2021 IEUA Legislative Policy Principles

## Water Resources and Systems Resiliency

1. Support administrative/legislative and/or regulatory activities that preserve IEUA's and the region's ability to pursue water supply options and oppose constraints on supply development.
2. Support administrative and legislative actions promoting the resiliency and improved operability of IEUA's systems.
3. Support administrative and legislative action to identify and promote the use of salt-less water softening technology. Oppose any efforts to endorse salt-based technologies.
4. Support administrative and legislative actions that protect, respond and plan for drought conditions while maintaining the necessary environmental protections.
5. Support administrative and legislative actions on water-use efficiency that create and/or improve regulations and mandates recognizing the variations among the different communities, regions and counties with respect to their capability of withstanding the impacts of drought.
6. Support administrative and legislative actions that promote and/or improve water quality from various constituents including salinity, perchlorates, nitrates and volatile organic compounds.
7. Support alternative efforts to a sustainable approach to ensure every Californian has access to safe, clean and reliable water and oppose efforts to impose a public goods charge or other burdensome taxes and fees.
8. Support member agency and regional legislative positions that align with IEUA's current policy principles.

## Organics Recycling/Compost Use

1. Support legislation that promotes the use of compost for multiple uses such as erosion control to protect water resources, water conservation, fire ravaged land remediation and healthy soils.
2. Support administrative and legislative approaches for connecting carbon sequestration with healthy soils and the use of compost.

## Regulations/Compliance

1. Support regulations that restrict the use of uncomposted and contaminated organics in commercial compost products.
2. Support administrative and legislative actions for environmental compliance (e.g., air, water, hazardous materials and waste) that provide for regulatory compliance flexibility, promote consistency and reduce regulatory redundancy.
3. Support the development of Public Health Goals (PHGs), Response Levels (RLs), Notification Levels (NLs) or Maximum Contaminant Levels (MCLs) that are established in a process affording robust opportunities for scientific review and public comment and that consider feasibility, laboratory capacity, analytical methods and implementation costs.
4. Support administrative/legislative actions to improve clarity and workability of California Environmental Quality Act (CEQA) and eliminate other duplicative, burdensome or cumbersome state processes.
5. Actively monitor and participate where appropriate in the development of water-use efficiency regulations.

### Water Quality

1. Support legislative and administrative approaches promoting “polluter pays” principles, extending responsibility for contamination to chemical manufacturers and promoting consumer product responsibility. Parties responsible for introducing contaminants into drinking water sources are held liable for cleanup rather than drinking and wastewater facilities that subsequently store, transport or treat the water.
2. Support legislation and administrative actions to achieve tax-exempt status for water treatment chemicals and ensure access to water treatment chemicals.

### Energy

1. Promote water-energy nexus administrative/legislative and/or regulatory activities that preserve IEUA’s and the region’s ability to pursue supply options and oppose constraints on supply development.
2. Support administrative and legislative actions that remove barriers and encourage energy sector investments in water conservation and energy management programs.
3. Support legislation and other programs that would increase the value of the Renewable Energy Credits (RECs) generated and sold by wastewater treatment agencies that utilize their renewable energy on-site in California.
4. Support legislation and other programs that would facilitate self-generation projects interconnection to the electric grid by reducing interconnection costs, metering requirements, project review process and timeline.
5. Support administrative and legislative actions that encourage renewable energy through organics management, including landfill diversion.
6. Support legislation, regulations and administrative policies that ensure that power costs reflect the cost of energy procurement, infrastructure, and maintenance.

### Financial

1. Support maintaining tax exempt status for municipal debt.
2. Support measures to reduce the cost of financing water infrastructure projects.
3. Support efforts to reauthorize, increase of the funding cap federal funding, and extension of Title XVI/WIIN grant program, the federal EPA/State Revolving Loan program, the Water Infrastructure Finance and Innovation Act (WIFIA) and other funding mechanisms.
4. Support funding and incentive programs to promote water-use efficiency, including EPA’s *WaterSense* program, education programs and tax exemptions incentives.
5. Support funding programs that promote energy efficiency, increase renewable generation, strengthen local grids and energy reliance.
6. Support federal funding for drought relief and adaptive water management opportunities in California.
7. Advocate for funding that ensures a fair and equitable financing process for the public and includes provisions to ensure diversity in the process and equity for financially distressed and underrepresented populations.
8. Support efforts to reinstate public agency advanced bond refunding.
9. Support funding opportunities for local water supply development and water quality improvement projects benefitting IEUA and its member agencies.

10. Support legislation establishing an approach that proactively validates that an agency's rate-setting process conforms with all legal requirements, thereby minimizing uncertainty.
11. Support legislation and administrative actions to secure funding for public water systems and laboratories to defray costs associated with COVID-19.
12. Support legislation and administrative actions that would provide funding or reimbursement for enhanced physical security and cybersecurity for water and power infrastructure.

Administrative/Other

1. Support legislative actions that are aligned with the Agency's goals and objectives and support member agency and regional legislative positions.
2. Support legislation that encourages collective bargaining.
3. Support legislation that increases local control and limits additional financial burdens related to labor relations and collective bargaining that can be appropriately negotiated at the bargaining table.
4. Support measures that encourage decision-making at the local level.
5. Support local government efforts to establish workforce engagement, succession planning and mentoring programs.
6. Support legislation that streamlines the Workers' Compensation system and makes it easier for employers, employees and health care providers to navigate.
7. Support reform measures that provide sustainable and secure public pensions and other post-retirement benefits to ensure responsive and affordable public services.
8. Support administrative, legislative and regulatory efforts that align with the Agency's commitment to applying the highest standards of fiscal responsibility, integrity, ethical and transparent business practices and principles.

**CONSENT  
CALENDAR  
ITEM**

**2D**

**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**Committee:** Audit

  
**From:** Teresa Velarde, Manager of Internal Audit

12/07/20

**Manager Contact:** Teresa Velarde, Manager of Internal Audit

**Subject:** Internal Audit Department Charter and Audit Committee Charter

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**Executive Summary:**

The Board approved charters require that the Internal Audit Department (IA) perform periodic reviews of both the Audit Committee Charter and the IA Charter, and make recommendations for any necessary updates and revisions. The attached Charters are presented in final form for review and discussion with no major proposed changes or edits. IA will incorporate any requested edits from the committee or the Board; and finalize these proposed documents.

The Audit Committee Charter documents the Audit Committee's purpose, composition, authority, and responsibilities. The IA Charter documents IA's mission, purpose, authority, and responsibilities. The purpose is to assist the Board and Audit Committee in fulfilling their oversight responsibilities for financial reporting, internal controls, and compliance with legal and regulatory requirements and to assist management by providing objective evaluations and recommendations to improve operations and achieve organizational goals.

Both charters follow best practices and the guidance set forth by the Institute of Internal Auditing (IIA) International Standards for the Professional Practice of Internal Auditing (Standards).

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**Staff's Recommendation:**

1. Approve the Audit Committee Charter and the Internal Audit Department Charter; and
2. Direct staff to implement the charters.

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**Budget Impact** Budgeted (Y/N): Y    Amendment (Y/N): N    Amount for Requested Approval:

Account/Project Name:

N/A

*Fiscal Impact (explain if not budgeted):*

N/A

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**Prior Board Action:**

On December 18, 2019, the Board of Directors reconfirmed the approved Audit Committee and the Internal Audit Department Charters.

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

Approval of the Audit Committee and the IA Charters is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment, and Business Practices by documenting the Committee's and IAs purpose, authority and responsibilities. The purpose is to assist the Board in fulfilling their oversight responsibilities over financial reporting, internal controls and compliance with legal and regulatory requirements and to assist management in achieving organizational goals and objectives by providing independent evaluations and recommendations.

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**Attachments:**

Attachment 1 - Audit Committee Charter  
Attachment 2 - Internal Audit Department Charter  
Attachment 3 - PowerPoint





## **INLAND EMPIRE UTILITIES AGENCY**

### **Audit Committee Charter**

**Approved on December 16, 2020**

#### **PURPOSE**

The Audit Committee (Committee) is established under the authority of the Inland Empire Utilities Agency (IEUA or Agency) Board of Directors (Board) and reports directly to the Board. The primary purpose of the Audit Committee is to assist the Board in fulfilling their oversight responsibilities for financial reporting, internal controls, and compliance with legal and regulatory requirements applicable to Agency operations.

While assisting the Board with these fiduciary duties, the Committee also provides an open avenue of communication between the Board, IEUA Management, the Internal Audit Department, and the external auditors. This advisory and oversight link provides the following benefits to the organization and stakeholders:

- Increased objectivity and credibility of financial reports.
- Increased management accountability.
- Support for measures to improve management performance and internal controls.
- Increased employee awareness of unethical, questionable, or illegal activities.
- Enhanced independence and effectiveness of the Internal Audit Department.
- Assurance that appropriate management action plans are implemented for audit findings and recommendations.
- Support the Internal Audit Department audit projects, evaluations and analysis.

#### **COMPOSITION, COMPENSATION & TERM OF SERVICE**

The Committee shall consist of two members from the Board of Directors, each with equal voting rights, with one selected as the Committee Chairperson. The members will be appointed by the Board President.

The Audit Committee shall have access to at least one financial expert, an outside party with no voting rights, who will provide advisory and consulting duties and shall be compensated as agreed upon, in writing with the Audit Committee, the Board, Agency management and/or its designees.

All members of the Audit Committee shall possess or obtain a basic understanding of governmental financial reporting, accounting and auditing and shall have a requisite interest in financial reporting issues of the Agency. The financial expert shall be an individual with sufficient financial experience and interest to provide guidance and assistance to the Audit Committee. The financial expert should through both education and experience, and in a manner specifically relevant to the government sector, possess: 1) an understanding of generally accepted accounting principles and financial statements; 2) experience in preparing or auditing financial statements of comparable entities; 3) experience in applying such principles in connection with the accounting for estimates, accruals, and reserves; 4) experience with internal accounting controls; and 5) an understanding of Audit Committee functions. .

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The members of the Board serving on the Audit Committee shall be compensated in accordance with the guidelines established for the IEUA Board of Directors in the most current Board-approved Ordinance, and as amended from time to time, entitled:

"Ordinance of the Inland Empire Utilities Agency, a Municipal Water District, San Bernardino County, California, establishing compensation/benefits and authorizing reimbursement of expenses for the Board of Directors and their appointed representatives to the Metropolitan Water District of Southern California Board of Directors and outside Committee Members."

The service term for each Committee member will be two years. Prior to term expiration, the Board President or their designee will conduct a review of Board Committee members' eligibility and the Board of Directors will conduct a review of the external/outside Committee member's eligibility. The Board President will then reconfirm the Committee members or select and confirm new members as needed. All activities and actions pertaining to selection or reconfirmation of Committee members will be documented by the Board, or their designee, and recorded in the next regularly scheduled IEUA Board of Directors' meeting minutes.

**AUTHORITY**

The Audit Committee has unrestricted access to all information and records, including IEUA personnel and documents. The Committee will have adequate resources to fulfill its oversight responsibilities, including the right to seek independent professional advice and counsel. The Committee is empowered to:

- Meet, as deemed appropriate and necessary, with IEUA Management and employees, the Manager of Internal Audit and audit staff, external auditors and legal counsel.
- Recommend to the Board the approval of the Internal Audit Department's Annual Audit Plan and any changes to the Plan or the Manager of Internal Audit duties.
- Authorize special audits and investigations into any matters within its scope of responsibility.
- Authorize an internal audit or review of any department or function under the control of the Board of Directors, or within the scope of influence of the IEUA.
- Recommend to the Board the appointment, compensation and scope of work of any public accounting firm employed by the IEUA.
- Recommend to the Board the approval of any auditing and consulting services.
- Review and recommend to the Board the external auditor's audit scope and approach, ensuring that the scope:
  1. Is in compliance with Generally Accepted Auditing Standards (issued by the American Institute of Certified Public Accountants).
  2. Is in compliance with Government Auditing Standards (issued by the Government Accountability Office).

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3. Will include a Single Audit that will be performed, if required, subject to the Office of Management and Budget (OMB) Circular A-133.
  4. Will include an opinion on each major fund presented in the Agency's financial statements.
- Review and recommend to the Board the approval of external auditors' reports, along with Management's written responses, when appropriate.
  - Resolve any disagreements between Management, the Internal Audit Department, and the external auditors regarding financial or operational controls and reporting.
  - Ensure corrective action is taken on internal accounting control weaknesses identified by the internal and external auditors.
  - Ensure corrective action is taken on audit findings, risks and recommendations identified by the Internal Audit Department and/or accept risks identified through audit findings in lieu of corrective action, as deemed appropriate and necessary.

**RESPONSIBILITIES**

The Audit Committee is chartered with performing oversight for the Board of Directors. In addition to reviewing this Charter annually and updating it as needed, the Committee has responsibilities in the areas of Financial Reporting, Internal Controls, the Internal Audit Department, the External Audit and external auditors, Compliance requirements, and Other Matters as provided in the following sections. The Committee has the overall responsibility to ensure the general requirements underlying these items are carried out. However, the Audit Committee has the flexibility and authority to determine and choose the best course of action and the best method for carrying out its responsibilities. The following items are best practice guidelines that may be employed:

Financial Reporting:

- Review annual financial statements and consider whether they are complete, consistent with information known to Committee members, and reflect appropriate accounting principles.
- Advise the Board and management of any situations that would cause the Committee to believe the audited financial statements may contain material misstatements or omissions.
- Inquire of the General Manager and Chief Financial Officer (CFO) regarding the fiscal health of the Agency as well as the financial status of the Agency in relation to its adopted budget.
- Inquire of management, the Manager of Internal Audit, and the external auditors about whether significant financial, managerial, and operational information is accurate, reliable, complete, and timely.
- Inquire of Agency management, the Manager of Internal Audit, and the external auditors about significant risks or exposures facing the Agency; assess the steps management has taken or proposes to take to minimize such risks to the Agency; and periodically review compliance with such steps.

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Internal Controls:

- Discuss with Agency management, the Manager of Internal Audit, and the external auditors the reliability and effectiveness of the Agency's internal control environment to mitigate risk, including information technology security and control.
- Discuss with Agency management, the effectiveness of the Agency's process for identifying and assessing significant risks and exposures, and the steps Agency management has taken to communicate, monitor and mitigate these risks.
- Understand the scope of the internal and external auditors' reviews of internal controls, and obtain and review reports of significant findings, recommendations, and Agency management's action plans to mitigate risks.
- Review all significant accounting policy changes submitted by Agency management with the Internal Audit Department, and/or the external auditors, and provide recommendations to the Board and Agency management.
- Periodically review Agency policies and procedures governing Board of Director and employee conduct, including conflict of interest, misconduct, fraud and other sensitive issues or non-compliance and recommend changes to the Board and Agency management as appropriate.
- Discuss with Agency management, the Manager of Internal Audit, and the external auditors whether adequate policies have been established and the Agency complies with policies, standards and applicable laws and regulations.
- Discuss with Agency management, the Manager of Internal Audit, and the external auditors whether significant legislative or regulatory issues impacting Agency operations are identified, recognized, communicated and appropriately addressed.
- Review with Agency management, the Manager of Internal Audit, and the external auditors the audit scope and plan of the Internal Audit Department and the external auditors. Address the coordination of audit efforts to assure the completeness of coverage, reduction of redundant efforts, and the effective use of audit resources.
- Review with Agency management and the Manager of Internal Audit:
  - Significant findings, recommendations, and management's responses thereto.
  - Any difficulties the Internal Audit Department encountered in the course of their audits, including any restrictions on the scope of their work or access to required information.
  - Any changes required in the scope of their internal audits.
  - The Internal Audit Department budget and staffing.
  - The Internal Audit Department Charter.
  - The Internal Audit Department's compliance with applicable standards (for example, Governmental Auditing Standards, or the Institute of Internal Auditors' International Standards for the Professional Practice of Internal Auditing).
- Periodically review the Agency's Code of Conduct/Ethics Policy to ensure that it is adequate and up to date.

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- Review with the Manager of Internal Audit and the Agency's general counsel the results of their reviews of compliance monitoring with the Code of Conduct/Ethics Policy.
- Review the procedures for the receipt, retention, and treatment of complaints received by the Agency regarding accounting, internal accounting controls, auditing matters, or suspected fraud that may be submitted by any party internal or external to the organization. Review any complaints that might have been received, the current status, and resolution if one has been reached.
- Review procedures for the confidential, anonymous submission by Agency employees of concerns regarding questionable accounting or auditing matters, or suspected fraud. Review any submissions that have been received, the current status, and the resolution if one has been reached.
- Inquire of Agency management, the Manager of Internal Audit, and the external auditors about significant risks or exposures facing the Agency. Assess the steps management has taken or proposes to take to communicate, manage, and minimize such risks to the Agency; and periodically review compliance with such steps.
- Review with the Manager of Internal Audit, and the external auditors:
  - The adequacy of the Agency's internal controls including computerized information system controls and security.
  - Any significant findings and recommendations of the Manager of Internal Audit, and the external auditors together with management's responses thereto.

Internal Audit Department:

- Request that the Agency's Manager of Internal Audit prepare the Audit Committee's meeting agendas designed to ensure that all of the responsibilities of the Audit Committee as described herein are addressed at least once a year.
- Ensure there are no unjustified restrictions or limitations placed on the Internal Audit Department.
- Review with the Board, General Manager, and the Manager of Internal Audit the Internal Audit Department Charter, Annual Audit Plan, staffing, budget, and organizational reporting structure to ensure they meet the Committee's goals, objectives, and responsibilities to the Board and Agency management.
- Review and recommend to the Board the approval of the Internal Audit Department's Annual Audit Plan and any significant changes that may occur during the year.
- Review, as needed, all internal audit reports, findings, and recommendations.
- Review and recommend to the Board the appointment, replacement, dismissal, or change in duties of the Manager of Internal Audit.
- Review the effectiveness of the Internal Audit Department's function, including compliance with The Institute of Internal Auditors' *International Standards for the Professional Practice of Internal Auditing (Standards)*.
- Conduct the Manager of Internal Audit performance appraisals and recommend Manager of Internal Audit merit increases and incentive compensation to the Board.

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- Hold management accountable for the appropriate resolution of Internal Audit Department's recommendations and ensure that disposition has been determined for Audit Department recommendations from the prior year. If management has determined that Internal Audit Department recommendations need not be implemented because of adequate compensating controls, based upon a cost/benefit analysis or because the risks are at an acceptable level in accordance with the Agency's goals and objectives, evaluate the reasonableness of such determinations and advise the Board of Directors accordingly.

External Audit:

- Review the external accounting firm's proposals and fee structure, and provide recommendations and external audit plan approval to the Board.
- Review the external auditors' proposed audit scope and approach to ensure emphasis is placed on areas the Committee, Board, Management and external auditors believe special attention is warranted and that efforts are coordinated with the Internal Audit Department.
- Evaluate the external auditor's independence, and if needed, recommend the Board take the appropriate action to satisfy the Agency with the external auditor's independence.
- Review the effectiveness of the external auditor's work and provide the Board with the final approval to continue or discharge the current firm.
- Communicate to the external auditors areas of internal control with a heightened risk of fraud or error, any known or suspected employee fraud, management fraud, pressures or incentives for management to distort reported financial results, or any known or suspected accounting errors or misstatements.
- Communicate to the external auditors any areas of concern applicable to the external auditors' scope of responsibility (fraud, errors, or misstatements involving amounts significant to the financial statements taken as a whole).
- Review all significant written communications between the external auditors and management, such as any management letter comments or schedule of unadjusted differences (i.e. management letter, schedule of audit, or significant unusual or non-routine items, etc.)
- Hold management accountable for the appropriate resolution of external auditor recommendations, ensure that disposition has been determined for auditor recommendations from the prior year, and where management has determined that auditor recommendations need not be implemented because of adequate compensating controls or based upon a cost/benefit analysis, evaluate the reasonableness of such determinations and advise the Board of Directors accordingly.
- Review with the external auditor that performs the financial statement audit:
  - All critical accounting policies and practices used by the Agency.
  - All alternative treatments of financial information within generally accepted accounting principles that have been discussed with the Agency's management, the ramifications of each alternative, and the treatment preferred by the Agency.

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- Review with management and the external auditors:
  - The Agency's annual financial statements, related notes, and management's discussion and analysis.
  - The external auditors' audit of the financial statements and their report thereon.
  - The external auditors' judgments about the quality, not just the acceptability, of the Agency's accounting principles as applied in its financial reporting.
  - The external auditors' single audit of the federal awards administered by the Agency and their reports thereon.
  - Any significant changes required in the external auditors' audit plan.
  - Any serious difficulties or disputes with management encountered during the audit.
  - Matters required by Statement on Auditing Standards (SAS) No. 114, The Auditor's Communication With Those Charged With Governance; U.S. Government Accountability Office's (GAO) Government Auditing Standards; and the U.S. Office of Management and Budget's Circular A-133 related to the conduct of the audits.
- Evaluate whether or not the performance of any extra work or special projects requested of the Agency's external audit firm violates the independence standards of the GAO.
- Recommend that the Board of Directors approve the Agency's annual financial report, if the Committee believes that they are fairly presented, to the extent such a determination can be made on the basis of reading the financial statements and discussions with Agency management and the external auditors.

Compliance:

- Review with management and the external auditors the Agency's internal controls for identifying laws and regulations affecting operations, risks for non-compliance including litigation and fines, and implementing controls to prevent recurrence.
- Review the reports, findings and recommendations of any audits or examinations performed by external agencies.
- Review with Agency counsel any legal, tax, or regulatory matters that may have a material impact on the Agency's operations and its financial statements.

Other Matters:

- The Audit Committee shall engage consultants, specialists, or other audit firms as necessary to assist the committee in the discharging of its responsibilities.
- The Audit Committee shall direct the Manager of Internal Audit to review the Agency's Audit Committee Charter annually in order to advise the Audit Committee as to needed or recommended changes.

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- The Audit Committee shall report to the Board of Directors issues discussed in the Audit Committee meeting that, in the judgment of the committee, warrant communication to the Board to help the Board fulfill its oversight responsibility.

**ETHICAL CONDUCT**

Audit Committee members are prohibited from participating in any event or matter that would create, or appear to create, a conflict of interest. These activities may include having a significant financial interest or operational influence in vendors, contractors, customers or competitors of IEUA. Any activity creating an actual or apparent conflict should be immediately reported to the Audit Committee Chair and the Board of Directors for resolution.

**MEETINGS**

The Audit Committee shall meet no less than quarterly.

The Manager of Internal Audit will schedule and coordinate all quarterly Committee meetings, and will call additional meetings if requested to do so by any Committee member, the Board, the General Manager or the external auditors. The Manager of Internal Audit will provide all Committee members with written notification and an agenda at least 72 hours before the scheduled quarterly meetings or as soon as reasonably possible for any special meetings, all in accordance with the requirements of the Brown Act.

The General Manager and Manager of Internal Audit will be provided written notification and an agenda at least 72 hours before quarterly and special Committee meetings. These parties will be provided an opportunity to attend and speak at all Committee meetings but are not considered members of the Committee and have no voting rights.

The Board or the General Manager will provide administrative support to the Audit Committee and its meetings, including agenda preparation, participant notification, and documentation of meeting minutes.



# **INLAND EMPIRE UTILITIES AGENCY**

## **Internal Audit Department Charter**

### **Approved on December 16, 2020**

#### **PURPOSE**

This Charter establishes the authority and responsibilities of the Inland Empire Utilities Agency (IEUA or Agency) Internal Audit Department.

The purpose of the Internal Audit Department (IA) is to assist the Board of Directors (Board) and the Audit Committee (Committee) in fulfilling their oversight responsibilities for financial reporting, internal controls, and compliance with legal and regulatory requirements applicable to Agency operations and to provide objective assurance about the Agency's operations. The purpose of the Internal Audit Department is also to provide as a service to management and as a way of adding value to improve the operations of the Agency, consulting services, analyses, recommendations, and information concerning operations.

The Internal Audit Department reports to the Board through the Audit Committee and is an independent function from management. The purpose, responsibilities and authority of the Internal Audit Department are defined in this Charter.

#### **MISSION**

The Internal Audit Department seeks to improve the operations of the Agency by providing independent and objective assessments and recommendations to ensure Agency resources are efficiently and effectively managed in order to achieve Agency goals and objectives. The Internal Audit Department will help the Agency achieve its goals and objectives, improve operations, and instill confidence among its employees and the citizens it serves by providing independent, objective assurance and consulting services and provide management and the Board of Directors with recommendations to:

- Promote and strengthen a sound control environment.
- Provide independent, objective assurance and consulting services.
- Improve Agency risk management, control and governance.
- Promote the Agency's vision and mission through a high degree of professionalism.
- Assist the Board of Directors and senior management achieve organizational goals and objectives.

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**VALUES**

The Internal Audit Department has adopted the following value statements that form the foundation for the Internal Audit Department.

**Independence**

As documented in this Charter, the Internal Audit Department is an independent function of the Agency for the purpose of providing independent, objective, unbiased recommendations and opinions.

**Integrity**

The Internal Audit Department staff is required to maintain the highest degree of integrity in conducting its audit work.

**Professionalism**

The Internal Audit Department will perform its work with due professional care at all times.

**Collaboration**

The Internal Audit Department will foster collaboration with and among all Agency personnel to promote teamwork within the various business units.

**ACCOUNTABILITY**

The Internal Auditor is the Manager of the Internal Audit Department. The Internal Auditor is accountable and reports to the Board of Directors, through the Audit Committee appointed by the Board. The intent of this reporting relationship is to establish the Internal Audit Department's independence to function effectively and in accordance with best practices.

Annually, the Internal Auditor will submit an Audit Plan for the following fiscal year to the Committee for review and approval by the Board. Quarterly status reports of significant Internal Audit activities shall be presented at Committee meetings and shall include a status of major activities and any changes or deviations from the approved Annual Audit Plan. The Internal Auditor has the authority to deviate from the approved Annual Audit Plan, when necessary and if warranted by unforeseen issues that require immediate attention. Significant changes to the Annual Audit Plan shall be reported to the Audit Committee in a timely manner or immediately and to the Board at the next regularly scheduled Audit Committee Meeting through the Quarterly Status Report and/or an amendment to the Annual Audit Plan and/or other communication.

Annually, a listing of outstanding audit recommendations provided by the Internal Audit Department and the corresponding corrective actions taken by Agency management will be presented to the Committee for the purpose of providing a progress report on the status of open audit recommendations.

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The Internal Auditor shall inform the Committee on the sufficiency of department staffing and resources.

Annually, the Internal Audit Department must also ensure the Committee fulfills their responsibilities as required under the Audit Committee Charter. Additionally, IA must ensure the Internal Audit Department Charter and the Audit Committee Charter are reviewed annually and updated as necessary.

**INDEPENDENCE**

Independence is essential to the effectiveness of internal auditing and is strongly emphasized by the Institute of Internal Auditors (IIA), the American Institute of Certified Public Accountants (AICPA), and the U. S. General Accountability Office (GAO). The Internal Audit Department should be free, both in fact and appearance, from impairments to independence.

The Internal Auditor and the Internal Audit Department shall have no direct responsibility or authority over the day-to-day operations of the Agency or any activities they would audit. The Internal Audit Department shall not engage in any activities that would compromise their independence or would appear to be a conflict of interest.

To ensure independence is maintained, the Internal Audit Department shall report administratively to the Agency's General Manager or his designee and functionally to the Board through the Audit Committee, as stated under "Accountability".

**AUTHORITY**

The Internal Audit Department's authority is derived from the direction of management and the Agency's Board through the Committee as set forth in this Charter. Specifically, the Internal Audit Department is authorized to:

- Audit all areas of the Agency's operations.
- Perform audits of the Regional Sewage Service Contract agreement between the Agency and the Regional Contracting Agencies (RCA), including performing necessary audit field work and review of required supporting information and documents of the RCA's.
- Have unrestricted access to all Agency functions, records, information, property, and personnel.
- Have full and free access to Agency management, the Board of Directors and the Audit Committee.
- Allocate resources, set frequencies, select subjects and set objectives, determine the scope of work, and apply the techniques required to accomplish audit objectives, without interference from Agency management.

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- Authority to deviate from the approved Annual Audit Plan, when necessary, urgent, and if warranted by unforeseen issues that require immediate attention. The Manager of Internal Audit will use professional discretion and judgment in response to such unforeseen issues and resolve them according to the requirements of the Charter. Significant changes to or deviations from the approved Annual Audit Plan shall be reported to the Audit Committee in a timely manner or as soon as possible and to the Board at the next regularly scheduled Audit Committee meeting.
- Obtain the necessary assistance of Agency staff where Internal Audit performs audits, as well as other specialized services from within or outside the organization.
- Obtain regular updates from management and Agency legal counsel regarding compliance matters affecting operations.
- Establish procedures for the receipt, retention, and treatment of comments or complaints received regarding Agency accounting, operations, or internal controls, including those matters received through Ethics Point or other channels.
- Investigate and make recommendations to the Board, Audit Committee, Executive Management and/or Human Resources, as appropriate about reported instances of inappropriate activities, misappropriation of funds or fraud, including those matters received through Ethics Point or other channels.
- Obtain additional internal or external resources when the Internal Audit Department does not possess all the necessary skills or experience to complete an audit or review, subject to the approval of the Audit Committee and when necessary from the Board.
- Assist with the evaluation of the External Auditors and the Audit Committee Advisor and make appropriate recommendations to the Audit Committee and the Board.

The Internal Auditor and the Internal Audit Department staff are **not** authorized to:

- Have any responsibilities or authority for any of the activities they audit or perform any operational duties for the Agency or its affiliates.
- Initiate or approve accounting transactions external to the Internal Audit Department.
- Direct the activities of any organization employee not employed by the Internal Audit Department, except to the extent such employees have been appropriately assigned to auditing teams or to otherwise assist the Internal Auditor in audit activities.
- Participate in any activities that would compromise their objectivity and independence or any activities that would appear to be a conflict of interest.
- Draft or write any Agency Policies and Procedures, or Standard Operating Procedures outside the Internal Audit Department.

## **RESPONSIBILITIES**

The responsibilities of the Internal Audit Department consist of the examination, review and evaluation of the reliability and effectiveness of the Agency's governance, risk management, internal controls, and the quality of operations and systems utilized in carrying out the Agency's

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goals and objectives. The Internal Audit Department has the responsibility to perform its work with due professional care.

The Internal Auditor and audit staff shall be responsible for, but not limited to, incorporating periodically, as deemed necessary and/or in agreement with the Annual Audit Plan, activities in the following key areas:

Internal Controls

- Assess the adequacy of internal controls in place and determine if they are operating effectively.
- Review the reliability and integrity of financial and operating information and the means used to identify, measure, classify, and report such information.
- Review compliance with Agency policies and procedures, and with applicable laws and regulations which could have a significant impact on the operations of the Agency.
- Evaluate the means implemented and the extent Agency assets are identified, tracked, and safeguarded against misuse, unauthorized use, theft and loss. Make recommendations to encourage the efficient use of and safeguard of Agency assets.
- Provide recommendations that encourage efficient use of Agency resources. Review operations, programs or projects to determine if results are consistent with established objectives and goals.
- Assess the efficient and effective use of Agency resources and the controls over those resources.
- Provide consulting services on current and proposed policies, procedures, and systems to ensure adequate internal controls are considered and maintained.
- Provide consulting services to evaluate contractual agreements and determine if compliance exists.
- Use documents and information obtained from Departments in the same prudent manner as by those employees who are normally accountable for them.
- Perform “Follow-up Procedures” on all management responses to audit findings and recommendations to determine if internal control improvements and/or corrective actions have been implemented.
- Perform “Follow-up Procedures” on known external auditor’s or regulatory agency’s reported findings and recommendations to determine if internal control improvements and/or corrective actions have been implemented.
- Hold staff accountable for the resolution of audit recommendations and expect resolution of audit recommendations that have been agreed to and discussed during audit meetings and Audit Committee meetings. Resolution of audit recommendations is achieved through the implementation, a corrective action plan or adopting alternate controls to mitigate the risk identified through the audit. Conduct special projects, studies, or audits as requested by management, the Audit Committee and the Board of Directors.

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- Ensure known or suspected acts of fraud or improprieties involving Agency funds, property and employees are investigated in coordination with the Agency's legal counsel, Human Resources, senior management, and/or others as required.
- Provide recommendations to mitigate risks related to fraud, waste and abuse.

Audit

- Conduct work in accordance with the *International Standards for the Professional Practice of Internal Auditing (Standards)* and Code of Ethics as required by the Institute of Internal Auditors (IIA).
- Develop a comprehensive and flexible Annual Audit Plan using an appropriate risk-based methodology, including consideration of any risks or control concerns identified by management, the Audit Committee, the Board or the external auditor and submit that plan, as well as any periodic updates, to the Audit Committee and the Board for review and approval.
- Implement the Annual Audit Plan, as approved, including as appropriate, any special tasks or projects requested by management, the Audit Committee, or the Board.
- Provide Agency management with reasonable notice of intent to audit and with information about the audit process, except in those situations that warrant an unannounced audit.
- Consider the scope of work of the external auditors for the purpose of providing optimal audit coverage, at a reasonable cost, without redundancy or omission.
- Perform advisory services to assist the Agency in achieving its objectives; for example, reviewing controls, systems or process designs prior to implementation and providing recommendations to improve and enhance the effectiveness of controls and operations.
- Maintain a professional audit staff with sufficient knowledge, skills, experience, and professional certifications to meet the requirements of this Charter.
- Maintain technical competence through continuing professional education supported by Department goals and budgets.
- Maintain a quality assurance program whereby the Internal Auditor assures the operations of the Internal Audit Department.
- Perform a periodic review of the Internal Audit Department Charter and the Audit Committee Charter. Additions, deletions, or other changes to the Charters are subject to the approval of the Board of Directors.

Reporting

- Issue quarterly reports to and meet with the Audit Committee and management to summarize results of audit activities and status of findings and recommendations.
- Provide written status reports of IA activity to the Audit Committee quarterly. The Quarterly Status Report will include a summary of significant internal and external audit activities for the reporting period. The Status Report will be submitted for approval by the Committee and the approved Quarterly Status Report will be presented at the next regularly scheduled IEUA Board of Directors meeting.

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- Provide a written report listing all outstanding recommendations with expected resolution dates annually. The report of all outstanding recommendations will be submitted for approval by the Audit Committee and provided at the next regularly scheduled IEUA Board of Directors meeting.
- Keep the Audit Committee informed of emerging trends and successful practices in internal auditing, as well as new audit requirements, when applicable.
- Immediately report any reservations concerning control risks, accounting or disclosure practices to the Audit Committee.
- If during the scope and progress of its reviews and audits, the Internal Audit Department identifies opportunities for improving the Agency's control environment, processes and procedures to ensure an environment where assets are safeguarded, internal controls are in place and risk is mitigated, these recommendations will be communicated to the appropriate level of management and the Audit Committee as timely as necessary and in the written report.
- When deemed appropriate and necessary, provide responsible unit management with a preliminary written report of the results and recommendations of each audit, analysis, review, or investigation performed and sufficient time to respond in writing with a plan of corrective actions. Sufficient time to reply would be 30 to 60 days from the date of the final report.

Provide final reports of results and recommendations for each review and audit performed, including the responsible management's responses to the Audit Committee, Executive management and responsible management. All final reports with any responses will be submitted to the Audit Committee for discussion and to the Board for approval. However, in cases where the auditee does not provide a response that is timely or deemed responsive, the final report will not be held up pending a response and will be submitted for discussion during the next scheduled Audit Committee meeting.

**STANDARDS OF ETHICAL CONDUCT**

The Internal Audit Department staff have a responsibility to govern themselves so that their independence is not open to question. To this end, adherence to the Institute of Internal Auditor's "Code of Ethics" will ensure integrity, objectivity, confidentiality and competency in Internal Audit work performed on behalf of the Agency's Board and Audit Committee. These principles include:

- Performing internal auditing services in accordance with the *International Standards for the Professional Practice of Internal Auditing (Standards)*.
- Exercising honesty, diligence, and responsibility in performing duties.
- Observing the law and making disclosures expected by the law and the profession.
- Not knowingly being a party to any illegal activity, or engage in acts that are discreditable to the profession of internal auditing or to the Agency.
- Respect and contribute to the legitimate and ethical objectives of the Agency.

**INLAND EMPIRE UTILITIES AGENCY**  
**Internal Audit Department Charter**  
**Approved on December 16, 2020**

- Not participating in any activity or relationship that may impair, or be presumed to impair, unbiased assessments, including activities or relationships that may be in conflict with the interests of the Agency.
- Not accepting anything that may impair or be presumed to impair professional judgment.
- Disclosing all material facts known that, if not disclosed, may distort the reporting of activities under review.
- Being prudent in the use and protection of information acquired in the course of duties.
- Not using information for personal gain or in any manner that would be contrary to the law or detrimental to the legitimate and ethical objectives of the Agency.
- Engaging in only those services or audit activities for which Internal Audit staff have the necessary knowledge, skills, and experience.
- Continually improving staff proficiency, and the effectiveness and quality of services.

**MANAGEMENT RESPONSIBILITIES**

It is the responsibility of the Board of Directors and senior management to foster a control environment that supports the Internal Audit Department's objectives and independence within the Inland Empire Utilities Agency. The existence of the Internal Audit Department does not diminish Agency management's financial and operational responsibilities for prudent execution and control of activities, including their responsibilities for the periodic evaluation of risk, control, and governance systems.

Management's responsibilities include:

- Providing Internal Audit with its full support and cooperation at all operating levels, including full and complete access to all records, property, and staff relative to their assigned areas of responsibility, and active participation in the audit process.
- Immediately notifying the Manager of Internal Audit and the Audit Committee of any known or suspected cases of illegal, criminal or unethical activity involving Agency funds, property, employees, or any activity which appears to present a conflict of interest.
- Timely notification to Internal Audit of any new or proposed modifications to Agency systems, procedures, operations or services, ensuring controls are built into the new or modified processes.
- Providing the Internal Audit Department with written responses to all audit findings and recommendations, including action plans, responsible employees, and targeted resolution dates or the acceptance of the risks identified.
- Implementation and resolution of audit recommendations agreed to. Resolution of audit recommendations is achieved through the implementation, a corrective action plan or adopting alternate controls to mitigate the risk identified through the audit.
- Providing the Internal Audit Department with adequate budget, staffing, assistance from staff of audited Departments, and the tools needed for the Internal Audit Department to execute its duties as defined in this Charter.



# **Audit Committee Meeting**

## **Audit Committee Charter**

### **Internal Audit Department Charter**



# Audit Committee Charter

- Purpose
- Composition
- Authority
- Responsibilities
- Meetings

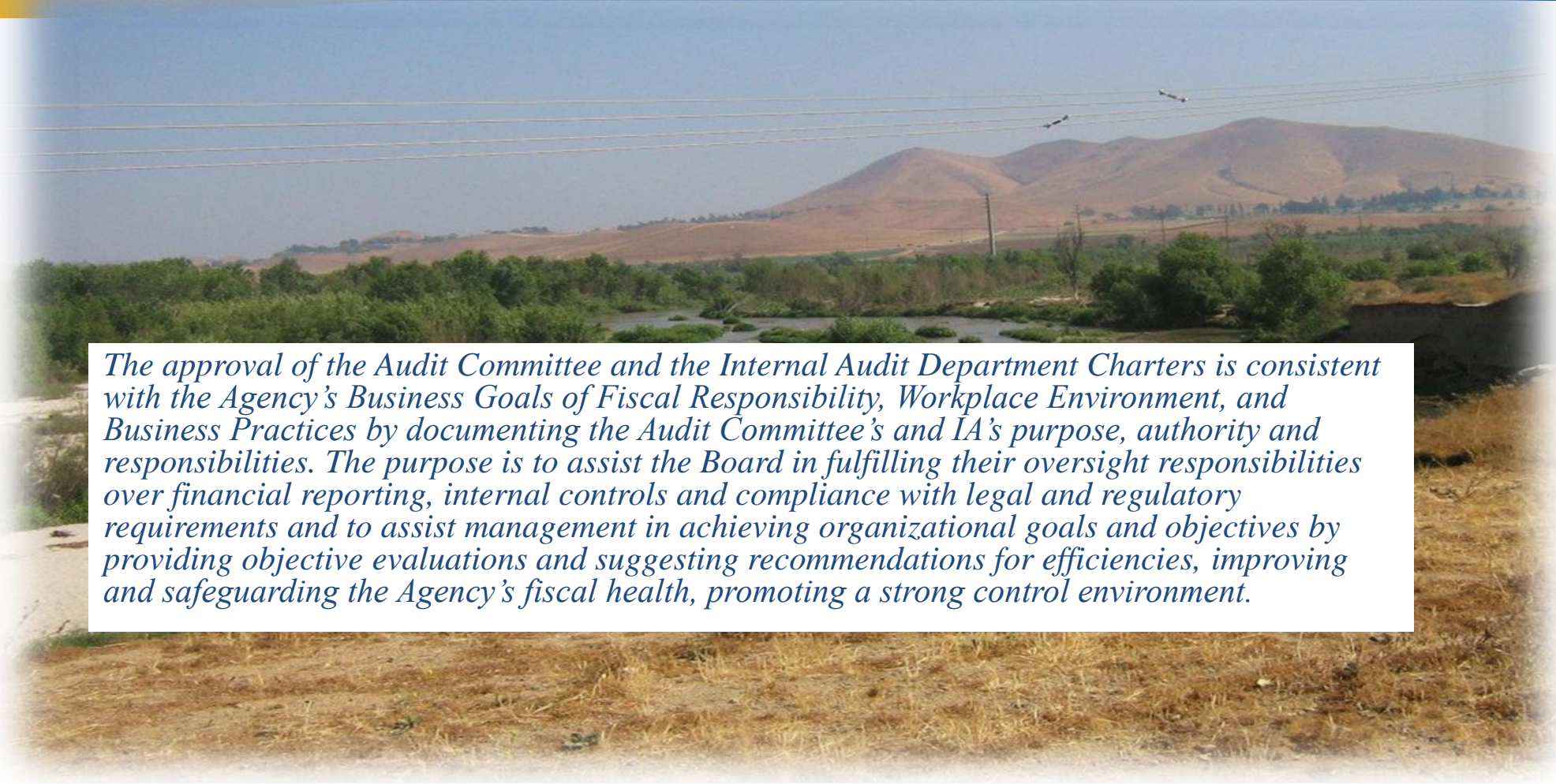
# Internal Audit Department Charter

- Purpose
- Mission & Values
- Accountability
- Independence
- Authority
- Responsibilities
- Standards of Ethical Conduct
- Management's Responsibilities

# Charters

1. Approve the Audit Committee Charter and the Internal Audit Department Charter; and
2. Direct staff to implement the charters.

# Charters



*The approval of the Audit Committee and the Internal Audit Department Charters is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment, and Business Practices by documenting the Audit Committee's and IA's purpose, authority and responsibilities. The purpose is to assist the Board in fulfilling their oversight responsibilities over financial reporting, internal controls and compliance with legal and regulatory requirements and to assist management in achieving organizational goals and objectives by providing objective evaluations and suggesting recommendations for efficiencies, improving and safeguarding the Agency's fiscal health, promoting a strong control environment.*

**CONSENT  
CALENDAR  
ITEM**

**2E**



**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**From:** Shivaji Deshmukh, General Manager

**Committee:** Engineering, Operations & Water Resources

12/09/20

**Executive Contact:** Christiana Daisy, Executive Manager of Engineering/AGM

**Subject:** RP-1 Centrifuge Foul Air Line Retrofit Equipment Pre-Purchase

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### Executive Summary:

Inland Empire Utilities Agency (IEUA) completed construction of a new solids dewatering facility at the Regional Recycling Water Plant No. 1 (RP-1) in 2012 to dewater sludge from the anaerobic digesters. This facility is equipped with an odor control system that treats foul air from the centrifuges, cake (solids) conveyors, silo area, centrate wet well, and the plant drain wet well. IEUA staff has documented persistent and excessive drainage in the foul air system that has prompted unwanted maintenance effort. The formation of struvite in the foul air drainage pump station has degraded the pumps and led to premature replacement. Based on a feasibility report completed by the design consultant, Kennedy Jenks, and feedback from the centrifuges manufacturer, Alfa Laval, it is believed that the primary source of drainage in the foul air system is from the foul air connections to the centrate discharge pipe below the centrifuges. To correct this issue, it has been recommended that the existing centrifuge covers be replaced with new covers equipped with vents from the top through a new foul air piping system.

IEUA staff recommends pre-purchasing four new centrifuge covers from Alfa Laval as they are the original manufacturer of the RP-1 centrifuges.

---

### Staff's Recommendation:

1. Award the pre-purchase of four centrifuge covers for the RP-1 Centrifuge Foul Air Line Retrofit, Project No. EN19043, to Alfa Laval Inc., in the amount of \$136,242; and
2. Authorize the General Manager to execute the purchase order, subject to non-substantive changes.

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**Budget Impact** *Budgeted (Y/N):* Y *Amendment (Y/N):* N *Amount for Requested Approval:*

*Account/Project Name:*

EN19043/RP-1 Centrifuge Foul Air Line Retrofit

*Fiscal Impact (explain if not budgeted):*

**Prior Board Action:**

None.

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**Environmental Determination:**

Statutory Exemption

CEQA exempts a variety of projects from compliance with the statute. This project qualifies for the Common Sense Exemption as defined in Section 15061(b)(3) of the State CEQA Guidelines.

---

**Business Goal:**

The RP-1 Centrifuge Foul Air Line Retrofit Project is consistent with IEUA's Business Goal of Wastewater Management, specifically the Asset Management and Water Quality objectives that IEUA will ensure that systems are well maintained, upgraded to meet evolving requirements, sustainably managed, and can accommodate changes in regional water use to protect public health, the environment, and meet anticipated regulatory requirements.

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**Attachments:**

Attachment 1 - PowerPoint



# RP-1 Centrifuge Foul Air Line Retrofit

## Centrifuge Covers Procurement

Project No. EN19043



# Regional Water Recycling Plant No. 1 Project Location





# Background

- Centrifuges are used to dewater sludge from digesters
- Current foul air line (odor control) is connected to centrate line under the centrifuge
- Excessive drainage with struvite is pulled into the foul air system (not effective)
  - Causes overpressure in centrifuge – blow out oil seals
  - Premature failure of foul air drainage pumps – additional maintenance



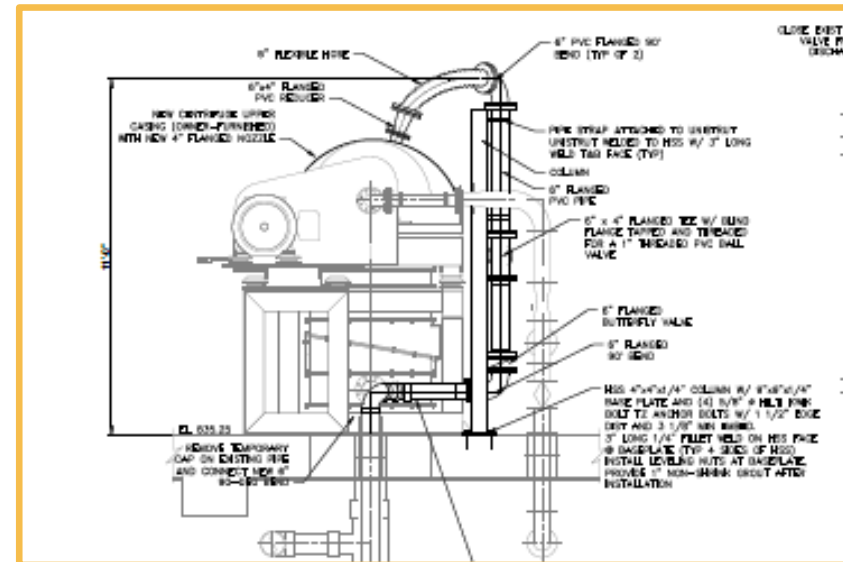
Existing Foul Air Line Connection



Centrifuge Side View

# Project Scope

- Received two construction bids on October 20, 2020
  - WM Lyles was the lowest responsible responsive bidder (\$98,500)
- Project scope:
  - Replace existing centrifuge covers with new vented covers
  - Procure four new centrifuge covers and gaskets
  - Modify foul air piping to accommodate the new covers/piping
  - Install new recycled water flush line on foul air lines



Centrifuge Vent Line Modification

# Project Budget and Schedule

Description	Estimated Cost
<b>Design Services</b>	<b>\$95,580</b>
Design Consultant	\$61,144
IEUA Design Services ( <i>actuals</i> )	\$34,436
<b>Construction Services</b>	<b>\$26,055</b>
Engineering Services During Construction	\$11,055
IEUA Construction Services ( <i>estimate</i> )	\$15,000
<b>Construction</b>	<b>\$244,592</b>
Construction Contract	\$98,500
Contingency (~10%)	\$9,850
Purchase of Covers (this action)	\$136,242
<b>Total Project Cost:</b>	<b>\$366,227</b>
<b>Total Project Budget:</b>	<b>\$445,000</b>

Project Milestone	Date
<b>Construction</b>	
Construction Contract Award	December 2020
Equipment Pre-Purchase	December 2020
Construction Completion	May 2021

# Recommendation

- Award the pre-purchase of four centrifuge covers for the RP-1 Centrifuge Foul Air Line Retrofit, Project No. EN19043, to Alfa Laval Inc., in the amount of \$136,242; and
- Authorize the General Manager to execute the purchase order subject to non-substantive changes.

The RP-1 Centrifuge Foul Air Line Retrofit Project is consistent with **IEUA's Business Goal of Wastewater Management**, specifically the Asset Management and Water Quality objectives that IEUA will ensure that systems are well maintained, upgraded to meet evolving requirements, sustainably managed, and can accommodate changes in regional water use to protect public health, the environment, and meet anticipated regulatory requirements.

**CONSENT  
CALENDAR  
ITEM**

**2F**

**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**From:** Shivaji Deshmukh, General Manager

**Committee:** Engineering, Operations & Water Resources

12/09/20

**Executive Contact:** Randy Lee, Executive Manager of Operations/AGM

**Subject:** Contract Amendment for Janitorial Services

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**Executive Summary:**

One of the responsibilities of Facilities Management is to provide a safe, clean, and healthy work environment for staff and visitors. In order to meet this task, the Agency contracts with a janitorial service provider to perform daily cleaning of 28 buildings throughout Agency-owned facilities. This janitorial contract was established through a competitive bid process in 2015. The term of the contract was for five years with the option to extend for two one-year terms. The current contract value is \$1,071,965.

The contract has been amended as necessary to support the need for new services, minimum wage labor rate increases, and Consumer Price Index (CPI) adjustments. These amendments have resulted in a shortage of funds available in the contract.

The current contract is due to expire at the end of December 2020. In order to continue to provide a safe, clean, and healthy work environment, staff have negotiated a contract extension for one year for a total of \$263,264.09. The negotiated price includes a rate increase of 4.07% as part of the annual escalation of California's minimum wage requirement that started in 2017.

Staff recommends a one-year extension to the contract, and the contract value to be increased by \$263,264.09 for a total contract value not-to-exceed amount of \$1,335,229.09.

---

**Staff's Recommendation:**

1. Approve the janitorial services contract amendment with Priority Building Services, LLC., for a one-year extension in the amount of \$263,264.09; and
2. Authorize the General Manager to execute the contract amendment.

---

**Budget Impact** *Budgeted (Y/N): Y    Amendment (Y/N): N    Amount for Requested Approval:*

*Account/Project Name:*

Regional Operations and Maintenance (RO) Fund, Professional Fees and Services

*Fiscal Impact (explain if not budgeted):*



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**Prior Board Action:**

December 16, 2015 – Janitorial Maintenance Service Contract Award, Contract No. 4600002020  
December 18, 2019 – Contract Amendment for Janitorial Service. Contract Amendment No.  
4600002020-009

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

The Agency Wide Janitorial Service Contract, is consistent with IEUA's Business Goal of Fiscal Responsibility, specifically the Funding and Appropriation objective that IEUA will fund operations and capital investments by maintaining reasonable service rates and fees that fully support the costs of service.

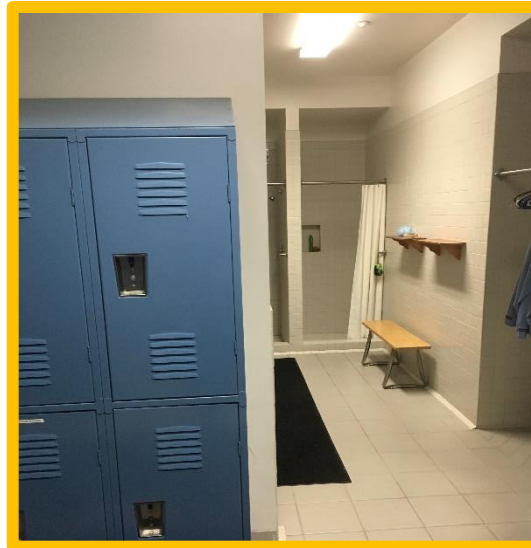
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**Attachments:**

Attachment 1 - PowerPoint

Attachment 2 - Janitorial Services Contract Amendment 4600002020-14

# Contract Amendment for Janitorial Services



# Janitorial Services

- Priority Building Services contract awarded December 2015

Contract Services include daily cleaning of:

- Offices
- Restrooms
- Showers, and
- Glass doors

Additional Services Provided:

- Street sweeping
- Stripping and waxing floors, and
- Pressure washing headquarter buildings

- Contract expires December 31, 2020



# Contract Amendments

Janitorial contract scope of work has increased over the past five years.

- Consumer Price Index (CPI) Adjustments and Minimum Wage Increases
  - 2017, 2018, 2019, 2020
- Added Services:
  - 2016: Street Sweeping (RP-1, RP-4, and CCWRF)
  - 2018: Water Quality Lab
  - 2019: New RP-1 Training Room, CBP Trailer, and Event Street Sweeping (as needed)
  - 2020: RP-1 Warehouse/Office Breakroom and RP-5 Construction Trailer



# Contract Amendment Value

- Continued quality of service with known service provider.
- Market uncertainty – bidding these essential services now could result in higher service fees from the effects of COVID-19.
- CPI increased waived for 2021. Only minimum wage increase to labor rates.
- COVID-19 Pandemic Support
  - Contractor currently providing additional cleaning services for frequently used areas



# Recommendation

- Approve the janitorial services contract amendment with Priority Building Services, LLC., for a one-year extension in the amount of \$263,264.09; and
- Authorize the General Manager to execute the contract amendment.

The Agency Wide Janitorial Service Contract, is consistent with IEUA's ***Business Goal of Fiscal Responsibility***, specifically the Funding and Appropriation objective that IEUA will fund operations and capital investments by maintaining reasonable service rates and fees that fully support the costs of service.



**CONTRACT AMENDMENT NUMBER 4600002020-14  
FOR  
JANITORIAL MAINTENANCE SERVICES**

THIS AMENDMENT NUMBER 4600002020-14, is made and entered into this \_\_\_\_ day of \_\_\_\_\_, 2020, by and between the Inland Empire Utilities Agency, a Municipal Water District, organized and existing in the County of San Bernardino under and by virtue of the laws of the State of California (hereinafter referred to as "Agency"), Priority Building Services, LLC, of Brea, California (hereinafter referred to as "Contractor"), for continuing Janitorial Maintenance Services.

**SECTION FOUR, SCOPE OF WORK AND SERVICES, ADDING A PRAGRAPH "Q", TO READ:**

- Q. Contractor shall, in accordance with the contract number 4600002020 and ensuing amendments, provide continuing Janitorial Maintenance Services, with pricing and fees consistent with the Contractor's proposal, received by Project Manager on October, 5, 2020 (Exhibit 1) revised to remove services for CPB Trailer and RP-5 Trailer from Scope of Services, and Summary Fee Schedule (Exhibit 2) effective January 1, 2021 which is incorporated in and made part hereof by this reference to this Amendment 4600002020-14.

**SECTION FIVE, TERM TO BE REVISED TO READ THE FOLLOWING:**

**TERM:** The term of this Contract shall extend from the date the Contract's original bi-lateral execution and terminate on the revised date of December 31, 2021, unless a subsequent revision of the Contract's term is mutually agreed to by both parties, reduced to writing and incorporated via formal amendment to this Contract.

**SECTION SIX, COMPENSATION TO BE REVISED TO READ THE FOLLOWING:**

All invoices shall be formulated consistent with the rates proposed within the Contractor's site-specific and task-specific negotiated proposed new pricing received by Project Manager on October, 5, 2020, (see Exhibit 1) and agreed upon Summary Fee Schedule (see Exhibit 2), effective January 1, 2021 and held firm for 1 year through December 31, 2021.

Contractor's invoices shall be submitted only by e-mail to APGroup@ieua.org referencing Contract Amendment 4600002020-14.

As compensation for the satisfactory performance of the work represented by this Contract, Agency shall pay Contractor's invoices up to a **NOT-TO-EXCEED** total authorized amount of **\$1,335,229.09** for all services provided throughout the term of this Contract which consists of an increase in contract value of **\$263,264.09** by this Amendment 4600002020-14.






**All other terms and conditions of Contract 4600002020 shall remain in full force and effect.**

The parties hereto have mutually covenanted and agreed as per the above amendment items and in doing so have caused this document to become incorporated into the Contract documents. The parties hereto have caused the Contract to be amended as of the day and year written above.

**INLAND EMPIRE UTILITIES AGENCY:**  
(A MUNICIPAL WATER DISTRICT)

**PRIORITY BUILDING SERVICES, LLC:**

\_\_\_\_\_  
Shivaji Deshmukh P.E. (Date)  
General Manager

DocuSigned by:  
  
\_\_\_\_\_  
261C9C11AAF6462...  
Eddy Rocha (Date)  
Regional Manager

11/4/2020





**Priority Building Services, LLC**  
 521 Mercury Lane, Brea, CA 92821  
 Phone (877) 508-0770 Fax (714) 255-2952

	Monthly Janitorial Services	2020 Rates	Increase (4.07%)	Proposed 2021 Rates
1	Administrative Headquarters Building A.	\$ 4,386.98	\$ 178.55	\$ 4,565.53
2	Administrative Building B.	\$ 4,386.98	\$ 178.55	\$ 4,565.53
3	RP-1 Admin/Lab Bldg.	\$ 589.38	\$ 23.99	\$ 613.37
4	RP-1 New Lab.	\$ 547.63	\$ 22.29	\$ 569.92
5	RP-1 T-Plant.	\$ 304.99	\$ 12.41	\$ 317.40
6	RP-1 Warehouse and Office Trailer	\$ 154.24	\$ 6.28	\$ 160.52
7	Maintenance Bldg/PMT Bldg Office.	\$ 274.84	\$ 11.19	\$ 286.03
8	RP-1 Belt Press Building.	\$ 112.49	\$ 4.58	\$ 117.07
9	RP-1 Centrifuge Building.	\$ 112.49	\$ 4.58	\$ 117.07
10	RP-1 Double-wide DCS Trailer.	\$ 121.76	\$ 4.96	\$ 126.72
11	RP-1 Power Reliability (PRB).	\$ 104.51	\$ 4.25	\$ 108.76
12	RP-1 Return Activated Sludge Bldg restroom.	\$ 60.30	\$ 2.45	\$ 62.75
13	RP-1 Plant No.2 Bldg restroom.	\$ 60.30	\$ 2.45	\$ 62.75
14	RP- 1 Street Sweeping	\$ 510.25	\$ 20.77	\$ 531.02
15	RP-1 Training Rooms	\$ 381.46	\$ 15.53	\$ 396.99
16	RP-1 Warehouse Breakroom	\$ 120.00	\$ 4.88	\$ 124.88
17	RP-2 Operations Building.	\$ 492.86	\$ 20.06	\$ 512.92
18	RP-2 Dewatering Bldg.	\$ 92.77	\$ 3.78	\$ 96.55
19	RP-2 Maintenance Bldg.	\$ 92.77	\$ 3.78	\$ 96.55



**Priority Building Services, LLC**  
521 Mercury Lane, Brea, CA 92821  
Phone (877) 508-0770 Fax (714) 255-2952

20	RP-2 Street Sweeping	\$ 243.53	\$ 9.91	\$ 253.44
21	CCWRF Operations Building.	\$ 568.23	\$ 23.13	\$ 591.36
22	CCWRF Electrical Shop.	\$ 115.96	\$ 4.72	\$ 120.68
23	CCWRF Street Sweeping	\$ 394.28	\$ 16.05	\$ 410.33
24	RP-4 Operations Bldg.	\$ 481.27	\$ 19.59	\$ 500.86
25	RP-4 Maintenance Bldg.	\$ 115.96	\$ 4.72	\$ 120.68
26	RP-4 Street Sweeping	\$ 394.28	\$ 16.05	\$ 410.33
27	RP-4 DCS Trailer.	\$ 115.96	\$ 4.72	\$ 120.68
28	RP-5 REEP Bldg.	\$ 729.43	\$ 29.69	\$ 759.12
29	RP-5 Street Sweeping	\$ 179.76	\$ 7.32	\$ 187.08
30	CCP and Wetlands Office.	\$ 666.81	\$ 27.14	\$ 693.95
31	CCP Signage and Trash Can Service.	\$ 144.97	\$ 5.90	\$ 150.87
32	Prado Park Dechlor & Lift Station restroom.	\$ 115.96	\$ 4.72	\$ 120.68
33	Philadelphia Lift Station.	\$ 115.96	\$ 4.72	\$ 120.68
	Water Quality Lab	\$ 3,408.64	\$ 138.73	\$ 3,547.37
	Total	\$ 20,698.00	\$ 842.41	\$ 21,540.41

	Quarterly Refrigerator Cleaning	2020 Rate	Increase (4.07%)	Proposed 2021 Rates
1	Quarterly Refrigerator Cleaning - Admin Headquarters Building A	\$ 243.53	\$ 9.91	\$ 253.44
2	Quarterly Refrigerator Cleaning - Admin Headquarters Building B	\$ 243.53	\$ 9.91	\$ 253.44
3	Quarterly Cleaning of Refrigerators in RP-1 Admin/Lab Bldg.	\$ 69.58	\$ 2.83	\$ 72.41



**Priority Building Services, LLC**  
521 Mercury Lane, Brea, CA 92821  
Phone (877) 508-0770 Fax (714) 255-2952

4	Quarterly Cleaning of Refrigerator in RP-1 New Lab.	\$ 34.79	\$ 1.42	\$ 36.21
5	Quarterly Cleaning of Refrigerator in RP-1 T-Plant.	\$ 34.79	\$ 1.42	\$ 36.21
6	Quarterly Cleaning of Refrigerators in RP-1 Whose and Office Trailer	\$ 69.58	\$ 2.83	\$ 72.41
7	Quarterly Cleaning of Refrigerators in RP-1 Maint Bldg/PMT Bldg	\$ 139.16	\$ 5.66	\$ 144.82
8	Quarterly Cleaning of Refrigerator in RP-1 Double-wide DCS Trailer	\$ 34.79	\$ 1.42	\$ 36.21
9	Quarterly Cleaning of Refrigerator in RP-2 Operations Building.	\$ 34.79	\$ 1.42	\$ 36.21
10	Quarterly Cleaning of Refrigerator in RP-2 Maintenance Building.	\$ 34.79	\$ 1.42	\$ 36.21
11	Quarterly Cleaning of Refrigerator in CCWRF Electrical Shop.	\$ 34.79	\$ 1.42	\$ 36.21
12	Quarterly Cleaning of Refrigerator in RP-4 Operations Building.	\$ 34.79	\$ 1.42	\$ 36.21
13	Quarterly Cleaning of Refrigerators in RP-4 Maintenance Building.	\$ 69.58	\$ 2.83	\$ 72.41
14	Quarterly Cleaning of Refrigerator in CCWRF Operations Building.	\$ 34.79	\$ 1.42	\$ 36.21
	Quarterly Cleaning of Refrigerator in Water Quality Lab	\$ 34.79	\$ 1.42	\$ 36.21
	Total	\$ 1,148.07	\$ 46.73	\$ 1,194.80

Year Totals	2020		2021
Monthly Janitorial	\$ 248,376.00		\$ 258,484.90
Quarterly Refrigerator Cleanings	\$ 4,592.28		\$ 4,779.19
Total	\$ 252,968.28		\$ 263,264.09

As Needed Sweeping Services	2020	Increase	2021
RP-1	\$500.00	0%	\$500.00
RP-2	\$415.00	0%	\$415.00
RP-4	\$415.00	0%	\$415.00



**Priority Building Services, LLC**  
521 Mercury Lane, Brea, CA 92821  
**Phone** (877) 508-0770 **Fax** (714) 255-2952

RP-5	\$415.00	0%	\$415.00
CCWRF	\$415.00	0%	\$415.00

## EXHIBIT 2

<b>IEUA Janitorial Maintenance Fee Schedule - Effective January 1, 2021</b>
---

**Summary Fee Schedule (Monthly)****Amendment 4600002020-14**

Janitorial Maintenance Services

	Qtrly Service	
	Building Service Fees	Quartelry Refrigerators Cleaning
Administrative Headquarters, Building "A"	\$ 4,565.53	\$ 253.44
Administrative Headquarters, Building "B"	\$ 4,565.53	\$ 253.44
RP-1 Admin/Lab Bldg	\$ 613.37	\$ 72.41
RP-1 New Lab	\$ 569.92	\$ 36.21
RP-1 T-Plant	\$ 317.40	\$ 36.21
RP-1 Warehouse and Office Trailer	\$ 160.52	\$ 72.41
Maintenance Bldg/PMT Bldg Office	\$ 286.03	\$ 144.82
RP-1 Belt Press Building	\$ 117.07	\$ -
RP-1 Centrifuge Building	\$ 117.07	\$ -
RP-1 Double-wide DCS Trailer	\$ 126.72	\$ 36.21
RP-1 Power Reliability (PRB)	\$ 108.76	\$ -
RP-1 Return Activated Sludge Bldg. restroom	\$ 62.75	\$ -
RP-1 Plant No.2 Bldg restroom	\$ 62.75	\$ -
RP-1 Training Room	\$ 396.99	\$ -
RP-1 Warehouse/Office Breakroom	\$ 124.88	\$ -
RP-2 Operations Building	\$ 512.92	\$ 36.21
RP-2 Dewatering Bldg	\$ 96.55	\$ -
RP-2 Maintenance Bldg	\$ 96.55	\$ 36.21
CCWRF Operations Building	\$ 591.36	\$ 36.21
CCWRF Electrical Shop	\$ 120.68	\$ 36.21
RP-4 Operations Bldg	\$ 500.86	\$ 36.21
RP-4 Maintenance Bldg	\$ 120.68	\$ 72.41
DCS Trailer	\$ 120.68	\$ -
RP-5 REEP Bldg	\$ 759.12	\$ -
CCP and Wetlands Office	\$ 693.95	\$ -
CCP Signage and Trash Can Service	\$ 150.87	\$ -
Prado Park Dechlor & Lift Station restroom	\$ 120.68	\$ -
Philadelphia lift station restroom	\$ 120.68	\$ -
Water Quality Laboratory	\$ 3,547.37	\$ 36.21

**Total IEUA Monthly Fee(s)****\$ 19,748.24 \$ 1,194.82****Summary Fee Schedule (Monthly): Street Sweeping Option 1 & 2**

RP-2 Street Sweeping	Option 1	\$ 133.15
RP-2 Street Sweeping	Option 2	\$ 253.44

**Summary Fee Schedule - Monthly: Street Sweeping**

RP-1 Street Sweeping	\$ 531.02
RP-4 Street Sweeping	\$ 410.33
RP-5 Street Sweeping	\$ 187.08
CCWRF Street Sweeping	\$ 410.33

**Summary Fee Schedule - As Needed: Street Sweeping**

RP-1 Street Sweeping	\$ 500.00
RP-2 Street Sweeping	\$ 415.00
RP-4 Street Sweeping	\$ 415.00
RP-5 Street Sweeping	\$ 415.00
CCWRF Street Sweeping	\$ 415.00

**CONSENT  
CALENDAR  
ITEM**

**2G**

**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**From:** Shivaji Deshmukh, General Manager

**Committee:** Finance & Administration

12/09/20

**Executive Contact:** Christina Valencia, Executive Manager of Finance & Administration/AGM

**Subject:** Unarmed Uniformed Security Services Contract

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**Executive Summary:**

Since 1999, the Agency has hired private security contractors to provide unarmed mobile patrol services throughout Agency owned facilities. The current contract approved in 2015 (five years in the amount of \$861,743) with Allied Universal Security Services (Allied) is set to expire on December 31, 2020. Allied Universal has provided outstanding services for the last five years and have been extremely responsive to changes in our service requirements, and requests for additional security services. In addition, to retaining strict standards of conduct and appropriate qualifications for security personnel, Allied is required to provide all the necessary material, supplies, and equipment (e.g., cell phones, uniforms, flashlights, night vision binoculars, vehicles and fuel, etc.).

In support of providing security services, staff negotiated a one year extension with a 4% increase over the current rate that meets the mandatory January 1, 2021, California minimum wage requirement. Staff is requesting a not-to-exceed amount of \$250,000 to support the standing guard, roving patrol services and additional as-needed support.

Pending approval of this amendment, Inland Empire Regional Composting Authority, will extend their contract utilizing the Agency's contract to support continued security services.

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**Staff's Recommendation:**

It is recommended that the Board of Directors:

1. Approve the amendment to Contract Number 4600002018 with Allied Universal Security Services for IEUA uniformed security services, for a one-year extension through December 31, 2021 for a not-to-exceed amount of \$250,000; and
2. Authorize the General Manager to execute the contract amendment subject to non-substantive changes.

---

**Budget Impact** *Budgeted (Y/N): Y    Amendment (Y/N): N    Amount for Requested Approval:*

*Account/Project Name:*

The annual costs of unarmed uniformed security services are budgeted annually the under account 115100-100000-521120, for security-related services account, in the Administrative Service (GG) Fund.

*Fiscal Impact (explain if not budgeted):*

**Prior Board Action:**

On December 16, 2015, the Board approved award of Contract Number 4600002018 with Allied Universal, formerly Universal Protection Services for unarmed uniformed security services

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

The Agency-wide security services contract is consistent with IEUA's Business Goal of Fiscal Responsibility as IEUA is committed to safeguarding the Agency's facilities, staff and the public.

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**Attachments:**



**CONSENT  
CALENDAR  
ITEM**

**2H**

**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**From:** Shivaji Deshmukh, General Manager

**Committee:** Engineering, Operations & Water Resources

12/09/20

**Executive Contact:** Christiana Daisy, Executive Manager of Engineering/AGM

**Subject:** Adoption of Resolution No. 2020-12-1 Certifying the CEQA Addendum for the RP-5 Expansion Project

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**Executive Summary:**

This State California Environmental Quality Act (CEQA) Addendum was compiled to address the following items related to the RP-5 Expansion Project that were not included in the design of the project:

1. SCE informed IEUA that it must replace the existing “pull box” that serves the RP-5 facilities located in the City of Chino, San Bernardino County;
2. Use of RP-2 (approximately ½ mile from RP-5) as a laydown area to support RP-5 construction;

An additional CEQA evaluation was performed by Tom Dodson and Associates to review the environmental impacts of these two additional project components. The evaluation concluded the potential adverse environmental impacts from implementation are not significantly greater than those identified for the approved RP-5 Expansion Project as portrayed in the Final Programmatic Environmental Impact Report (PEIR). There are no new significant impacts that result from the proposed project and no new circumstances occur that would change previous conclusions in the FMP PEIR regarding adverse environmental impacts.

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**Staff's Recommendation:**

1. Approve the RP-5 Expansion Project CEQA Addendum to the Programmatic Environmental Impact Report (SCH No. 2016061064).
2. Adopt Resolution No. 2020-12-1, certifying the attached RP-5 Expansion CEQA Addendum as complete; and,
3. Authorize the General Manager to execute the Notice of Determination and to file with San Bernardino County and the State Clearinghouse.

---

**Budget Impact** *Budgeted (Y/N): Y    Amendment (Y/N): N    Amount for Requested Approval:*

*Account/Project Name:*

EN19001.99 - RP-5 Liquids Expansion to 22.5 MGD

EN19006.99 - RP-5 Biosolids Treatment Facility

*Fiscal Impact (explain if not budgeted):*

There is no fiscal year budget impacts to projects EN19001 and EN19006.

**Prior Board Action:**

March 2017, IEUA certified the Facilities Master Plan Program Environmental Impact Report (PEIR)

December 2019 determined PEIR adequately describes the activity for the purposes of CEQA.

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**Environmental Determination:**

Program Environmental Impact Report (Finding of Consistency)

A Finding of Consistency with IEUA's Program Environmental Impact Report and a CEQA Plus evaluation for SRF Loan Funding have been completed.

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**Business Goal:**

The RP-5 Expansion Project is consistent with IEUA's Business Goal of Wastewater Management, specifically the Water Quality objective that IEUA will ensure that systems are planned, constructed, and managed to protect public health, the environment, and meet anticipated regulatory requirements.

---

**Attachments:**

Attachment 1 - PowerPoint

Attachment 2 - RP-5 Expansion CEQA Addendum ([Click to Download](#))

Attachment 3 - Notice of Determination ([Click to Download](#))

Attachment 4 - Resolution 2020-12-1 ([Click to Download](#))

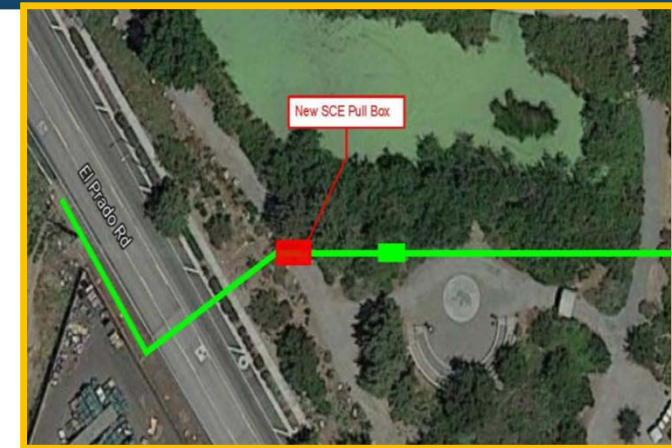
# Adopt Resolution Certifying the CEQA Addendum for the RP-5 Expansion Project Project Nos. EN19001 and EN19006



# Addendum Project Components Evaluated

## Two Additional Project Components Included During Construction Bid:

1. Replacement of SCE pull box in the Chino Creek Park
2. Construction storage at RP-2



# Addendum Conclusion

## **Tom Dodson and Associates completed additional CEQA evaluation of the new project components and concluded:**

- ✓ The potential adverse environmental impacts from implementation are not significantly greater than those identified for the approved RP-5 Expansion Project as portrayed in the Final Programmatic Environmental Impact Report (PEIR).
- ✓ There are no new significant impacts that result from the proposed project and no new circumstances occur that would change previous conclusions in the PEIR regarding adverse environmental impacts.



# Staff Recommendation

- Approve the RP-5 Expansion Project CEQA Addendum to the Programmatic Environmental Impact Report (SCH No. 2016061064).
- Adopt Resolution No. 2020-12-1, certifying the attached RP-5 Expansion CEQA Addendum as complete; and,
- Authorize the General Manager to execute the Notice of Determination and to file with San Bernardino County and the State Clearinghouse.

The RP-5 Expansion Project is consistent with **IEUA's Business Goal of Wastewater Management**, specifically the Water Quality objective that IEUA will ensure that systems are planned, constructed, and managed to protect public health, the environment, and meet anticipated regulatory requirements.

## **RESOLUTION NO.2020-12-1**

### **A RESOLUTION OF THE BOARD OF DIRECTORS OF THE INLAND EMPIRE UTILITIES AGENCY CERTIFYING THE ADDENDUM TO THE FACILITIES MASTER PLAN PROGRAM ENVIRONMENTAL IMPACT REPORT (SCH NO. 2016061064) FOR THE RP-5 EXPANSION PROJECT**

Whereas, the California Environmental Quality Act (CEQA) of 1970, as amended, requires that prior to approval of any project, the Lead Agency shall consider the potential impacts and effects of said project, consider alternatives to the project, and identify mitigation measures necessary to reduce or eliminate the impact of the project on the environment;

Whereas, the Inland Empire Utilities Agency (IEUA) is the Lead Agency for the Facilities Master Plans (FMP) and has caused to be prepared a Program Environmental Impact Report (PEIR) for the Facilities Master Plans in accordance with CEQA and its implementing guidelines;

Whereas, IEUA prepared and circulated a Notice of Preparation (NOP) to the public, responsible agencies and other interested parties for their review and comment, pursuant to CEQA Guidelines Section 15082;

Whereas, pursuant to comments received on the scope and content of the PEIR in response to the NOP document, IEUA prepared and circulated a draft PEIR assessing the project's environmental impact for public review;

Whereas, IEUA issued the Notice of Completion for the draft PEIR on December 20, 2016 and the draft PEIR was available for public review and comment from December 20, 2016 through February 2, 2017;

Whereas, IEUA received 10 letters with comments and concerns regarding the content of the draft PEIR for the Facilities Master Plans;

Whereas, the PEIR determined that the majority of potential adverse environmental impacts are less than significant with or without mitigation, including the following: aesthetics, agriculture and forest resources, biological resources, geology soils and mineral resources, hazards and hazardous materials, hydrology and water quality, land use planning, population and housing, public services, recreation, traffic and transportation, utilities;

Whereas, the PEIR identified significant and unavoidable environmental impact relating to air quality and greenhouse gas emissions, cultural resources, noise, and secondary effects of growth;

Whereas, IEUA provided a copy of the Responses to Comments to all Responsible Agencies on March 1, 2017, in accordance with CEQA;



Whereas, the Final Master Plan PEIR will be available for use as the base environmental document by any Responsible Agency proceeding to implement future site-specific projects under the Master Plan in accordance with programmatic procedures outlined in the State CEQA Guidelines Sections 15162 and 15168;

Whereas, the IEUA Board has received and has reviewed the Final Master Plan PEIR, consisting of the draft PEIR, all Responses to Comments, the Mitigation Monitoring and Reporting Program, Findings of Fact and Statement of Overriding Considerations, and all other material in the administrative record; and

Whereas, pursuant to duly given public notice, the IEUA Board has held a full and fair public hearing on March 15, 2017 concerning the Facilities Master Plans and the PEIR and has considered all written and oral comments and testimony relating thereto and is fully advised thereon.

Whereas, the IEUA Board has approved and certified the PEIR for the Asset Management Plan (FY 2015/16), Recycled Water Program Strategy (2015), Amendment to the 2010 Recharge Master Plan Update (2013), Wastewater Facilities Master Plan (2015), Integrated Water Resources Plan (2015) and Energy Management Plan (2015) (collectively Facilities Master Plans) and adopted the Finding and Statement of Overriding Considerations on March 15, 2017.

Whereas, the IEUA Board has authorized and directed the filing and posting of a Notice of Determination as required by Section 21152 of the Public Resources Code, the filing required pursuant to Section 21089 (b) of the Public Resources Code, and CEQA Guidelines section 15094 by the General Manager with the Clerk of the Board of Supervisors of San Bernardino County and the State Clearinghouse, Governor's Office of Planning and Research.

Whereas, the IEUA Board has adopted the mitigation measures recommended as conditions of project approval in Table ES-1, Chapter 3 and Responses to Comments of the Final Facilities Master Plan PEIR, and the Mitigation Monitoring and Reporting Program prepared for the purpose of monitoring the changes which have been adopted or made a condition of project approval as described in Section 1 of this Resolution and all as more fully described in the Mitigation Monitoring and Reporting Program.

Whereas, the IEUA Board has adopted the Asset Management Plan (FY 2015/16), Recycled Water Program Strategy (2015), Amendment to the 2010 Recharge Master Plan Update (2013), Wastewater Facilities Master Plan (2015), Integrated Water Resources Plan (2015) and Energy Management Plan (2015) (collectively Facilities Master Plans) as the guidance documents for preparation of the Ten-Year Capital Improvement Program.

NOW, THEREFORE, BE IT RESOLVED, DETERMINED AND ORDERED BY THE INLAND EMPIRE UTILITIES AGENCY AS FOLLOWS:

Section 1. IEUA finds that the RP-5 Plant Expansion Project was fully addressed in the 2017 Facilities Master Plan (FMP) and the Program Environmental Impact Report (PEIR) (SCH#2016061064, certified in March 17, 2017).

Section 2. IEUA further finds this Addendum was prepared to address the following issues related to the RP-5 Expansion Project:

1. Replacement of the existing Southern California Edison pull box that serves the RP-5 facilities;
2. Use of RP-2 as a storage area to support RP-5 construction; and
3. Evaluate any environmental circumstances (outlined in State CEQA Guidelines Section 15162) changed since 2017 that would require the preparation of a higher level of environmental documentation.

Section 3. IEUA further finds that the potential adverse environmental impacts from implementation of this subsequent two modified project components, will not be significantly greater than those identified for the approved RP-5 Expansion Project as forecasted in the Final FMP PEIR. There are no new significant impacts that result from the proposed modified project and no new circumstances occur at the two project locations that would change previous conclusions in the FMP PEIR regarding adverse environmental impacts.

Section 4. IEUA hereby authorizes and directs the filing and posting of a Notice of Determination as required by Section 21152 of the Public Resources Code, the filing required pursuant to Section 21089 (b) of the Public Resources Code, and CEQA Guidelines section 15094 by the General Manager with the Clerk of the Board of Supervisors of San Bernardino County and the State Clearinghouse, Governor's Office of Planning and Research, as soon as possible after the adoption of this Resolution.

Section 5. IEUA hereby adopts this Addendum which finds no new mitigation measures are required for the RP-5 Expansion Project in addition to the existing mitigation measures proposed in the FMP PEIR.

Section 6. IEUA hereby finds that an Addendum is the appropriate CEQA environmental determination for the RP-5 Expansion Project at the locations identified and adopts this Addendum.

Section 7. This Resolution shall take effect upon adoption

ADOPTED, this 16 day of December, 2020.

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Jasmin A. Hall, Vice President of the  
Inland Empire Utilities Agency and of  
the Board of Directors thereof

ATTEST:

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Steven J. Elie, Secretary/Treasurer of the  
Inland Empire Utilities Agency and of the  
Board of Directors thereof.

STATE OF CALIFORNIA            )  
  )SS  
COUNTY OF                        )  
SAN BERNARDINO

I, Steven J. Elie, Secretary/Treasurer of the Inland Empire Utilities  
Agency , DO HEREBY CERTIFY that the foregoing Resolution being No. 2020-12-1, was  
adopted at an adjourned regular Board Meeting on December 16, 2020, of said Agency by  
the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

---

Steven J. Elie, Secretary/Treasurer  
of the Inland Empire Utilities  
Agency\* and of the Board of  
Directors thereof

(SEAL)

**CONSENT  
CALENDAR  
ITEM**

**21**

**Date:** December 16, 2020

*SD*

**To:** The Honorable Board of Directors

**From:** Shivaji Deshmukh, General Manager

**Committee:**

**Executive Contact:** Shivaji Deshmukh, General Manager

**Subject:** Adoption of Resolution No. 2020-12-2, Amending the Agency's Salary Schedule/  
Matrix for All Groups

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**Executive Summary:**

California Code of Regulations (CCR) §570.5 establishes the requirement for California Public Employees' Retirement System (CalPERS) agencies to have a current, duly approved & adopted pay schedule by the agency's governing body. CalPERS employers may only report payrates, for purposes of calculating retirement benefits, that meet the definition of a Publicly Available Salary Schedule. Maintenance of the Agency's salary schedule falls under the responsibility of the HR Department, which includes presenting a revised comprehensive salary resolution to the Board of Directors as changes occur. The last salary schedule/matrix update for all the groups was approved by the Board on November 18, 2020.

Effective January 1, 2021, the minimum wage will increase to \$14 per hour. Accordingly, the Intern range will be increased from \$13-\$18 per hour to \$14-\$19 per hour to comply with state law and remain competitive in attracting and retaining talented interns.

---

**Staff's Recommendation:**

Adopt Resolution No. 2020-12-2, Amending the Agency's Salary Schedule/Matrix for all groups

---

**Budget Impact** Budgeted (Y/N): N Amendment (Y/N): N Amount for Requested Approval:

Account/Project Name:

*Fiscal Impact (explain if not budgeted):*

Funding is appropriated in the FY 2020/21 Budget.

**Prior Board Action:**

On November 18, 2020, the Board of Directors adopted Resolution No. 2020-11-1, Amending the Agency's Salary Schedule/Matrix for all groups

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

Workplace Environment: IEUA is committed to providing a dynamic work environment with a highly skilled and dedicated workforce.

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**Attachments:**

Attachment 1 - Resolution No. 2020-12-2, Amending the Agency's Salary Schedule/Matrix for all groups and Exhibit 1

**RESOLUTION NO. 2020-12-2**

**RESOLUTION OF THE BOARD OF DIRECTORS OF THE INLAND EMPIRE UTILITIES AGENCY\*, SAN BERNARDINO COUNTY, CALIFORNIA, APPROVING THE AMENDMENT OF THE AGENCY'S SALARY SCHEDULE/MATRIX**

**WHEREAS**, the Agency has a compensation change as a result of the State's increase to the minimum wage as of January 1, 2021, and

**WHEREAS**, the Agency now desires to update the salary and classification information to comply with the California Code of Regulations (CCR) §570.5, and

**NOW, THEREFORE**, the Board of Directors of the Inland Empire Utilities Agency\* does hereby RESOLVE, DETERMINE AND ORDER as follows:

SECTION 1: The Agency's salary schedule/matrix is hereby adopted and set forth in Exhibit "1" of this resolution.

SECTION 2: The salary information contained in Exhibit "1" shall be effective as of January 1, 2021.

**ADOPTED** the 16<sup>th</sup> day of December 2020.

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**Jasmin A. Hall**

Vice President of the Inland Empire  
Utilities Agency\* and of the  
Board of Directors thereof

ATTEST:

---

**Steven J. Elie**

Secretary/Treasurer of the Inland Empire  
Utilities Agency\* and of the  
Board of Directors thereof

\*A Municipal Water District



STATE OF CALIFORNIA    )  
COUNTY OF                ) SS  
SAN BERNARDINO         )

I, **Steven J. Elie**, Secretary/Treasurer of the Inland Empire Utilities Agency\*, DO  
HEREBY CERTIFY that the foregoing Resolution being No. 2020-12-2, was adopted at a regular  
Board Meeting on December 16, 2020, of said Agency by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

---

**Steven J. Elie**  
Secretary/Treasurer of the Inland Empire  
Utilities Agency\* and of the  
Board of Directors thereof

(SEAL)

\*A Municipal Water District

**Inland Empire Utilities Agency**  
**Salary Matrix**  
**Effective January 1, 2021**

**EXHIBIT 1**

<b>CLASSIFICATION TITLE</b>	<b>Salary Range</b>	<b>Unit</b>	<b>FLSA</b>
Accountant I	173	UN	Exempt
Accountant II	175	PR	Exempt
Accounting Supervisor	184	SU	Exempt
Accounting Technician I	170	GU	Non-exempt
Accounting Technician II	173	GU	Non-exempt
Administrative Assistant I	171	GU	Non-exempt
Administrative Assistant I (confidential)	171	UN	Non-exempt
Administrative Assistant II	174	PR	Non-exempt
Administrative Assistant II (confidential)	174	UN	Non-exempt
Assistant Engineer	179	UN	Exempt
Associate Engineer	182	UN	Exempt
Biologist <sup>1</sup>	181	LB	Exempt
Board Secretary/Office Manager	188	UN	Exempt
Budget Officer	186	UN	Exempt
Business Systems Analyst I	179	PR	Exempt
Business Systems Analyst II	181	PR	Exempt
Business Systems Supervisor	184	SU	Exempt
CAD Designer	178	UN	Non-exempt
Chemist	181	LB	Exempt
Chief Financial Officer	192	UN	Exempt
Collection System Operator I	171	GU	Non-exempt
Collection System Operator II	175	GU	Non-exempt
Collection System Operator III	177	GU	Non-exempt
Collection System Supervisor	184	SU	Exempt
Compost Facility Supervisor	185	SU	Exempt
Compost Operator	174	GU	Non-exempt
Compost Sales Representative	181	PR	Exempt
Compost Worker	170	GU	Non-exempt
Construction Project Inspector	180	UN	Non-exempt
Contracts Administrator I	177	PR	Exempt
Contracts Administrator II	180	PR	Exempt
Contracts and Procurement Supervisor	184	SU	Exempt
Control Systems Analyst I	180	GU	Non-exempt
Control Systems Analyst II	182	GU	Non-exempt
Deputy General Manager	198	EX	Exempt
Deputy Manager of Capital Improvement Projects	188	UN	Exempt
Deputy Manager of Construction Management	188	UN	Exempt
Deputy Manager of Engineering	189	UN	Exempt
Deputy Manager of Grants	188	UN	Exempt
Deputy Manager of Human Resources	188	UN	Exempt
Deputy Manager of Integrated Systems Services	188	UN	Exempt
Deputy Manager of Maintenance	188	UN	Exempt
Deputy Manager of Operations	188	UN	Exempt
Deputy Manager of Safety	188	UN	Exempt

**Inland Empire Utilities Agency**  
**Salary Matrix**  
**Effective January 1, 2021**

**EXHIBIT 1**

<b>CLASSIFICATION TITLE</b>	<b>Salary Range</b>	<b>Unit</b>	<b>FLSA</b>
Deputy Manager of Strategic Planning & Resources	189	UN	Exempt
Electrical & Instrumentation Technician I	176	GU	Non-exempt
Electrical & Instrumentation Technician II	179	GU	Non-exempt
Electrical & Instrumentation Technician III	181	GU	Non-exempt
Electrical & Instrumentation Technician IV	182	GU	Non-exempt
Employee and Labor Relations Advocate	186	UN	Exempt
Engineering Services Analyst	182	PR	Exempt
Engineering Services Specialist	179	UN	Exempt
Engineering Services Supervisor	184	SU	Exempt
Engineering Technician	175	GU	Non-exempt
Environmental Resources Planner I	179	UN	Exempt
Environmental Resources Planner II	182	UN	Exempt
Executive Assistant	178	UN	Exempt
Executive Manager of External & Government Affairs/AGM	196	EX	Exempt
Executive Manager of Finance & Administration/Assistant General Manager	196	EX	Exempt
Executive Manager of Operations/Assistant General Manager	196	EX	Exempt
External Affairs Analyst	180	UN	Exempt
External Affairs Specialist I	171	UN	Exempt
External Affairs Specialist I (Y-Rated) <sup>2</sup>	202	UN	Exempt
External Affairs Specialist II	177	UN	Exempt
Facilities Program Coordinator	181	PR	Exempt
Facilities Program Supervisor	184	SU	Exempt
Facilities Specialist	177	PR	Exempt
Facilities Specialist - Landscape	177	UN	Exempt
Financial Analyst I	179	PR	Exempt
Financial Analyst II	181	PR	Exempt
General Manager	205	EX	Exempt
GIS Specialist	178	UN	Exempt
Grants Administrator	179	PR	Exempt
Groundwater Recharge Supervisor	187	SU	Exempt
Human Resources Analyst I	177	UN	Exempt
Human Resources Analyst II	179	UN	Exempt
Human Resources Officer	183	UN	Exempt
Human Resources Technician	171	UN	Non-exempt
HVAC Technician	177	GU	Non-exempt
Industrial Engine Technician I	177	GU	Non-exempt
Industrial Engine Technician II	181	GU	Non-exempt
Information Systems Analyst I	179	PR	Exempt
Information Systems Analyst II	181	PR	Exempt
Intern	001	OTR	Non-exempt
Internal Auditor	179	PR	Exempt
Inventory Resources Coordinator	181	PR	Exempt
Laboratory Assistant	172	LB	Non-exempt
Laboratory Scientist I	177	LB	Non-exempt

**Inland Empire Utilities Agency**  
**Salary Matrix**  
**Effective January 1, 2021**

**EXHIBIT 1**

<b>CLASSIFICATION TITLE</b>	<b>Salary Range</b>	<b>Unit</b>	<b>FLSA</b>
Laboratory Scientist II	179	LB	Non-exempt
Laboratory Supervisor	184	SU	Exempt
Maintenance Planner	181	GU	Non-exempt
Maintenance Supervisor	185	SU	Exempt
Manager of Asset Management	190	UN	Exempt
Manager of Business Information Services	190	UN	Exempt
Manager of Contracts & Procurement	190	UN	Exempt
Manager of Engineering	192	UN	Exempt
Manager of External Affairs	190	UN	Exempt
Manager of Finance & Accounting	190	UN	Exempt
Manager of Grants	190	UN	Exempt
Manager of Human Resources	192	UN	Exempt
Manager of Information Technology	192	UN	Exempt
Manager of Inter-Agency Relations	190	UN	Exempt
Manager of Internal Audit	190	UN	Exempt
Manager of Laboratories	190	UN	Exempt
Manager of Operations & Maintenance	192	UN	Exempt
Manager of Regional Composting Authority	190	UN	Exempt
Manager of Strategic Planning & Resources	192	UN	Exempt
Manager of Technical Services	190	UN	Exempt
Materials Specialist	182	PR	Exempt
Mechanic I	172	GU	Non-exempt
Mechanic II	176	GU	Non-exempt
Mechanic III	179	GU	Non-exempt
Mechanic IV	181	GU	Non-exempt
Network Administrator	183	PR	Exempt
Office Assistant	166	GU	Non-exempt
Office Assistant (confidential)	166	UN	Non-exempt
Operations Specialist	179	UN	Exempt
Operations Supervisor	184	SU	Non-exempt
Pretreatment & Source Control Inspector I	174	GU	Non-exempt
Pretreatment & Source Control Inspector II	177	GU	Non-exempt
Principal Accountant	186	UN	Exempt
Principal Engineer	188	UN	Exempt
Process Automation & Controls Supervisor	185	SU	Exempt
Procurement Specialist I	174	PR	Non-exempt
Procurement Specialist II <sup>3</sup>	175	PR	Non-exempt
Project Manager I	184	PR	Exempt
Project Manager II	185	PR	Exempt
Records Management Supervisor	184	SU	Exempt
Records Specialist	173	GU	Non-exempt
Recycled Water Distribution Operator	179	OP	Non-exempt
Risk Specialist	174	PR	Non-exempt

**Inland Empire Utilities Agency**  
**Salary Matrix**  
**Effective January 1, 2021**

**EXHIBIT 1**

<b>CLASSIFICATION TITLE</b>	<b>Salary Range</b>	<b>Unit</b>	<b>FLSA</b>
RW/Groundwater Recharge Operations & Maintenance Specialist	179	UN	Exempt
RW/Groundwater Recharge Maintenance Technician	176	GU	Non-exempt
Safety Analyst	178	PR	Exempt
Safety Officer	184	SU	Exempt
Senior Accountant	179	PR	Exempt
Senior Associate Engineer	184	UN	Exempt
Senior Associate Engineer - PE	185	UN	Exempt
Senior Compost Operations & Maintenance Technician	181	GU	Non-exempt
Senior Construction Project Inspector	182	UN	Exempt
Senior Engineer	187	PR	Exempt
Senior Environmental Resources Planner	184	UN	Exempt
Senior External Affairs Specialist	180	UN	Exempt
Senior Financial Analyst	183	PR	Exempt
Senior Information Systems Analyst	182	PR	Exempt
Senior Internal Auditor	182	PR	Exempt
Senior Inventory Analyst	182	PR	Exempt
Senior Management Analyst	182	PR	Exempt
Senior Operations Specialist	184	UN	Exempt
Senior Pretreatment & Source Control Inspector	180	GU	Non-exempt
Senior Project Manager	187	PR	Exempt
Senior Wastewater Treatment Plant Operator	181	OP	Non-exempt
Senior Water Plant Operator	181	OP	Non-exempt
Senior Water Resources Analyst	184	PR	Exempt
Source Control/Environmental Resources Supervisor	187	SU	Exempt
Supervisor - Environmental Compliance & Energy	188	SU	Exempt
Systems Administrator	181	PR	Exempt
Technology Specialist I	178	GU	Non-exempt
Technology Specialist II	180	GU	Non-exempt
Technology Specialist III	182	GU	Non-exempt
Warehouse Supervisor	184	SU	Exempt
Warehouse Technician	171	GU	Non-exempt
Wastewater Treatment Plant Operator I	174	OP	Non-exempt
Wastewater Treatment Plant Operator II	176	OP	Non-exempt
Wastewater Treatment Plant Operator III	178	OP	Non-exempt
Wastewater Treatment Plant Operator IV, V	180	OP	Non-exempt
Wastewater Treatment Plant Operator-in-Training	171	OP	Non-exempt
Water Plant Operator I	174	OP	Non-exempt
Water Plant Operator II	176	OP	Non-exempt
Water Plant Operator III	178	OP	Non-exempt
Water Plant Operator IV, V	180	OP	Non-exempt

**Notes:**

1. Incumbent Biologist in this position prior to 7/1/01 shall be non-exempt.

**Inland Empire Utilities Agency**  
**Salary Matrix**  
**Effective January 1, 2021**

**EXHIBIT 1**

<b>CLASSIFICATION TITLE</b>	<b>Salary Range</b>	<b>Unit</b>	<b>FLSA</b>
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2. The Y-Rated salary for this position is reflective of the incumbent in the position effective 11/1/14 formerly classified as CIP Coordinator.
3. Incumbent Procurement Specialist I reclassified to Procurement Specialist II on 7/1/18 shall be exempt.

**Inland Empire Utilities Agency**  
**Salary Matrix**  
**Effective January 1, 2021**

**EXHIBIT 1**

<b>Range/Step</b>	<b>Hourly</b>	<b>Bi-Weekly</b>	<b>Monthly</b>	<b>Annually</b>
<b>165</b>				
1	20.5808	1,646.47	3,567.35	42,808
2	21.0953	1,687.62	3,656.52	43,878
3	21.6227	1,729.81	3,747.93	44,975
4	22.1633	1,773.06	3,841.64	46,100
5	22.7173	1,817.38	3,937.66	47,252
6	23.2853	1,862.82	4,036.12	48,433
7	23.8674	1,909.39	4,137.01	49,644
8	24.4641	1,957.13	4,240.44	50,885
9	25.0757	2,006.06	4,346.46	52,157
<b>166</b>				
1	21.6084	1,728.67	3,745.46	44,946
2	22.1486	1,771.89	3,839.09	46,069
3	22.7023	1,816.19	3,935.07	47,221
4	23.2699	1,861.59	4,033.44	48,401
5	23.8516	1,908.13	4,134.28	49,611
6	24.4479	1,955.83	4,237.64	50,852
7	25.0591	2,004.73	4,343.57	52,123
8	25.6855	2,054.84	4,452.16	53,426
9	26.3277	2,106.21	4,563.46	54,762
<b>167</b>				
1	22.6884	1,815.07	3,932.65	47,192
2	23.2555	1,860.44	4,030.95	48,371
3	23.8369	1,906.95	4,131.73	49,581
4	24.4328	1,954.63	4,235.03	50,820
5	25.0436	2,003.49	4,340.90	52,091
6	25.6697	2,053.58	4,449.42	53,393
7	26.3115	2,104.92	4,560.66	54,728
8	26.9693	2,157.55	4,674.69	56,096
9	27.6436	2,211.48	4,791.55	57,499
<b>168</b>				
1	23.8206	1,905.65	4,128.90	49,547
2	24.4161	1,953.29	4,232.12	50,785
3	25.0265	2,002.12	4,337.93	52,055
4	25.6521	2,052.17	4,446.37	53,356
5	26.2934	2,103.48	4,557.53	54,690
6	26.9509	2,156.07	4,671.49	56,058
7	27.6246	2,209.97	4,788.27	57,459
8	28.3152	2,265.22	4,907.97	58,896
9	29.0231	2,321.85	5,030.67	60,368
<b>169</b>				
1	25.0100	2,000.80	4,335.07	52,021

**Inland Empire Utilities Agency**  
**Salary Matrix**  
**Effective January 1, 2021**

**EXHIBIT 1**

<b>Range/Step</b>	<b>Hourly</b>	<b>Bi-Weekly</b>	<b>Monthly</b>	<b>Annually</b>
2	25.6353	2,050.82	4,443.45	53,321
3	26.2761	2,102.09	4,554.52	54,654
4	26.9331	2,154.64	4,668.40	56,021
5	27.6063	2,208.50	4,785.09	57,421
6	28.2965	2,263.72	4,904.73	58,857
7	29.0039	2,320.31	5,027.35	60,328
8	29.7290	2,378.32	5,153.03	61,836
9	30.4722	2,437.77	5,281.85	63,382
<b>170</b>				
1	26.2612	2,100.90	4,551.95	54,623
2	26.9177	2,153.42	4,665.74	55,989
3	27.5906	2,207.25	4,782.37	57,388
4	28.2804	2,262.43	4,901.93	58,823
5	28.9874	2,318.99	5,024.48	60,294
6	29.7121	2,376.97	5,150.10	61,801
7	30.4549	2,436.40	5,278.86	63,346
8	31.2163	2,497.31	5,410.83	64,930
9	31.9968	2,559.74	5,546.10	66,553
<b>171</b>				
1	27.5742	2,205.94	4,779.53	57,354
2	28.2636	2,261.09	4,899.03	58,788
3	28.9702	2,317.61	5,021.49	60,258
4	29.6944	2,375.55	5,147.03	61,764
5	30.4369	2,434.95	5,275.72	63,309
6	31.1978	2,495.82	5,407.61	64,891
7	31.9777	2,558.22	5,542.80	66,514
8	32.7771	2,622.17	5,681.36	68,176
9	33.5966	2,687.73	5,823.40	69,881
<b>172</b>				
1	28.9539	2,316.31	5,018.67	60,224
2	29.6778	2,374.22	5,144.15	61,730
3	30.4197	2,433.58	5,272.75	63,273
4	31.1802	2,494.41	5,404.56	64,855
5	31.9597	2,556.78	5,539.69	66,476
6	32.7587	2,620.70	5,678.18	68,138
7	33.5776	2,686.21	5,820.12	69,841
8	34.4171	2,753.37	5,965.64	71,588
9	35.2775	2,822.20	6,114.77	73,377
<b>173</b>				
1	30.4002	2,432.02	5,269.37	63,232
2	31.1601	2,492.81	5,401.09	64,813
3	31.9392	2,555.14	5,536.14	66,434



**Inland Empire Utilities Agency**

**EXHIBIT 1**

**Salary Matrix**

**Effective January 1, 2021**

<b>Range/Step</b>	<b>Hourly</b>	<b>Bi-Weekly</b>	<b>Monthly</b>	<b>Annually</b>
4	32.7376	2,619.01	5,674.52	68,094
5	33.5561	2,684.48	5,816.38	69,797
6	34.3950	2,751.60	5,961.79	71,542
7	35.2548	2,820.39	6,110.84	73,330
8	36.1363	2,890.91	6,263.63	75,164
9	37.0397	2,963.18	6,420.22	77,043
<b>174</b>				
1	31.9178	2,553.42	5,532.42	66,389
2	32.7157	2,617.26	5,670.72	68,049
3	33.5336	2,682.69	5,812.50	69,750
4	34.3721	2,749.76	5,957.82	71,494
5	35.2313	2,818.51	6,106.77	73,281
6	36.1121	2,888.97	6,259.43	75,113
7	37.0149	2,961.19	6,415.91	76,991
8	37.9402	3,035.22	6,576.31	78,916
9	38.8887	3,111.10	6,740.72	80,889
<b>175</b>				
1	33.5116	2,680.93	5,808.67	69,704
2	34.3494	2,747.95	5,953.89	71,447
3	35.2081	2,816.65	6,102.73	73,233
4	36.0883	2,887.07	6,255.31	75,064
5	36.9905	2,959.24	6,411.69	76,940
6	37.9153	3,033.22	6,571.98	78,864
7	38.8632	3,109.05	6,736.29	80,835
8	39.8347	3,186.78	6,904.68	82,856
9	40.8306	3,266.45	7,077.30	84,928
<b>176</b>				
1	35.1862	2,814.90	6,098.95	73,187
2	36.0659	2,885.27	6,251.42	75,017
3	36.9675	2,957.40	6,407.70	76,892
4	37.8917	3,031.33	6,567.89	78,815
5	38.8390	3,107.12	6,732.09	80,785
6	39.8100	3,184.80	6,900.40	82,805
7	40.8052	3,264.41	7,072.90	84,875
8	41.8253	3,346.02	7,249.72	86,997
9	42.8710	3,429.68	7,430.97	89,172
<b>177</b>				
1	36.9464	2,955.71	6,404.04	76,849
2	37.8701	3,029.61	6,564.15	78,770
3	38.8169	3,105.35	6,728.26	80,739
4	39.7873	3,182.99	6,896.47	82,758
5	40.7820	3,262.56	7,068.88	84,827

**Inland Empire Utilities Agency**  
**Salary Matrix**  
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**EXHIBIT 1**

<b>Range/Step</b>	<b>Hourly</b>	<b>Bi-Weekly</b>	<b>Monthly</b>	<b>Annually</b>
6	41.8015	3,344.12	7,245.60	86,947
7	42.8466	3,427.73	7,426.75	89,121
8	43.9177	3,513.42	7,612.41	91,349
9	45.0156	3,601.25	7,802.71	93,632
<b>178</b>				
1	38.7923	3,103.38	6,724.00	80,688
2	39.7621	3,180.97	6,892.10	82,705
3	40.7562	3,260.50	7,064.41	84,773
4	41.7751	3,342.01	7,241.02	86,892
5	42.8195	3,425.56	7,422.04	89,065
6	43.8900	3,511.20	7,607.60	91,291
7	44.9873	3,598.98	7,797.80	93,574
8	46.1119	3,688.95	7,992.74	95,913
9	47.2647	3,781.17	8,192.54	98,311
<b>179</b>				
1	40.7334	3,258.67	7,060.46	84,726
2	41.7517	3,340.14	7,236.97	86,844
3	42.7955	3,423.64	7,417.88	89,015
4	43.8654	3,509.23	7,603.34	91,240
5	44.9621	3,596.97	7,793.43	93,521
6	46.0861	3,686.89	7,988.26	95,859
7	47.2383	3,779.06	8,187.97	98,256
8	48.4192	3,873.54	8,392.67	100,712
9	49.6297	3,970.38	8,602.49	103,230
<b>180</b>				
1	42.7696	3,421.57	7,413.39	88,961
2	43.8389	3,507.11	7,598.74	91,185
3	44.9348	3,594.79	7,788.70	93,464
4	46.0582	3,684.65	7,983.41	95,801
5	47.2096	3,776.77	8,182.99	98,196
6	48.3898	3,871.19	8,387.57	100,651
7	49.5996	3,967.97	8,597.26	103,167
8	50.8396	4,067.17	8,812.20	105,746
9	52.1106	4,168.85	9,032.51	108,390
<b>181</b>				
1	44.9057	3,592.45	7,783.65	93,404
2	46.0284	3,682.27	7,978.25	95,739
3	47.1791	3,774.33	8,177.71	98,132
4	48.3585	3,868.68	8,382.13	100,586
5	49.5675	3,965.40	8,591.70	103,100
6	50.8067	4,064.54	8,806.50	105,678
7	52.0769	4,166.15	9,026.66	108,320

**Inland Empire Utilities Agency**  
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**EXHIBIT 1**

<b>Range/Step</b>	<b>Hourly</b>	<b>Bi-Weekly</b>	<b>Monthly</b>	<b>Annually</b>
8	53.3788	4,270.30	9,252.32	111,028
9	54.7132	4,377.06	9,483.62	113,803
<b>182</b>				
1	47.1512	3,772.10	8,172.88	98,075
2	48.3300	3,866.40	8,377.20	100,526
3	49.5382	3,963.06	8,586.62	103,039
4	50.7767	4,062.13	8,801.29	105,616
5	52.0461	4,163.69	9,021.33	108,256
6	53.3473	4,267.78	9,246.87	110,962
7	54.6809	4,374.47	9,478.02	113,736
8	56.0480	4,483.84	9,714.99	116,580
9	57.4491	4,595.93	9,957.85	119,494
<b>183</b>				
1	49.5108	3,960.87	8,581.88	102,983
2	50.7487	4,059.90	8,796.44	105,557
3	52.0174	4,161.40	9,016.36	108,196
4	53.3179	4,265.43	9,241.77	110,901
5	54.6508	4,372.06	9,472.80	113,674
6	56.0170	4,481.36	9,709.62	116,515
7	57.4175	4,593.40	9,952.37	119,428
8	58.8529	4,708.23	10,201.17	122,414
9	60.3243	4,825.94	10,456.21	125,475
<b>184</b>				
1	51.9848	4,158.78	9,010.69	108,128
2	53.2844	4,262.75	9,235.96	110,831
3	54.6165	4,369.32	9,466.86	113,602
4	55.9819	4,478.55	9,703.54	116,442
5	57.3815	4,590.52	9,946.12	119,353
6	58.8160	4,705.28	10,194.77	122,337
7	60.2864	4,822.91	10,449.65	125,396
8	61.7935	4,943.48	10,710.88	128,531
9	63.3385	5,067.08	10,978.67	131,744
<b>185</b>				
1	54.5824	4,366.59	9,460.95	113,531
2	55.9470	4,475.76	9,697.48	116,370
3	57.3456	4,587.64	9,939.90	119,279
4	58.7792	4,702.34	10,188.40	122,261
5	60.2487	4,819.90	10,443.11	125,317
6	61.7549	4,940.40	10,704.19	128,450
7	63.2988	5,063.90	10,971.79	131,662
8	64.8813	5,190.50	11,246.08	134,953
9	66.5034	5,320.27	11,527.25	138,327

**Inland Empire Utilities Agency**  
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**EXHIBIT 1**

<b>Range/Step</b>	<b>Hourly</b>	<b>Bi-Weekly</b>	<b>Monthly</b>	<b>Annually</b>
<b>186</b>				
1	57.3131	4,585.05	9,934.27	119,211
2	58.7459	4,699.68	10,182.63	122,192
3	60.2146	4,817.17	10,437.19	125,246
4	61.7200	4,937.60	10,698.13	128,378
5	63.2630	5,061.04	10,965.59	131,587
6	64.8446	5,187.57	11,239.73	134,877
7	66.4656	5,317.25	11,520.71	138,249
8	68.1273	5,450.18	11,808.73	141,705
9	69.8305	5,586.44	12,103.96	145,248
<b>187</b>				
1	60.1772	4,814.18	10,430.71	125,169
2	61.6815	4,934.52	10,691.46	128,298
3	63.2236	5,057.89	10,958.75	131,505
4	64.8042	5,184.34	11,232.73	134,793
5	66.4243	5,313.94	11,513.54	138,162
6	68.0850	5,446.80	11,801.39	141,617
7	69.7870	5,582.96	12,096.42	145,157
8	71.5317	5,722.54	12,398.84	148,786
9	73.3200	5,865.60	12,708.80	152,506
<b>188</b>				
1	63.1839	5,054.71	10,951.88	131,423
2	64.7635	5,181.08	11,225.67	134,708
3	66.3826	5,310.60	11,506.31	138,076
4	68.0420	5,443.36	11,793.95	141,527
5	69.7431	5,579.45	12,088.81	145,066
6	71.4868	5,718.94	12,391.04	148,692
7	73.2739	5,861.92	12,700.82	152,410
8	75.1057	6,008.46	13,018.33	156,220
9	76.9834	6,158.68	13,343.80	160,126
<b>189</b>				
1	66.3428	5,307.42	11,499.41	137,993
2	68.0014	5,440.11	11,786.91	141,443
3	69.7014	5,576.11	12,081.58	144,979
4	71.4440	5,715.52	12,383.62	148,603
5	73.2301	5,858.40	12,693.21	152,319
6	75.0608	6,004.86	13,010.53	156,126
7	76.9373	6,154.98	13,335.79	160,030
8	78.8608	6,308.86	13,669.20	164,030
9	80.8323	6,466.58	14,010.93	168,131
<b>190</b>				
1	69.6587	5,572.70	12,074.18	144,890

**Inland Empire Utilities Agency**

**EXHIBIT 1**

**Salary Matrix**

**Effective January 1, 2021**

<b>Range/Step</b>	<b>Hourly</b>	<b>Bi-Weekly</b>	<b>Monthly</b>	<b>Annually</b>
2	71.4002	5,712.02	12,376.04	148,512
3	73.1852	5,854.82	12,685.44	152,225
4	75.0148	6,001.19	13,002.57	156,031
5	76.8902	6,151.22	13,327.64	159,932
6	78.8124	6,305.00	13,660.82	163,930
7	80.7828	6,462.62	14,002.34	168,028
8	82.8024	6,624.19	14,352.41	172,229
9	84.8724	6,789.79	14,711.22	176,535
<b>191</b>				
1	73.1412	5,851.30	12,677.81	152,134
2	74.9697	5,997.58	12,994.75	155,937
3	76.8439	6,147.52	13,319.62	159,835
4	78.7651	6,301.20	13,652.61	163,831
5	80.7342	6,458.73	13,993.92	167,927
6	82.7526	6,620.21	14,343.78	172,125
7	84.8214	6,785.71	14,702.38	176,429
8	86.9419	6,955.35	15,069.94	180,839
9	89.1155	7,129.24	15,446.69	185,360
<b>192</b>				
1	76.7997	6,143.98	13,311.95	159,743
2	78.7197	6,297.58	13,644.75	163,737
3	80.6877	6,455.02	13,985.88	167,831
4	82.7048	6,616.39	14,335.51	172,026
5	84.7726	6,781.81	14,693.91	176,327
6	86.8918	6,951.34	15,061.24	180,735
7	89.0642	7,125.13	15,437.79	185,253
8	91.2907	7,303.25	15,823.72	189,885
9	93.5730	7,485.84	16,219.32	194,632
<b>193</b>				
1	80.6390	6,451.12	13,977.43	167,729
2	82.6549	6,612.39	14,326.85	171,922
3	84.7213	6,777.71	14,685.03	176,220
4	86.8393	6,947.15	15,052.15	180,626
5	89.0104	7,120.83	15,428.47	185,142
6	91.2356	7,298.85	15,814.17	189,770
7	93.5165	7,481.32	16,209.52	194,514
8	95.8544	7,668.35	16,614.76	199,377
9	98.2508	7,860.06	17,030.14	204,362
<b>194</b>				
1	84.6733	6,773.87	14,676.71	176,121
2	86.7901	6,943.21	15,043.62	180,523
3	88.9599	7,116.79	15,419.71	185,037

**Inland Empire Utilities Agency**  
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**EXHIBIT 1**

<b>Range/Step</b>	<b>Hourly</b>	<b>Bi-Weekly</b>	<b>Monthly</b>	<b>Annually</b>
4	91.1839	7,294.71	15,805.20	189,662
5	93.4635	7,477.08	16,200.35	194,404
6	95.8001	7,664.01	16,605.36	199,264
7	98.1951	7,855.61	17,020.48	204,246
8	100.6500	8,052.00	17,445.99	209,352
9	103.1662	8,253.30	17,882.14	214,586
<b>195</b>				
1	88.9074	7,112.59	15,410.62	184,927
2	91.1301	7,290.41	15,795.88	189,551
3	93.4084	7,472.68	16,190.80	194,290
4	95.7436	7,659.49	16,595.56	199,147
5	98.1372	7,850.98	17,010.45	204,125
6	100.5906	8,047.25	17,435.71	209,229
7	103.1054	8,248.43	17,871.61	214,459
8	105.6830	8,454.64	18,318.39	219,821
9	108.3252	8,666.01	18,776.36	225,316
<b>196</b>				
1	93.3509	7,468.07	16,180.83	194,170
2	95.6848	7,654.78	16,585.36	199,024
3	98.0768	7,846.14	16,999.98	204,000
4	100.5288	8,042.30	17,424.99	209,100
5	103.0419	8,243.35	17,860.59	214,327
6	105.6181	8,449.44	18,307.13	219,686
7	108.2585	8,660.68	18,764.80	225,178
8	110.9649	8,877.19	19,233.91	230,807
9	113.7390	9,099.12	19,714.76	236,577
<b>197</b>				
1	98.0180	7,841.44	16,989.78	203,877
2	100.4684	8,037.47	17,414.52	208,974
3	102.9802	8,238.41	17,849.89	214,199
4	105.5546	8,444.37	18,296.14	219,554
5	108.1935	8,655.48	18,753.54	225,042
6	110.8984	8,871.87	19,222.39	230,669
7	113.6709	9,093.67	19,702.95	236,435
8	116.5126	9,321.01	20,195.52	242,346
9	119.4254	9,554.03	20,700.41	248,405
<b>198</b>				
1	102.9182	8,233.46	17,839.15	214,070
2	105.4911	8,439.29	18,285.12	219,421
3	108.1284	8,650.27	18,742.25	224,907
4	110.8316	8,866.53	19,210.82	230,530
5	113.6024	9,088.19	19,691.08	236,293

**Inland Empire Utilities Agency**  
**Salary Matrix**  
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**EXHIBIT 1**

<b>Range/Step</b>	<b>Hourly</b>	<b>Bi-Weekly</b>	<b>Monthly</b>	<b>Annually</b>
6	116.4425	9,315.40	20,183.36	242,200
7	119.3536	9,548.29	20,687.95	248,255
8	122.3374	9,786.99	21,205.14	254,462
9	125.3958	10,031.66	21,735.27	260,823
<b>199</b>				
1	110.6396	8,851.17	19,177.52	230,130
2	113.4055	9,072.44	19,656.95	235,883
3	116.2406	9,299.25	20,148.38	241,781
4	119.1467	9,531.73	20,652.09	247,825
5	122.1254	9,770.03	21,168.40	254,021
6	125.1785	10,014.28	21,697.61	260,371
7	128.3080	10,264.64	22,240.05	266,881
8	131.5157	10,521.25	22,796.05	273,553
9	134.8035	10,784.28	23,365.95	280,391
<b>200</b>				
1	118.9365	9,514.92	20,615.66	247,388
2	121.9100	9,752.80	21,131.06	253,573
3	124.9578	9,996.62	21,659.35	259,912
4	128.0817	10,246.53	22,200.82	266,410
5	131.2837	10,502.70	22,755.84	273,070
6	134.5658	10,765.26	23,324.74	279,897
7	137.9300	11,034.40	23,907.86	286,894
8	141.3782	11,310.26	24,505.55	294,067
9	144.9126	11,593.01	25,118.19	301,418
<b>202</b>				
1	42.9806	3,438.45	7,449.97	89,400
<b>205</b>				
1	145.3637	11,629.10	25,196.38	302,357
<b>001</b>				
1	14.0000			
2	15.0000			
3	16.0000			
4	17.0000			
5	18.0000			
6	19.0000			

**ACTION  
ITEM**

**3A**



**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**From:** Jean Cihigoyenette, General Counsel

**Committee:**

**Executive Contact:** Shivaji Deshmukh, General Manager *ADD*

**Subject:** Election of Officers

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**Executive Summary:**

In accordance with the Water Code Section 71273, Election of Officers, Resolution No. 2019-11-8, and the Agency's Administrative Handbook:

- (a) The Board of Directors shall select officers as described in Resolution No. 2019-11-8. Such officers shall be selected by a majority vote of the members of the Board of Directors present.
- (b) The offices are President, Vice President and Secretary/Treasurer.
- (c) The nominees must be members of the Board of Directors.

The current officers are as follows: President-Kati Parker, Vice President-Jasmin A. Hall, and Secretary/Treasurer-Steven J. Elie.

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**Staff's Recommendation:**

Elect, by majority vote, a President, Vice President, and Secretary/Treasurer of the Inland Empire Utilities Agency Board of Directors for one-year terms beginning January 1, 2021.

---

**Budget Impact** *Budgeted* (Y/N): N *Amendment* (Y/N): N *Amount for Requested Approval:*

*Account/Project Name:*

*Fiscal Impact (explain if not budgeted):*

**Prior Board Action:**

On December 18, 2019, the Board elected Director Parker as President, Director Hall as Vice President, and Director Elie as Secretary/Treasurer.

---

**Environmental Determination:**

Not Applicable

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**Business Goal:**

The election of officers is in line with the Agency's commitment to applying ethical, fiscally responsible, transparent and environmentally sustainable principles to all aspects of business and organizational conduct.

---

**Attachments:**

**ACTION  
ITEM**

**3B**



**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**From:** Shivaji Deshmukh, General Manager

**Committee:**

**Executive Contact:** Shivaji Deshmukh, General Manager

**Subject:** Appointment of a Director to the Metropolitan Water District of Southern California

---

**Executive Summary:**

Inland Empire Utilities Agency (IEUA) has one representative (Director) that serves on the Metropolitan Water District of Southern California Board of Directors. Appointment to the MWD Board requires a majority vote. The current MWD Director is Director Michael Camacho.

---

**Staff's Recommendation:**

Review and consider its appointment of a Director to serve on the Metropolitan Water District of Southern California Board by majority vote.

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**Budget Impact** *Budgeted* (Y/N): N *Amendment* (Y/N): N *Amount for Requested Approval:*

*Account/Project Name:*

*Fiscal Impact (explain if not budgeted):*

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**Prior Board Action:**

On December 18, 2019, the Board approved the nomination of Director Michael Camacho as the IEUA Representative for the Metropolitan Water District of Southern California Board of Directors.

---

**Environmental Determination:**

Not Applicable

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**Business Goal:**

The appointment of a Director to the Metropolitan Water District of Southern California Board of Directors is in line with the Agency's commitment to applying ethical, fiscally responsible, transparent and environmentally sustainable principles to all aspects of business and organizational conduct.

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**Attachments:**

**ACTION  
ITEM**

**3C**

**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**From:** Jean Cihigoyenette, General Counsel

**Committee:**

**Executive Contact:** Shivaji Deshmukh, General Manager

*ADD*

**Subject:** Adoption of Resolution No. 2020-12-3, Appointing Agency Representative and Alternate Representative to the SAWPA Commission

---

**Executive Summary:**

The Santa Ana Watershed Project Authority (SAWPA) JPA requires the appointment of member agencies commissioners be done by resolution of the member agency's governing body. Resolution No. 2020-12-3, formalizes the requirements of the SAWPA Joint Powers Agreement. This appointment is determined by the Board President with the concurring vote of a majority of the Board. The current SAWPA Commissioner is Director Kati Parker, with Director Michael Camacho as Alternate Commissioner.

---

**Staff's Recommendation:**

Adopt, by majority vote, Resolution No. 2020-12-3, appointing/re-appointing the Agency representative and alternate representative to the Santa Ana Watershed Project Authority (SAWPA) Commission.

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**Budget Impact** *Budgeted* (Y/N): N *Amendment* (Y/N): N *Amount for Requested Approval:*

*Account/Project Name:*

*Fiscal Impact (explain if not budgeted):*

**Prior Board Action:**

On December 18, 2019, the Board adopted Resolution No. 2019-12-6, appointing Director Kati Parker to serve as the Agency's Commissioner on the Commission for the Santa Ana Watershed Project Authority, with Director Michael Camacho as Alternate Commissioner to the SAWPA Commission.

---

**Environmental Determination:**

Not Applicable

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**Business Goal:**

The adoption of a Resolution, appointing a Director on the Commission for the Santa Ana Watershed Project Authority is in line with the Agency's commitment to applying ethical, fiscally responsible, transparent and environmentally sustainable principles to all aspects of business and organizational conduct

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**Attachments:**

Attachment 1 - Resolution No. 2020-12-3



## **RESOLUTION 2020-12-3**

### **RESOLUTION OF THE BOARD OF DIRECTORS OF THE INLAND EMPIRE UTILITIES AGENCY\*, SAN BERNARDINO COUNTY CALIFORNIA, APPOINTING COMMISSIONERS TO THE SANTA ANA WATERSHED PROJECT AUTHORITY COMMISSION**

**WHEREAS**, the Inland Empire Utilities Agency is a member agency of the Santa Ana Watershed Project Authority; and

**WHEREAS**, the Joint Powers Agreement creating the Santa Ana Watershed Project Authority, as amended, requires that each member agency designate three members of its governing body, or two members of its governing body and its General Manager, to act as its Commissioners of the Commission for the Santa Ana Watershed Project Authority.

**NOW, THEREFORE**, that the Board of Directors of the Inland Empire Utilities Agency a Municipal Water District does hereby RESOLVED, DETERMINE, AND ORDER as follows:

**Section 1.** That Director \_\_\_\_\_ be designated and appointed/re-appointed to act as the Agency's Commissioner on the Commission for the Santa Ana Watershed Project Authority, with Director \_\_\_\_\_ as Alternate Commissioner to the SAWPA Commission.

**Section 2.** Upon the adoption of Resolution No. 2020-12-3, Resolution No. 2019-12-6 is repealed in its entirety.

**Section 3.** That the Board Secretary/Office Manager is hereby authorized and directed to transmit a certified copy of this resolution to the Santa Ana Watershed Project Authority.

ADOPTED this 16<sup>th</sup> day of December, 2020

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Jasmin A. Hall  
Vice President of the Inland Empire Utilities  
Agency\* and of the Board of Directors thereof

ATTEST:

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Steven J. Elie  
Secretary/Treasurer of the Inland Empire  
Utilities Agency\* and the Board of Directors  
thereof

STATE OF CALIFORNIA )  
 )  
COUNTY OF SAN BERNARDINO )

I, Steven J. Elie, Secretary/Treasurer of the Inland Empire Utilities Agency\*, DO  
HEREBY CERTIFY that the foregoing Resolution being No. 2020-12-3, was adopted at  
a regular meeting on December 16, 2020, of said Agency by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

Steven J. Elie  
Secretary /Treasurer of the Inland Empire  
Utilities Agency\* and the Board of Directors  
thereof

(SEAL)

\* A Municipal Water District

**ACTION  
ITEM**

**3D**

**Date:** December 16, 2020

**To:** The Honorable Board of Directors

*SD*  
**From:** Shivaji Deshmukh, General Manager

**Committee:**

**Executive Contact:** Shivaji Deshmukh, General Manager

**Subject:** Appointment of a Representative and Alternate Representative for SAWPA Project Agreement 23 and Project Agreement 24

---

**Executive Summary:**

Pursuant to the Santa Ana Watershed Project Authority's (SAWPA) Joint Powers Agreement, a Project Agreement member agency governing board is required to appoint a member of their governing Board of Directors or the General Manager as a representative and/or alternate to the Project Agreement (PA) Committees.

The Board will be asked to appoint a representative and alternate representative for PA 23 and PA 24. The appointment of an alternate representative for PA 23 and PA 24 by the member agency governing board will ensure that there will be a representative in the event that the representative cannot attend a Project Agreement Committee meeting.

---

**Staff's Recommendation:**

Appoint a representative and alternate representative for the SAWPA PA 23, and for the SAWPA PA 24.

---

**Budget Impact** *Budgeted (Y/N): Y* *Amendment (Y/N): Y* *Amount for Requested Approval:*

*Account/Project Name:*

N/A

*Fiscal Impact (explain if not budgeted):*

N/A

**Prior Board Action:**

On December 18, 2019, the Board appointed Director Kati Parker as the representative and Director Michael Camacho as alternative representative to SAWPA PA 23 and PA 24.

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

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**Attachments:**

**ACTION  
ITEM**

**3E**

**Date:** December 16, 2020

**To:** The Honorable Board of Directors

*ADD*  
**From:** Shivaji Deshmukh, General Manager

**Committee:** Audit

12/07/20

Finance & Administration

12/09/20

**Executive Contact:** Christina Valencia, Executive Manager of Finance & Administration/AGM

**Subject:** IEUA Fiscal Year (FY) 2019/20 Single Audit and Audited Comprehensive Annual Financial Report

---

### Executive Summary:

The Single Audit Report for FY ended June 30, 2020, was prepared by the Agency's independent audit firm Lance, Soll & Lunghard, LLP (LSL), in compliance with the Single Audit Act and the Office of Management and Budget (OMB) Uniform Guidance. In the auditor's opinion, IEUA is in compliance with each major federal grant award program and continues to qualify as a "low-risk auditee."

The Comprehensive Annual Financial Report (CAFR) for fiscal year ended June 30, 2020, was prepared in conformity with generally accepted accounting principles (GAAP) as set forth by the Governmental Accounting Standards Board (GASB) and audited by LSL. Based on their audit and testwork results, LSL found the financial statements present fairly, in all material respects, the respective financial position of each major fund and the aggregate remaining fund information as of June 30, 2020, and the respective changes in financial position, and cash flows thereof in accordance with accounting principles generally accepted in the United States (U.S. GAAP). LSL issued an unmodified (clean) opinion.

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### Staff's Recommendation:

1. Approve the Single Audit Report for fiscal year ended June 30, 2020, in draft form;
2. Approve the Comprehensive Annual Financial Report for fiscal year ended June 30, 2020; and
3. Direct staff to distribute the report as appropriate, to the various federal, state, and local agencies, financial institutions, bond rating agencies, and other interested parties.

---

**Budget Impact** *Budgeted (Y/N):* N *Amendment (Y/N):* N *Amount for Requested Approval:*

*Account/Project Name:*

Not Applicable

*Fiscal Impact (explain if not budgeted):*

There is no impact on the Agency's FY 2020/21 Budget as a result of this item, since related audit service fees are budgeted in the Administrative Services Fund under Professional Services.



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**Prior Board Action:**

On December 18, 2019, the Board of Directors approved the Single Audit and the Comprehensive Annual Financial Reports for FY 2018/19 reviewed by the Audit Committee on December 09, 2019.

On March 16, 2016, the Board approved Contract No. 4600002079 with Lance, Soll & Lunghard, LLP for financial auditing and single audit services, for three fiscal years beginning in FY 2015/16, with the option to extend two additional fiscal years.

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

The IEUA FY 2019/20 Single Audit and the Comprehensive Annual Financial Reports are consistent with the Agency's Business Goal of Fiscal Responsibility in providing transparent communication of the fiscal year activity and the net position of the different programs of the Agency.

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**Attachments:**

Attachment 1 - Background

Exhibit A - Single Audit

Exhibit B - FY 2019/20 Audited Comprehensive Annual Financial Report

(in Substantive Completed Form) - Accessible at the link below:

<https://www.ieua.org/fy-2019-20-comprehensive-annual-financial-report-substantive-version/>

Attachment 2 - LSL Financial Audit Results Presentation

Attachment 3 - PowerPoint

## Background

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Subject: IEUA Fiscal Year (FY) 2019/20 Single Audit and Audited Comprehensive Annual Financial Report (CAFR)

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### **FY 2019/20 Single Audit**

The Single Audit Act and the Office of Management and Budget (OMB) Uniform Guidance require each non-federal entity that expends \$750,000 or more of federal grants to undergo an annual independent audit. The objectives of the Single Audit are to determine whether there are issues that could have a direct and material effect on internal control over compliance for each major federal grant award, and eligibility of federal grant award expenditures. In FY 2019/20, IEUA incurred \$19,506,378 in total federal costs, including \$1,382,272 from United States Bureau of Reclamation (USBR) programs and \$18,124,106 from Environmental Protection Agency (EPA) programs. The EPA costs incurred are comprised of \$4,349,989 from the Clean Water State Revolving Fund (CWSRF) loan program and \$13,774,117 from the Water Infrastructure Finance and Innovation Act (WIFIA) loan program.

The Agency's independent external audit firm, Lance, Soll & Lunghard, LLP (LSL), performed the annual single audit for the fiscal year ended June 30, 2020, to be processed by the Federal Clearing House by March 2021. The total federal cost figures are subject to change after processing by the Federal Clearing House, and if there are substantive changes, the final Single Audit report will be brought to the Board for final approval. The Single Audit Report for the FY 2019/20 expressed the following opinion over the Agency's expense of federal funds:

1. IEUA is in compliance with each major federal grant award program.
2. No significant deficiencies in internal control over major federal award programs.
3. No findings or questioned costs to major federal award programs.
4. IEUA qualifies as a "low-risk auditee."

Qualifying as a "low-risk auditee" benefits the Agency by improving IEUA's ability to obtain future grant and loan awards. Achieving this status through the Single Audit is indicative of diligent grant management and shows grantors that IEUA is a reliable partner for future funding opportunities.

### **FY 2019/20 Comprehensive Annual Financial Report**

The Agency's Comprehensive Annual Financial Report (CAFR) for fiscal year ended June 30, 2020 was prepared in conformity with generally accepted accounting principles in the United States of America (GAAP), as set forth by the Governmental Accounting Standards Board (GASB). Responsibility for both the accuracy of the presented data, and the completeness and fairness of the presentation, including all disclosures, rests with the management of the Agency. To the best of staff's knowledge, the data presented is accurate in all material respects, and reported in a manner designed to fairly present the financial position and results of operations for the various Agency funds and account groups. Disclosures are included within the CAFR to enable the reader

to gain an understanding of the Agency's financial activities. Exhibit A is a copy of the Agency CAFR in substantially final form.

### **FY 2019/20 Financial Highlights**

Combined revenues and other funding sources for the fiscal year totaled \$257,505,609, an increase of \$12,618,981, compared to the prior fiscal year. The following table presents a comparison of revenues and other funding sources by category for fiscal years 2019/20 and 2018/19.

***Combined Revenues and Other Funding Sources by Category - All Funds***  
**For the Fiscal Year Ended June 30, 2020**  
**(With Comparative Totals for the Fiscal Year Ended June 30, 2019)**

Revenue & Other Funding Sources	2019/20		2018/19		Increase/(Decrease) from 2018/19	
	AMOUNT	% OF TOTAL	AMOUNT	% OF TOTAL	AMOUNT	% OF CHANGE
Service Charges	\$ 88,034,511	34%	\$ 85,220,297	35%	\$ 2,814,214	3%
Water Sales	40,767,990	16%	45,519,432	19%	(4,751,442)	(10)%
Recycled Water Sales	15,348,685	6%	13,901,786	5%	1,446,899	10%
Interest Income	4,229,595	2%	4,453,305	2%	(223,710)	(5)%
Property Tax Receipts	55,613,070	22%	53,040,078	22%	2,572,992	5%
Water Connection Fees	8,048,141	3%	5,916,291	2%	2,131,850	36%
Wastewater Capital Connection Fees	23,887,301	9%	22,914,037	9%	973,264	4%
Other Non-operating Revenues	16,075,581	6%	11,752,555	5%	4,323,026	37%
Capital Grants	5,500,735	2%	2,168,847	1%	3,331,888	154%
<b>Total Revenues &amp; Contributions</b>	<b>\$ 257,505,609</b>	<b>100%</b>	<b>\$ 244,886,628</b>	<b>100%</b>	<b>\$ 12,618,981</b>	<b>5%</b>

#### *Other Non-operating Revenues*

Increase is due to reimbursement of capital project expenditures.

#### *Capital Grants*

Increase is primarily due to grant receipts for the South Archibald Trichloroethylene (TCE) Plume Cleanup Project.

#### *Service Charges*

Increase is primarily due to incremental rate increases needed to recover full cost of service.

#### *Property Tax Receipts*

Increase is primarily due to higher assessed property values.

*Water Sales*

Decrease is due to the withdrawal of 17,395 AF of Dry Year Yield Conjunctive Use (DYY CUP) water by member agencies in lieu of imported water deliveries

Combined expenses for fiscal year ended June 30, 2020 totaled \$194,155,099, an increase of \$10,955,159, compared to the prior fiscal year. The following table presents a comparison of expenses by category for fiscal years 2019/20 and 2018/19.

***Combined Expenses by Category - All Funds***  
**For the Fiscal Year Ended June 30, 2020**  
**(With Comparative Totals for the Fiscal Year Ended June 30, 2019)**

Expense Category	2019/20		2018/19		Increase/(Decrease) from 2018/19	
	AMOUNT	% OF TOTAL	AMOUNT	% OF TOTAL	AMOUNT	% OF CHANGE
Water Purchases	\$ 40,479,758	21%	\$ 45,519,432	25%	\$ (5,039,674)	(11)%
Wastewater Collection	9,188,554	4%	10,341,109	6%	(1,152,555)	(11)%
Wastewater Treatment	20,958,634	11%	23,829,235	13%	(2,870,601)	(12)%
Wastewater Disposal	11,077,003	6%	11,316,753	6%	(239,750)	(2)%
Operations and Maintenance	5,113,227	3%	5,317,391	3%	(204,164)	(4)%
Administration and General	43,207,892	22%	36,610,462	20%	6,597,430	18%
Depreciation and Amortization	37,614,865	19%	36,303,555	20%	1,311,310	4%
Interest on Long-Term Debt	7,629,807	4%	6,333,589	3%	1,296,218	20%
Other Non-Operating Expenses	18,885,359	10%	7,628,414	4%	11,256,945	148%
<b>Total Expenses</b>	<b>\$ 194,155,099</b>	<b>100%</b>	<b>\$ 183,199,940</b>	<b>100%</b>	<b>\$ 10,955,159</b>	<b>6%</b>

*Non-Operating Expenses*

Increase is mainly due to higher cost for the South Archibald Trichloroethylene (TCE) Plume Cleanup Project which is primarily grant funded.

*Administration and General*

Increase is primarily due to increase in program services and the recording of pension expense in compliance with GASB 68.

*Wastewater Collection/Treatment*

Decrease is primarily due to lower contracted labor and material costs.

*Water Purchases*

Decrease is primarily due to the purchase of MWD Dry Year Yield water in lieu of imported water and lower operations and maintenance expenses.

The Agency's statement of net position for fiscal year (FY) ended June 30, 2020, reflects \$772 million, an increase of \$63 million compared to the prior fiscal year.

#### *Wastewater and Water Connection Fees*

Connection fees to the regional water and wastewater systems were higher than the prior fiscal year. Wastewater connections fees were \$24.3 million, with 3,435 new units compared to 3,390 units and \$22.9 million in the prior fiscal year. The higher number of new connections is primarily due to improving building activities in FY 2019/20 as compared to prior year. Water connection fees were \$8.0 million in FY 2019/20 compared to \$5.9 million in FY 2018/19.

#### *Imported Water Pass-Through Sales and Purchases*

Imported water deliveries for FY 2019/20 were 64,835-acre feet (AF) compared to 64,168 AF reported in FY 2018/19, an increase of 667 AF. The increase is mainly due to changes in water quality restrictions, primarily on 1,2,3-Trichloropropane (TCP) that limits the use of Chino Basin groundwater by affected member agencies.

#### *Funding of Employee Retirement Liabilities*

Consistent with the Board policy, funding of the pension unfunded liabilities and other post-employment benefits (OPEB) continued in FY 2019/20 with \$4.5 million and \$1.0 million, respectively. Since the inception of the funding plan, cumulative additional contributions toward the unfunded liabilities of \$27.0 million for pension and \$16.0 million for OPEB have been made through fiscal year ended June 30, 2020. As of June 30, 2019, the most recent actuarial valuation date, the OPEB plan is 94 percent funded with an unfunded actuarial accrued liability of \$1.0 million. The net pension liability measured as of June 30, 2020 using measurement date of June 30, 2019 was 82.3 percent funded at \$39.1 million, in accordance with GASB 68.

#### *Work in Progress (WIP)*

The number of open projects under the category of Work in Progress (WIP) increased by 20 percent in FY 2019/20 compared to FY 2018/19. WIP total cost decreased to from \$92.3 million from \$96.6 million mainly due to the construction completion of the RP-1 Headworks Gate Replacement project and the Baseline Recycled Water Pipeline Extension project.

#### *Interfund transfers*

Inter-fund transfers are used to transfer funds between Agency Funds to support debt service, capital and operations and maintenance costs. One example is the transfer of water connections fees initially recorded in the Recycled Water fund and transferred to other fund to support eligible capital and water use efficiency projects. The individual transactions offset one another and do not impact the overall net position of the Agency, with the receiver fund recording an increase and sender fund recording a decrease in net position.

In FY 2019/20, inter-fund transfers of \$9.8 million were made to support debt service requirements, the Agency's pro-rata share of groundwater recharge O&M costs, and the allocation of water and wastewater connection fees to support capital project costs based upon criteria defined in the 2015 rate and fee studies. The following tables summarize the Transfers In (Table 1) and Transfer Out (Table 2) by type and between Major and Non-Major funds.

**Table 1. FY 2019/20 Transfers In**

	Transfer In							
	Major Funds				Non-Major Funds			Total
	Wastewater Capital	Wastewater Operations	Recycled Water	Water Resources	Admin. Services	Groundwater Recharge	Non-Reclaimable Wastewater	
Debt Service	\$ 0.2		\$ 2.4			\$ 0.5		\$ 3.1
Total Capital Contributions		\$ 2.4	\$ 0.2	\$ 0.7	\$ 1.5		\$ 0.1	\$ 4.9
Operations Support					\$ 0.3	\$ 1.5		\$ 1.8
Total	\$ 0.2	\$ 2.4	\$ 2.6	\$ 0.7	\$ 1.8	\$ 2.0	\$ 0.1	\$ 9.8

**Table 2. FY 2019/20 Transfers Out**

	Transfer Out							
	Major Funds				Non-Major Funds			Total
	Wastewater Capital	Wastewater Operations	Recycled Water	Water Resources	Admin. Services	Groundwater Recharge	Non-Reclaimable Wastewater	
Debt Service	\$ 3.1							\$ 3.1
Total Capital Contributions	\$ 4.0	\$ 0.2	\$ 0.7					\$ 4.9
Operations Support		\$ 0.3	\$ 1.5					\$ 1.8
Total	\$ 7.1	\$ 0.5	\$ 2.2	\$ -	\$ -	\$ -	\$ -	\$ 9.8

#### *Debt Service Interfund Transfer \$3.1 million*

The Agency issues debt as a single system, pledging consolidated sources of funds to obtain better financing terms. The projects included in the debt financing support different programs and funds. Debt service costs (repayment of principal and interest) are allocated to programs and funds proportionate to the debt proceeds allocated to support respective capital projects. Since the sources of funds may not always match the debt service requirements in an individual fund, debt financing needs are evaluated and an interfund transfer is used to support debt service are recorded in the corresponding funds.

For Fiscal Year 2019/20, Wastewater Capital fund supported bond debt service for the 2008B Variable Rate Bonds recorded in the Groundwater Recharge fund, as well as the 2010A and 2017A Bonds in the Recycled Water fund.

#### *Capital Interfund Transfer \$4.9 million*

Capital interfund transfers support capital project expenditures as determined by the nature of the project and funding sources during the fiscal year.

Projects are assigned to the fund that will benefit from the investment. In some cases, where a project will benefit multiple funds, the project is assigned to a single fund to effectively track and monitor project budget and costs throughout its lifecycle. For these projects, actual expenditures are allocated amongst the funds based on their benefit share at the end of the fiscal year. In Fiscal

Year 2019/20, interfund transfers from the Wastewater Operations fund to the Wastewater Capital fund recorded its share of cost for projects such as the RP-1 Liquids and Solids Treatment Expansion, Carbon Canyon Water Recycling Facility Asset Management and Improvements, and the RP-5 Liquid Expansion.

Additionally, capital projects that support common Agency-wide activities are recorded in the Administrative Services fund. For Fiscal year 2019/20 interfund transfers to the Administrative Service fund from the Wastewater Capital, Recycled Water, and Non-Reclaimable Wastewater funds supported projects, including; improvements to the conference rooms audiovisual equipment, headquarters roof replacement, RP-1 training room, replacement of fleet vehicles, replacement of records management system and related projects, and enhancement to the Agency's computer infrastructure network and cybersecurity.

The 2015 engineering rate study included the allocation of water and wastewater connection fees amongst eligible projects recorded in various Agency funds. Interfund transfers are used to allocate connection fees amongst eligible projects based on actual expenditures and funding sources.

In Fiscal Year 2019/20 water connection fees initially recorded in the Recycled Water fund supported projects like the Water Bank and water planning initiatives recorded in the Water Resources fund, as well as a small portion of the Recharge Master Plan Update (RMPU) and Administrative Services fund project costs.

Inter fund transfers of wastewater connection fees to the Administrative Services and the Non-Reclaimable Wastewater fund supported eligible project costs.

#### *Operation Support Interfund Transfer \$1.8 million*

Operation support interfund transfers are limited to certain non-capital projects, such as the Agency's pro rata share of the groundwater recharge basin of operating and maintenance costs, per agreement with Chino Basin Watermaster.

In Fiscal Year 2019/20 Wastewater Operations, Recycled Water, and Non-Reclaimable funds supported Administrative services non-capital projects like Agency wide coatings.

#### **External Auditors' Independent Audit**

The Agency's independent audit firm, Lance, Soll & Lunghard, LLP (LSL) performed the annual financial audit. LSL issued an unmodified ("clean") opinion over the financial statements for the Fiscal Year ended June 30, 2020, indicating that the financial statements are presented fairly and in accordance with GAAP and free of material misstatements. LSL found no material deficiency in internal controls over financial reporting.

LSL also completed the Single Audit Report for all billing activity for FY 2019/20 to be processed by the Federal Clearing House by March 2021. No findings in the Single Audit were noted by LSL.

Fiscal Year 2019/20 is the fifth audit completed by LSL in accordance with the contract approved by the Board of Directors on March 16, 2016 for three fiscal years beginning in FY 2015/16,



including the option to extend the contract two fiscal years. The agreement covers the audit of the Inland Empire Utilities Agency (Agency) financial statements, review of the annual appropriations limit calculation, and audit of the Agency's federal awards in accordance with Office of Management and Budget (Single Audit).

### **Internal Audit Department Review**

Internal Audit staff performed an additional quality control check/review of the draft financial statements with emphasis on; overall mathematical accuracy, validation of the MD&A, notes, and statistical sections, including continued disclosure compliance as required by bond covenants, to the financial statements or to other supporting information.

Internal Audit staff's review did not include tests of transactions, or tests of the reliability of the totals and amounts included in the various categories, accounts, funds, statements, etc. Staff relies on the work of the external auditors to test the reliability of the financial information reported. Staff's review is only one of an additional quality control to ensure a professional presentation, consistent with prior years.

### **Government Financial Officers Association (GFOA) CAFR Award**

For the past twenty-one years, the Agency has applied for and received the GFOA CAFR Award for excellence in financial reporting. The deadline to submit the FY 2019/20 CAFR is December 31, 2020.

*The IEUA FY 2019/20 Comprehensive Annual Financial Report is consistent with the Agency's Business Goal of Fiscal Responsibility in providing transparent communication of the Fiscal Year activity and the net position of the different programs of the Agency.*



INLAND EMPIRE UTILITIES AGENCY

SINGLE AUDIT REPORT

JUNE 30, 2020

DRAFT

INLAND EMPIRE UTILITIES AGENCY

SINGLE AUDIT REPORT

JUNE 30, 2020

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INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL  
OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS  
BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE  
WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Directors  
Inland Empire Utilities Agency  
Chino, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of each major fund and the aggregate remaining fund information of the Inland Empire Utilities Agency (the Agency), as of and for the year ended June 30, 2020, and the related notes to the financial statements, which collectively comprise the Agency's basic financial statements, and have issued our report thereon dated [REDACTED], 2020.

#### **Internal Control over Financial Reporting**

In planning and performing our audit of the financial statements, we considered the Agency's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Agency's internal control. Accordingly, we do not express an opinion on the effectiveness of the Agency's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or, significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

#### **Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the Agency's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.



To the Board of Directors  
Inland Empire Utilities Agency  
Chino, California

### **Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Agency's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Agency's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

*Lance, Soll & Lughard, LLP*

Brea, California

                    , 2020

DRAFT



INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR PROGRAM  
AND ON INTERNAL CONTROL OVER COMPLIANCE AND REPORT ON SCHEDULE OF  
EXPENDITURES OF FEDERAL AWARDS REQUIRED BY THE UNIFORM GUIDANCE

To the Board of Directors  
Inland Empire Utilities Agency  
Chino, California

**Report on Compliance for Each Major Federal Program**

We have audited the Inland Empire Utilities Agency (the Agency)'s compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the Agency's major federal programs for the year ended June 30, 2020. The Agency's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

***Management's Responsibility***

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

***Auditor's Responsibility***

Our responsibility is to express an opinion on compliance for each of the Agency's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Agency's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Agency's compliance.

***Opinion on Each Major Federal Program***

In our opinion, the Agency complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2020.



To the Board of Directors  
Inland Empire Utilities Agency  
Chino, California

## **Report on Internal Control over Compliance**

Management of the Agency is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Agency's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Agency's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

## **Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance**

We have audited the financial statements of the Agency, as of and for the year ended June 30, 2020, and the related notes to the financial statements, which collectively comprise the Agency's basic financial statements. We issued our report thereon dated [REDACTED], 2020, which contained unmodified opinions on those financial statements. Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.



To the Board of Directors  
Inland Empire Utilities Agency  
Chino, California

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

*Lance, Soll & Loughard, LLP*

Brea, California  
[redacted], 2020

DRAFT

INLAND EMPIRE UTILITIES AGENCY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE FISCAL YEAR ENDED JUNE 30, 2020

Federal Grantor/Pass-Through Grantor/Program Title	Federal CFDA Number	Pass-Through Entity Identifying Number	Total Federal Expenditures
<u>U.S. Department of the Interior</u>			
Direct programs:			
Title XVI Water Reclamation and Reuse *	15.504	N/A	\$ 979,057
WaterSMART *	15.507	N/A	236,581
Reclamation States Emergency Drought Relief	15.514	N/A	153,634
Water Conservation Field Services	15.530	N/A	13,000
<b>Total U.S. Department of the Interior</b>			<b>1,382,272</b>
<u>Environmental Protection Agency</u>			
Direct programs:			
Water Infrastructure Finance and Innovation *	66.958	N/A	13,774,117
Passed through the State of California Water Resources Control Board:			
Capitalization Grants for Clean Water State Revolving Funds	66.458	80-832-1913	4,349,989
<b>Total Environmental Protection Agency</b>			<b>18,124,106</b>
<b>Total Expenditures of Federal Awards</b>			<b>\$ 19,506,378</b>

\*Major Program

Note a: There were no federal awards expended in the form of noncash assistance and insurance in effect during the year.

Note b: No funding was passed through to subrecipients during the fiscal year.

The accompanying notes are an integral part of this schedule.



INLAND EMPIRE UTILITIES AGENCY

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2020

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**Note 1: Summary of Significant Accounting Policies Applicable to the Schedule of Expenditures of Federal Awards**

**a. Scope of Presentation**

The accompanying schedule presents only the expenditures incurred by the Inland Empire Utilities Agency (the Agency), that are reimbursable under federal programs of federal financial assistance. For the purposes of this schedule, federal awards include both federal financial assistance received directly from a federal agency, as well as federal funds received indirectly by the Agency from a non-federal agency or other organization. Only the portion of program expenditures reimbursable with such federal funds is reported in the accompanying schedule. Program expenditures in excess of the maximum federal reimbursement authorized or the portion of the program expenditures that were funded with state, local or other non-federal funds are excluded from the accompanying schedule.

**b. Basis of Accounting**

The expenditures included in the accompanying schedule were reported on the full accrual basis of accounting. Under the full accrual basis of accounting, expenditures are incurred when the Agency becomes obligated for payment as a result of the receipt of the related goods and services. Expenditures reported included any property or equipment acquisitions incurred under the federal program. The Agency has elected not to use the 10-percent *de minimis* indirect cost rate allowed under the Uniform Guidance.

**Note 2: Reconciliation of State Water Resource Control Board and GAAP Expenditure Reporting**

For the year ended June 30, 2020, the following adjustments were necessary to reconcile the federal awards provided to the Agency for the Capitalization Grants for Clean Water State Revolving Funds federal awards program per the State Water Resource Control Board's records to the federal expenditures reported by the Agency under accounting principles generally accepted in the United States of America (GAAP).

Federal awards per the State Water Resource Control Board:	
C-06-8105-110	\$ 2,482,199
Subtotal	<u>2,482,199</u>
Adjustment for expenditures reported in the prior fiscal period:	
C-06-8105-110	(619,862)
Adjustment for expenditures accrued in the current fiscal period:	
C-06-8235-150	<u>2,487,652</u>
Subtotal	<u>1,867,790</u>
<b>Total Federal Expenditures of the Capitalization Grants for Clean Water State Revolving Funds Program (GAAP)</b>	<b><u>\$ 4,349,989</u></b>

INLAND EMPIRE UTILITIES AGENCY

SCHEDULE OF FINDINGS AND QUESTIONED COSTS  
FOR THE YEAR ENDED JUNE 30, 2020

---

SECTION I - SUMMARY OF AUDITORS' RESULTS

Financial Statements

Type of auditors' report issued:

Unmodified Opinion

Internal control over financial reporting:

- Material weaknesses identified? ☐ yes ☒ no
- Significant deficiencies identified? ☐ yes ☒ none reported

Noncompliance material to financial  
statements noted?

☐ yes ☒ no

Federal Awards

Internal control over major programs:

- Material weaknesses identified? ☐ yes ☒ no
- Significant deficiencies identified? ☐ yes ☒ none reported

Type of auditors' report issued on compliance for major programs:

Unmodified Opinion

Any audit findings disclosed that are required to be  
reported in accordance with Uniform Guidance?

☐ yes ☒ no

Identification of major programs:

CFDA Number(s)

Name of Federal Program or Cluster

15.504  
15.507  
66.958

Title XVI Water Reclamation and Reuse  
WaterSMART  
Water Infrastructure Finance and Innovation

Dollar threshold used to distinguish  
between type A and type B program

\$750,000

Auditee qualified as low risk auditee?

☒ yes ☐ no

**INLAND EMPIRE UTILITIES AGENCY**

**SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)  
FOR THE YEAR ENDED JUNE 30, 2020**

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**SECTION II - FINANCIAL STATEMENT FINDINGS**

No matters were reported.

**SECTION III - FEDERAL AWARD FINDINGS AND QUESTIONED COSTS**

No matters were reported.

DRAFT

**SUMMARY SCHEDULE OF PRIOR YEAR FINDINGS AND QUESTIONED COSTS  
FOR THE FISCAL YEAR ENDED JUNE 30, 2019**

No matters were reported.

DRAFT

***Water Smart - Thinking in Terms of Tomorrow***

**Kati Parker**  
President

**Jasmin A. Hall**  
Vice President

**Steven J. Elie**  
Secretary/Treasurer

**Michael E. Camacho**  
Director

**Paul Hofer**  
Director

**Shivaji Deshmukh**  
General Manager



INLAND EMPIRE UTILITIES AGENCY  
FISCAL YEAR ENDED JUNE 30, 2020  
FINANCIAL AUDIT CONCLUSION



Focused  
on YOU



## FY 2020 Audit Plan and Approach

### Interim Audit

- Evaluation of internal controls, policies, and procedures
- Provide feedback to management
- Plan final audit procedures based on identified risk

### Year-End Audit

- Perform tests of account balances and changes
- Issue Auditors' Reports



## Auditors' Responsibilities for the Audit

- Perform an audit under U.S. Generally Accepted Auditing Standards, Government Auditing Standards, and the Uniform Guidance.
- Consider internal control for the purpose of planning our audit procedures, not to render an opinion on the effectiveness of internal control.
- Consider internal controls which would have a direct and material effect on IEUA's compliance with the terms of its federal award programs.



## Auditor's Responsibilities for the Audit (cont.)

- Perform tests of compliance with laws, regulations, contracts, and grant agreements.
- Examine, on a test basis, evidence supporting the amounts and disclosures in the financial statements.
- Perform limited procedures to those items included with the financial statements that are designated as "Required Supplementary Information."





## Auditors' Responsibilities for the Audit (cont.)

- Evaluate the supplementary information in relation to the financial statements as a whole.
  - Schedule of Expenditures of Federal Awards
  - Combining and individual fund statements and schedules
- We are not engaged to report on the introductory or statistical sections of the CAFR.
- Communicate any significant findings, fraud, or internal control matters required to be communicated.



## HIGHLIGHTS FROM FINAL FIELDWORK

- Due to COVID-19, fieldwork was completed 100% remotely. Interviews were held using MS Teams, and documentation was provided electronically.
- Unmodified Opinion
- No material weaknesses or significant deficiencies in internal controls over financial reporting identified.



## AUDIT OPINION

- Audit work is performed for the purpose of rendering an “opinion” on the financial statements.
  - Management is responsible for information in the financial statements.
  - LSL’s opinion indicates that the information is fairly stated in all material respects in accordance with GAAP
- An unmodified opinion has been issued on the June 30, 2020 financial statements.



## AUDIT COMMUNICATIONS

- SAS 114 Auditor Communication Letter
  - Describes audit process and findings including discussions on estimates used by management, disagreements with management, corrected and uncorrected audit differences, and other matters like upcoming GASB pronouncements.
  - There were no disagreements with management or audit differences to report.
- SAS 115 Report on Internal Control Letter
  - Describes any findings which are material weaknesses or significant deficiencies in internal control over financial reporting or material noncompliance with laws, regulations, grant agreements, bond covenants, etc.
  - No such findings reported.



## Status on the Implementation of New GASBs

- All new GASB Pronouncements have been delayed one year due to COVID-19
- 2021
  - Fiduciary Activity changes (GASB 84)
- 2022
  - Lease reporting (GASB 87)



## Thank you

- Contact information:
  - Deborah Harper, Engagement Partner: [Deborah.Harper@lslcpas.com](mailto:Deborah.Harper@lslcpas.com)
  - Ryan Domino, Audit Manager: [Ryan.Domino@lslcpas.com](mailto:Ryan.Domino@lslcpas.com)
  - 714-672-0022
- Any Questions?



*Inland Empire Utilities Agency*

**A MUNICIPAL WATER DISTRICT**



# Single Audit and Comprehensive Annual Financial Reports Fiscal Year Ended June 30, 2020



# FY 2019/20 Single Audit

- Federal Cost \$19.5 million:
  - \$1.4 million US Bureau of Reclamation (USBR)
  - \$18.1 million Environmental Protection Agency (EPA)
    - \$4.3 million – Clean Water State Revolving Fund (CWSRF) Loan
    - \$13.8 million – Water Infrastructure Finance & Innovation Act (WIFIA) Loan
- In compliance with each major federal grant award program,
- No significant deficiencies in internal control over major federal award programs,
- No findings or questioned costs to major federal award programs, and
- IEUA qualifies as a “**low-risk auditee**”.

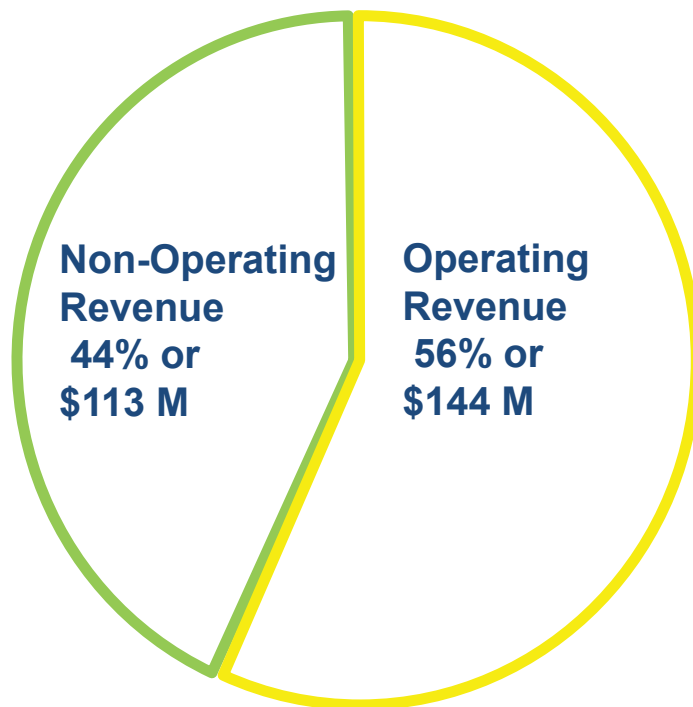


# FY 2019/20 Financial Highlights

- **Increased total net position by \$63 million:**
  - Operating activities: (\$23) million
  - Non-operating activities: \$86 million
- **Total net position as of 6/30/20 \$772 million:**
  - \$476 million net investment in capital assets
  - \$142 million restricted assets
  - \$154 million designated / unrestricted assets
- **Interfund transfers \$9.8 million (Note 15 to Financial Statements):**
  - \$ 3.1 million Debt service support
  - \$ 4.9 million Capital support
  - \$ 1.8 million Operations and Maintenance support

# FY 2019/20 Revenues

**Total Revenue**  
**\$257 Million**



\$ Million

## **Operating revenue:**

• Water Sales	\$41
• Service Charges	\$88
• Recycled Water Sales	\$15
<b>Total Operating Revenue</b>	<b><u>\$144</u></b>

## **Non-Operating revenue:**

• Property Tax	\$56
• Connection Fees	\$32
• Capital Grants	\$ 5
• Other nonoperating revenues	<u>\$ 20</u>
<b>Total Non-Operating Revenue</b>	<b><u>\$113</u></b>

# FY 2019/20 Expenses & Change in Net Position

\$ Million

## Operating expense:

- Water Purchases \$41
- Wastewater Treatment \$42
- O&M and Support Services \$47
- Depreciation and Amortization \$38

**Total Operating Expense** **\$168**

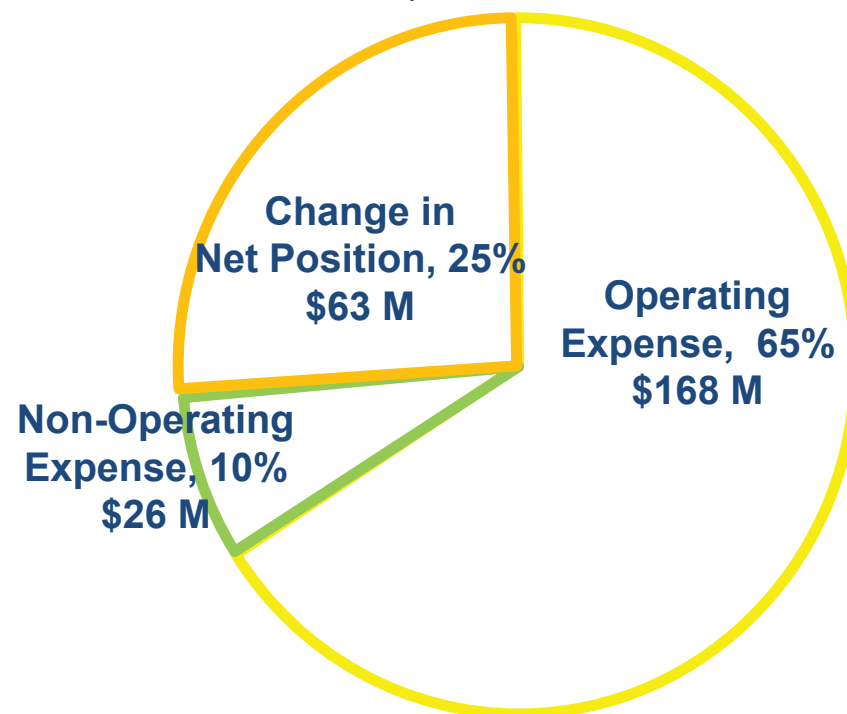
## Non-Operating Expense:

- Interest on long term debt \$ 7
- Other nonoperating expenses \$19

**Total Non-Operating Expense** **\$ 26**

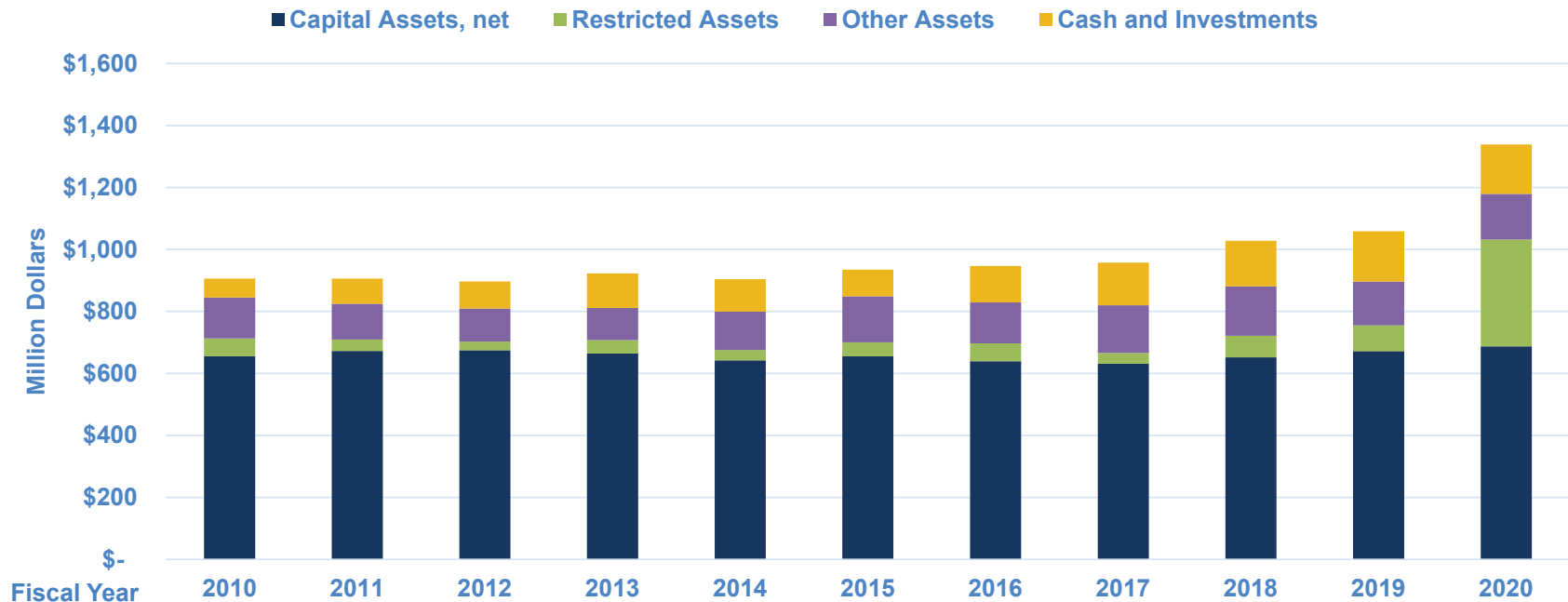
**Change in Net Position:** **\$63**

## Total Expenses & Change in Net Position \$257 Million



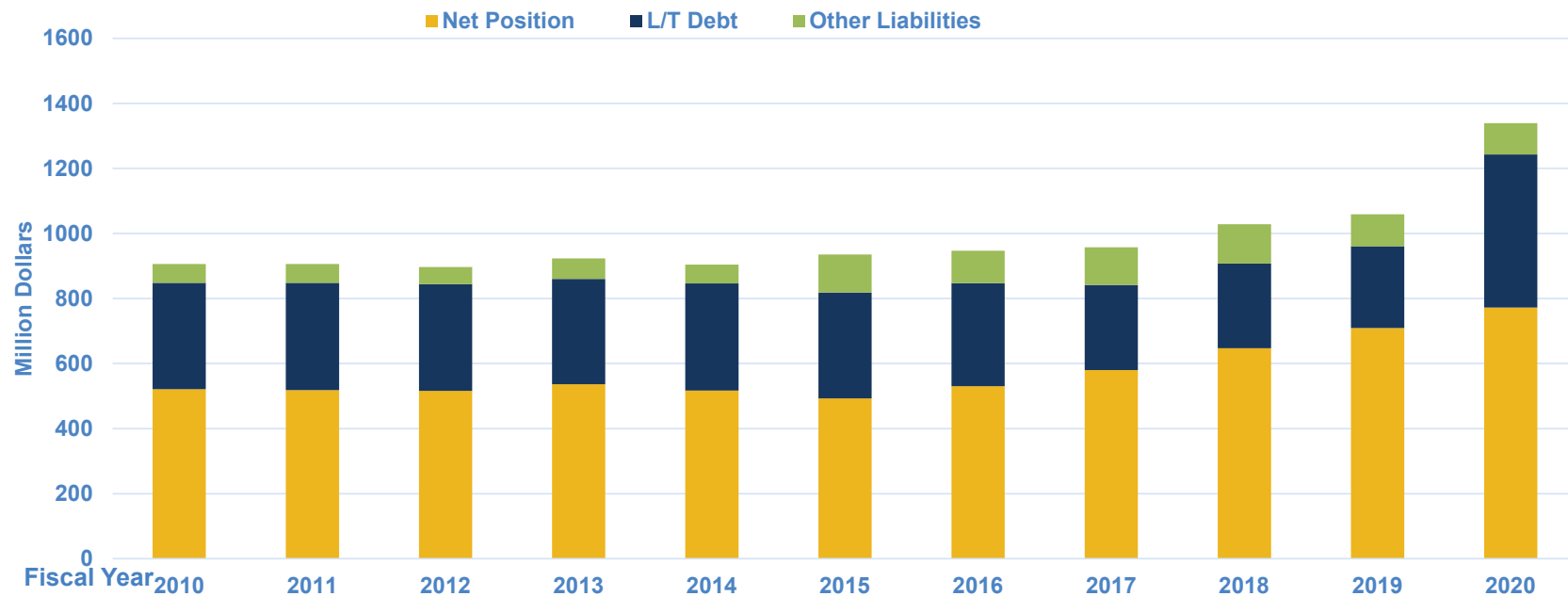
# Total Assets

- \$238M increase in restricted assets (RP-5 expansion financing)

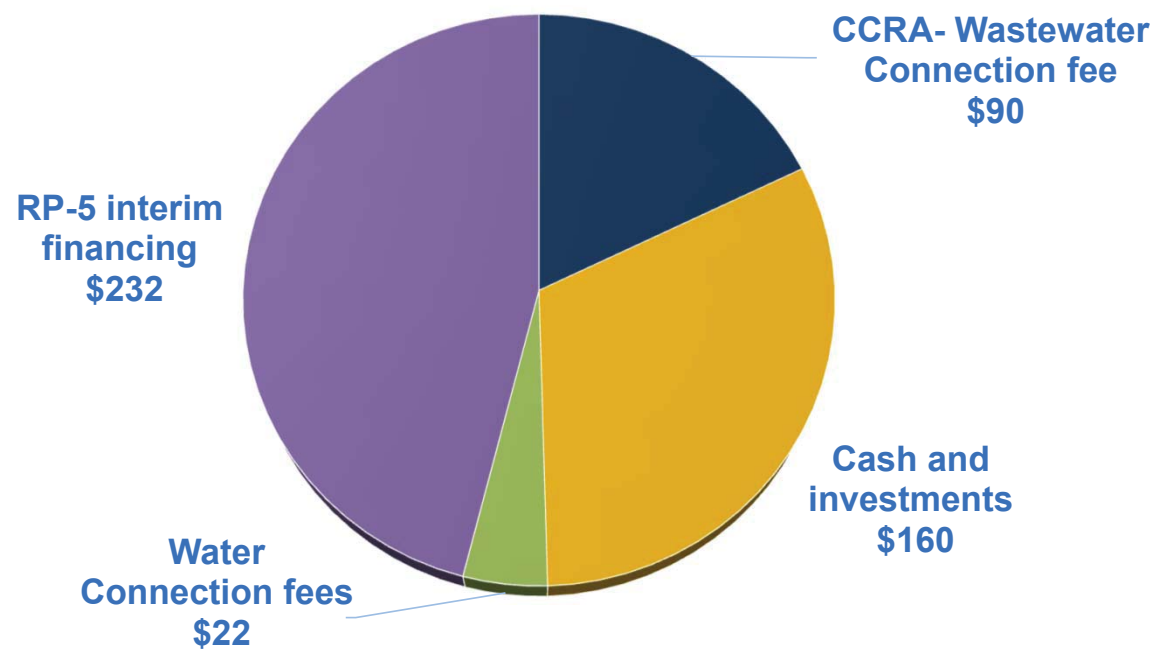


# Total Liabilities and Net Position

- \$ 219M increase in Long Term Debt (RP-5 Expansion)
- \$ 63M increase in Net Position



# FY 2019/20 Cash, Investments, and Restricted Deposits \$505 Million



# Recommendation

1. Approve the Inland Empire Utilities Agency Single Audit Report for fiscal year ended June 30, 2020, in draft form;
2. Approve the Inland Empire Utilities Agency Comprehensive Annual Financial Report for fiscal year ended June 30, 2020; and
3. Direct staff to distribute the reports as appropriate, to the various federal, state, and local agencies, financial institutions, bond rating agencies, and other interested parties.



# Questions



The IEUA FY 2019/20 Comprehensive Annual Financial Report is consistent with the Agency's Business Goal of Fiscal Responsibility in providing transparent communication of the Fiscal Year activity and the net position of the different programs of the Agency.



**INFORMATION  
ITEM**

**4A**

**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**From:** Shivaji Deshmukh, General Manager

**Committee:** Finance & Administration

12/09/20

**Executive Contact:** Christina Valencia, Executive Manager of Finance & Administration/AGM

**Subject:** Fiscal Year 2020/21 First Quarter Budget Variance, Performance Goal Updates, and Budget Transfers

---

**Executive Summary:**

The budget variance report presents the Agency's financial performance through the first quarter ended September 30, 2020 and various analyses are provided in the attachments.

The Agency's total revenues and other funding sources were \$52.9 million, or 60.5 percent of the fiscal year to date amended budget of \$87.4 million. The significant variance is primarily due to the timing of property tax receipts which commence in November of each fiscal year. Additionally, grant and loan receipts which are primarily reimbursable in nature were lower than budgeted for the first quarter due to lower capital expenditures.

The Agency's total expenses and other uses of funds were \$48.4 million, or 51.1 percent of the fiscal year to date amended budget of \$94.7 million. The timing of capital project execution and lower than budgeted administrative and operating expenses account for the favorable variance.

The net change of the unaudited total revenues and other funding sources over the total expenses and other uses of funds for the quarter ended September 30, 2020 is an estimated increase of \$4.5 million.

---

**Staff's Recommendation:**

The Fiscal Year (FY) 2020/21 first quarter budget variance, performance goal updates, and budget transfers is an informational item for the Board of Directors to receive and file.

---

**Budget Impact** Budgeted (Y/N): N Amendment (Y/N): N Amount for Requested Approval:

Account/Project Name:

*Fiscal Impact (explain if not budgeted):*

The net change of the total revenues and other funding sources over total expenses and other uses of funds is an increase of \$4.5 million for the quarter ended September 30, 2020.

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**Prior Board Action:**

None.

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

The quarterly budget variance report is consistent with the Agency's business goal of fiscal responsibility to demonstrate the Agency has appropriately funded operational, maintenance, and capital costs.

---

**Attachments:**

Attachment 1 - Background

Exhibit A - Q4 Budget Variance Summary and Detail Report

Exhibit B - Business Goals and Objectives Report by Initiatives

Exhibit C-1 - Summary of Annual Budget Transfers in the First Quarter

Exhibit C-2 - Summary of the GM Contingency Account Activity

Exhibit D - Project Budget Transfers for Capital and Non-Capital Projects

Attachment 2 - Power Point

## Background

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Subject: Fiscal Year 2020/21 First Quarter Budget Variance, Performance Goal Updates, and Budget Transfers

---

The FY 2020/21 First Quarter Budget Variance report continues to reflect the effects of the Coronavirus (COVID-19) global pandemic declared by the World Health Organization in March 2020. Economic activity and employment have picked up in recent months but remain well below their levels at the beginning of the current Fiscal Year 2020/21. The path of the economic recovery will depend significantly on the course of the virus. The extent of fiscal reverberations is uncertain and decline in revenues impacted by the constant change in economic circumstances is foreseeable. The Agency's COVID-19 efforts remained focused on keeping employees safe and providing reliable services essential to safeguarding public health.

The successful mobilization and transition of most employees to work from home continues to provide management an opportunity to expand use of online services and adjust business processes to minimize any potential impact to the Agency operations. Some non-critical capital projects and related activities continue to be deferred. Administrative functions have been streamlined, including re-directing recruitment efforts to focus on mission critical positions. Travel and associated events continue to be suspended with a shift to virtual training and online conferences. Also temporarily deferred are non-critical activities, such as maintenance, professional/contract services, and the purchase of contract materials and supplies. The cost savings resulting from these deferrals have been offset by the procurement of face mask, sanitation supplies and enhanced janitorial services to ensure the safety of our employees.

The Budget Variance report presents the Agency's financial performance through the first quarter ended September 30, 2020 and includes the following highlights.

### TOTAL REVENUES AND OTHER FUNDING SOURCES

Overall, the Agency's total revenue and other funding sources were \$52.9 million, or 60.5 percent of the fiscal year to date amended budget of \$87.4 million for the quarter ended September 30, 2020 (Exhibit A). The following section highlights key variances:

- **MWD Water Sales** – Total Metropolitan Water District of Southern California (MWD) pass-through imported water revenue was \$14.8 million or 127.7 percent of the fiscal year to date amended budget. Imported water deliveries were 15,646 AF compared to the annual budgeted quantity of 60,000 AF. Higher demand through the first quarter can be attributed to increased usage due to warm weather.
- **Connection Fees** – Total connection fees receipts were \$6.0 million or 67.1 percent of the fiscal year to date amended budget. Receipts include \$4.8 million for new regional wastewater system connections (EDU) and \$1.2 million for new water connections (MEU). The number of new EDU connections reported through the first quarter were 690 EDUs compared to the annual budget of 4,000 EDUs. New water connections were 825 MEUs

compared to the 4,700 budgeted MEUs.

- ***Property Taxes*** – General ad-valorem property tax receipts from the San Bernardino County Tax Assessor will commence in November 2020 as collection of the first installment from property owners will be due to the County on November 1<sup>st</sup>. Payment of incremental Redevelopment Agencies (RDA) “pass through” taxes are due from County in January and June. Property tax receipts are budgeted based on valuation projected by the county, increased home sales, and anticipated adjustments in property tax distribution due to the dissolution of the redevelopment agencies.
- ***Grants & Loans*** – No grant and loan receipts were received in the first quarter. Grant and loan receipts are primarily reimbursable in nature and as such are dependent upon related capital project expenditures. Due to the COVID-19 pandemic, capital project activities remained well below the levels planned at the beginning of the fiscal year. Activity is expected to recover but expected to remain slow for the remainder of the fiscal year. Annual budgeted grants of \$14.4 million include \$7.5 million for Recharge Master Plan Update (RMPU) projects in the Recharge Water fund, \$3.8 million for Plume Cleanup project in the Regional Wastewater Operations fund, \$3.1 million for various recycled water optimization, drought relief, and lateral projects in the Recycled water fund. State Revolving Fund (SRF) loan proceeds annual budget of \$79.6 million include \$65.3 million to support the RP-5 Solids Treatment and Liquid Expansion projects in the Regional Wastewater program, \$8.8 million for Recharge Master Plan Update projects in the Recharge Water Fund, and \$5.5 million for various recycled water optimization and lateral projects in the Recycled Water Fund.
- ***Cost Reimbursements*** – Total cost reimbursements were \$2.1 million, or 121.8 percent of the fiscal year to date amended budget. Reimbursements include \$1.1 million from the Inland Empire Regional Composting Authority (IERCA) and \$0.3 million from Chino Basin Desalter Authority (CDA) for the Agency's operation & maintenance of the IERCA Composter and CDA Desalter facilities. Also included is \$0.7 million for the operations & maintenance costs related to the groundwater recharge basins, net of the Agency's pro-rata-share for the recycled water recharge costs. The annual total cost reimbursement budget of \$7.1 million includes: \$4.2 million from IERCA, \$1.4 million from CDA, and \$1.5 million from CBWM.

## **TOTAL EXPENSES AND USES OF FUND**

The Agency's total first quarter expenses and uses of funds were \$48.4 million, or 51.1 percent of the \$94.7 million fiscal year to date amended budget. Key expense variances include:

### **Administrative and Operating Expenses**

- ***Office and Administration*** – Office and administrative expense for the first quarter was \$0.2 million or 23.4percent of the fiscal year to date amended budget. The favorable variance was due to COVID-19 and non-essential staff continuing to work remotely, and suspension of all travel and a shift to virtual online training and events.

- ***Professional Fees & Services*** – Total expenses were \$1.5 million, or 42.1 percent of the fiscal year to date amended budget. The positive variance is primarily due the deferral of non-critical contract labor and materials. Some professional services are anticipated to ramp up in the ensuing quarters, such as rehabilitation of clarifiers and aeration basins; repairs and calibration of critical compliance equipment and treatment process; and contractor and consultant support for project management and administrative services. Non-critical preventive maintenance has also been temporarily put on hold due to scheduling changes to comply with social distancing necessary to protect the health and safety of employees.
- ***O&M (Non-capital) and Reimbursable Projects*** – O&M and reimbursable project costs were \$1.3 million or 21.2 percent of their fiscal year to date amended budget. The favorable balance is mainly due to lower spending on conservation, planning, and emergency projects.
- ***Operating Fees*** – Spending in this category was \$1.4 million, or 43.9 percent of the fiscal year to date amended budget. A major part of this category are the “pass-through” fees from Los Angeles County Sanitation District (LACSD) and Santa Ana Watershed Project Authority (SAWPA) for the Agency’s non-reclaimable wastewater system (NRWS).
- ***MWD Water Purchases*** – Total Metropolitan Water District of Southern California (MWD) pass-through imported water purchases were \$14.8 million or 127.7 percent of the fiscal year to date amended budget. Imported water deliveries were 15,646 AF compared to the annual budgeted quantity of 60,000 AF. Higher demand through the first quarter can be attributed to increased usage due to warm weather.

### **Non-Operating Expenses**

- ***Capital Projects*** – Total capital project expenditures year to date were \$10.0 million or 22.8 percent of the fiscal year to date amended budget. The favorable variance can be attributed to contractor delays, design recommendation reviews, and extended request for proposals and related contract award delays due to the COVID-19 pandemic. In addition, expenses in capital projects are primarily construction contract costs, which require bids and negotiations before most expenses are incurred. As a result, there can be a sizeable lag between the time a project is expended and when reimbursements are received. Capital project budget related to the Regional Wastewater program is \$153.9 million, or 87 percent of the \$175.6 million of the annual amended budget. Recycled Water program capital projects accounted for \$7.4 million, or 4.2 percent of the 175.6 million annual amended budget.
- ***Financial Expenses*** - First quarter expense totaled \$31 thousand or 0.2 percent of the fiscal year to date amended budget. Principal and interest payments for various bonds and State Revolving Fund (SRF) are due and payable in the next quarters.

A detailed explanation of significant revenues and expenses are included in the attached Exhibit A.

## FUND BALANCES AND RESERVES

The estimated net change of the total revenues and other funding sources over the total expenses and other uses of funds for the first quarter is an increase of \$4.5 million.

Table 1 provides an overview of the fiscal year to date budget variance for revenues, expenses, and net change to overall fund balance.

**Table 1: Fiscal Year and Year to Date (YTD) Revenues, Expenses, and Fund Balance (\$ Millions) Quarter Ended September 30, 2020**

Operating	FY 2020/21 Amended Budget	Budget Year- To-Date (YTD)	Actual	% Amended Budget Used
Operating Revenue	\$161.6	\$40.4	\$44.5	110.2%
Operating Expense	(\$178.0)	(\$44.5)	(\$38.0)	85.4%
<b>Net Operating Increase/(Decrease)</b>	<b>(\$16.4)</b>	<b>(\$4.1)</b>	<b>\$6.5</b>	

Non- Operating				
Non-Operating Revenue	\$187.9	\$47.0	\$8.3	17.7%
Non-Operating Expense	(\$200.8)	(\$50.2)	(\$10.4)	20.7%
<b>Net Non-Operating Incr./(Decrease)</b>	<b>(\$12.9)</b>	<b>(\$3.2)</b>	<b>(\$2.1)</b>	
Total Sources of Funds	\$349.5	\$87.4	\$52.9	60.5%
Total Uses of Funds	(\$378.8)	(\$94.7)	(\$48.4)	51.1%
<b>Total Net Increase/(Decrease)</b>	<b>(\$29.3)</b>	<b>(\$7.3)</b>	<b>\$4.5</b>	

+/- difference due to rounding

## GOALS AND OBJECTIVES

Exhibit B provides information on division and related department goals and objectives and the status through the end of the first quarter. The key performance indicators (KPIs) are used to track the volume and complexity of work by type and to track the effort invested to accomplish that work. Staff use KPIs to track productivity and to justify current resource allocations, re-allocation, and requests for additional staff.

## **BUDGET TRANSFERS AND AMENDMENTS**

No *Intra-fund O&M* budget transfers were recorded in the first quarter as detailed in Exhibit C-1.

The *General Manager (GM) Contingency Account* adopted budget of \$300,000 in the Administrative Services Fund. No GM Contingency Account funds were utilized in the first quarter as detailed in Exhibit C-2.

*Inter-fund Capital and O&M project* annual and total budget transfers accounted for \$1.4 million as listed in Exhibit D.

The budget variance analysis report is consistent with the Agency's business goal of fiscal responsibility: to demonstrate the Agency appropriately funded operational, maintenance, and capital costs.

## **IMPACT ON BUDGET**

For quarter ended September 30, 2020, total revenues and other funding sources exceeded total expenses and other uses of funds by \$4.5 million.



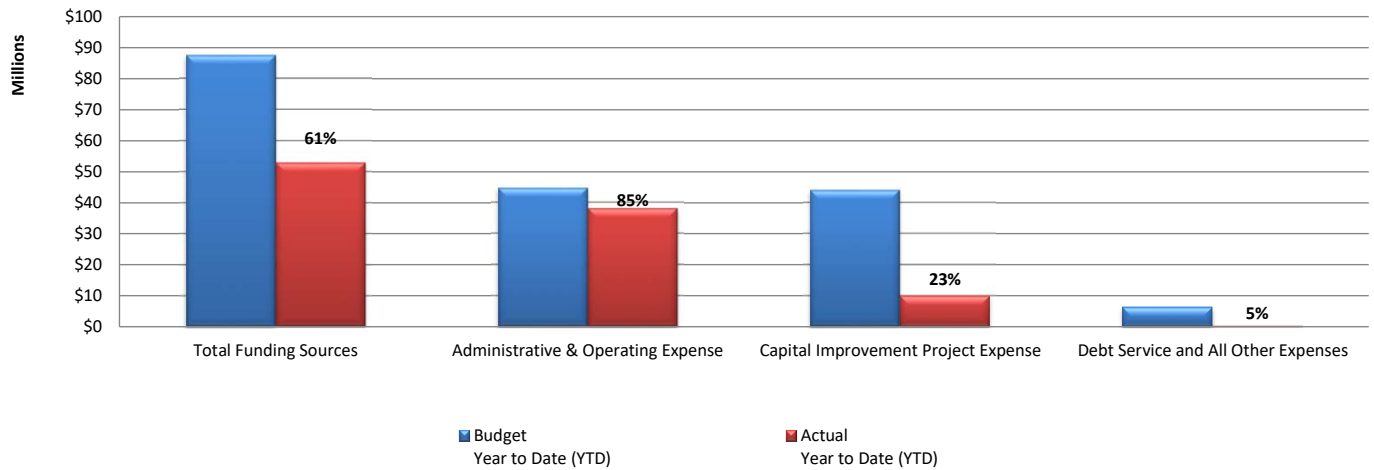


I. Actual vs. Budget Summary:

Quarter Ended September 30, 2020

	Amended Budget	Budget Year to Date (YTD)	Actual Year to Date (YTD)	Budget YTD vs. Actual	% of Budget Used YTD
Operating Revenues	\$161,610,968	\$40,402,742	\$44,538,131	\$4,135,389	110.2%
Non-Operating (Other Sources of Fund)	187,927,670	46,981,918	8,336,143	(38,645,775)	17.7%
<b>TOTAL FUNDING SOURCES</b>	<b>349,538,638</b>	<b>87,384,660</b>	<b>52,874,274</b>	<b>(34,510,386)</b>	<b>60.5%</b>
Administrative & Operating Expense	(178,041,374)	(44,510,344)	(38,033,050)	6,477,294	85.4%
Capital Improvement Project Expense	(175,566,167)	(43,891,542)	(10,022,108)	33,869,434	22.8%
Debt Service and All Other Expenses	(25,185,135)	(6,296,283)	(341,582)	5,954,701	5.4%
<b>TOTAL USES OF FUNDS</b>	<b>(378,792,676)</b>	<b>(94,698,169)</b>	<b>(48,396,740)</b>	<b>46,301,429</b>	<b>51.1%</b>
<b>Surplus/(Deficit)</b>	<b>(\$29,254,038)</b>	<b>(\$7,313,509)</b>	<b>\$4,477,534</b>	<b>\$11,791,043</b>	

**Actual vs. Budget YTD  
For the Quarter  
Ended September 30, 2020**



## 2. Actual Revenue vs. Budget:

Quarter Ended September 30, 2020

	Amended Budget	Budget Year to Date (YTD)	Actual Year to Date (YTD)	Budget YTD vs. Actual	% of Budget Used YTD
<b>Operating Revenues:</b>					
User Charges	\$88,233,485	\$22,058,371	\$21,440,383	(\$617,988)	97.2%
Recycled Water Sales	16,155,000	4,038,750	6,107,630	\$2,068,880	151.2%
MWD Water Sales	46,236,000	11,559,000	14,758,375	\$3,199,375	127.7%
Cost Reimbursement	7,057,798	1,764,450	2,149,706	\$385,256	121.8%
Interest	3,928,685	982,171	82,036	(\$900,135)	8.4%
<b>OPERATING REVENUES</b>	<b>161,610,968</b>	<b>40,402,742</b>	<b>44,538,130</b>	<b>4,135,388</b>	<b>110.2%</b>
<b>Non-Operating Revenues:</b>					
Property Tax - Debt, Capital, Reserves	\$53,934,900	\$13,483,725	\$0	(\$13,483,725)	0.0%
Connection Fees	35,734,799	8,933,700	5,998,487	(\$2,935,213)	67.1%
Grants & Loans	94,059,220	23,514,805	0	(\$23,514,805)	0.0%
Other Revenue	4,198,751	1,049,688	2,337,657	\$1,287,969	222.7%
<b>NON-OPERATING REVENUES</b>	<b>187,927,670</b>	<b>46,981,918</b>	<b>8,336,144</b>	<b>(38,645,774)</b>	<b>17.7%</b>
<b>Total Revenues</b>	<b>\$349,538,638</b>	<b>\$87,384,660</b>	<b>\$52,874,274</b>	<b>(\$34,510,386)</b>	<b>60.5%</b>

User Charges, 97.2%	User charges were \$21.4 million, or 97.2 percent of the year to date budget. The category includes \$18.1 million monthly sewer charges based on equivalent dwelling units (EDU), \$1.4 million non-reclaimable wastewater fees paid by industrial and commercial users connected to the brine line system and sale of initial capacity charge and rights for disposal of non-reclaimable and industrial wastewater; and \$1.9 million of monthly meter equivalent unit charges (MEU) imposed on all potable water connections; and Readiness-to-Serve Ten Year Rolling Average (RTS TYRA) charges to meet our Readiness-to-Serve obligation to Metropolitan Water District (MWD).
Property Tax/ AdValorem, 0%	General ad-valorem property tax receipts from the San Bernardino County Tax Assessor will begin in November 2020 as the collection of the first installment from property owners will be due to the county November 1st. Payment and "pass through" of incremental Redevelopment Agencies (RDA) taxes are due from the county in January and June. Property tax receipts are budgeted based on valuation projected by the county, increased home sales, and anticipated adjustments in property tax distribution due to the dissolution of the redevelopment agencies.
Recycled Water Sales, 151.2%	Recycled water direct sales were \$3.7 million for 7,520 acre feet (AF) and groundwater recharge sales were \$2.4 million for 4,406 acre feet (AF), for a combined total of \$6.1 million or 11,926 AF. Total deliveries of 31,900 AF (19,000 AF Direct and 12,900 AF Recharge) were budgeted for the fiscal year. Demand for direct use and groundwater recharge varies depending on weather patterns, water use conservation efforts, reuse supply, and basin availability.
Interest Income, 8.4%	Interest Income was \$82 thousand or 8.4 percent of the year to date budget. The Agency earns interest income by investing funds not immediately required for daily operations. The Agency's average portfolio yield for September 2020 was 1.45%. The budgeted interest rate assumption for FY 2019/20 is 2.5% based on the Agency's overall fund balance which is higher than the agency's portfolio.
MWD Water Sales, 127.7%	Total Metropolitan Water District of Southern California (MWD) pass-through imported water revenue was \$14.8 million or 127.7 percent of the year to date budget. Imported water deliveries were 15,646 AF compared to the annual budgeted quantity of 60,000 AF. High demand through the first quarter can be attributed to increased usage due to warm summer weather.
Connection Fees, 67.1%	Total connection fee receipts of \$6.0 million or 67.1 percent of the year to date budget. Receipts include \$4.8 million for new regional wastewater system connections and \$1.2 million for new water connections. The number of new wastewater connections reported through the first quarter were 690 equivalent dwellings unit (EDU) compared to the annual budget of 4,000. The total number of new water connections were 825 meter equivalent dwelling units (MEU) compared to the budgeted 4,700.
Grants and Loans, 0%	No grant and loan receipts were received in the first quarter. Grants and loan receipts are primarily reimbursable in nature and as such are dependent upon related capital projects expenditures. Due to the COVID-19 pandemic, capital project activities remained well below their levels at the beginning of the fiscal year. Activities is expected to recover but expected to remain slow for the remainder of the fiscal year. Annual budgeted grants of \$14.4 million include \$7.5 million for Recharge Master Plan Update (RMPU) projects in the Recharge Water fund, \$3.8 million for Plume Cleanup project in the Regional Wastewater Operations fund, \$3.1 million for various recycled water optimization, drought relief, and lateral projects in the Recycled water fund. State Revolving Fund (SRF) loan proceeds annual budget of \$79.6 million include \$65.3 million to support the RP-5 Solids Treatment and Liquid Expansion projects in the Regional Wastewater program, \$8.8 million for Recharge Master Plan Update projects in the Recharge Water Fund, and \$5.5 million for various recycled water optimization and lateral projects in the Recycled Water Fund.
Cost Reimbursements JPA, 121.8%	Total cost reimbursements were \$2.1 million or 121.8 percent of the year to date budget. Actuals include reimbursements of \$1.1 million from the Inland Empire Regional Composting Authority (IERCA) and \$0.3 million from Chino Basin Desalter Authority (CDA) for the Agency's operation & maintenance of the IERCA Composter and CDA Desalter facilities respectively. Also included is \$0.7 million for operations & maintenance costs related to the groundwater recharge basins, net of the Agency's pro-rata share for the recycled water recharge costs. Annual total cost reimbursement budget of \$7.1 million includes: \$4.2 million from IERCA, \$1.4 million from CDA, and \$1.5 million from Chino Basin Water Master (CBWM).
Other Revenues, 222.7%	Total other revenues and project reimbursements were \$2.3 million, or 222.7 percent of the year to date budget. Actual receipts include \$1.5 million one-time receipt from Monte Vista Water District (MVWD) for capacity rights and wastewater discharge permits to use 7 capacity units from the Etiwanda Wastewater Line (EWL); \$0.6 million from Chino Basin Waster Master(CBWM) for their share of the 2008B Rate Variable bond debt service and fixed project costs; and \$0.2 million of miscellaneous revenues for Non-Reclaimable Wastewater leased capacity units.

### 3. Actual Operating and Capital Expense vs. Budget:

#### Quarter Ended September 30, 2020

	Amended Budget	Budget Year to Date (YTD)	Actual Year to Date (YTD)	Budget YTD vs. Actual	% of Budget Used YTD
<b>Operating Expenses:</b>					
Employment	\$52,269,032	\$13,067,258	\$13,092,138	(\$24,880)	100.2%
Admin & Operating	79,536,342	19,884,086	10,182,537	\$9,701,549	51.2%
MWD Water Purchases	46,236,000	11,559,000	14,758,375	(\$3,199,375)	127.7%
<b>OPERATING EXPENSES</b>	<b>\$178,041,374</b>	<b>\$44,510,344</b>	<b>\$38,033,050</b>	<b>\$6,477,294</b>	<b>85.4%</b>

#### Non-Operating Expenses:

Capital	175,566,167	43,891,542	10,022,108	\$33,869,433	22.8%
Debt Service and All Other Expenses	25,185,135	6,296,283	341,581	\$5,954,702	5.4%
<b>NON-OPERATING EXPENSES</b>	<b>\$200,751,302</b>	<b>\$50,187,825</b>	<b>\$10,363,689</b>	<b>\$39,824,135</b>	<b>20.6%</b>
<b>Total Expenses</b>	<b>\$378,792,676</b>	<b>\$94,698,169</b>	<b>\$48,396,739</b>	<b>\$46,301,429</b>	<b>51.1%</b>

Employment Expenses net of allocation to projects	<p><b>Employment, 100.2%</b> Employment expenses were \$13.1 million or 100.2 percent of the year to date budget. At the end of the first quarter, total filled regular positions were 266 compared to the 286 budgeted (290 authorized) and 11 limited term positions were filled compared to the 18 budgeted positions. Recruitment of key positions as part of the Agency's succession planning effort is expected to lower the vacancy factor going forward. Due to COVID-19, departments have been directed to fill only the most essential vacant positions. The budget and actual expenses include \$7.5 million payment toward the retirement unfunded liabilities.</p>
Administrative & Operating Expenses	<p><b>Office and Administrative, 23.4%</b> Total expenses through the first quarter were \$0.2 million or 23.4 percent. The favorable variance was due to COVID-19 and non-essential staff continuing to work remotely, and suspension of all travel and a shift to virtual online training and events.</p> <p><b>Professional Fees &amp; Services, 42.1%</b> Total expenses were \$1.5 million, or 42.1 percent of the year to date budget. The positive variance is primarily due to the deferral of non-critical contract labor and materials. Some professional services are anticipated to ramp up in the ensuing quarters such as rehabilitation of clarifiers and aeration basins; repairs and calibration of critical compliance equipment and treatment process; and contractor and consultant support for project management and administrative services. Non-critical preventive maintenance has also been temporarily put on hold due to scheduling changes to comply with social distancing necessary to protect the health and safety of employees.</p> <p><b>Materials &amp; Supplies/Leases/Contribution, 66.4%</b> Expenses through the first quarter were \$0.9 million or 66.4 percent of year to date budget. The favorable variance was primarily due to staff's effort to monitor operational equipment usage to ensure maximum use from supplies, replacement parts, and consumables used by treatment plants. In addition due to COVID-19, operations-related repairs performed fewer preventive corrective and maintenance tasks therefore supplies were not utilized.</p> <p><b>Biosolids Recycling, 95.8%</b> Biosolids expenses through the end of the first quarter were \$1.1 million or 95.8 percent of the year to date budget. The biosolids budget includes costs for hauling and disposal of biosolids and non-biological materials. Year to date tonnage of the Agency's biosolids generated from all its water recycling facilities shipped to IERCA was 15,745 tons with a hauling rate of \$57 per ton.</p> <p><b>Chemicals, 84.9%</b> Chemical expenses were \$1.1 million, or 84.9 percent of the year to date budget. Chemicals are essential in meeting regulatory requirements, treatment process performance goals, and sustainment of high quality recycled water.</p> <p><b>MWD Water Purchases, 127.7%</b> Total Metropolitan Water District of Southern California (MWD) pass-through imported water revenue was \$14.8 million or 127.7 percent of the year to date budget. Imported water deliveries were 15,646 AF compared to the annual budgeted quantity of 60,000 AF.</p> <p><b>Operating Fees, 43.9%</b> Total expenses were \$1.4 million or 43.9 percent of the year to date budget. A major part of this category are the "pass-through" fees from Los Angeles County Sanitation District (LACSD) and Santa Ana Watershed Project Authority (SAWPA) for the Agency's non-reclaimable wastewater system (NRWS).</p> <p><b>Utilities, 103.1%</b> Total utilities expenses were \$2.7 million or 103.1 percent of the year to date budget. This category includes the purchase of electricity from Southern California Edison (SCE) or the grid, natural gas, and purchase of renewable energy generated on site from solar and wind. Through the first quarter, the average rate for imported electricity ranged between \$0.11/kWh - \$0.12/kWh compared to the budgeted rate of \$0.12/kWh.</p> <p><b>O&amp;M and Reimbursable Projects, 21.2% and 0%</b> The combined O&amp;M and reimbursable project costs were \$1.3 million or 21.2 percent of the combined year to date budget. The favorable balance is mainly due to delays in project spending on various fund planning documents and drought related project.</p>

Financial Expenses	<b>Financial Expense, 0.2%</b> Total financial expenses were \$13 thousand or 0.2 percent through the end of the first quarter. Actual costs incurred were mostly for financial services. Principal and interest payments for various bonds and State Revolving Fund (SRF) loans are due and payable in the next quarters.
Other Expenses	<b>Other Expenses, 381.8%</b> Total other expenses were \$0.4 million or 381.8 percent of the year to date budget. The category expense includes the annual contribution-in-aid to the Santa Ana Watershed Project Authority.
Capital Expenses	<b>Capital Costs, 22.8%</b> Total capital project expenditures year to date were \$10.0 million or 22.8 percent of the year to date budget. The favorable variance can be attributed to contractor delays, design recommendation reviews, and extended request for proposals and related contract award delays due to the COVID-19 pandemic. Capital project budgeted related to the Regional Wastewater program were \$153.9 million, or 87 percent of the \$175.6 million of the annual program budget. Recycled Water program capital projects accounted for \$7.4 million, or 4.2 percent of the annual program budget.

**Summary of major capital and non-capital project expenses and status as of June 30, 2020**

Capital Projects		Annual Budget	Actual YTD	% of Budget Used YTD
EN17082	<b>RP-1 Mechanical Restoration</b> It is anticipated that two RAS pumps will need to be replaced with new variable frequency drives with most of the above ground piping and valves. Additionally, this project will install grinders on all sludge transfer lines in the digester area to limit rag related issues in the digestion and dewatering processes. Construction is in progress.	4,300,000	1,495,016	34.8%
EN19006	<b>RP-5 Solids Handling Facility</b> Design of the offsite pump stations and Radio Tower is still ongoing. AQMD permit has been submitted to AQMD. IEUA is awaiting draft permit to construction, which is anticipated to be received in late December.	55,345,979	530,113	1.0%
EN19001	<b>RP-5 Expansion to 30 mgd</b> Improvements at RP-5 are expected to increase the treatment capacity of RP-5 from 15 to 30 MGD. The relocation of the RP-2 Solids Treatment Facility to RP-5 is required due to the facility being located on United States Army Corps of Engineers (USACE) property, which is located in a future flood plain upon completion of USACE project to raise the Prado Dam Spillway. Permit, bid and award are in progress.	31,711,330	579,779	1.8%
EN20056	<b>RSS Haven Avenue Repairs</b> During the Request for Proposal advertisement period, IEUA staff members discussed the potential of diverting all of the flow from the Cucamonga Trunk Sewer to the adjacent Cucamonga Interceptor Relief permanently and abandoning the Trunk Sewer. The scope of work for this alternative was requested to be added to the consultant's design proposal as a design alternative. If this alternative proves to be the best value for the Agency, the scope of work for the project will be changed remarkably. No schedule or budget impacts are foreseen if the scope of work changes.	5,601,606	27,406	0.5%
O&M & Reimbursable Projects		Annual Budget	Actual YTD	% of Budget Used YTD
EN19024	<b>Collection System Asset</b> Solicit for qualified firms to provide the consulting services to meet the goal of the AM program and seek contractors to implement condition assessment activities or needed repairs or rehab. The activities will be specific to the Collection System (Regional Conveyance System).	3,186,350	79,053	2.5%
EN19023	<b>Asset Management Plan</b> Solicit for qualified firms to provide the consulting service to meet the goals of the AMP and seek specialized consultants/contractors to implement recommended improvements to the AMP.	1,179,842	44,388	3.8%
WR18005	<b>Turf Removal Rebate</b> The project will conduct an evaluation to understand institutional agreements and models to be developed in order to implement and coordinate storage recovery programs within the Chino Groundwater Basin for local, regional, and statewide benefits. The region's supplemental water providers and members of the regions groundwater recharge, surface water treatment, and groundwater production facilities will work together to accomplish project goals. The project is funded equally between the Agency, Monte Vista Water District, City of Ontario, and Cucamonga Valley Water District.	515,805	64,923	12.6%

**INLAND EMPIRE UTILITIES AGENCY**  
***Fiscal Year 2020/21***  
**CONSOLIDATED BUDGET VARIANCE ANALYSIS REPORT**  
***Quarter Ended September 30, 2020***

	Amended Budget	Budget YTD	Actual YTD	Variance YTD	% Budget YTD Used	% Annual Budget Used
<b><u>OPERATING REVENUES</u></b>						
User Charges	\$88,233,485	\$22,058,371	\$21,440,383	(\$617,988)	97.2%	24.3%
Recycled Water	16,155,000	4,038,750	6,107,630	2,068,880	151.2%	37.8%
MWD Water Sales	46,236,000	11,559,000	14,758,375	3,199,375	127.7%	31.9%
Cost Reimbursement from JPA	7,057,798	1,764,450	2,149,706	385,257	121.8%	30.5%
Interest Revenue	3,928,685	982,171	82,036	(900,135)	8.4%	2.1%
<b>TOTAL OPERATING REVENUES</b>	<b>\$161,610,968</b>	<b>\$40,402,742</b>	<b>\$44,538,131</b>	<b>\$4,135,389</b>	<b>110.2%</b>	<b>27.6%</b>
<b><u>NON-OPERATING REVENUES</u></b>						
Property Tax	\$53,934,900	\$13,483,725	\$0	(\$13,483,725)	0.0%	0.0%
Connection Fees	35,734,799	8,933,700	5,998,487	(2,935,213)	67.1%	16.8%
Grants	14,412,372	3,603,093	-	(3,603,093)	0.0%	0.0%
SRF Loan Proceeds	79,646,848	19,911,712	0	(19,911,712)	0.0%	0.0%
Project Reimbursements	3,050,651	762,663	558,831	(203,832)	73.3%	18.3%
Other Revenue	1,148,100	287,025	1,778,826	1,491,801	619.7%	154.9%
<b>TOTAL NON OPERATING REVENUES</b>	<b>\$187,927,670</b>	<b>\$46,981,918</b>	<b>\$8,336,143</b>	<b>(\$38,645,774)</b>	<b>17.7%</b>	<b>4.4%</b>
<b>TOTAL REVENUES</b>	<b>\$349,538,638</b>	<b>\$87,384,660</b>	<b>\$52,874,274</b>	<b>(\$34,510,385)</b>	<b>60.5%</b>	<b>15.1%</b>
<b><u>ADMINISTRATIVE and OPERATING EXPENSES</u></b>						
<b>EMPLOYMENT EXPENSES</b>						
Wages	\$27,477,731	\$6,869,433	\$7,882,644	(\$1,013,211)	114.7%	28.7%
Benefits	24,791,301	6,197,825	5,209,495	988,332	84.1%	21.0%
<b>TOTAL EMPLOYMENT EXPENSES</b>	<b>\$52,269,032</b>	<b>\$13,067,258</b>	<b>\$13,092,138</b>	<b>(\$24,879)</b>	<b>100.2%</b>	<b>25.0%</b>
<b>ADMINISTRATIVE EXPENSES</b>						
Office & Administrative	\$3,059,219	\$764,805	\$179,274	\$585,531	23.4%	5.9%
Insurance Expenses	1,048,795	262,199	265,665	(3,466)	101.3%	25.3%
Professional Fees & Services	14,120,123	3,530,031	1,486,021	2,044,010	42.1%	10.5%
O&M Projects	24,063,169	6,015,792	\$1,277,810	4,737,982	21.2%	5.3%
Reimbursable Projects	328,583	82,146	\$0	82,146	0.0%	0.0%
<b>TOTAL ADMINISTRATIVE EXPENSES</b>	<b>\$42,619,888</b>	<b>\$10,654,972</b>	<b>\$3,208,770</b>	<b>\$7,446,203</b>	<b>30.1%</b>	<b>7.5%</b>

**INLAND EMPIRE UTILITIES AGENCY**  
**Fiscal Year 2020/21**  
**CONSOLIDATED BUDGET VARIANCE ANALYSIS REPORT**  
**Quarter Ended September 30, 2020**

	Amended Budget	Budget YTD	Actual YTD	Variance YTD	% Budget YTD Used	% Annual Budget Used
<b>OPERATING EXPENSES</b>						
Material & Supplies/Leases	\$3,460,105	\$865,026	\$574,349	\$290,677	66.4%	16.6%
Biosolids Recycling	4,758,962	1,189,741	1,139,313	50,427	95.8%	23.9%
Chemicals	5,417,747	1,354,437	1,149,668	204,769	84.9%	21.2%
MWD Water Purchases	46,236,000	11,559,000	14,758,375	(3,199,375)	127.7%	31.9%
Operating Fees/RTS Fees/Exp. Alloc.	12,775,960	3,193,990	1,403,757	1,790,232	43.9%	11.0%
Utilities	10,503,680	2,625,920	2,706,679	(80,759)	103.1%	25.8%
<b>TOTAL OPERATING EXPENSES</b>	<b>\$83,152,454</b>	<b>\$20,788,114</b>	<b>\$21,732,142</b>	<b>(\$944,029)</b>	<b>104.5%</b>	<b>26.1%</b>
<b>TOTAL ADMINISTRATIVE and OPERATING EXPENSES</b>	<b>\$178,041,374</b>	<b>\$44,510,344</b>	<b>\$38,033,050</b>	<b>\$6,477,295</b>	<b>85.4%</b>	<b>21.4%</b>
<b><u>NON-OPERATING EXPENSES</u></b>						
<b>CAPITAL OUTLAY</b>	<b>\$175,566,167</b>	<b>\$43,891,542</b>	<b>\$10,022,108</b>	<b>\$33,869,434</b>	<b>22.8%</b>	<b>5.7%</b>
<b>FINANCIAL EXPENSES</b>						
Principal, Interest and Financial Expenditures	24,841,082	6,210,271	13,146	6,197,125	0.2%	0.1%
<b>OTHER NON-OPERATING EXPENSES</b>	<b>344,052</b>	<b>86,013</b>	<b>328,436</b>	<b>(242,423)</b>	<b>381.8%</b>	<b>95.5%</b>
<b>TOTAL NON-OPERATING EXPENSES</b>	<b>\$200,751,301</b>	<b>\$50,187,825</b>	<b>\$10,363,689</b>	<b>\$39,824,136</b>	<b>20.6%</b>	<b>5.2%</b>
<b>TOTAL EXPENSES</b>	<b>\$378,792,676</b>	<b>\$94,698,169</b>	<b>\$48,396,740</b>	<b>\$46,301,431</b>	<b>51.1%</b>	<b>12.8%</b>
<b>REVENUES IN EXCESS/ (UNDER) EXPENSES</b>	<b>(\$29,254,037)</b>	<b>(\$7,313,509)</b>	<b>\$4,477,534</b>	<b>\$11,791,044</b>		

Totals may not add up due to rounding

## Business Goals Status Updates – FY 2020/21 1<sup>st</sup> Quarter

Exhibit B

INITIATIVES DIVISION	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
<b>AGENCY MANAGEMENT</b>			<p>Staff continues to complete courses offered by Skill Soft, attend virtual seminars/webinars and cross train within the department. 3 staff members plan to complete the 2020 Virtual CSDA Board Secretary/Clerk Conference in Q2. Crucial Conversations Training will be taking place in December 2020 for leadership staff.</p> <p>GM Goals: 5-Year Succession Plan: Update the Agency's 5-Year Succession Plan (Dec 2015) to align resources with the Agency's overall mission, vision and business goals. The Plan will project the impact of key initiatives on current staffing levels and anticipated changes over the next 5 years.</p> <p>Career Management Program: The second round of the Career</p>	<p>Staff has worked with Safety, Risk Management, and HR to ensure safety protocols are practiced at the front desk during the COVID-19 pandemic.</p> <p>GM Goal: Cyber Security Tech Master Plan: Update the Agency's Technology Master Plan (March 2015) to appropriately leverage technology to improve delivery of services and cyber security. Promptly identify and recover when cyber security breach occurs.</p>	<p>Staff continued to work with Accounting/Finance to transition alternate Policy Committee members to ACH payments in lieu of processing paper checks. Staff has worked with RM, CAP, and BIS staff on the implementation of DocuSign. Staff began working with BIS to develop a new Board Tracker accessible on AIM. Staff is also working with RM staff to move Committee/Board processes to electronic methods and increasingly utilize Laserfiche for records retention.</p>

## Business Goals Status Updates – FY 2020/21 1<sup>st</sup> Quarter

Exhibit B

			<p>Management Program concluded on September 14<sup>th</sup> with a graduation ceremony for the 17 participants held via Microsoft Teams.</p> <p>Employee Incentive &amp; Recognition Programs: Evaluate and possibly update employee incentive and recognition programs to promote employee engagement, achievement, and retention.</p>		
<b>HR</b>	HR staff joined the Asset Management Steering Committee and is a member of the Defect Elimination Task Force.				HR continues to collaborate with BIS and payroll staff in support of the implementation of the W 4 and DE 4 tax forms on the Employee Self Service (ESS) Portal. The expected “go live” date is anticipated to be mid- November.
<b>GR</b>	GR co-presented a presentation on Regulatory Issues to the October 5, 2020 meeting of the Asset Management Steering Committee, focusing on increasing energy costs and Zero Emission Vehicles.	GR assured that “shovel-ready” CIP projects from IEUA and its member agencies were included in a regional list of viable projects, ready to advocate for federal and state stimulus funding in case it becomes available.			



## Business Goals Status Updates – FY 2020/21 1<sup>st</sup> Quarter

Exhibit B

INITIATIVES DIVISION	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
<b>EXTERNAL AFFAIRS AND POLICY DEVELOPMENT</b>	<p>EA staff have taken part in the Defect Elimination Task Force.</p> <p>RM Specialist is a member of and has taken part in the Defect Elimination Task Force. RM Supervisor is a member of and is an active participant on the Asset Management Committee</p>	<p>The Grants Department continues to secure and manage low-cost financing in support of the Agency’s capital projects.</p> <p>The Grants Department prepared applications for two projects: Montclair Basin Improvements and The Landscape Conservation Program.</p> <p>1) IEUA collaborated with the Chino Basin Water Conservation District (CBWCD), requesting \$1.4 M in grant funding for the Montclair Basin Improvements from the USBR WaterSmart Drought Response Program: Drought Resiliency Projects.</p> <p>2) IEUA requested \$1.1 M for the Landscape Conservation Program from the</p>	<p>EA staff attended multiple webinars/trainings focused on messaging, education and outreach trends as well as school district opening status.</p> <p>EA staff has implemented team training/coordination/innovation for virtual platforms: tours, education programming, etc.</p> <p>RM Staff continue to train Agency employees including management staff on the use, processes and elements of the Laserfiche system. RM Staff continue to attend webinars and training on Records Management best practices. Staff has been trained specifically on how to maintain compliance and safety to the electronic records management system while the “Work from Home” order is in place during COVID-19 Pandemic. Records Management Specialist completed and graduated from the Agency’s Career Management Program.</p> <p>The second round of the Career Management Program concluded on September 14, 2020 with a virtual graduation ceremony. A</p>		

## Business Goals Status Updates – FY 2020/21 1<sup>st</sup> Quarter

Exhibit B

		<p>U.S. Bureau of Reclamation's (USBR) WaterSMART Water and Energy Efficiency Grants Program.</p> <p>The Grants Department was awarded funding of \$1.0M in collaboration with partner agencies:</p> <p>CDA (Desalter 1) SWRCB Prop 68 Chino Basin Improvement and Groundwater Clean-up SWRCB funding of \$1.0M</p>	<p>total of 17 participants graduated from the second round of the program, including one member of the Grants staff.</p>		
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## Business Goals Status Updates – FY 2020/21 1<sup>st</sup> Quarter

Exhibit B

INITIATIVES DIVISION	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
<b>ENGINEERING, PLANNING &amp; SCIENCE</b>	<ul style="list-style-type: none"> <li>AM Staffing: Developed a phased plan with O&amp;M Managers to grow AM staff. Phase 1 adds an engineer and project manager starting quarter 2. Phase 2 is still under development.</li> <li>Condition Assessments (CA): <ul style="list-style-type: none"> <li>AM engineer will continue working on CA Strategic Plan.</li> <li>Condition Assessment and Optimization Project (EN19024) went to Board in Sept. Commencing project quarter 2.</li> <li>CCWRF AM &amp; Improvements (EN17006) in design.</li> </ul> </li> <li>Risk/Liability &amp; Mitigation Plans: Several Agency risks have been identified and mitigation plans</li> </ul>	<p>As part of the Engineering and Construction Management Department's goal of providing high quality project management for the completion of Capital Improvement Projects the Department's KPIs are as follows:</p> <p>1st Quarter Capital Spending was 71% (the amount we project as a department to spend this FY against our FY Budget. Actual vs Budget).</p> <p>1st Quarter Actual Expenditures as a percentage of our forecasted expenditures was 71% (How accurate the department is with our capital call projections each quarter).</p> <p>1st Quarter Design Scope Amendment ratio was 28.72%</p>	<p>The Engineering and Construction Management Department had one monthly mentoring session with the interns during the 1st quarter.</p> <p>Staff conducted a discussion on the book, Crucial Conversations: Tools for Talking When Stakes Are High, by Patterson, Grenny, McMillan and Switzler. The general topic was how to become effective at handling high-stakes conversations, or crucial conversations, to make work and personal matters a lot easier. This topic will also be discussed in the 2nd quarter with discussions on</p> <ul style="list-style-type: none"> <li>What is a crucial conversation?/How to recognize a crucial conversation?</li> <li>Why does communication fail in crucial conversation?</li> <li>Dealing with crucial conversations/Preparation for the conversation</li> </ul>	<p>PL Staff participates in monthly safety training videos. PL Staff has regularly been sending emails to IS before opening any suspicious links to verify if they are phishing attempts</p>	<p>PL Staff participated in end of the year SAP training for purchase order rollovers and carry-forwards for non-encumbered projects. PL Staff worked with BIS to coordinate water meter connection fees in SAP input process.</p> <p>PL Staff also participated</p>

## Business Goals Status Updates – FY 2020/21 1<sup>st</sup> Quarter

Exhibit B

	<p>are in effect or being put in place. These include the following:</p> <ul style="list-style-type: none"> <li>○ NSNT H2S and Explosive Gas</li> <li>○ Missing Manholes on Haven Ave</li> <li>○ Preserve Lift Station SSO(s)</li> <li>○ Litigation &amp; Traffic Control</li> <li>○ Single points of failure including the RP-1 GT and RP-2 Flare</li> </ul> <ul style="list-style-type: none"> <li>● <b>Process Development:</b> AM is working on documenting best practice processes including asset flow, root cause analysis, and risk mitigation.</li> <li>● <b>Defect Elimination (De):</b> 18 volunteers, across the Agency, are working on projects to improve reliability and reduce waste. De Task Force #1 will complete their projects in Dec and then the next De TF will commence.</li> </ul> <p>IERCF Pilot: Collaborating with IERCF to implement software (PaSTA) to</p>	<p>1st Quarter Change Order ratio was 5.83%</p> <p>1st Quarter Project Costs within 110% of Total Project Budget Established in the Project Charter was 80%. Eight of ten projects that had a Notice of Completion met the goal.</p> <p><b>RP-5 Expansion Project:</b> Board approved the project in award in July to W.M. Lyles. The contractor has mobilized trailers, started potholing, and has limited notice to proceed. Contractor is preparing the baseline schedule which once approved will allow construction to start, anticipated in December 2020 or January 2021.</p> <p><b>Ten Year Forecast:</b> PL Staff are coordinating with Engineering, Ops, and Finance to clarify and refine the Ten-Year Forecasting process.</p>	<p>PL Staff joined the Career Management program with participants and learning facilitators, attend seminars and webinars hosted by the Agency, and Regulatory Agencies, and cross train within the department. PL Staff regularly interact with and develop information for Agency management, IEUA Board, contracting agency policy members. PL Staff also actively participate in industry-wide discussions about water resource management, development, and trends (PPIC, ACWA, SCAP, CASA, Water Reuse Association, Pacific Institute, etc.)</p>		
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## Business Goals Status Updates – FY 2020/21 1<sup>st</sup> Quarter

Exhibit B

	improve our maintenance scheduling process. Software scheduled to be rolled out by end of quarter 2.	<b>Chino Basin Program:</b> The Agency received conditional funding award under the WSIP (Water Storage Investment Program) based on a project concept known as the Chino Basin Program, CBP. The CBP as configured was considered not economically favorable by the local stakeholders and project participants. PL Staff is continuing to work with stakeholders to identify regional priorities and craft the next water resources program that is needed within the IEUA service area and will then determine if the program still qualifies for the WSIP funding.			
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## Business Goals Status Updates – FY 2020/21 1<sup>st</sup> Quarter

Exhibit B

INITIATIVES DIVISION	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
<b>FINANCE &amp; ADMINISTRATION</b>	<p>Staff worked with AM Manager on Risk/Liability definitions</p> <p>BIS is working with ISS and IERCF to implement the enhancement to SAP Plant Maintenance module with work order scheduling tool called PaSTA. This tool allows planners to schedule work orders using drag-and-drop feature and see near real-time KPIs.</p> <p>BIS is developing a new process for creating and maintaining asset data in SAP using Excel-based tool to help with ease of use and as a preparation for RP-5 expansion assets that will be coming online.</p>		<p>Finance Department staff participated in the Annual Government GAAP Update, which informed attendees about the most recent developments in accounting and financial reporting for state and local governments. Key topics included schedule of implementation dates for GASB standards, implementation guidance, issues related to CARES Act, etc.</p> <p>Finance Department staff participated in the GFOA's The Budget Document and Beyond, which informed attendees of the changes to the Distinguished Budget Presentation Awards program criteria and improvements in all areas of budget communication and presentation beyond the budget document.</p>	<p>Key staff members including CAP meet regularly to create a comprehensive plan to prepare for future possible outbreaks. The plan will be added to the Agency's current Emergency Manual. Topics discussed include pandemic action levels, critical operations/positions, disaster supplies and supply chain.</p> <p>CAP in collaboration with the Facilities team installed Plexiglass barriers in public areas and office areas.</p> <p>BIS is working with ISS to implement necessary security controls to allow Agency's timesheet and payroll application to work on Google Chrome browser instead of the Internet Explorer, which Microsoft plans to end its support by 3/31/2021. After that date, there will</p>	<p>BIS upgraded the SAP system bringing the Agency to full compliance preparing the Agency for any law and regulation changes for the calendar year-end.</p> <p>BIS worked with IERCA to develop an IERCA dashboard providing an overview of the process, business and energy performance indicators for the compost production facility.</p> <p>HR worked with BIS and Payroll staff in support of the implementation and "go live" of the W 4 Form on the Employee Self Service (ESS) Portal.</p> <p>Finance Department worked with BIS and CAP to host year-end training for closing FY 2019/20 which is intended to make the closing process simpler, focus on key dates and</p>

## Business Goals Status Updates – FY 2020/21 1<sup>st</sup> Quarter

Exhibit B

				<p>not be any security patches. The Agency's plan to migrate away from Internet Explorer now will prepare it for stronger security for its web-based applications.</p> <p>BIS is evaluating any online forms that are dependent on either Internet Explorer or Adobe Flash and migrate them to another format that is compatible with more modern browsers. This is to ensure business continuity and secured applications.</p>	<p>processes necessary to roll over PRs and POs from the current FY into the next and to back-post relevant goods/services receipts in SAP.</p> <p>BIS is working with HR to establish requirements necessary to implement more functionality in NeoGov Insight and Onboard modules, with the goal of integrating with SAP HR module to streamline the data and processes.</p> <p>BIS is working with various departments involved in preparation tasks associated with onboard a new employee. The existing online form is being enhanced using Laserfiche to include more complex workflow rules that only engaged those who have a task to perform. The form also provides audit trail and allows for hiring manager to have visibility over progress.</p>
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## Business Goals Status Updates – FY 2020/21 1<sup>st</sup> Quarter

Exhibit B

					BIS is continually expanding the Online Catalog Interfaces (OCIs) to more vendors to improve ease of use with managed purchases.
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## Business Goals Status Updates – FY 2020/21 1<sup>st</sup> Quarter

Exhibit B

INITIATIVES	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
DIVISION					
<b>OPERATIONS</b>	<ul style="list-style-type: none"> <li>AM Staffing: O&amp;M Managers worked with the AM Manager to develop staffing plan.</li> <li>O&amp;M team working on Risk/Liability &amp; Mitigation Plans: Several Agency risks have been identified and mitigation plans are in effect or being put in place. These include the following: <ul style="list-style-type: none"> <li>NSNT H2S and Explosive Gas</li> <li>Missing Manholes on Haven Ave</li> <li>Preserve Lift Station SSO(s)</li> <li>Litigation &amp; Traffic Control</li> <li>Single points of failure including the RP-1 GT and RP-2 Flare</li> </ul> </li> <li>O&amp;M staff supporting Defect Elimination (De): 18 volunteers, across the Agency, are working on projects to improve reliability and reduce waste. De Task Force #1</li> </ul>	<p>(O/M) Staff submitted New Project Request Forms to Engineering as part of the TYCIP and bi-annual budget preparation process. The new project request forms identify the need for rehabilitation or improvement of existing assets that have reached the end of their useful life.</p>	<p>(O/M) Staff has attended multiple virtual training classes in support of our continuous learning process, which supports professional and personal development. This includes numerous webinars and workshops focused on COVID-19, its effects, risk, and best management practices.</p> <p>Michael Campbell: Vibration analysis I Cert.</p> <p>CWEA Mech Tech III Cert.</p> <p>Nagoro Setyadi: ISA CCST Level I Cert.</p> <p>Michael Rodriquez: Wastewater Operator V Cert.</p>	<p>(ISS) Information security policy is in the final stages of adoption. New malware blocking and reporting service has been implemented. Transitioning to new phishing and training service. And implemented new password filter to prevent use of weak passwords. Also transitioning to new cloud-based antivirus software to improve protection of staff, including those who are working from home.</p> <p>Zero security breaches and we continue to improve our intrusion detection with no reported incidents. Conducted a quarterly cybersecurity incident response exercise on September 29.</p>	

## Business Goals Status Updates – FY 2020/21 1<sup>st</sup> Quarter

Exhibit B

	<p>will complete their projects in Dec and then the next De TF will commence.</p> <p>IERCF Pilot: IERCF to implement software (PaSTA) to improve our maintenance scheduling process. Software scheduled to be rolled out by end of quarter 2.</p>				
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Inland Empire Utilities Agency  
Inter-Departmental / Division Transfers FY 2020/21  
Budget Transfer

Exhibit C-1

			TRANSFER FROM				TRANSFER TO					
Fund	Fund Name	Date	Cost Center	GL Account	Category	Amt Transfer Out	Cost Center	GL Account	Category	Amount Transfer In	Description	QTR
NO OPERATIONS & MAINTENANCE BUDGET TRANSFER WAS PROCESSED DURING THE FIRST QUARTER												
					Total O&M Transfers Out	\$0			Total O&M Transfers In	\$0		

Inland Empire Utilities Agency  
Inter-Departmental / Division Transfers FY 2020/21  
Budget Transfer

			TRANSFER FROM				TRANSFER TO					
Fund	Fund Name	Date	Cost Center	GL Account	Category	Amt Transfer Out	Cost Center	GL Account	Category	Amount Transfer In	Description	QTR

**Inland Empire Utilities Agency  
FY 2020/21 General Manager Contingency Account Activity  
Budget Transfer**

Date	From Expense Account	Amount Transfer Out	Receiver Fund Name	Receiver Fund Center Name	Receiver Project / Expense Account	Receiver Project / Expense Account Name	Amount Transfer In	Balance	Justification
Beginning Balance, July 1, 2020								\$300,000	
No Activity									
Balance, September 30, 2020							\$0	\$300,000	

GM Contingency Transfers Summary by Fund	
Administrative Services program	\$300,000
Recharge Water program	\$0
Non-Reclaimable Wastewater program	-
Recycled Water program	-
Regional Wastewater O&M program	-
Regional Wastewater Capital program	-
Water Resources program	-
<b>Total GM Contingency Transfers</b>	<b>300,000</b>

**Inland Empire Utilities Agency**  
**Changes in Total Project Budget: Inter-Departmental/Division Capital & O&M Transfers FY 2020/21**

**Exhibit D**

Fund	Transfer Date	Project Number	Project Title	Adopted Total Project (TP) Budget	Prior TP Changes in Current FY	Amt. of Transfer In / (Out)	New TP Budget	Annual Project Budget	Annual Proj. Budget Change	New Annual Project Budget	Project Transferred To/(From)	Justification	
Capital Projects													
10500	8/31/20	EN21014	NRWS Manhole Upgrade	\$200,000	\$0	(\$20,000)	\$180,000	\$200,000	(\$20,000)	\$180,000	EN20014	New connections to the Regional Sewer System require that flows be evaluated against existing capacity. The Agency is experiencing about four requests per year to make new connections to the Regional System. This requires updating and running the Regional Sewer model to determine impacts and cost to the system Each analysis cost roughly \$15,000. This project is being established to capture the costs associated with the connection analysis, along with IEUA staff time.	
		EN20014	NRWS Manhole Upgrade	\$290,000	\$0	\$20,000	\$310,000	\$0	\$20,000	\$20,000	EN21014		
Subtotal Non-Reclaimable Wastewater (NC):				\$490,000			\$490,000	\$200,000		\$200,000			
10800	8/3/20	EN19009	RP-1 Energy Recovery	\$200,000	\$0	(\$100,000)	\$100,000	\$4,625,000	(\$100,000)	\$4,525,000	EN20058	The transfer is needed due to the preliminary construction cost estimate was around \$400,000. However, once the consultant began the design, their estimate was over \$500,000 due to additional piping and valves that were needed but not considered as part of the original request. The construction low bid came in just below \$500,000.	
		EN20058	RP-1 TP-1 Waste Wash	\$510,000	\$0	\$100,000	\$610,000	\$650,000	\$100,000	\$750,000	EN19009		
	8/11/20	EN21040	Solids Hot Water Loop	\$250,000	\$0	(\$250,000)	\$0	\$810,000	(\$250,000)	\$560,000	EN20065	The RP-1 Solids Hot Water Loop Valves, Project EN021040, is a new project starting in FY 20/21. The total scope of work for the bid package under the RP-1 Hot Water Loop Replacement (EN20065) includes the replacement of the check and isolation valves, which is the scope under the newly 20/21 approved project RP-1 Solids Hot Water Loop Valves (EN21040). The RP-1 Hot Water Loop Replacement (EN20065) has only \$550k total budget which is not enough to cover both the pipeline and the valves, so the transfer is requested to cover the full scope of work.	
		EN20065	RP-1 Solids Hot Water	\$0	\$0	\$250,000	\$250,000	\$550,000	\$250,000	\$800,000	EN21040		
	8/10/20	EN19009	RP-1 Energy Recovery	\$100,000	\$0	(\$100,000)	\$0	\$4,525,000	(\$100,000)	\$4,425,000	EN15012	The budget transfer is needed due to the additional costs for the realignment of 200' of 30” pipe. The original alignment had multiple utility conflicts, so changes were made. This change extended the project five months, which increased the cost of inspection and staff time, in addition this incurred a \$100,000 change order above the allotted contingency for the project.	
		EN20056	RSS Haven Ave Repair	\$0	\$0	(\$150,000)	(\$150,000)	\$5,751,606	(\$150,000)	\$5,601,606	EN15012		
		EN15012	RP-1 East Primary Effluent	\$0	\$0	\$250,000	\$250,000	\$550,000	\$250,000	\$800,000	EN19009 EN20056		
	9/2/20	EN17042	Digester 6 & 7 Roof	\$0	\$0	(\$325,000)	(\$325,000)	\$7,056,000	(\$325,000)	\$6,731,000	EN19043	The RP-1 Centrifuge Foul Air Line Retrofit Project needs the budget transfer to complete the centrifuge upper casings procurement, construction and construction management costs.	
		EN19043	RP-1 Centrifuge Foul	\$0	\$0	\$325,000	\$325,000	\$120,000	\$325,000	\$445,000	EN17042		

Inland Empire Utilities Agency

Changes in Total Project Budget: Inter-Departmental/Division Capital & O&M Transfers FY 2020/21

Exhibit D

Fund	Transfer Date	Project Number	Project Title	Adopted Total Project (TP) Budget	Prior TP Changes in Current FY	Amt. of Transfer In / (Out)	New TP Budget	Annual Project Budget	Annual Proj. Budget Change	New Annual Project Budget	Project Transferred To/(From)	Justification	
10800	9/30/20	EN13016	SCADA Enterprise System	\$22,045,000	\$0	(\$50,000)	\$21,995,000	\$3,000,000	(\$50,000)	\$2,950,000	IS21021	The 6B communications tower is a critical part of the GWR and RW SCADA network and is located on Haven Ave. in the City of Rancho Cucamonga (CVWD 6B tank site). It also serves as the backup communications link for the Agency-wide area network. During Public Safety Power Shutdowns (PSPS) events and unplanned outages, communications and support equipment must be powered using small portable generators	
		IS21021	6B Communication Tower	\$0	\$0	\$50,000	\$50,000	\$0	\$50,000	\$50,000	EN13016		
Subtotal Regional Wastewater Operations & Maintenance				\$23,105,000			\$23,105,000	\$27,637,606		\$27,637,606			
10900	9/30/20	EN18036	CCWRF Asset Management	\$2,420,000	\$0	(\$350,000)	\$2,070,000	\$500,000	(\$350,000)	\$150,000	EN17044	Project has had several items that have caused a need for an increase in the budget to complete the project.	
		EN17044	RP-1 Power Reliability	\$5,870,248	\$0	\$350,000	\$6,220,248	\$0	\$350,000	\$350,000	EN18036		
Subtotal Regional Capital (RC):				\$8,290,248			\$8,290,248	\$500,000		\$500,000			
				Capital Total Project Budget				Total Annual Capital Budget					
				Adopted		Amended		Adopted		Amended			
				\$31,885,248		\$31,885,248		\$28,337,606		\$28,337,606			
O&M Projects													
10800	9/30/20	EN20019	RO Emergency Projects	\$335,000	\$0	(\$70,000)	\$265,000	\$101,330	(\$70,000)	\$31,330	EN21058	New connections to the Regional Sewer System require that flows be evaluated against existing capacity. The Agency is experiencing about four requests per year to make new connections to the Regional System.	
		EN21058	Regional Sewer Hydration	\$0	\$0	\$70,000	\$70,000	\$0	\$70,000	\$70,000	EN20019		
Subtotal Regional Operations (RO):				\$335,000			\$335,000	\$101,330		\$101,330			
				O&M Total Project Budget				Total Annual O&M Project Budget					
				Adopted		Amended		Adopted		Amended			
				\$335,000		\$335,000		\$101,330		\$101,330			
				Total TP Capital and O&M Project Transfers:				\$1,415,000					
				Total Project Budget Change - Capital:				\$0					
				Total Project Budget Change - O&M Proj:				\$0					
				Total Project Budget - Net Change:				\$0					

# FY 2020/21 Budget Variance Report

## 1<sup>st</sup> Quarter Ended September 30, 2020





# FY 2020/21 1<sup>st</sup> Quarter Ended September 30, 2020

(\$ Millions)

	Amended Budget	Budget Year-To-Date (YTD)	Actual YTD	% Budget Used YTD
Total Sources of Funds	\$349.5	\$87.4	\$52.9	60.5%
Total Uses of Funds	(\$378.8)	(\$94.7)	(\$48.4)	51.1%
<b>Net Increase/(Decrease)</b>	<b>(\$29.3)</b>	<b>(\$7.3)</b>	<b>\$4.5</b>	

## Highlights

### Total Sources of Funds

- Unfavorable variance due to lower project reimbursements and property tax receipts

### Total Uses of Funds

- Favorable variance continues to reflect the effects of the Coronavirus (COVID-19) pandemic

# FY 2020/21 1<sup>st</sup> Quarter Ended September 30, 2020

(\$ Millions)

Sources of Funds Operating Revenues	Amended Budget	Budget Year- To-Date (YTD)	Actual YTD	% Budget Used YTD
User Charges	\$88.2	\$22.1	\$21.4	97.2%
Recycled Water Sales	16.2	4.0	6.1	151.2%
MWD Imported Water Sales	46.2	11.6	14.8	127.7%
Other Operating Revenues*	11.0	2.7	2.2	81.5%
<b>Total Operating Revenues</b>	<b>\$161.6</b>	<b>\$40.4</b>	<b>\$44.5</b>	<b>110.2%</b>

*\*Other operating revenues includes contract cost reimbursement and interest revenue.*

## Highlights

### User Charges includes:

- Monthly EDU sewer charges
- Non-reclaimable wastewater pass-thru charges
- Monthly MEU charges and MWD RTS pass-thru fees

### Recycled Water and MWD Imported Water Sales

- Higher demand due to low precipitation and warm weather

# FY 2020/21 1<sup>st</sup> Quarter Ended September 30, 2020

(\$ Millions)

Sources of Funds Non-Operating Revenues	Amended Budget	Budget Year- To-Date (YTD)	Actual YTD	% Budget Used YTD
Property Tax	\$53.9	\$13.5	\$0	0.0%
Connection Fees	35.7	8.9	6.0	67.1%
Grants and Loans	94.0	23.5	0	0.0%
Other Non-Operating Revenues*	4.2	1.0	2.3	222.7%
<b>Total Non-Operating Revenues</b>	<b>\$187.9</b>	<b>\$47.0</b>	<b>\$8.3</b>	<b>17.7%</b>
<b>Total Operating Revenues</b>	<b>\$161.6</b>	<b>\$40.4</b>	<b>\$44.5</b>	
<b>Total Sources of Funds</b>	<b>\$349.5</b>	<b>\$87.4</b>	<b>\$52.9</b>	<b>60.5%</b>

*\*Other non-operating revenues includes project reimbursements, lease revenue, and miscellaneous sales.*

## Highlights

- Property tax receipts commence in November
- Grants & loans dependent on capital expenditures
- One-time capacity rights sold to MVWD for \$1.5M

### New Connections to Regional Systems

- Actual 690 EDU ~ Budget 4,000 EDU
- Actual 825 MEU ~ Budget 4,700 MEU

# FY 2020/21 1<sup>st</sup> Quarter Ended September 30, 2020

(\$ Millions)

Uses of Funds Operating Expenses	Amended Budget	Budget Year- To-Date (YTD)	Actual YTD	% Budget Used YTD
Employment Expenses (net of CIP)	\$52.3	\$13.1	\$13.1	100.2%
Professional Fees & Services	14.1	3.5	1.5	42.1%
Utilities	10.5	2.6	2.7	103.1%
MWD Water Purchases	46.2	11.6	14.8	127.7%
O&M and Reimbursable Projects	24.4	6.1	1.3	21.0%
Other Operating Expenses*	30.5	7.6	4.6	61.8%
<b>Total Operating Expenses</b>	<b>\$178.0</b>	<b>\$44.5</b>	<b>\$38.0</b>	<b>85.4%</b>

\*Other operating expenses includes insurance, operating fees, chemicals, biosolids, materials & supplies, and office & admin.

## Highlights

- COVID-19 response to ensure the safety of our employees, vendors and the public
- Suspended all travel, conferences and related costs
- Deferred non-critical preventive maintenance

5

### Employment

- Includes quarterly allocation toward unfunded liabilities
- Recruitment efforts focused on the most essential positions



# FY 2020/21 1<sup>st</sup> Quarter Ended September 30, 2020

(\$ Millions)

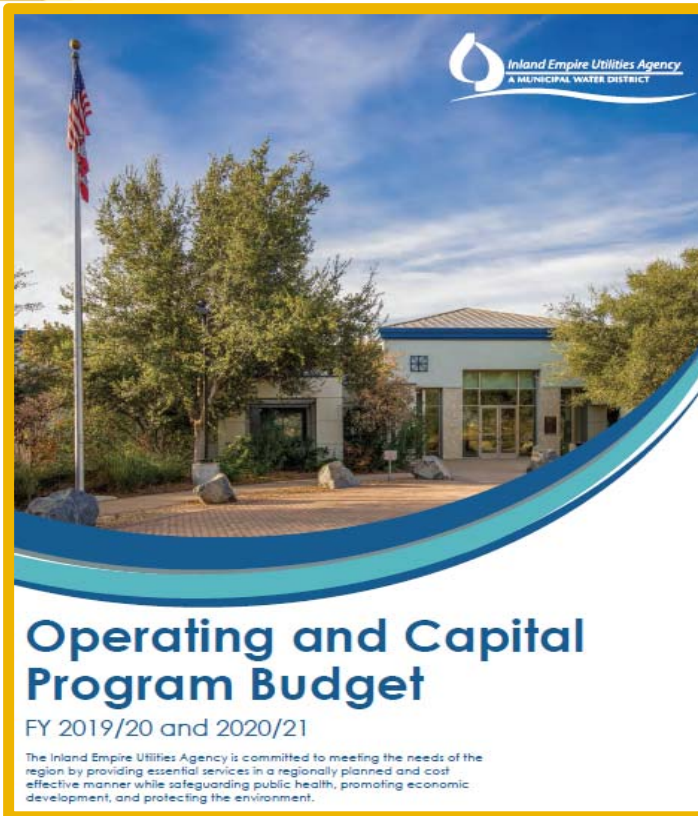
Uses of Funds Non-Operating Expenses	Amended Budget	Budget Year- To-Date (YTD)	Actual YTD	% Budget Used YTD
Capital Projects	\$175.6	\$43.9	\$10.0	22.8%
Financial Expenses	24.8	6.2	0.0	0.0%
Other Non-Operating Expenses*	0.3	0.1	0.3	381.8%
<b>Total Non-Operating Expenses</b>	<b>\$200.8</b>	<b>\$50.2</b>	<b>\$10.4</b>	<b>20.7%</b>
<b>Total Operating Expenses</b>	<b>\$178.0</b>	<b>\$44.5</b>	<b>\$38.0</b>	<b>85.4%</b>
<b>Total Uses of Funds</b>	<b>\$378.8</b>	<b>\$94.7</b>	<b>\$48.4</b>	<b>51.1%</b>

*\*Other non-operating expenses includes SAWPA member contribution and other miscellaneous expenses.*

## Highlights

- Delays in contract awards for capital projects due to COVID-19
- Principal & interest payment for bonds and State Revolving Fund (SRF) due in ensuing quarters

# Questions



***The Budget Variance Analysis report is consistent with the Agency's business goal of fiscal responsibility to ensure actual revenues and expenditures are consistent with those approved by the Board of Directors.***

**INFORMATION  
ITEM**

**4B**

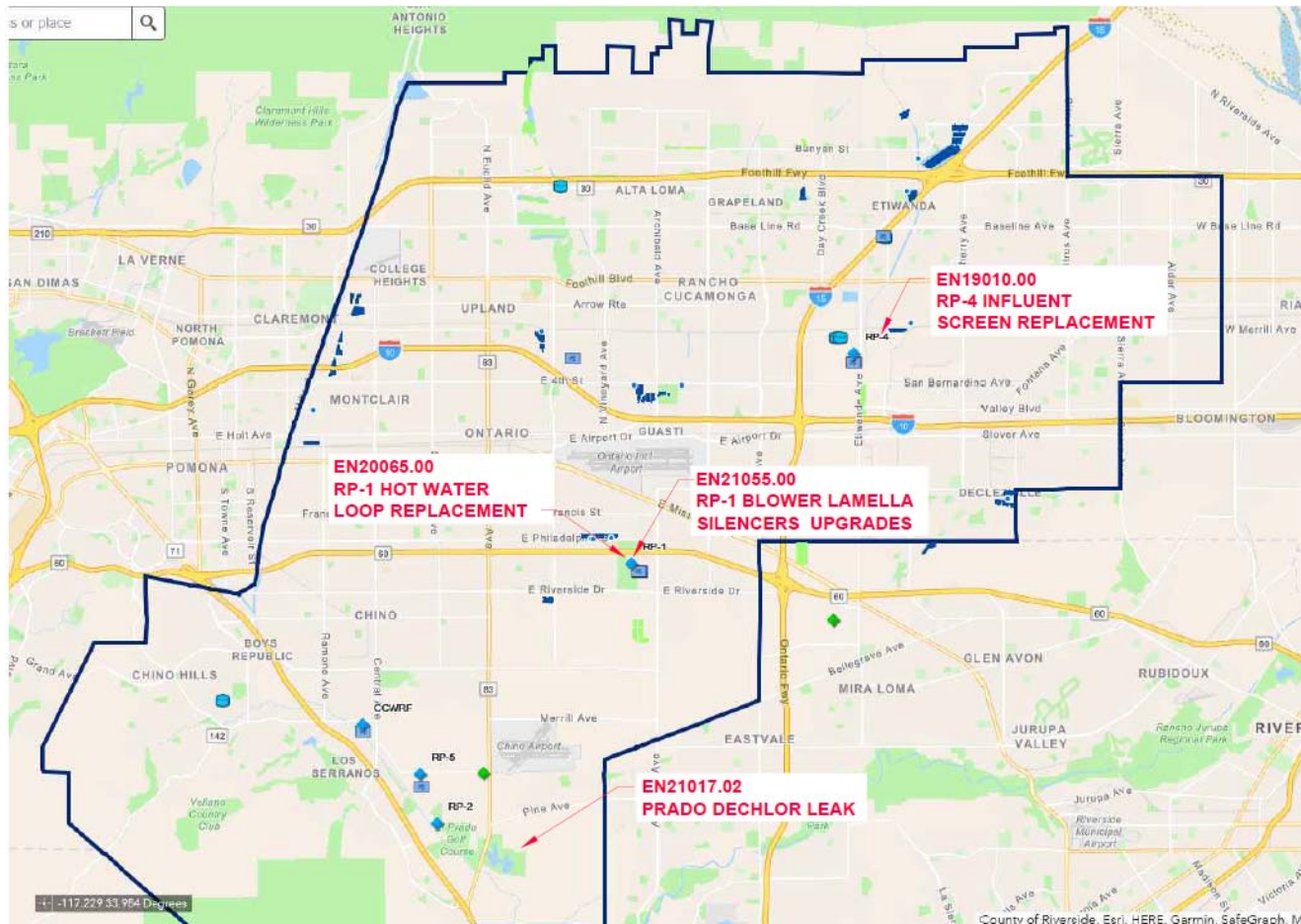


# Engineering and Construction Management Project Updates



Jerry Burke, PE  
Manager of Engineering  
December 2020





# Project Location Map

# RP-4 Influent Screen Replacement

Project Goal: Replace Underperforming Equipment



**Total Project Budget: \$3M**  
**Project Completion: January 2021**  
**Construction Percent Complete: 80%**

Phase	Consultant/ Contractor	Current Contract	Amendments/ Change Orders
Design/Build	Lee & Ro / Stanek	\$1.9M	0%

# RP-1 Hot Water Loop Replacement

Project Goal: Replace Equipment and Improve Overall System

**Total Project Budget: \$1.3M**

**Project Completion: April 2021**

**Construction Percent Complete: 20%**

Phase	Consultant Contractor	Current Contract	Amendments/ Change Orders
Design	In-house W/ Kennedy Jenks Support	\$25K	0%
Construction (Current)	Ferreira	\$996K	3%





# RP-1 Blower Lamella Silencers Upgrades\*\*

Project Goal: Improve Performance and Reliability



**Total Project Budget: \$300K**  
**Project Completion: June 2021**  
**Construction Percent Complete: 0%**

Phase	Consultant/ Contractor	Current Contract	Amendments/ Change Orders
Design (Current)	N/A	\$0	0%
Construction	N/A	\$0	0%

\*\*

- This project was evaluated and analyzed internally by IEUA Engineering staff
- Filed investigations, tests and measurements were taken in coordination with Ops & Maint staff,
- Discussed results with blowers' manufacturer
- Conclusion: Project will be transferred to Ops & Maint as only silencer parts and filters will require replacement
- No further engineering or construction is required

# Prado Dechlor Leak

Project Goal: Repair Leak

**Total Project Budget: \$50K**

**Project Completion: November 2020**

**Construction Percent Complete: 100%**

Phase	Consultant/ Contractor	Current Contract	Amendments/ Change Orders
Design	N/A	\$0	0%
Construction (Current)	Norstar Plumbing	\$40K	0%



**INFORMATION  
ITEM**

**4C**

# RP-5 Expansion

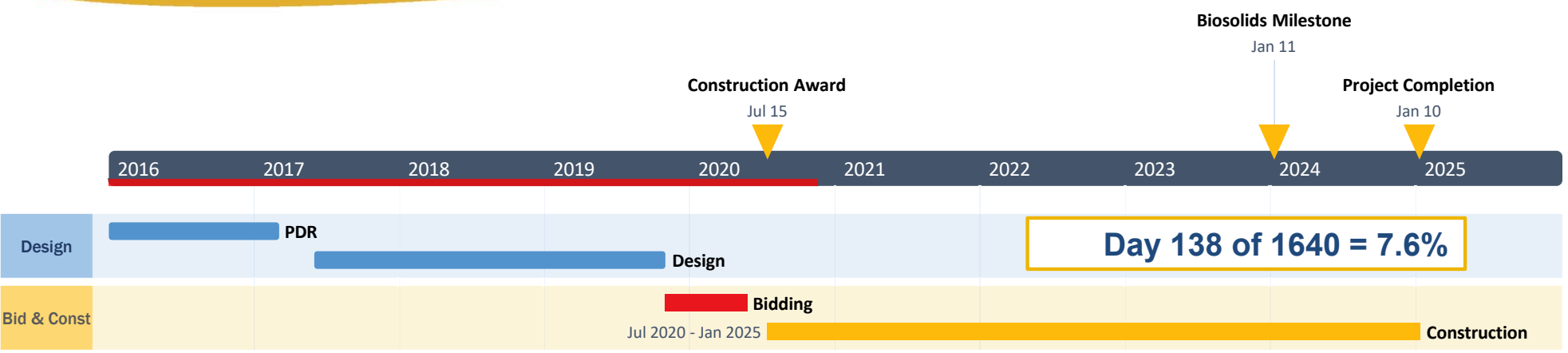
## Project Update: December 2020

Project Nos. EN19001 and EN19006





# RP5: Project Status

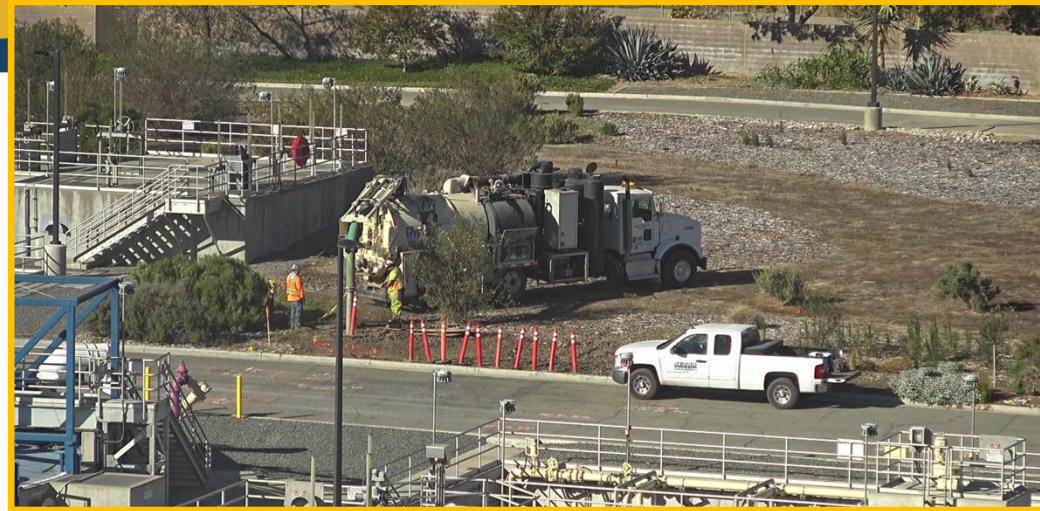


Role	Firm	Contract	Payments	% Complete
Contractor	WM Lyles	\$ 329,982,900	\$ 6,196,901	1.88%
Designer	Parsons	\$ 31,685,239	\$ 22,418,222	70.8%
Construction Management	Arcadis	\$ 21,125,523	\$ 2,058,777	10%



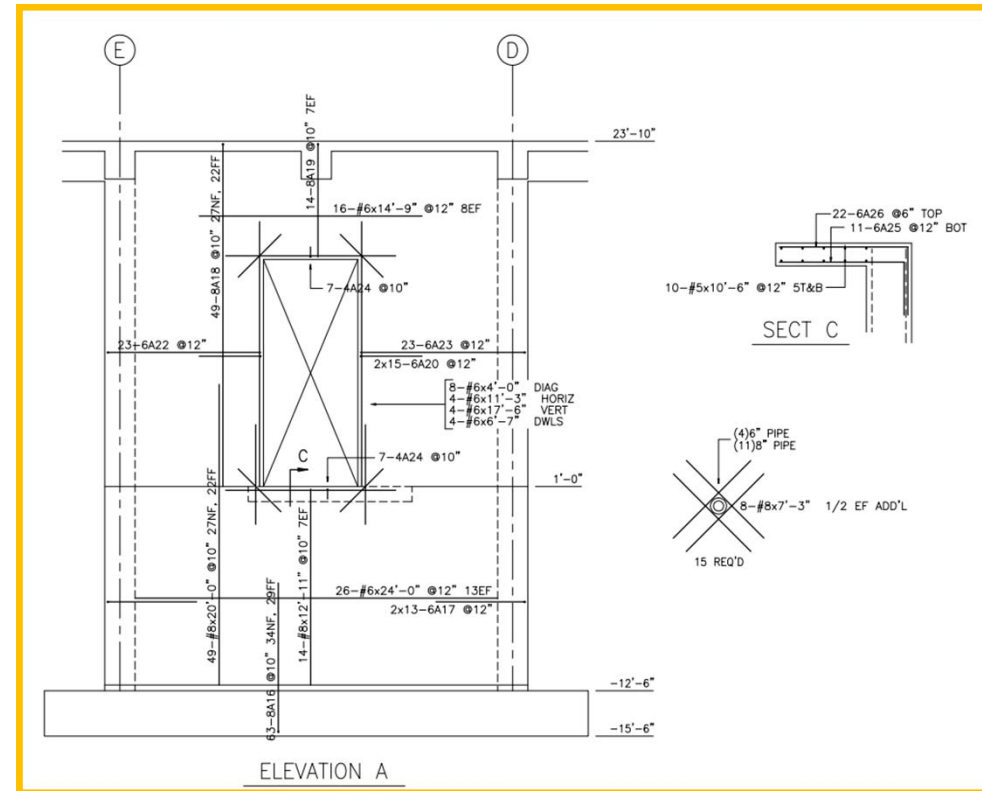
# RP-5: Major Activities

- Submittals
- Request For Information (RFI)
- Potholing
- Baseline Schedule
- Clearing of landscaping
- Construction Start:  
Approximately January



# RP-5: Submittals

- **Proof of compliance**
  - Shop drawings
  - Technical data
  - Product samples
  - Test reports
  - Commissioning Plans
  - Operations & Maintenance Manuals
- **Possible several disciplines**
- **Possible several revision cycles**
- **Anticipated: 3,500**
- **Currently: 262**
- **Resubmittals: 109**



# RP-5: Request For Information (RFI)

- **Formal written request for clarification or direction.**
  - Missing information
  - Unknown items
  - Changed conditions
  - Conflicts
  - Options
  - Ambiguity
  - Issues
- **Currently: 117**
- **Anticipates: 3,000**

**PROJECT NO.:** EN19001/EN19006

**DATE:** 11/19/2020

**PROJECT:** RP-5 Expansion Project

**PLAN SHEET#:** 3S-01 to 3S-05

**CONTRACTOR:** W.M. Lyles Company

**SPECIFICATION #:** N/A

**SUBJECT:**

Primary Clarifier Wall Thickness

**DESCRIPTION OF PROBLEM:**

Drawings 3S-03 shows the Primary Clarifier walls to be 1' thick. Section A / 3S-05 shows the effluent box wall with no dimensions provided. Section E / 3S-05 shows the sludge level box wall with no dimension provided but scales to roughly 8" thick.

**DESCRIPTION OF REQUEST:**

Please confirm the effluent box wall is 1' thick similar to the Clarifiers. Please confirm 8" is the correct dimension for the sludge level box wall.

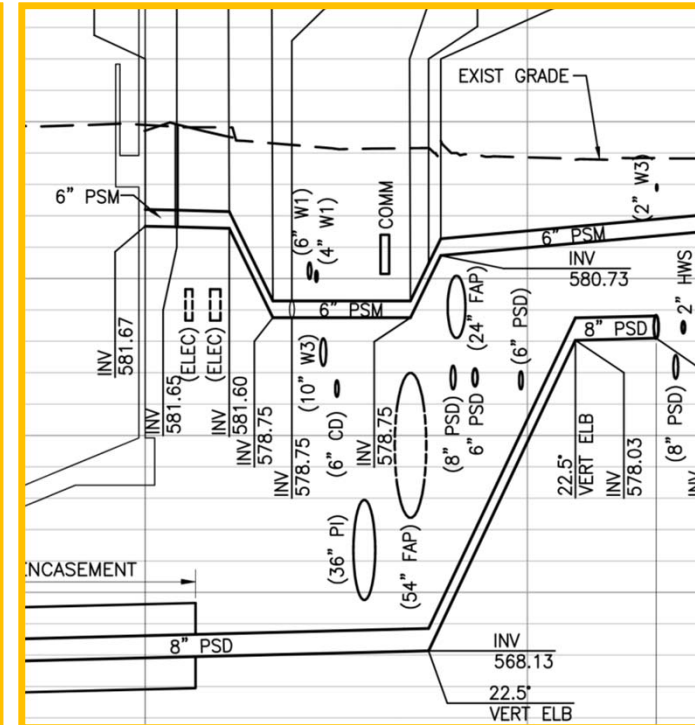
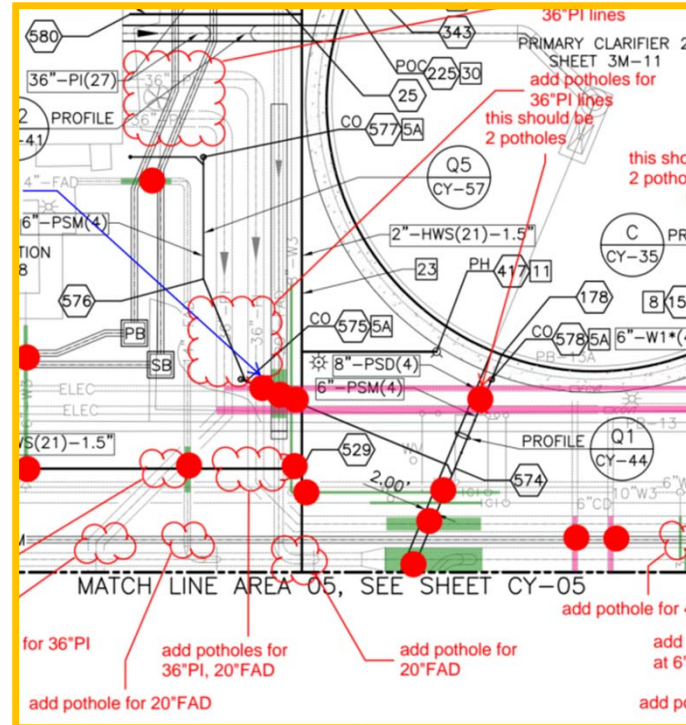
**ENGINEER REPLY:**

1. Confirmed that primary clarifier walls and effluent box walls thickness are 1'-0" thick
2. Confirmed that sludge level box walls are 8" thick on 3 sides.



# RP-5: Potholing

- Digging or vacuum excavating to expose underground utilities to visually confirm the location of utilities and other underground obstructions near or at crossing of a new utility.
- Once exposed the horizontal and vertical location of the utility is recorded as well as the material and diameter or size.



Questions?

**INFORMATION  
ITEM**

**4D**

**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**From:** Shivaji Deshmukh, General Manager

**Committee:** Finance & Administration

12/09/20

**Executive Contact:** Christina Valencia, Executive Manager of Finance & Administration/AGM

**Subject:** Treasurer's Report of Financial Affairs

---

**Executive Summary:**

The Treasurer's Report of Financial Affairs for the month ended October 31, 2020 is submitted in a format consistent with the State requirements.

For the month of October 2020, total cash, investments, and restricted deposits of \$516,850,230 reflects a decrease of \$5,213,428 compared to the total of \$522,063,658 reported for September 2020. The decrease was primarily due to the principal and interest debt service payment for the 2017A Revenue Bond. As a result, the average days cash on hand for the month ended October 31, 2020 decreased from 280 days to 265 days.

The unrestricted Agency investment portfolio yield in October 2020 was 1.441 percent, a decrease of 0.007 percent compared to the September 2020 yield of 1.448 percent. The slight decrease in yield is attributed to overall market conditions which decreased earning yields in high liquid investment accounts such as the Citizens Business Bank Repurchase, Local Agency Investment Fund (LAIF) and California Asset Management Program (CAMP).

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**Staff's Recommendation:**

The Treasurer's Report of Financial Affairs for the month ended October 31, 2020 is an information item for the Board of Director's review.

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**Budget Impact** *Budgeted (Y/N):* N *Amendment (Y/N):* N *Amount for Requested Approval:*

*Account/Project Name:*

*Fiscal Impact (explain if not budgeted):*

Interest earned on the Agency's investment portfolio increases the Agency's overall reserves.

**Prior Board Action:**

On November 18, 2020 the Board of Directors approved the Treasurer's Report of Financial Affairs for the month ended September 30, 2020.

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

The Treasurer's Report of Financial Affairs is consistent with the Agency's Business Goal of Fiscal Responsibility in providing financial reporting that accounts for cash and investment activities to fund operating requirements and to optimize investment earnings.

---

**Attachments:**

Attachment 1 - Background

Attachment 2 - PowerPoint

Attachment 3 - Treasurer's Report of Financial Affairs



## Background

Subject: Treasurer's Report of Financial Affairs

The Treasurer's Report of Financial Affairs for the month ended October 31, 2020 is submitted in a format consistent with State requirements. The monthly report denotes investment transactions that have been executed in accordance with the Agency's Investment Policy (Resolution No. 2020-4-3).

Agency total cash, investments, and restricted deposits for the month of October 2020 was \$516.8 million, a decrease of \$5.2 million from the \$522.0 million reported for the month ended September 2020. The decrease was primarily due to the principal and interest debt service payment for the 2017A Revenue Bond.

The U.S. economy remains on a path toward a slow recovery amid a challenging health outlook. Economic risks are still rooted in the country's struggle to contain the coronavirus, increasing business layoffs and stalled fiscal stimulus. PFM continues to focus on investment discipline and maintaining a neutral duration strategy to ensure market changes do not adversely affect current investments and align with the Agency's Investment Policy's goals of preservation of capital in the overall portfolio, provide sufficient liquidity to meet to operating requirements, and attain a market rate of return throughout budgetary and economic cycles.

Table 1 represents the unrestricted Agency investment portfolio by authorized investment and duration, with a total portfolio amount of \$142.0 million. The Agency portfolio excludes cash and restricted deposits in the amount of \$374.8 million held by member agencies and with fiscal agents, including \$230 million in capitalized interest and debt proceeds for the RP-5 Expansion Project.

**Table 1: Agency Portfolio**

Authorized Investments	Allowable Threshold (\$ million or %)	Investment Value as of October 31, 2020 (\$ million)				Average Yield %	Portfolio% (Unrestricted)
		Under 1 Year	1-3 Years	Over 3 Years	Total		
LAIF*- Unrestricted	\$75	\$28.3	\$0	\$0	\$28.3	0.623%	19.9%
CAMP** – Unrestricted	n/a	10.5			10.5	0.190%	7.43%
Citizens Business Bank – Sweep	40%	7.8			7.8	0.300%	5.48%
<b>Sub-Total Agency Managed</b>		<b>\$46.6</b>	<b>\$0</b>	<b>\$0</b>	<b>\$46.6</b>	<b>0.471%</b>	<b>32.82%</b>
Brokered Certificates of Deposit	30%	\$0.9	\$3.1	\$0	\$4.0	1.69%	2.78%
Medium Term Notes	30%	1.1	10.6	7.0	18.7	2.409%	13.22%
Municipal Bonds	10%			1.7	1.7	0.923%	1.23%
US Treasury Notes	n/a		21.9	14.5	36.4	2.452%	25.63%
US Gov't Securities	n/a	0.2	17.7	16.6	34.5	1.155%	24.32%

Table 1: Agency Portfolio (continued)

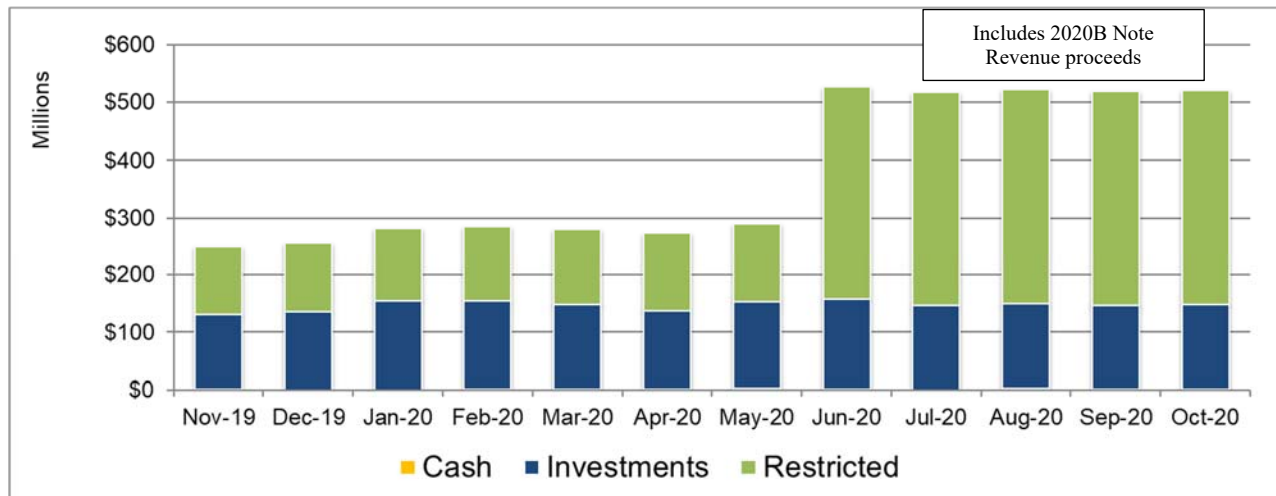
Authorized Investments	Allowable Threshold (\$ million or %)	Investment Value as of October 31, 2020 (\$ million)				Average Yield %	Portfolio% (Unrestricted)
		Under 1 Year	1-3 Years	Over 3 Years	Total		
<b>Sub-Total PFM Managed</b>		<b>\$2.2</b>	<b>\$53.3</b>	<b>\$39.8</b>	<b>\$95.3</b>	<b>1.91%</b>	<b>67.18%</b>
<b>Total</b>		<b>\$48.8</b>	<b>\$53.3</b>	<b>\$39.8</b>	<b>\$142.0</b>	<b>1.441%</b>	<b>100.0%</b>

\*LAIF – Local Agency Investment Fund

\*\*CAMP – California Asset Management Program

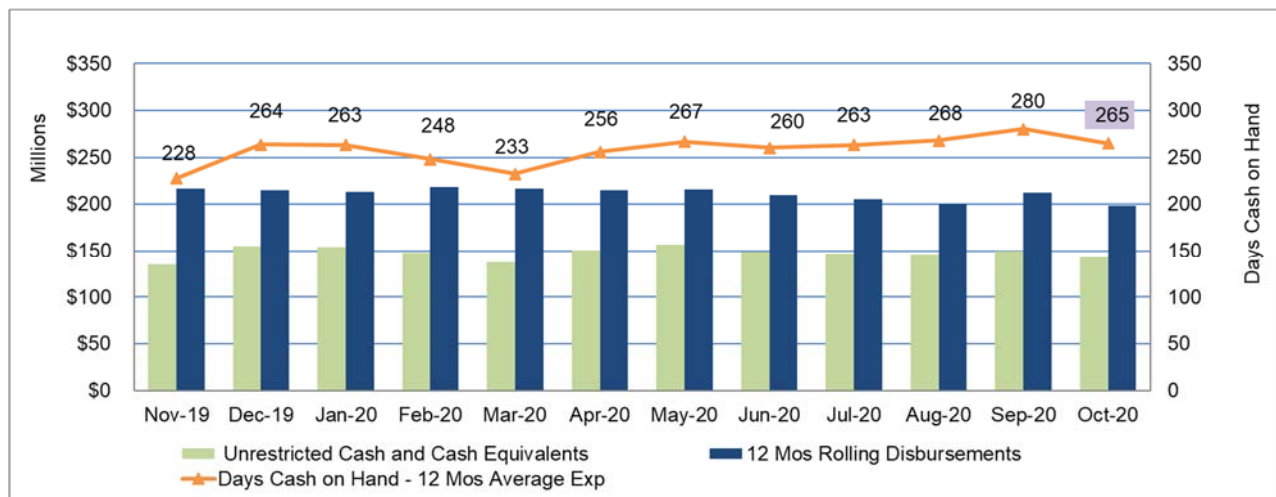
+/- due to rounding

Figure 1: Cash, Investments, and Restricted Deposits



Average days cash on hand is calculated by using the monthly ending balance of unrestricted cash and cash equivalents divided by disbursements associated with operating expenses, debt service costs, and capital expenditures. The average days cash on hand for the month ended October 2020 decreased from 280 days to 265 days as shown in Figure 2.

Figure 2: Days Cash on Hand – 12 Month Rolling Average



Monthly cash and investment summaries are available on the Agency's website at:  
<https://www.ieua.org/read-our-reports/cash-and-investment/>

# Treasurer's Report of Financial Affairs for Month Ended October 31, 2020



Javier Chagoyen-Lazaro  
Manager of Finance and Accounting  
December 2020

# Agency Liquidity

- Decrease in overall yield attributed to market conditions and lower yields in highly liquid investment investments, such as the Citizens Business Bank Repurchase, Local Agency Investment Fund (LAIF) and California Asset Management Program (CAMP).

Description	October \$ Millions	September \$ Millions	Increase / (Decrease) \$ Millions
Investment Portfolio	\$142.0	\$147.1	(\$5.1)
Cash and Restricted Deposits	\$374.8	\$374.9	(\$0.1)
<b>Total Investments, Cash, and Restricted Deposits</b>	<b>\$516.8</b>	<b>\$522.0</b>	<b>(\$5.2)</b>
Investment Portfolio Yield	1.441%	1.448%	(0.007%)
Weighted Average Duration (Years)	1.59	1.60	(0.01)
Average Cash on Hand (Days)	265	280	(15)

Monthly cash and investment summaries available at: <https://www.ieua.org/read-our-reports/cash-and-investment/>

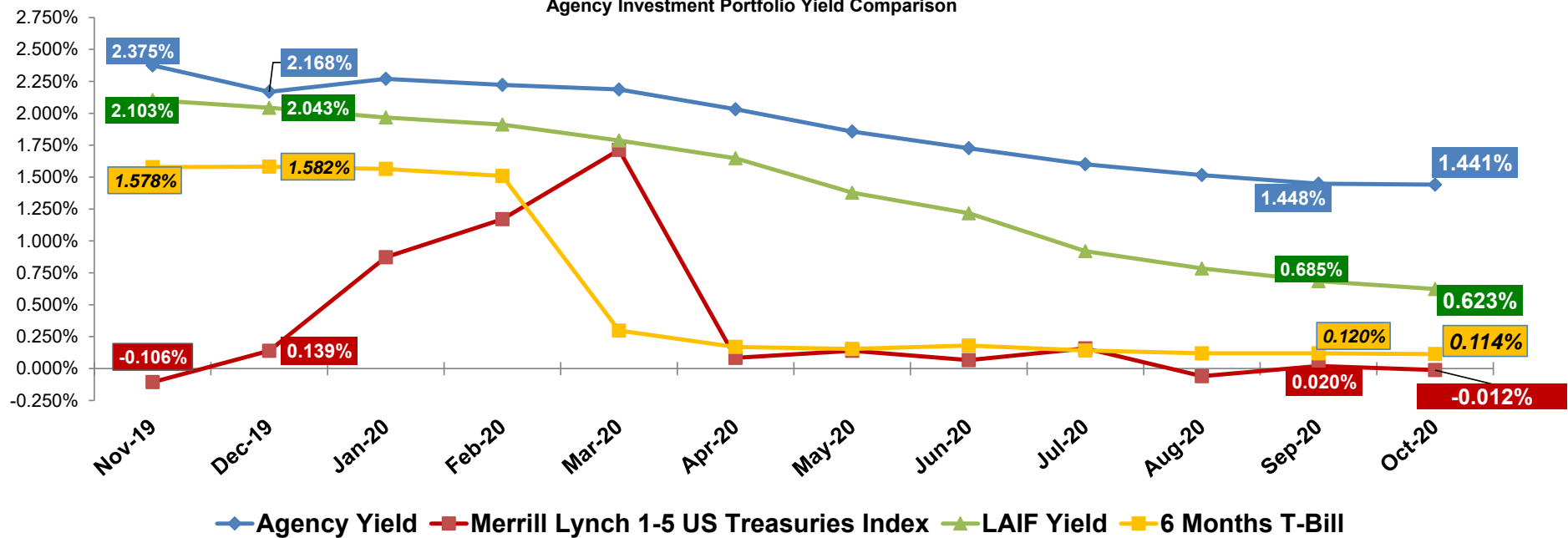
# Agency Investment Position

Authorized Investments	Allowable Threshold (\$ million or %)	Under 1 Year	1 – 3 Years	Over 3 Years	Total	Average Yield %	Portfolio % (Unrestricted)
Local Agency Investment Fund	\$75	\$28.3	\$0.0	\$0.0	<b>\$28.3</b>	0.623%	19.9%
California Asset Management Program	n/a	10.5			<b>10.5</b>	0.19%	7.43%
Citizens Business Bank – Sweep	40%	7.8			<b>7.8</b>	0.30%	5.48%
<b>Sub-Total Agency Managed</b>		<b>\$46.6</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$46.6</b>	<b>0.471%</b>	<b>32.82%</b>
Brokered Certificates of Deposit (CD)	30%	\$0.9	\$3.1	\$0.0	<b>\$4.0</b>	1.69%	2.78%
Medium Term Notes	30%	1.1	10.6	7.0	<b>18.7</b>	2.409%	13.22%
Municipal Bonds	10%			1.7	<b>1.7</b>	0.923%	1.23%
US Treasury Notes	n/a		21.9	14.5	<b>36.4</b>	2.452%	25.63%
US Government Securities	n/a	0.2	17.7	16.6	<b>34.5</b>	1.155%	24.32%
<b>Sub-Total PFM Managed</b>		<b>\$2.2</b>	<b>\$53.3</b>	<b>\$39.8</b>	<b>\$95.3</b>	<b>1.91%</b>	<b>67.18%</b>
<b>Total</b>		<b>\$48.8</b>	<b>\$53.3</b>	<b>\$39.8</b>	<b>\$142.0</b>	<b>1.441%</b>	<b>100.0%</b>

+/- due to rounding

# Portfolio Yield Comparison

**Inland Empire Utilities Agency**  
**Treasurer's Report of Financial Affairs**  
 Month Ended October 31, 2020  
 Agency Investment Portfolio Yield Comparison





# Questions

The Treasurer's Report of Financial Affairs is consistent with the Agency's business goal of fiscal responsibility.





# TREASURER'S REPORT OF FINANCIAL AFFAIRS

For the Month Ended October 31, 2020



All investment transactions have been executed in accordance with the criteria stated in the Agency's Investment Policy (Resolution No. 2020-7-1) adopted by the Inland Empire Utilities Agency's Board of Directors during its regular meeting held on July 8, 2020.

The funds anticipated to be available during the next six-month period are expected to be sufficient to meet all foreseen expenditures during the period.

\* A Municipal Water District

**INLAND EMPIRE UTILITIES AGENCY**  
**Cash and Investment Summary**

Month Ended  
October 31, 2020

	October	September	Variance
<b><u>Cash, Bank Deposits, and Bank Investment Accounts</u></b>	\$1,984,326	\$2,764,923	(\$780,597)
<b><u>Investments</u></b>			
<b>Agency Managed</b>			
Citizens Business Bank (CBB) Repurchase (Sweep)	\$7,784,150	\$8,414,939	(\$630,789)
Local Agency Investment Fund (LAIF)	28,264,296	33,183,182	(\$4,918,886)
California Asset Management Program (CAMP)	10,554,453	10,552,763	\$1,690
<b>Total Agency Managed Investments</b>	46,602,899	52,150,884	(\$5,547,985)
<b>PFM Managed</b>			
Certificates of Deposit	\$3,955,000	\$4,195,000	(\$240,000)
Municipal Bonds	1,741,617	1,366,646	\$374,971
Medium Term Notes	18,772,346	18,746,910	\$25,436
U.S. Treasury Notes	36,401,238	36,386,906	\$14,332
U.S. Government Sponsored Entities	34,540,196	34,273,889	\$266,307
<b>Total PFM Managed Investments</b>	95,410,397	94,969,351	\$441,046
<b>Total Investments</b>	\$142,013,296	\$147,120,235	(\$5,106,939)
<b>Total Cash and Investments Available to the Agency</b>	\$143,997,622	\$149,885,158	(\$5,887,536)
<b><u>Restricted Deposits</u></b>			
CAMP Water Connection Reserve	\$24,179,760	\$23,663,964	\$515,796
LAIF Self Insurance Reserve	6,561,244	6,561,244	\$0
Bond and Note Accounts	37,889,838	35,546,270	\$2,343,568
2020B Construction Accounts	196,758,702	196,507,879	\$250,823
Capital Capacity Reimbursement Account (CCRA) Deposits Held by Member Agencies**	87,557,587	89,692,818	(\$2,135,231)
California Employers' Retirement Benefit Trust Account - CERBT (Other Post Employment Benefits - OPEB)	19,603,153	19,955,694	(\$352,541)
Escrow Deposits	302,324	250,633	\$51,691
<b>Total Restricted Deposits</b>	\$372,852,608	\$372,178,501	\$674,108
<b><u>Total Cash, Investments, and Restricted Deposits</u></b>	<b>\$516,850,230</b>	<b>\$522,063,658</b>	<b>(\$5,213,428)</b>

\*\*Total reported as of August 2020 less Capital Call

**INLAND EMPIRE UTILITIES AGENCY**  
**Cash and Investment Summary**

Month Ended  
October 31, 2020

**Cash, Bank Deposits, and Bank Investment Accounts**

CBB Demand Account (Negative balance offset by CBB Sweep Balance)	\$825,155
CBB Payroll Account	-
CBB Workers' Compensation Account	50,394
Subtotal Demand Deposits	<hr/> \$875,549

**Other Cash and Bank Accounts**

Petty Cash	\$2,250
Subtotal Other Cash	<hr/> \$2,250

<b>US Bank Pre-Investment Money Market Account</b>	<hr/> \$1,106,527
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<b><i>Total Cash and Bank Accounts</i></b>	<b>\$1,984,326</b>
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**Unrestricted Investments**

**CBB Repurchase (Sweep) Investments**

Fannie Mae	\$7,784,150
Subtotal CBB Repurchase (Sweep)	<hr/> \$7,784,150

**Local Agency Investment Fund (LAIF)**

LAIF Fund	\$28,264,296
Subtotal Local Agency Investment Fund	<hr/> \$28,264,296

**California Asset Management Program (CAMP)**

Short Term	\$10,554,453
Subtotal CAMP	<hr/> \$10,554,453

<b>Subtotal Agency Managed Investment Accounts</b>	<hr/> <b>\$46,602,899</b>
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**INLAND EMPIRE UTILITIES AGENCY**  
**Cash and Investment Summary**

Month Ended  
October 31, 2020

**Unrestricted Investments Continued**

**Brokered Certificates of Deposit**

Brokered Certificates of Deposit	\$3,955,000
Subtotal Brokered Certificates of Deposit	<u>\$3,955,000</u>

**Municipal Bonds**

Subtotal State and Local Municipal Bonds	\$1,741,617
Subtotal State and Municipal Bonds	<u>\$1,741,617</u>

**Medium Term Notes**

Hershey Company	\$334,959
American Honda Finance	792,934
Bank of NY Mellon	1,390,363
American Express	795,005
Walt Disney Company	809,380
Paccar Financial Corp	929,746
Visa Inc	812,454
Bank of America	813,490
Oracle Corp	1,405,301
Amazon Inc	929,613
Burlington North Santa Fe Corp	795,564
Bank of NY Mellon	1,382,354
Apple Inc. Corp.	563,707
UnitedHealth Group Inc	745,691
Pfizer Inc	1,271,073
Walmart Inc	1,472,667
JP Morgan Chase	570,000
Toyota Motor	317,794
Toyota Motor	428,769
Novartis Capital	1,470,498
Bristol Myers	740,984
Subtotal Medium Term Notes	<u>\$18,772,346</u>

**U.S. Treasury Notes**

Treasury Note	\$36,401,238
Subtotal U.S. Treasury Notes	<u>\$36,401,238</u>

**INLAND EMPIRE UTILITIES AGENCY**  
**Cash and Investment Summary**

Month Ended  
October 31, 2020

**Unrestricted Investments Continued**

**U.S. Government Sponsored Entities**

Fannie Mae Bank	19,321,060
Freddie Mac Bank	\$8,323,404
Federal Home Loan Bank	6,895,732
Subtotal U.S. Government Sponsored Entities	<hr/> \$34,540,196

<b>Subtotal PFM Managed Investment Accounts</b>	<hr/> <b>\$95,410,397</b>
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<b><i>Total Investments</i></b>	<hr/> <b>\$142,013,296</b>
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**Restricted Deposits**

**Investment Pool Accounts**

CAMP -Water Connection Reserves	\$24,179,760
LAIF - Self Insurance Fund Reserves	6,561,244
Subtotal Investment Pool Accounts	<hr/> \$30,741,004

**Bond and Note Accounts**

2017A Debt Service Accounts	\$4,771,652
2020A Cost of Issuance	107,282
2020B Capitalized Interest	33,006,045
2020B Cost of Issuance	4,859
Subtotal Bond and Note Accounts	<hr/> \$37,889,838

**2020B Construction Project Accounts**

LAIF Construction Project Accounts	\$186,249,139
CAMP Construction Project Accounts	10,509,563
Subtotal 2020B Construction Project Accounts	<hr/> \$196,758,702

**INLAND EMPIRE UTILITIES AGENCY**  
**Cash and Investment Summary**

Month Ended  
October 31, 2020

**Restricted Deposits Continued**

**CCRA Deposits Held by Member Agencies**

City of Chino	\$13,999,977
Cucamonga Valley Water District	12,004,454
City of Fontana	14,866,372
City of Montclair	3,918,157
City of Ontario	30,085,789
City of Chino Hills	6,320,037
City of Upland	6,362,801
Subtotal CCRA Deposits Held by Member Agencies**	<u>\$87,557,587</u>

*\*\*Total reported as of August 2020 less Capital Call*

**CalPERS**

CERBT Account (OPEB)	<u>\$19,603,153</u>
Subtotal CalPERS Accounts	\$19,603,153

**Escrow Deposits**

Stanek Construction	<u>\$302,324</u>
Subtotal Escrow Deposits	\$302,324

<b><i>Total Restricted Deposits</i></b>	<u><u>\$372,852,608</u></u>
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<b><i>Total Cash, Investments, and Restricted Deposits as of October 31, 2020</i></b>	<u><u>\$516,850,230</u></u>
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Total Cash, Investments, and Restricted Deposits as of 10/31/2020	\$516,850,230
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Less: Total Cash, Investments, and Restricted Deposits as of 10/30/20	<u>522,063,658</u>
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<b><i>Total Monthly Increase (Decrease)</i></b>	<u><u>(\$5,213,428)</u></u>
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# INLAND EMPIRE UTILITIES AGENCY

## Cash and Investment Summary

Month Ended  
October 31, 2020

	Par	Cost Basis	Term	October	%	%	Maturity	Market
	Amount	Amount	(Days)	Value	Coupon	Yield to Maturity	Date	Value
<b><u>Cash, Bank Deposits, and Bank Investment Accounts</u></b>								
<b>Citizens Business Bank (CBB)</b>								
Demand Account	\$825,155	\$825,155	N/A	\$825,155		N/A	N/A	\$825,155
Payroll Checking	0	0	N/A	0		N/A	N/A	0
Workers' Compensation Account	50,394	50,394	N/A	50,394		N/A	N/A	50,394
Subtotal CBB Accounts	\$875,549	\$875,549		\$875,549				\$875,549
<b>US Bank (USB)</b>								
Custodial Money Market ( <i>Investment Mgmt.</i> )	\$801,517	\$801,517	N/A	\$801,517		0.02%	N/A	\$801,517
Custodial Money Market ( <i>Debt Service</i> )	305,010	305,010	N/A	305,010		0.02%	N/A	305,010
Subtotal USB Account	\$1,106,527	\$1,106,527		\$1,106,527		0.02%		\$1,106,527
<b>Petty Cash</b>	\$2,250	\$2,250	N/A	\$2,250		N/A	N/A	\$2,250
<b>Total Cash, Bank Deposits and Bank Investment Accounts</b>	<b>\$1,984,326</b>	<b>\$1,984,326</b>		<b>\$1,984,326</b>				<b>\$1,984,326</b>
<b><u>Investments</u></b>								
<b>CBB Daily Repurchase (Sweep) Accounts</b>								
Fannie Mae	\$7,784,150	\$7,784,150	N/A	\$7,784,150		0.30%	N/A	\$7,784,150
Subtotal CBB Repurchase Accounts	\$7,784,150	\$7,784,150		\$7,784,150		0.30%		\$7,784,150
<b>LAIF Accounts</b>								
Non-Restricted Funds	\$28,264,296	\$28,264,296	N/A	\$28,264,296		0.623%	N/A	\$28,264,296
Subtotal LAIF Accounts	\$28,264,296	\$28,264,296		\$28,264,296		0.623%		\$28,264,296
<b>CAMP Accounts</b>								
Non-Restricted Funds	\$10,554,453	\$10,554,453	N/A	\$10,554,453		0.19%	N/A	\$10,554,453
Subtotal CAMP Accounts	\$10,554,453	\$10,554,453		\$10,554,453		0.19%		\$10,554,453
<b>Subtotal Agency Managed Investment Accounts</b>	<b>\$46,602,899</b>	<b>\$46,602,899</b>		<b>\$46,602,899</b>		<b>0.47%</b>		<b>\$46,602,899</b>

# INLAND EMPIRE UTILITIES AGENCY

## Cash and Investment Summary

Month Ended  
October 31, 2020

	Par	Cost Basis	Term	October	%	%	Maturity	Market
	Amount	Amount	(Days)	Value	Coupon	Yield to Maturity	Date	Value
<b>Investments (continued)</b>								
<b>Brokered Certificates of Deposit (CDs)</b>								
Royal Bank of Canada NY	815,000	815,000	1095	815,000	3.24%	3.24%	06/07/21	830,168
Credit Suisse NY	745,000	745,000	533	745,000	0.52%	0.52%	02/01/22	745,977
Societe Generale NY	935,000	935,000	731	935,000	1.80%	1.80%	02/14/22	944,836
Sumitomo Mitsui Bank	745,000	745,000	870	745,000	0.70%	0.70%	07/08/22	746,279
DNB Bank ASA NY	715,000	715,000	1092	715,000	2.04%	2.03%	12/02/22	740,799
Subtotal Brokered CDs	\$3,955,000	\$3,955,000		\$3,955,000		1.69%		\$4,008,059
<b>US Treasury Note</b>								
US Treasury Note	\$466,000	\$462,669	838	\$465,042	2.125%	2.45%	06/30/21	\$472,116
US Treasury Note	1,625,000	1,577,519	1323	1,610,860	1.750%	2.60%	11/30/21	1,653,184
US Treasury Note	1,575,000	1,523,812	1436	1,556,642	1.875%	2.75%	03/31/22	1,613,145
US Treasury Note	3,070,000	2,969,266	1336	3,031,169	1.875%	2.82%	03/31/22	3,144,352
US Treasury Note	3,790,000	3,678,225	1302	3,745,788	1.875%	2.75%	03/31/22	3,881,789
US Treasury Note	1,605,000	1,558,480	1474	1,587,800	1.875%	2.64%	04/30/22	1,646,128
US Treasury Note	1,300,000	1,252,570	1456	1,280,259	1.750%	2.72%	06/30/22	1,334,328
US Treasury Note	2,065,000	1,980,706	1577	2,027,690	1.750%	2.76%	09/30/22	2,127,595
US Treasury Note	894,000	864,177	1641	880,751	2.000%	2.80%	10/31/22	926,827
US Treasury Note	1,040,000	990,356	1580	1,014,204	1.750%	2.93%	01/31/23	1,076,725
US Treasury Note	310,000	293,289	1699	301,650	1.500%	2.74%	02/28/23	319,494
US Treasury Note	1,235,000	1,189,508	1508	1,208,453	1.500%	2.44%	03/31/23	1,274,173
US Treasury Note	2,000,000	1,899,453	1618	1,939,660	1.38%	2.58%	06/30/23	2,063,125
US Treasury Note	1,260,000	1,196,951	1630	1,221,242	1.25%	2.44%	07/31/23	1,296,422
US Treasury Note	650,000	667,088	1611	661,275	2.88%	2.25%	09/30/23	700,476
US Treasury Note	3,450,000	3,484,904	1713	3,472,597	2.75%	2.52%	11/15/23	3,715,757
US Treasury Note	2,525,000	2,479,826	1786	2,496,570	2.13%	2.52%	11/30/23	2,673,344
US Treasury Note	120,000	120,356	1795	120,229	2.63%	2.56%	12/31/23	129,113
US Treasury Note	2,345,000	2,355,534	1792	2,352,501	2.00%	1.90%	04/30/24	2,488,265
US Treasury Note	575,000	580,930	1824	579,346	2.00%	1.78%	06/30/24	611,386
US Treasury Note	1,000,000	1,016,172	1818	1,012,169	2.13%	1.78%	07/31/24	1,069,219
US Treasury Note	1,310,000	1,349,146	1824	1,340,669	2.13%	1.50%	09/30/24	1,403,747
US Treasury Note	1,100,000	1,135,965	1822	1,128,820	2.25%	1.57%	10/31/24	1,185,594
US Treasury Note	1,340,000	1,371,040	1823	1,365,852	2.13%	1.63%	11/30/24	1,439,034
Subtotal US Treasuries	\$36,650,000	\$35,997,942		\$36,401,238		2.45%		\$38,245,338

\* Market Values provided by US Bank - Custodial Account Services



# INLAND EMPIRE UTILITIES AGENCY

## Cash and Investment Summary

Month Ended  
October 31, 2020

	Par	Cost Basis	Term	October	%	%	Maturity	Market
	Amount	Amount	(Days)	Value	Coupon	Yield to Maturity	Date	Value
<b>Investments (continued)</b>								
<b>U.S. Government Sponsored Entities</b>								
Freddie Mac Bond	\$230,000	\$228,015	1,028	\$229,793	2.38%	2.70%	02/16/21	\$231,503
Federal Home Loan Bank	1,865,000	1,882,718	992	1,871,162	3.00%	2.63%	10/12/21	1,915,210
Fannie Mae Bond	795,000	794,428	1,096	794,772	2.63%	2.65%	01/11/22	818,355
Federal Home Loan Bank	1,725,000	1,724,552	1,001	1,724,636	0.25%	0.26%	06/03/22	1,727,010
Fannie Mae Bond	890,000	886,903	1,096	888,095	1.38%	1.49%	09/06/22	909,469
Federal Home Loan Bank	1,280,000	1,277,645	1,092	1,278,193	1.38%	1.44%	02/17/23	1,313,793
Fannie Mae Bond	1,360,000	1,355,906	365	1,356,516	0.25%	0.35%	05/22/23	1,360,714
Freddie Mac Bond	1,450,000	1,444,461	1,810	1,447,062	2.75%	2.83%	06/19/23	1,545,403
Freddie Mac Bond	1,330,000	1,326,116	1,095	1,326,570	0.25%	0.35%	06/26/23	1,330,372
Fannie Mae Bond	1,875,000	1,870,969	1,095	1,871,389	0.25%	0.32%	07/10/23	1,875,030
Fannie Mae Bond	645,000	645,000	1,092	645,000	0.36%	0.36%	08/18/23	645,462
Freddie Mac Bond	885,000	884,097	1,098	884,157	0.25%	0.28%	08/24/23	885,015
Fannie Mae Bond	855,000	853,273	1,741	853,963	2.88%	2.92%	09/12/23	918,959
Fannie Mae Bond	1,820,000	1,803,274	1,772	1,810,136	2.88%	3.08%	09/12/23	1,956,147
Freddie Mac Bond	970,000	969,855	1,098	969,859	0.30%	0.31%	09/28/23	969,274
Federal Home Loan Bank	870,000	895,642	1,772	886,381	3.38%	2.72%	12/08/23	952,786
Fannie Mae Bond	680,000	677,470	1,823	678,347	2.50%	2.58%	02/05/24	728,713
Federal Home Loan Bank	190,000	189,327	1,824	189,558	2.50%	2.58%	02/13/24	203,703
Fannie Mae Bond	2,055,000	2,130,234	1,769	2,119,984	1.63%	0.85%	01/07/25	2,156,389
Freddie Mac Bond	1,215,000	1,214,064	1,825	1,214,198	1.50%	1.52%	02/12/25	1,268,843
Federal Home Loan Bank	950,000	945,288	1,824	945,802	0.50%	0.60%	04/14/25	952,392
Fannie Mae Bond	495,000	496,629	1,792	496,484	0.63%	0.56%	04/22/25	498,990
Fannie Mae Bond	1,275,000	1,272,374	1,824	1,272,649	0.63%	0.67%	04/22/25	1,285,276
Fannie Mae Bond	1,425,000	1,432,296	1,782	1,431,686	0.63%	0.52%	04/22/25	1,436,485
Fannie Mae Bond	240,000	241,126	1,716	241,108	0.50%	0.40%	06/17/25	240,079
Fannie Mae Bond	995,000	996,473	1,693	996,469	0.50%	0.47%	06/17/25	995,327
Fannie Mae Bond	1,435,000	1,441,931	1,778	1,441,584	0.50%	0.40%	06/17/25	1,435,472
Fannie Mae Bond	1,480,000	1,476,936	1,824	1,477,163	0.50%	0.54%	06/17/25	1,480,487
Freddie Mac Bond	895,000	890,543	1,824	890,790	0.38%	0.48%	07/21/25	889,672
Fannie Mae Bond	950,000	945,554	1,824	945,715	0.38%	0.47%	08/25/25	943,334
Freddie Mac Bond	1,365,000	1,360,891	1,824	1,360,975	0.38%	0.44%	09/23/25	1,355,271
Subtotal U.S. Gov't Sponsored Entities	\$34,490,000	\$34,553,989		\$34,540,196		1.16%		\$35,224,935

# INLAND EMPIRE UTILITIES AGENCY

## Cash and Investment Summary

Month Ended  
October 31, 2020

	Par	Cost Basis	Term	October	%	%	Maturity	Market
	Amount	Amount	(Days)	Value	Coupon	Yield to Maturity	Date	Value
<b>Investments (continued)</b>								
<b>Municipal Bonds</b>								
Maryland State GO Bond	\$250,000	\$249,930	1457	\$249,934	0.51%	0.52%	08/01/24	\$249,647
CA State Dept of Wtr Res	100,000	100,000	1578	100,000	0.56%	0.56%	12/01/24	99,422
Univ. of CA Revenue Bond	90,000	90,326	1764	90,306	0.88%	0.81%	05/15/25	90,271
Univ. of CA Revenue Bond	205,000	205,000	1764	205,000	0.88%	0.88%	05/15/25	205,617
Florida State Board of Admin. Taxable Rev	520,000	520,000	1749	201,377	1.26%	1.26%	07/01/25	202,160
Florida State Board of Admin. Taxable Rev	200,000	201,414	1749	520,000	1.26%	1.11%	07/01/25	525,616
Los Angeles CCD CA Taxable GO Bonds	375,000	375,000	1725	375,000	0.77%	0.77%	08/01/25	375,000
Subtotal State and Local Municipal Bonds	\$1,740,000	\$1,741,670		\$1,741,617		0.92%		\$1,747,733
<b>Medium Term Notes</b>								
Hershey Company	\$335,000	\$334,769	1101	\$334,959	3.10%	3.12%	05/15/21	\$339,924
American Honda Finance	800,000	767,016	1181	792,934	1.65%	3.00%	07/12/21	807,451
Bank of NY Mellon	1,400,000	1,371,048	1391	1,390,363	2.60%	3.18%	02/07/22	1,434,832
American Express	800,000	785,488	1415	795,005	2.70%	3.20%	03/03/22	823,562
Walt Disney Company	815,000	798,692	1416	809,380	2.45%	3.00%	03/04/22	837,735
Paccar Financial Corp	930,000	929,498	1096	929,746	2.65%	2.67%	05/10/22	961,965
Visa Inc	825,000	795,407	1611	812,454	2.15%	3.03%	09/15/22	852,215
Bank of America	90,000	86,542	1647	88,490	2.50%	3.43%	10/21/22	91,803
Oracle Corp	1,420,000	1,389,001	1763	1,405,301	2.63%	3.11%	02/15/23	1,487,941
Amazon Inc	935,000	925,996	1409	929,613	2.40%	2.66%	02/22/23	977,241
Burlington North Santa Fe Corp	800,000	790,800	1792	795,564	3.00%	3.26%	03/15/23	843,126
Bank of NY Mellon	1,375,000	1,387,595	1555	1,382,354	3.50%	3.27%	04/28/23	1,480,573
Apple Inc. Corp.	565,000	563,463	1095	563,707	0.75%	0.84%	05/11/23	570,740
UnitedHealth Group Inc	720,000	755,741	1330	745,691	3.50%	2.08%	06/15/23	778,918
Pfizer Inc	1,260,000	1,276,392	1807	1,271,073	2.95%	2.67%	03/15/24	1,356,497
Walmart Inc	1,425,000	1,495,196	1768	1,472,667	2.85%	1.78%	07/08/24	1,542,363
JP Morgan Chase	570,000	570,000	1461	570,000	0.81%	0.81%	09/16/24	569,348
Bank of America	725,000	725,000	1464	725,000	1.80%	1.58%	10/24/24	725,967
Toyota Motor	315,000	318,078	1724	317,794	1.80%	1.58%	02/13/25	328,255
Toyota Motor	425,000	429,152	1724	428,769	1.80%	1.58%	02/13/25	442,884
Novartis Capital	1,425,000	1,475,744	1743	1,470,498	1.75%	0.98%	02/14/25	1,492,502
Bristol Myers	650,000	742,742	1780	740,984	3.88%	0.88%	08/15/25	745,289
Subtotal Medium Term Notes	\$18,605,000	\$18,713,360		\$18,772,346		2.41%		\$19,491,131
<b>Subtotal PFM Managed Investment Accounts</b>	<b>\$95,440,000</b>	<b>\$94,961,961</b>		<b>\$95,410,397</b>		<b>1.92%</b>		<b>\$98,717,196</b>
<b>Total Investments</b>	<b>\$142,042,899</b>	<b>\$141,564,860</b>		<b>\$142,013,296</b>				<b>\$145,320,095</b>

(Source of Investment Amortized Cost: PFM)

# INLAND EMPIRE UTILITIES AGENCY

## Cash and Investment Summary

Month Ended  
October 31, 2020

	Par	Cost Basis	Term	October	%	%	Maturity	Market
	Amount	Amount	(Days)	Value	Coupon	Yield to Maturity	Date	Value
<b>Restricted Deposits</b>								
<b>Investment Pool Accounts</b>								
CAMP - Water Connection Reserves	\$24,179,760	\$24,179,760	N/A	\$24,179,760		0.19%	N/A	\$24,179,760
LAIF - Self Insurance Reserves	6,561,244	6,561,244	N/A	6,561,244		0.62%	N/A	6,561,244
<b>Total Investment Pool Accounts</b>	<b>30,741,004</b>	<b>30,741,004</b>		<b>30,741,004</b>		<b>0.28%</b>		<b>30,741,004</b>
<b>Bond and Note Accounts</b>								
2017A Debt Service Accounts	\$4,771,652	\$4,771,652	N/A	\$4,771,652		0.00%		\$4,771,652
2020A Debt Service Accounts	107,282	107,282	N/A	107,282		0.00%		107,282
2020B Capitalized Interest Account	33,006,045	33,006,045	N/A	33,006,045		0.19%		33,006,045
2020B Debt Service Accounts	4,859	4,859	N/A	4,859		0.00%		4,859
<b>Total Bond and Note Accounts</b>	<b>\$37,889,838</b>	<b>\$37,889,838</b>		<b>\$37,889,838</b>		<b>0.17%</b>		<b>\$37,889,838</b>
<b>2020B Construction Project Account</b>								
LAIF Construction Fund	\$186,249,139	\$186,249,139	N/A	\$186,249,139		0.62%	N/A	\$186,249,139
CAMP Construction Fund	10,509,563	10,509,563		10,509,563		0.19%		10,509,563
Subtotal 2020B Construction Fund	\$196,758,702	\$196,758,702		\$196,758,702		0.60%		\$196,758,702
<b>Total 2020B Construction Project Accts</b>	<b>\$196,758,702</b>	<b>\$196,758,702</b>		<b>\$196,758,702</b>		<b>0.600%</b>		<b>\$196,758,702</b>
<b>CCRA Deposits Held by Member Agencies</b>								
City of Chino	\$13,999,977	\$13,999,977	N/A	\$13,999,977		N/A	N/A	\$13,999,977
City of Chino Hills	6,320,037	6,320,037	N/A	6,320,037		N/A	N/A	6,320,037
Cucamonga Valley Water District	12,004,454	12,004,454	N/A	12,004,454		N/A	N/A	12,004,454
City of Fontana	14,866,372	14,866,372	N/A	14,866,372		N/A	N/A	14,866,372
City of Montclair	3,918,157	3,918,157	N/A	3,918,157		N/A	N/A	3,918,157
City of Ontario	30,085,789	30,085,789	N/A	30,085,789		N/A	N/A	30,085,789
City of Upland	6,362,801	6,362,801	N/A	6,362,801		N/A	N/A	6,362,801
<i>Subtotal CCRA Deposits Held by Member Agencies**</i>	<i>\$87,557,587</i>	<i>\$87,557,587</i>		<i>\$87,557,587</i>				<i>\$87,557,587</i>
<i>**Total reported as of August 2020 less Capital Call</i>								
<b>CalPERS Deposits</b>								
CERBT Account (OPEB)	\$16,000,000	\$16,000,000	N/A	\$19,603,153		N/A	N/A	\$19,603,153
Subtotal CalPERS Deposits	\$16,000,000	\$16,000,000		\$19,603,153				\$19,603,153
<i>CERBT Strategy 2 Performance as of August 2020 based on 1 Year Net Return was 9.01%.</i>								
<b>Escrow Deposits</b>								
Stanek Contractors Escrow #1537 & #1661	\$302,324	\$302,324	N/A	\$302,324		N/A	N/A	\$302,324
Subtotal Escrow Deposits	\$302,324	\$302,324		\$302,324				\$302,324
<b>Total Restricted Deposits</b>	<b>\$369,249,455</b>	<b>\$369,249,455</b>		<b>\$372,852,608</b>				<b>\$372,852,608</b>
<b>Total Cash, Investments, and Restricted Deposits as of October 31, 2020</b>	<b>\$513,276,680</b>	<b>\$512,798,641</b>		<b>\$516,850,230</b>				<b>\$520,157,029</b>

**INLAND EMPIRE UTILITIES AGENCY**  
**Cash and Investment Summary**

Month Ended  
October 31, 2020

**October Purchases**

No.	Date	Transaction	Investment Security	Type	Par Amount Purchased	Investment Yield to Maturity
1	10/01/20	Buy	Fannie Mae Notes	GSE	\$240,000	0.50%
2	10/16/20	Buy	Bank of America	MTN	725,000	0.81%
3	10/26/20	Buy	Fannie Mae Notes	GSE	995,000	0.50%
4	10/30/20	Buy	Los Angeles CCD CA Taxable Bond	Muni	375,000	0.77%
					<u><b>\$ 2,335,000</b></u>	

**October Investment Maturities, Calls & Sales**

No.	Date	Transaction	Investment Security	Type	Par Amount Matured/Sold	Investment Yield to Maturity
1	10/02/20	Maturity	Synchrony Bank CD	CD	\$240,000	2.25%
2	10/16/20	Sell	Bank of America	MTN	\$710,000	2.50%
3	10/28/20	Called	Freddie Mac Notes	GSE	970,000	1.10%
<b>Total Maturities, Calls &amp; Sales</b>					<u><b>\$ 1,920,000</b></u>	

# INLAND EMPIRE UTILITIES AGENCY

## Cash and Investment Summary

Month Ended  
October 31, 2020

### Directed Investment Category

CBB Repurchase (Sweep)  
LAIF - Unrestricted  
CAMP - Unrestricted  
Brokered Certificates of Deposit  
Medium Term Notes  
Municipal Bonds  
US Treasury Notes  
U.S. Government Sponsored Entities

### ***Total Investment Portfolio***

***Investment Portfolio Rate of Return***

<b>Amount Invested</b>	<b>Yield</b>
\$7,784,150	0.300%
28,264,296	0.623%
10,554,453	0.190%
3,955,000	1.690%
18,772,346	2.409%
1,741,617	0.923%
36,401,238	2.452%
34,540,196	1.155%
<b>\$142,013,296</b>	

***1.441%***

### Restricted/Transitory/Other Accounts

CCRA Deposits Held by Member Agencies  
CalPERS OPEB (CERBT) Account  
CAMP Restricted Water Connection Reserve  
LAIF Restricted Insurance Reserve  
US Bank - 2017A Debt Service Accounts  
US Bank - 2020A Refunding Bond Accounts  
US Bank - 2020B Revenue Note Accounts  
US Bank - Pre-Investment Money Market Account  
LAIF Construction Account  
CAMP Construction Account  
Citizens Business Bank - Demand Account  
Citizens Business Bank - Workers' Compensation Account  
Citizens Business Bank - Payroll Account  
Other Accounts\*  
Escrow Account

### ***Total Restricted/Transitory/Other Accounts***

***Average Yield of Other Accounts***

<b>Amount Invested</b>	<b>Yield</b>
\$87,557,587	N/A
19,603,153	N/A
24,179,760	0.190%
6,561,244	0.623%
4,771,652	0.000%
107,282	0.000%
33,010,904	0.190%
1,106,527	0.020%
186,249,139	0.623%
10,509,563	0.190%
825,155	N/A
50,394	N/A
0	N/A
2,250	N/A
302,324	N/A
<b>\$374,836,934</b>	

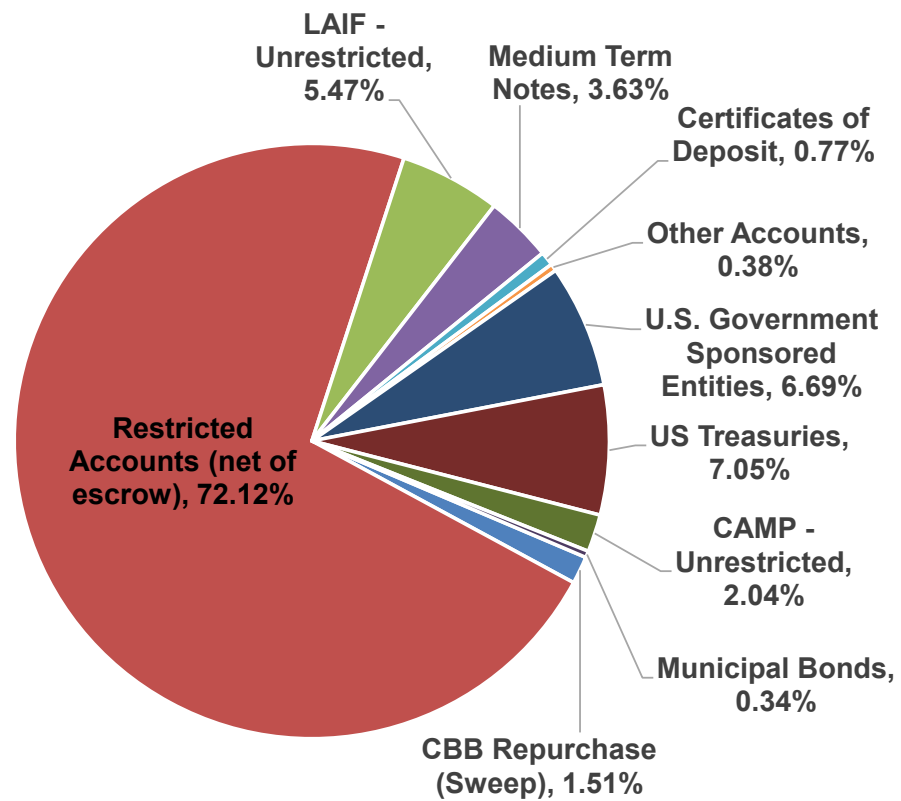
***0.499%***

### **Total Agency Directed Deposits**

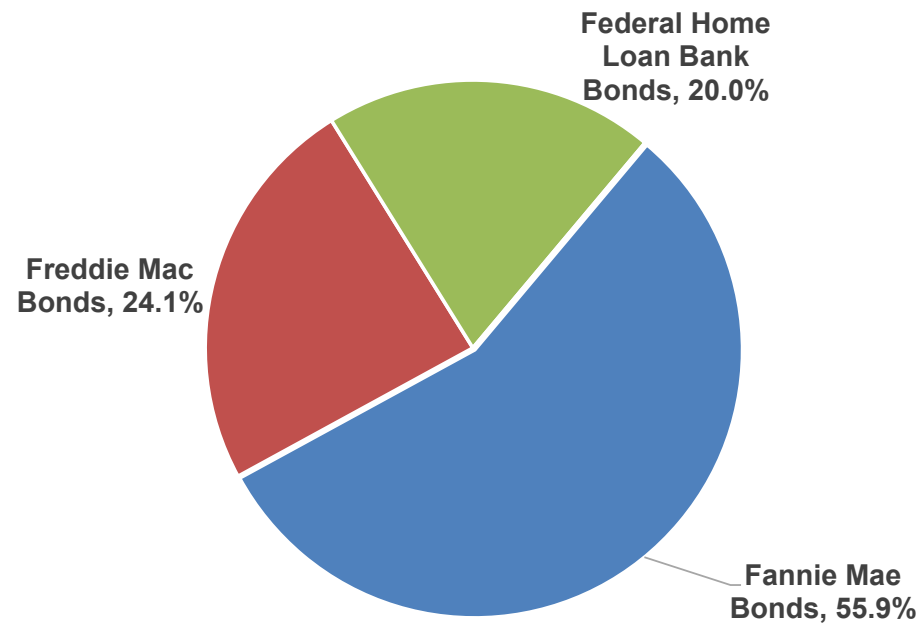
**\$516,850,230**

\*Petty Cash

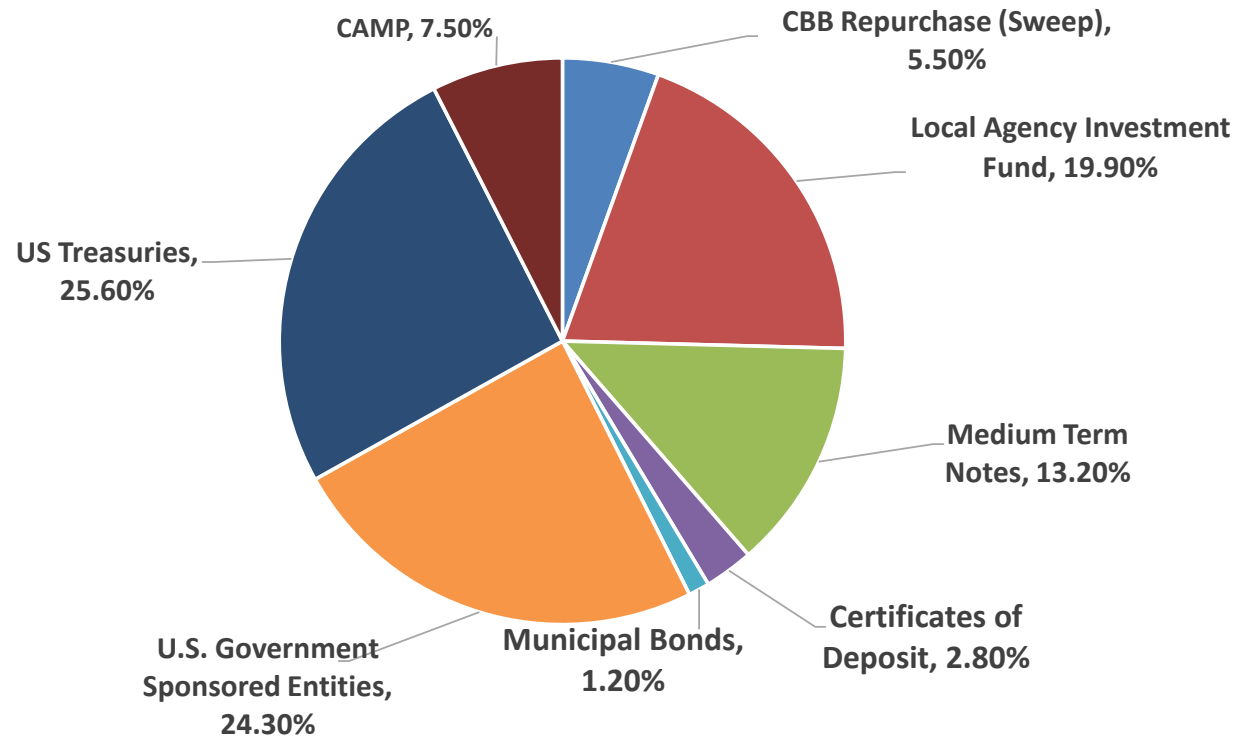
***Inland Empire Utilities Agency***  
**Treasurer's Report of Financial Affairs**  
Month Ended October 31, 2020  
Agency Investment Portfolio (Net of Escrow Accounts)  
**\$516,547,906**



***Inland Empire Utilities Agency***  
**Treasurer's Report of Financial Affairs**  
Month Ended October 31, 2020  
U.S. Government Sponsored Entities Portfolio  
**\$34,540,196**

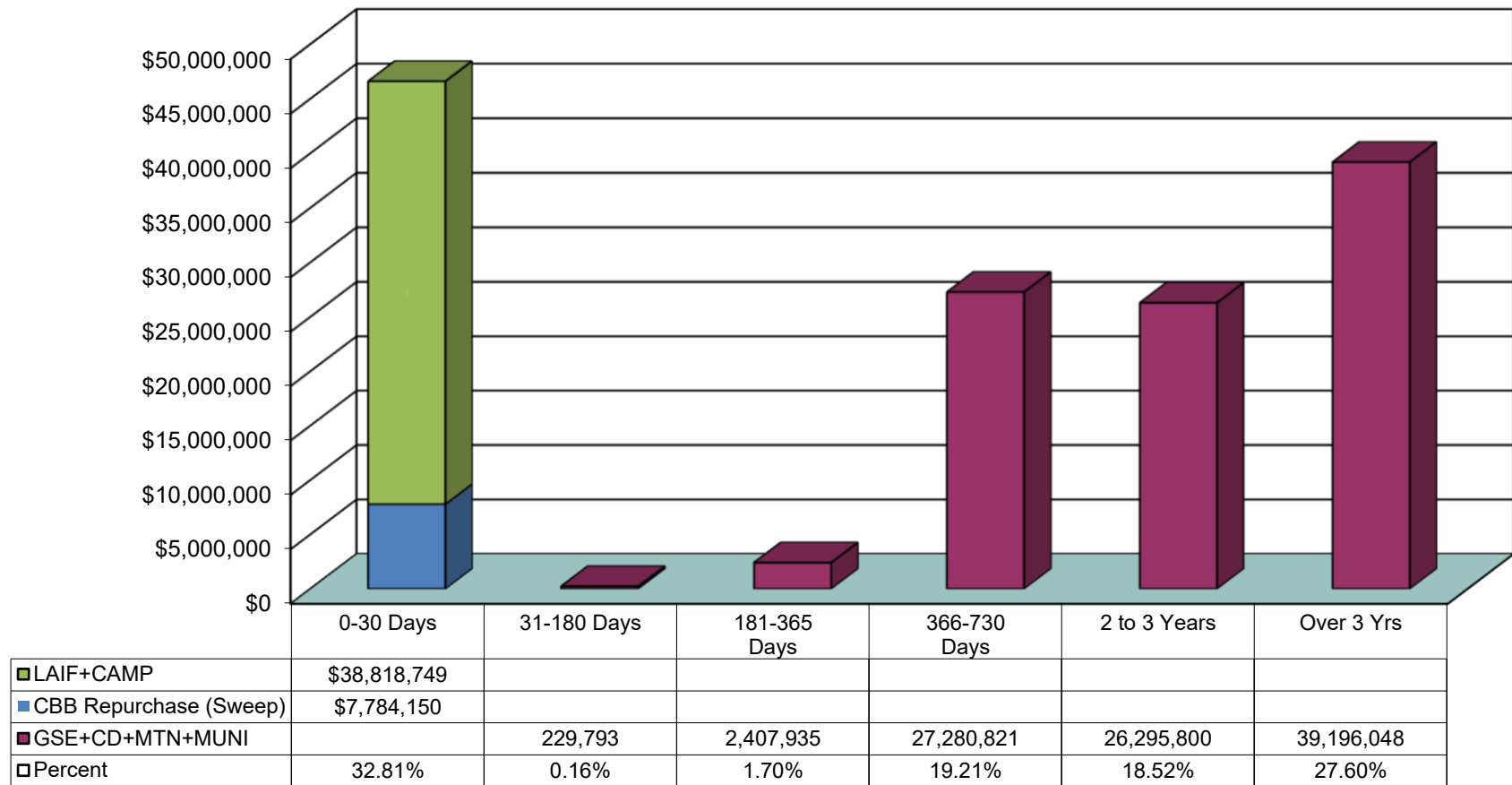


***Inland Empire Utilities Agency***  
**Treasurer's Report of Financial Affairs**  
Month Ended October 31, 2020  
Unrestricted Agency Investment Portfolio  
**\$142,013,296**

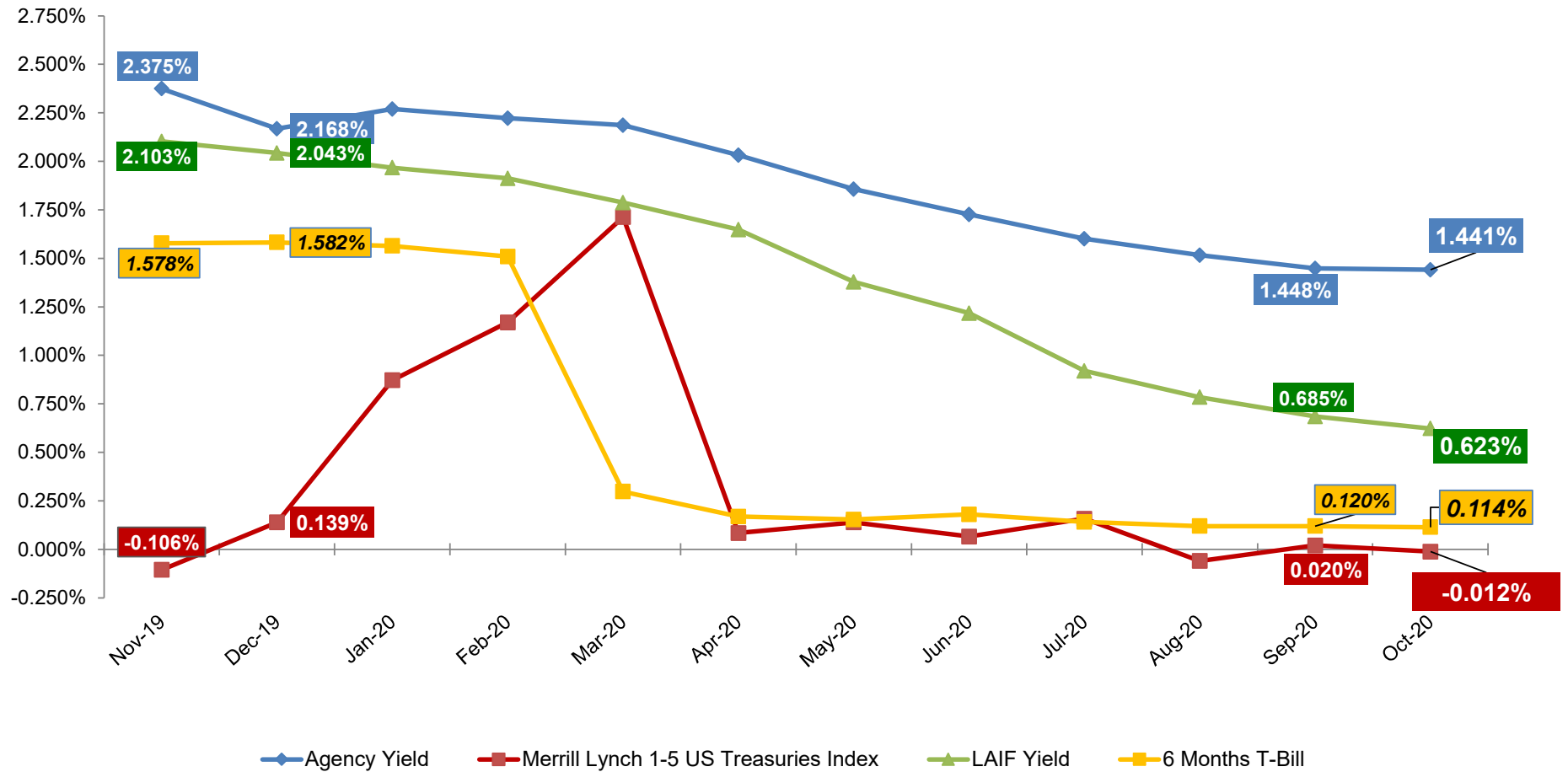




***Inland Empire Utilities Agency***  
**Treasurer's Report of Financial Affairs**  
 Month Ended October 31, 2020  
***Agency Investment Portfolio Maturity Distribution (Unrestricted)***  
**\$142,013,296**



**Inland Empire Utilities Agency**  
**Treasurer's Report of Financial Affairs**  
 Month Ended October 31, 2020  
 Agency Investment Portfolio Yield Comparison



INFORMATION  
ITEM

**4E**

**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**Committee:** Audit

  
**From:** Teresa Velarde, Manager of Internal Audit

12/07/20

**Manager Contact:** Teresa Velarde, Manager of Internal Audit

**Subject:** Water Connection Fee Audit - Follow Up Review

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**Executive Summary:**

Internal Audit (IA) completed a review of the recommendations provided in the original Water Connection Fee Audit report, dated February 25, 2019. The objectives of the audit were to evaluate the process for collecting fees, determine compliance with Agency policies and procedures, evaluate internal controls are in place over the collection, recognition and reconciliation of fees, and identify opportunities for improvement. Since the implementation of the Water Collection Fee on January 1, 2016, the Agency has collected over \$29 million in water connection fees to support the Agency's cost of water infrastructure and supplies and other related expenses and financial requirements, additionally to promote conservation and reuse.

Since the original audit in 2019, the Planning and Environmental Resources Department staff have implemented all recommendations. The recommendations support improving controls and implement processes to collect past due fees. For example, encourage improving relationships with agencies to ensure the collection of fees, controls to perform reconciliations, and implement all ordinance clauses to ensure the collection of fees. Overall, the audit noted that the Agency has effective processes in place over the collection of fees. The attached report provides details of the observations and recommendations. IA is available to provide additional audit services.

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**Staff's Recommendation:**

This is an information item.

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**Budget Impact** *Budgeted (Y/N):* Y *Amendment (Y/N):* N *Amount for Requested Approval:*

*Account/Project Name:*

N/A

*Fiscal Impact (explain if not budgeted):*

N/A

**Prior Board Action:**

On March 20, 2019, the Board of Directors received and filed the original Water Connection Fees Audit Report which provided 7 recommendations to improve internal controls.

On September 18, 2019, the Board of Directors received and filed the first Water Connection Fees Follow Up Audit which found that 2 of the original 7 recommendation were considered implemented and closed.

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

The Water Connection Fee Audit and Follow Up Review is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment, and Business Practices by ensuring that IA's evaluations provide recommendations for efficiencies, improving and safeguarding the Agency's fiscal health, promoting a strong control environment and assisting the Board and Agency management in achieving organizational goals and objectives.

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**Attachments:**


Attachment 1 - Water Connection Fee Audit - Follow Up Review  
Attachment 2 - PowerPoint



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TEL (909) 993-1600 • FAX (909) 993-1985  
[www.ieua.org](http://www.ieua.org)

DATE: November 20, 2020

TO: Shivaji Deshmukh  
General Manager

FROM:   
Teresa V. Velarde  
Manager of Internal Audit

**SUBJECT: Water Connection Fee Audit – Follow-Up Review**

**Audit Authority**

The Inland Empire Utilities Agency (IEUA or Agency) Internal Audit Department (IA) performed a review of the outstanding audit recommendations provided in the original Water Connection Fees Audit report, dated February 25, 2019. This follow-up evaluation was performed under the authority given by the IEUA Board of Directors. The report that follows provides a status of each of the open recommendations.

**Audit Objective and Scope**

The purpose of this follow up review was to evaluate the implementation status of the five open audit recommendations from the original audit. A total of 7 recommendations were provided through the original audit report dated February 25, 2019. In August 2019, IA performed a follow-up evaluation for two of the recommendations and determined both recommendations were implemented.

The objectives of the original audit were to evaluate the process for collecting Water Connection fees. Additionally, to determine compliance with the Agency's Ordinance, Resolution, policies, and procedures; evaluate adequate internal controls were in place and collection and recognition of revenue; ensure procedures are in place to reconcile fees collected; and identify opportunities for improvement.

*The Water Connection Fee Audit and Follow Up Review is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment, and Business Practices by ensuring that IA's evaluations provide recommendations for efficiencies, improving and safeguarding the Agency's fiscal health, promoting a strong control environment and assisting the Board and Agency management in achieving organizational goals and objectives.*

***Water Smart - Thinking in Terms of Tomorrow***

**Kati Parker**  
President

**Jasmin A. Hall**  
Vice President

**Steven J. Elie**  
Secretary/Treasurer

**Michael E. Camacho**  
Director

**Paul Hofer**  
Director

**Shivaji Deshmukh**  
General Manager

### **Audit Results – Executive Summary**

The original audit found that the Planning & Environmental Resources Department processes all water connection fee payment transactions effectively. During the original audit in February of 2019, IA provided seven recommendations to further strengthen and tighten collection processes and internal controls.

Subsequently, in August 2019, IA evaluated the implementation status of two recommendations and those were deemed implemented. The recommendations related to streamlining the Water Connection Fee process by using a single-entry system in SAP, the Agency's ERP system, and eliminate using two systems to process and record transactions. The Planning & Environmental Resources, Finance and Accounting (FAD) and Business Information Systems (BIS) departments worked together to implement the collection of fees, record the information directly in SAP and ensure all internal controls are in place. This new process continues to be successfully in place.

Based on the results of this review, all 5 recommendations are considered implemented and closed. The report that follows provides additional details.

### **Acknowledgements**

IA would like to extend our appreciation to the Planning & Environmental Resources Department staff for their cooperation and assistance during this review.

### **Discussions with Management**

Draft audit reports were provided to the Planning & Environmental Resources Department staff for their review and input on October 9, 2020 and November 10, 2020; prior to finalizing, where possible, their comments have been incorporated.

### **Background**

The Agency began collecting Water Connection fees on January 1, 2016. The Board approved Ordinance #104 on June 15, 2016 and requires that anyone that wants to connect to a water service must pay a fee directly to IEUA based on the meter size of their water connection. IEUA staff must coordinate with contracting agencies and water districts to ensure the collection of the water connection fee.

The Agency's Board also adopted Resolution No. 2015-5-6 which established a five-year rate structure based on the meter size of the water connection as shown below:

Meter: Size of Connection	Fiscal Year & Effective Date					
	2015/16	2016/17		2017/18	2018/19	2019/20*
	1/1/2016	7/1/2016	1/1/2017	7/1/2017	7/1/2018	7/1/2019
5/8"	\$693	\$693	\$1,455	\$1,527	\$1,604	\$1,684
3/4"	\$693	\$693	\$1,455	\$1,527	\$1,604	\$1,684
1"	\$1,733	\$1,733	\$3,638	\$3,818	\$4,011	\$4,211
1.5"	\$3,465	\$3,465	\$7,275	\$7,635	\$8,020	\$8,420
2"	\$5,544	\$5,544	\$11,640	\$12,216	\$12,832	\$13,472
3"	\$12,128	\$12,128	\$25,463	\$26,723	\$28,071	\$29,471
4"	\$21,830	\$21,830	\$45,833	\$48,101	\$50,527	\$53,047
6"	\$48,510	\$48,510	\$101,850	\$106,890	\$112,280	\$117,880
8"	\$83,160	\$83,160	\$174,600	\$183,240	\$192,480	\$202,080
10"	\$103,950	\$103,950	\$218,250	\$229,050	\$240,600	\$252,600
12"	\$121,275	\$121,275	\$254,625	\$267,225	\$280,700	\$294,700

*\*In consideration of the ongoing global pandemic, COVID-19, the Board elected to keep the FY 2020/21 rates the same as FY 2019/20, proposing no increases for the time being.*

### **Revenues**

The Water Connection Fee has resulted in the following revenues:

Water Connection Fees as Reported in SAP, the Agency's ERP system							
AGENCY	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21*	TOTAL
City of Chino	\$245,379	\$1,054,707	\$2,026,433	\$1,346,691	\$1,830,369	\$539,753	\$7,043,332
City of Chino Hills	\$82,473	\$1,280,212	\$992,302	\$332,076	\$70,507	\$17,683	\$2,775,253
Cucamonga Valley Water District	\$60,992	\$341,861	\$1,156,304	\$346,700	\$314,099	(\$15,152)	\$2,204,804
Fontana Water Company	\$107,075	\$740,884	\$624,903	\$948,553	\$1,906,210	\$29,473	\$4,357,098
Monte Vista Water District	\$34,654	\$138,605	\$85,512	\$191,424	\$266,945	-	\$717,140
City of Ontario	\$302,496	\$1,291,148	\$2,652,342	\$2,038,039	\$3,194,580	\$793,170	\$10,271,775
City of Upland	\$163,941	\$567,518	\$351,482	\$712,808	\$465,433	\$235,762	\$2,496,944
Total Amounts	<b>\$997,010</b>	<b>\$5,414,935</b>	<b>\$7,889,278</b>	<b>\$5,916,291</b>	<b>\$8,048,143</b>	<b>\$1,600,689</b>	<b>\$29,866,346</b>

*\* FY 2020/21 revenue is through October 31, 2020.*



**Follow-Up Review of Outstanding Recommendations  
from the Audit Report dated February 25, 2019**

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**Original Recommendation #2:**

***Planning & Environmental Resources staff should take the lead to continue to work with the contracting agencies and water districts to ensure the water connection fees information in the Agency's systems is reconciled to the building permits and/or water meters that have been issued by the contracting agencies and water districts (ideally quarterly, but at least semi-annually).***

**Status: IMPLEMENTED**

The original audit noted there was a need for Planning & Environmental Resources staff to work closely with the contracting agencies and water districts to ensure that all owed water connection fees are paid and reported to IEUA. The audit identified a need to coordinate and reconcile information between IEUA's Planning & Environmental Resources Department's database and the contracting agencies/water districts' building and/or water meter permit information and reports. This process is necessary to ensure all connection fees are identified, reported and paid according to the requirements of the IEUA Ordinance.

At the time of the original audit, Planning & Environmental Resources staff stated that there was generally adequate support from the contracting agencies to collect the fee but noted some difficulty in obtaining information from a few of the local water districts and companies. During this follow up review, the department indicated that their business relationship with the water districts has improved which aids in gathering needed information.

At the time of the original audit, Planning & Environmental Resources staff had also stated the importance of ensuring periodic reconciliations to compare the Agency's water connection fees to the contracting agencies/water districts' information about water meters issued by them. Staff indicated that they have completed a reconciliation of Fiscal Year 2019-20. As a result of the reconciliations, Planning & Environmental Resources has identified meters issued which require the related fee be paid to IEUA and staff have developed a process to reach out to developers and homeowners to explain the process and the fees that must be collected for water meters installed with the corresponding water connection payment to IEUA.

As a result of the Planning & Environmental Resources Department's staff outreach, communication, and reconciliation efforts, additional/outstanding Water Connection fees have been collected. According to staff over \$1.8 million has been collected in past due payments through September 2020. Additional information on collections is discussed under Recommendation #6.

Planning & Environmental Resources continues to work with the contracting agencies and water districts to ensure the Water Connection fees information is communicated. Additionally, staff indicated that annually, they ensure the Agency's information is reconciled to the water meters that have been issued by the contracting agencies and water districts. As a result of these efforts, IA considers this recommendation implemented and closed.

### **Agency's Ordinance**

#### **Original Recommendation #4:**

***Based on their experience in collecting fees Planning & Environmental Resources staff should provide Executive Management and the Board their suggestions and proposed updates for revised wording to the water connection fee Ordinance and Resolution for their consideration.***

#### **Status: Closed - estimated timeline for full implementation is early 2021**

The Agency has been collecting Water Connection Fees since January 1, 2016. With the experience in collecting fees and applying the guidelines set forth in the Agency' Board approved Ordinance #104 (dated June 15, 2016) and Resolution No. 2015-5-6 (dated May 20<sup>th</sup>, 2015), Planning & Environmental Resources staff have possible suggestions to update and improve guidelines. Planning & Environmental Resources staff would like to propose their updates and suggestions to Executive Management and the Board for consideration. Suggestions include:

- Ordinance: Flexibility with the timing of the fee payment from the customer to IEUA. The Ordinance currently states in Division II, Part II-Water Rates, Section 202 that customers *"shall pay 100% of the fee prior to issuance of the applicable permit to construct"*. Planning & Environmental Resources' observation is the scope of new construction can vary, development may occur in several phases or in individual units. These variations may result in changes to applicable permits, to planned sizes of water connections and/or changes to the timing of development and changes in fee amounts. Planning & Environmental Resources staff would like to suggest additional wording to provide flexibility and allow for developers to pay for individual/separate phases.
- Ordinance: Additional wording to address refunds/credits: The Ordinance mentions in Division II, Part II-Water Rates, Section 202 that a *"request to modify an existing connection will be reviewed by IEUA's General Manager or his/her designee"* but does not specifically mention refunds or under what circumstances refunds would be authorized. Currently, it is Planning & Environmental Resources' practice to issue full or partial refund to customers when they request a change or when a customer provides proof of existing, smaller water meter. The Ordinance

does not address administrative fees necessary to process the original payment, refunds or credits.

- Resolution: The current authorized rate structure expires June 30, 2020, as noted above, in consideration of the ongoing global pandemic, COVID-19, the Board elected to keep the FY 2020/21 rates the same as FY 2019/20, proposing no increases for the time being. In January 2019, Finance and Accounting staff developed an RFP and the Agency's Board of Director's approved a contract with an independent consultant to perform a rate study to use as a basis for establishing rates for future years to include in a revised Resolution. According to Planning & Environmental Resources staff, the water rates portion of the study concluded with a year over year increase of 3% to account for inflation. Planning & Environmental Resources staff embarked on an updated rate study that will address the wastewater/water/recycled water rates in 2021-2022 to ensure that technically based and defensible rates are established.

The intent of this recommendation was to provide support to staff to make any needed updates to the current Board approved Ordinance and Resolution, if required. Planning & Environmental Resources staff stated the proposed suggestions will be presented to Executive Management at the end of 2020, and plan to take the updated Ordinance for review and approval to the Board of Directors during the first half of calendar year 2021. Because the department staff has plans to work with the Executive Team and present to the Board in the next 6 months, IA will consider this item closed.

### **Standard Operating Procedures (SOP)**

#### **Recommendation #5:**

***Planning & Environmental Resources staff should work to update and finalize their draft SOP to document various tasks and procedures associated with the water connection fee process, including the processes to work with FAD and the contracting agencies/water districts.***

#### **Status: IMPLEMENTED**

The original audit identified that Planning & Environmental Resources staff had already documented SOPs that would provide guidance about the different activities involved in the water connection fees collection process. At the time of the original audit, the SOPs were in draft form. During this follow up review, IA reviewed the draft SOPs and provided comments/suggestions for consideration before finalizing.

In November 2020, staff finalized the processes in one, 35-page SOP to serve as procedures for staff to follow for: processing payments, processing non-payments, and processing liens. IAs review of the SOP determined that all process steps are

documented in detail, processes are illustrated with screen shots of each step, and steps clearly communicated.

Documented procedures are the foundation of good internal controls to ensure consistency and accuracy in applying the approved processes. SOPs serve as a training tool and manual for other staff, especially during staff absences, staffing changes, and for cross-training to ensure the continuity of the services. Department SOPs are part of the Agency's internal control framework and a recommended best practice.

IA commends the Planning & Environmental Resources staff for taking the time to document the needed SOP. IA considers this recommendation implemented and closed.

### **Fee Collections**

#### **Recommendation #6:**

***Planning & Environmental Resources staff should continue to work with the Agency's executive management to pursue all possible approaches, including establishing agreements or other methods to ensure water connection fees are collected as intended by the Board approved Ordinance.***

#### **Status: IMPLEMENTED**

The original audit identified a need for Planning & Environmental Resources staff to work closer with the contracting agencies and local water districts to verify that all water connection fees were collected as required by the Board-approved Ordinance and to pursue all methods available to ensure collection of fees due.

As noted in the original 2019 audit, the water connection fee is an Agency revenue source that requires the Agency to directly interact with the public and retail customers. To ensure that the Agency receives the water connection fees as required by the Ordinance, the Agency uses the "honor system" with contracting agencies and local water districts to inform customers about the need to pay the fee to IEUA before the project is permitted or the water meter is issued. Planning & Environmental Resources staff has met several times with the contracting agencies and water districts and continues to hold regular "check-in meetings" to obtain the needed cooperation. Planning & Environmental Resources' staff efforts have resulted in cooperative business relationships and the staff continues to work closely with the contracting agencies and water districts. Staff noted that relationships and cooperation has improved significantly. Additionally, due to staffs' efforts to improve relationships, the Agency can rely on the current process in place to ensure payment of all water connection fees are paid, which is evident through the reconciliation. This demonstrates that the current process is working effectively.

Planning & Environmental Resources staff provided a recent fiscal year reconciliation to demonstrate the process used to determine if there are any uncollected water connection fees. Additionally, staff provided the information related to the additional collections that have resulted. IA encourages staff to continue the reconciliation process and consider documenting the process in their SOP. Per the review of the information, IA determines this recommendation is implemented and closed.

**Recommendation #7:**

***Delinquent fees should be pursued using various methods, including liens.***

**Status: IMPLEMENTED**

The original audit identified uncollected fees and there were obstacles that prevented the collection of the water connection fees for new development in areas serviced by the local water districts. This resulted in the Agency potentially not receiving all the fees owed.

Planning & Environmental Resources staff made attempts to collect the water connection fees after the fact, however, staff noted developers and/or property owners ignored the notifications/invoices, and balances remained outstanding. It was also noted that it was FAD's responsibility to ensure that all fees due to the Agency are pursued and every attempt is made at collection. Therefore, FAD had planned to develop a Request for Proposal (RFP) to find a collection agency to pursue these uncollected amounts or work directly with the County to place the necessary liens on properties.

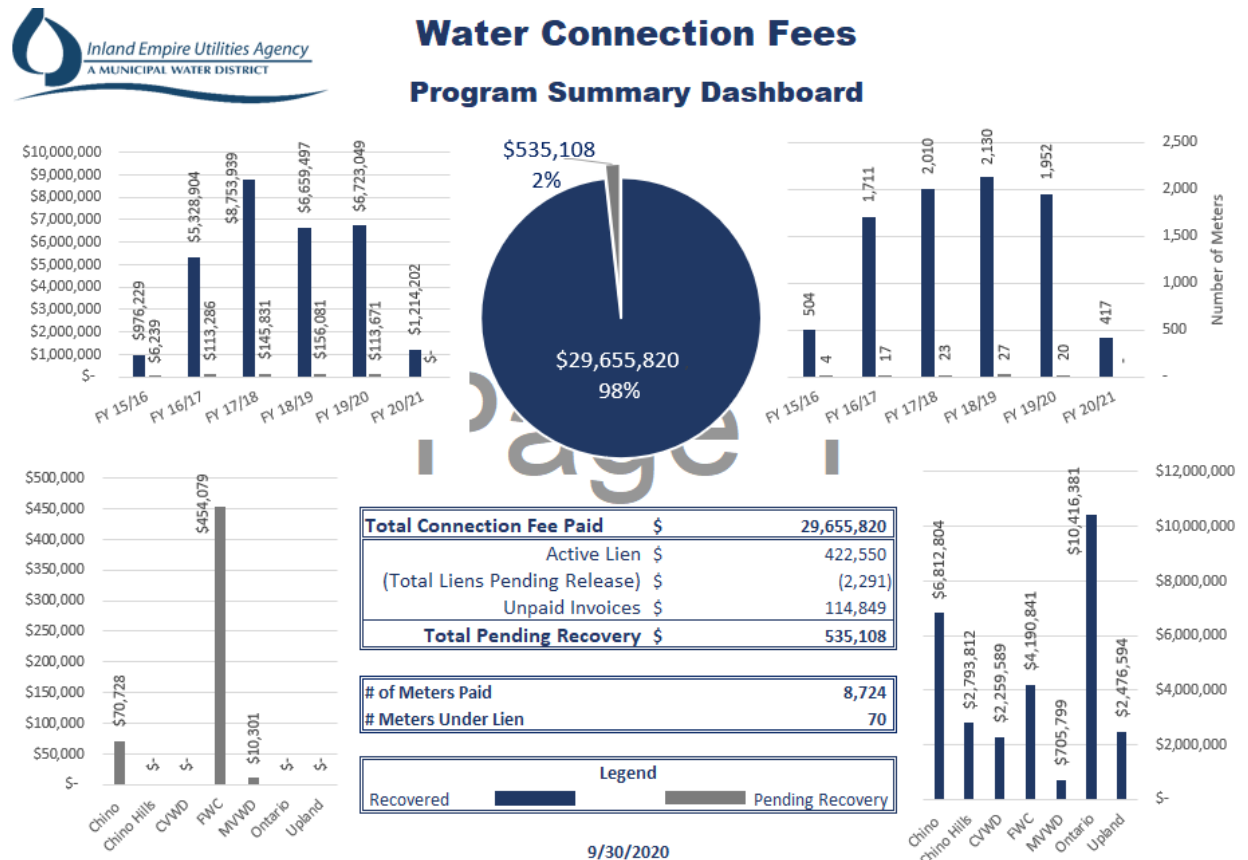
This follow-up review found that Planning & Environmental Resources took a proactive role to pursue uncollected fees by working directly with the County of San Bernardino to place liens on properties if necessary. Currently, Planning & Environmental Resources has developed and followed the SOPs processes for the collection of unpaid fees and placing and pursuing liens and collection on those liens. Process is as follows:

- 1) Letter notifications (1<sup>st</sup>, 2<sup>nd</sup>, and final), then
- 2) Lien placed on the property through County of San Bernardino

Results: As of September 2020, this process has resulted in the collection of over \$1.8 million of previously outstanding revenue. It should be noted that Planning & Environmental Resources staff indicated that over \$1.5 million was paid through the issuance of letters alone, first step sending out at most 3 notification letters (and the process never escalated to placing a lien). Over \$300,000 has been collected through liens paid. In total, over \$1.8 million has been collected through the process of sending request letters and placing liens. Staff indicated that a total of 116 past due meter payments have resulted in property liens filed with the County of San Bernardino, 46 of which have been released after payment. A total of 297 past due meter payments were made after notification letters without the Agency filing a lien. Planning & Environmental

Resources staff have worked diligently to ensure this process is in place and working as intended.

Additionally, Planning & Environmental Resources staff has developed a dashboard to track all water connections fees collected and uncollected; number of meters paid and unpaid; number and dollar amount of liens placed and released, etc. The dashboard is a “live” document as it is continuously updated when fees are collected, and liens are released. A snapshot of the dashboard was provided as of September 30, 2020:



Planning & Environmental Resources provided the most recent lien data, shown:

Lien Data: September 30, 2020		
Description	Number of meters	Total amount collected
Total count of liens filed	116	\$726,683
Count of liens paid and released	46	\$304,133
Count of unpaid liens/not released	70	\$422,550
Count of fees paid without the need to place a lien	297	\$1,575,848

**Since delinquent fees are pursued using various methods, including liens this recommendation is considered implemented and closed.**

# Audit Committee Meeting

## Water Connection Fee Audit: Follow-Up Review



# Water Connection Fee Audit: Follow-Up Review

- Original audit – February 2019
- First follow-up review – August 2019
- Second follow-up review – November 2020

## Purpose:

To evaluate the implementation status of the 5 open audit recommendations from the original audit.

## The objectives were to evaluate:

- Process for collecting Water Connection Fees
- Determine compliance with the Agency's policies
- Evaluate internal controls
- Ensure reconciliation of fees collected;
- Identify opportunities for improvement.



# Water Connection Fee Rates

The Agency's Board also adopted Resolution No. 2015-5-6 which established a five-year rate structure based on the meter size of the water connection as shown below\*:

Meter: Size of Connection	Fiscal Year & Effective Date					
	2015/16	2016/17		2017/18	2018/19	2019/20*
	1/1/2016	7/1/2016	1/1/2017	7/1/2017	7/1/2018	7/1/2019
5/8"	\$693	\$693	\$1,455	\$1,527	\$1,604	\$1,684
3/4"	\$693	\$693	\$1,455	\$1,527	\$1,604	\$1,684
1"	\$1,733	\$1,733	\$3,638	\$3,818	\$4,011	\$4,211
1.5"	\$3,465	\$3,465	\$7,275	\$7,635	\$8,020	\$8,420
2"	\$5,544	\$5,544	\$11,640	\$12,216	\$12,832	\$13,472
3"	\$12,128	\$12,128	\$25,463	\$26,723	\$28,071	\$29,471
4"	\$21,830	\$21,830	\$45,833	\$48,101	\$50,527	\$53,047
6"	\$48,510	\$48,510	\$101,850	\$106,890	\$112,280	\$117,880
8"	\$83,160	\$83,160	\$174,600	\$183,240	\$192,480	\$202,080
10"	\$103,950	\$103,950	\$218,250	\$229,050	\$240,600	\$252,600
12"	\$121,275	\$121,275	\$254,625	\$267,225	\$280,700	\$294,700

*\*In consideration of the ongoing global pandemic, COVID-19, the Board elected to keep the FY 2020/21 rates the same as FY 2019/20, proposing no increases for the time being.*

# Water Connection Fee Revenues

Water Connection Fees as Reported in SAP, the Agency's ERP system							
AGENCY	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21*	TOTAL
City of Chino	\$245,379	\$1,054,707	\$2,026,433	\$1,346,691	\$1,830,369	\$539,753	\$7,043,332
City of Chino Hills	\$82,473	\$1,280,212	\$992,302	\$332,076	\$70,507	\$17,683	\$2,775,253
Cucamonga Valley Water District	\$60,992	\$341,861	\$1,156,304	\$346,700	\$314,099	(\$15,152)	\$2,204,804
Fontana Water Company	\$107,075	\$740,884	\$624,903	\$948,553	\$1,906,210	\$29,473	\$4,357,098
Monte Vista Water District	\$34,654	\$138,605	\$85,512	\$191,424	\$266,945	-	\$717,140
City of Ontario	\$302,496	\$1,291,148	\$2,652,342	\$2,038,039	\$3,194,580	\$793,170	\$10,271,775
City of Upland	\$163,941	\$567,518	\$351,482	\$712,808	\$465,433	\$235,762	\$2,496,944
<b>Total Amounts</b>	<b>\$997,010</b>	<b>\$5,414,935</b>	<b>\$7,889,278</b>	<b>\$5,916,291</b>	<b>\$8,048,143</b>	<b>\$1,600,689</b>	<b>\$29,866,346</b>

\* Revenue is through October 31, 2020

# Water Connection Fee Audit: Follow-Up Review

## Recommendation #2:

*Planning & Environmental Resources Department should take the lead to continue to work with the contracting agencies and water districts to ensure the water connection fees information in the Agency's systems is reconciled to the building permits and/or water meters that have been issued by the contracting agencies and water districts (ideally quarterly, but at least semi-annually).*

Status: IMPLEMENTED

# Water Connection Fee Audit: Follow-Up Review

## **Recommendation #4:**

*Based on their experience in collecting fees Planning & Environmental Resources Department staff should provide Executive Management and the Board their suggestions and proposed updates for revised wording to the water connection fee Ordinance and Resolution for their consideration.*

*Status: Closed*

## **Recommendation #5:**

*Planning & Environmental Resources Department staff should work to update and finalize their draft SOP to document various tasks and procedures associated with the water connection fee process, including the processes to work with FAD and the contracting agencies/water districts.*

*Status: IMPLEMENTED*

# Water Connection Fee Audit: Follow-Up Review

## **Recommendation #6:**

*Planning & Environmental Resources Department should continue to work with the Agency's executive management to pursue all possible approaches, including establishing agreements or other methods to ensure water connection fees are collected as intended by the Board approved Ordinance.*

*Status: IMPLEMENTED*

## **Recommendation #7:**

*Delinquent fees should be pursued using various methods, including liens.*

*Status: IMPLEMENTED*

*As of September 2020, Planning & Environmental Resources staff have pursued collection of over \$1.8 million of previously outstanding revenue.*

- \$1.5 million was paid through the issuance of letters alone (and the process never escalated to a lien).*
- Over \$300,000 has been collected through liens paid.*

# Water Connection Fee Audit: Follow-Up Review

Most recent lien data:

Lien Data: September 30, 2020		
Description	Number of meters	Total amount collected
Total count of liens filed	116	\$726,683
Count of liens paid and released	46	\$304,133
Count of unpaid liens/not released	70	\$422,550
Count of fees paid without the need to place a lien	297	\$1,575,848



# Water Connection Fee Audit: Follow-Up Review

*The Water Connection Fee Audit and Follow Up Review is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment, and Business Practices by ensuring that IA's evaluations provide recommendations for efficiencies, improving and safeguarding the Agency's fiscal health, promoting a strong control environment and assisting the Board and Agency management in achieving organizational goals and objectives.*

**INFORMATION  
ITEM**

**4F**



**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**Committee:** Audit

  
**From:** Teresa Velarde, Manager of Internal Audit

12/07/20

**Manager Contact:** Teresa Velarde, Manager of Internal Audit

**Subject:** Interim Report: Review of Agency COVID-19 Expenses

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### Executive Summary:

Internal Audit staff completed an evaluation of the expenditures incurred by the Agency in response to the ongoing global pandemic, COVID-19. Expenses include those costs incurred to comply with health and safety guidelines, to prevent the spread of COVID-19, protect Agency personnel and the public, costs related to transitioning employees to work from home, and ensuring proper on-site precautions. The objectives of the review were to evaluate if expenses are in compliance with adequate internal controls, contain sufficient supporting documentation, and are in compliance with Agency, State and federal guidelines. To-date, the Agency has incurred approximately \$600,000 in expenses; the Grants staff have submitted two applications for potential, partial reimbursement from the Federal Emergency Management Agency (FEMA). As FEMA continues to develop guidelines and criteria for reimbursement of allowable expenses, Agency staff continue to monitor and collect expense information.

Overall, the audit noted that, in light of the new challenges and changes to business processes, the Agency has maintained adequate internal controls and all Agency approved procurement methods and policies have been implemented. Additionally, the review also noted that the Grants staff monitor and compile related expenses and guidance information related. The attached report provides additional details of the costs incurred in response to COVID-19.

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### Staff's Recommendation:

This is an information item.

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**Budget Impact** *Budgeted (Y/N):* N *Amendment (Y/N):* N *Amount for Requested Approval:*

*Account/Project Name:*

N/A

*Fiscal Impact (explain if not budgeted):*

N/A

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**Prior Board Action:**

On June 17, 2020, the Board of Directors approved the Fiscal Year 2020/21 Annual Audit Plan. The plan was in accordance with auditing standards and the Charter. This audit was approved through the plan.

On December 18, 2019, the Board of Directors approved the Audit Committee and the Internal Audit Department Charters.

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

The Interim Report: Review of Agency COVID-19 Expenses is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment, and Business Practices by providing an independent evaluation of the expenses incurred by the Agency and procurement activities in response to COVID-19, suggesting recommendations for improvements, monitoring the internal control environment of the Agency, and assisting Agency management in achieving organizational goals and objectives.

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**Attachments:**


Attachment 1 - Interim Report: Review of Agency COVID-19 Expenses  
Attachment 2 - PowerPoint



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DATE: November 23, 2020

TO: Shivaji Deshmukh  
General Manager

FROM:   
Teresa V. Velarde  
Manager of Internal Audit

**SUBJECT: Interim Report: Review of Agency COVID-19 Expenses**

**Audit Authority**

The Inland Empire Utilities Agency (IEUA or Agency) Internal Audit Department (IA) performed an evaluation of the expenditures incurred by the Agency in response to the ongoing global pandemic, COVID-19. Expenses include those costs incurred to comply with health and safety guidelines to prevent the spread of COVID-19, protect Agency personnel, costs related to transitioning employees to work from home and ensuring proper on-site precautions. The audit was performed under the authority given by the IEUA Board of Directors and the Fiscal Year (FY) 2020/21 Annual Audit Plan. The attached report provides the results of IA's review.

**Audit Objective and Scope**

The review was limited to evaluating internal controls and supporting documentation over expenses related to the Agency's response to the risks associated with COVID-19 and in response to Governor Newsom's Executive Order N-33-20 and additional State public health directives. The objectives of the review were to evaluate if expenses were:

- In compliance with State/federal guidelines,
- In compliance with Agency policies and procedures,
- Followed adequate internal controls,
- Contain adequate supporting documentation, and
- Identify opportunities for improvement.

**Audit Techniques:**

Audit techniques included:

- Review of Federal guidelines
- Evaluation of procurement transactions and supporting documentation
- Discussions with Agency staff

***Water Smart - Thinking in Terms of Tomorrow***

**Kati Parker**  
President

**Jasmin A. Hall**  
Vice President

**Steven J. Elie**  
Secretary/Treasurer

**Michael E. Camacho**  
Director

**Paul Hofer**  
Director

**Shivaji Deshmukh**  
General Manager

### **Audit Results – Executive Summary**

All Agency departments have a responsibility to comply with existing Agency policies and procedures to ensure all transactions follow the Agency's procurement guidelines and proper internal controls. The Grants Department is responsible for compiling COVID-19 expenses, in anticipation of a potential reimbursement from the Federal Emergency Management Agency (FEMA), because COVID-19 was declared a nationwide emergency. The Grants Department has effectively collected and monitored Agency expenses related to the ongoing global pandemic, COVID-19.

This report is considered an Interim Audit Report. This report provides a snapshot of the expenses incurred by the Agency, during the first seven months of the pandemic, March through October 2020. FEMA guidelines and expenditure criteria has not been finalized. The pandemic and related expenses will continue. Expenses have been compiled by Agency staff and the Grants Department has submitted one application and additional subproject(s) are added as required, totaling over \$550,000 for cost reimbursement. This audit report provides information and details about the expenses incurred through October 31, 2020. The objective of the audit was to evaluate transactions and compare to current guidance and criteria, in anticipation of FEMA reimbursement and ongoing oversight.

IA will continue to work with staff and provide a subsequent report in March of 2021. IA hopes FEMA has provided final or additional guidance and criteria about COVID-19 related expenses and reimbursement decisions by March 2021.

### **Acknowledgements**

IA would like to extend our appreciation to the Grants Department staff for their cooperation and assistance during this review. IA would also like to extend our appreciation to all Agency staff who provided details and information related to the procurement transactions evaluated.

### **Discussions with Management**

Draft audit reports were provided to the Grants Department for their review and input; prior to finalizing, where possible, their comments have been incorporated.

### **Background**

The Grants Department (Grants) is responsible for identifying, seeking, securing and managing low-cost and/or interest funding opportunities that support the Agency's capital projects, programs and business objectives. Funding received from grant opportunities provides support to the Agency's various initiatives including: water storage, water recycling, wastewater treatment, groundwater recharge and surface management, safe drinking water, water conservation, and renewable energy. As of June 30, 2020, Grants has secured approximately \$677 million in awards from a combination of local, state and federal loan programs.

### **Department Staffing**

The Grants Department operates under the External Affairs and Policy Development Division. As of September 22, 2020, the staffing and reporting structure for the Grants Department is as follows:



Position	Number
Manager of Grants	1
Grants Administrator	2
Grants Administrator ( <i>Limited-Term</i> )	1
Senior Accountant	1
Accountant I/II	2
<b>Total Number of Positions</b>	<b>7</b>

### **Grant Management Process**

For each type of funding opportunity pursued, the Grants Department oversees all activities within the lifecycle, Grants Management Cycle. The six-step funding cycle, developed by Grants has additional details and tasks within each step and can be found in the Grants Strategic Plan dated June 2018.



### **Department-specific Desk Procedures**

In addition to the Grants Strategic Plan and the Grants Management Cycle, the department's activities and tasks are described in a document called *Grants Billing Process – Key Activities for Grant Reimbursement Process*, which appears to represent the Department's desk procedures or Standard Operating Procedures (SOPs). Agency Policy A-51 *Standard Operating Procedures* (effective: November 11, 2013) outlines the requirements and format for documenting department SOPs. Documented procedures are the foundation of good internal controls and establish expectations and guidelines, ensure consistency and accuracy in procedures, and serve as a training tool and resource to staff during absences, changes and cross-trainings. *IA suggests the Grants Department to consider whether these procedures should be documented as a SOP according to A-51.*

IA reviewed and relied on Agency procurement policies and related desk procedures to ensure procurement transactions followed the guidelines prescribed by each.

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## **Results of the Review of Agency Expenditures related to COVID-19**

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### **Overview**

On March 13, 2020, the President of the United States declared a nationwide emergency due to COVID-19. In response to Governor Newsom's Executive Order N-33-20, "stay-at-home" order and to comply with additional State public health directives, the Agency immediately responded to prevent the spread of COVID-19, to protect Agency personnel and contribute to the health and safety of the public. To maintain the continuity of the essential services the Agency provides, and to comply with the executive order, the Agency implemented the following:

- Work From Home: Employees whose duties do not require them to be onsite were transitioned to work from their place of residence and will do so until otherwise instructed. Employees were provided with adequate computer equipment such as laptops, supplies and VPN access to ensure the continuity of their functions.
- Onsite Operations: Employees who cannot perform their essential duties from a remote location, must continue to work at assigned Agency facilities with new implemented guidelines to maintain a safe environment.

The Grants Department continues to work closely with other Agency departments to track and compile the Agency's expenses as they relate to the Agency COVID-19 response. The purpose is to track the expenses and seek potential reimbursement from FEMA. Once FEMA finalizes criteria for the allowability of expenditures, the Agency will be prepared to seek the reimbursement funding.

### **FEMA Grant Opportunity & Guidance for Eligible Costs**

To assist with the expenses incurred by the Agency, the Grants Department identified a funding opportunity through the Federal Emergency Management Agency's (FEMA) Public Assistance (PA) program (Category B: Emergency protective measures) to pursue reimbursements for the expenditures in response to COVID-19. Expenditures incurred are usually for a short period of time, 30, 60 or 90 days. The Agency is eligible to apply for public assistance to recover from major disasters or emergencies declared by the President.

FEMA has outlined the framework and details related to the types of protective measures that may be eligible for reimbursement under the Public Assistance Program and in accordance with the COVID-19 National Emergency Declaration. Guidance is laid out in a fact sheet, *Coronavirus (COVID-19) Pandemic: Eligible Emergency Protective Measures*, issued on March 19, 2020. **See Attachment 2.**

In September 2020, six months after the initial FEMA guidance was issued, FEMA updated their criteria, taking effect September 2020. FEMA policy FP 104-009-19 was released, *Coronavirus (COVID-19) Pandemic: Work Eligible for Public Assistance (Interim)* provides the criteria under which labor will be covered, effective September 15, 2020. **See Attachment 3.**

### **FEMA/Agency Cost Share**

FEMA grants are subject to a cost share (non-Federal share/match) component, which is the portion of the costs and/or reimbursement not paid for by FEMA. The purpose of the cost share is to secure interest and involvement through financial commitment by the recipient entity. Currently, the federal share is **75%** of the eligible costs, and can increase up to 90% if federal obligations meet or exceed a qualifying threshold (excluding administrative costs). For emergency work, the federal share can increase beyond the 90% to even more if warranted by the needs of the circumstances/disaster and for a limited time period.

The federal share is unique and can vary from grant to grant. At the time of submission of the grant application, the Agency's reimbursement percentage is unknown. Once FEMA awards a contract, IEUA will know the percentage of reimbursement and the match portion (non-Federal share) required by the Agency.

### **IEUA's FEMA Reimbursement Request**

At the initial stages of the Agency's response to COVID-19, the Grants Department relied on FEMA's March 19<sup>th</sup> guidelines to identify Agency costs that could potentially be eligible for reimbursement. In June 2020, Grants submitted an initial application for funding, listing approximately \$500,000 in related expenses. Details in the table:

<b>CCOVID-19 Pandemic  Expenses submitted for FEMA Reimbursement  Period: March 19, 2020 to June 17, 2020</b>		
<b>Type of Expense</b>	<b>Description</b>	<b>Amount</b>
Professional Services	3 months of additional disinfection services of shared/common areas within the buildings	\$ 52,530
Materials & Supplies	12 cases disinfection wipes, 1,080 Lysol disinfectant and Purell Instant hand sanitizer gel 107 Purell sanitizer gel, dispensers and stand 200 breathable face masks 1,000 3M dust masks (50 in each box, 20 boxes) 2,000 3 ply facemasks with elastic ear loops (50 in each box, 40 boxes) 2,000 facemasks with elastic ear loops (50 in each box, 40 boxes) 6,000 medical grade facemasks (50 in each box, 120 boxes)	20,081
Equipment	38 Laptops, 1 cell phone, 20 software licenses & technical support	88,574
Labor	Staff time for planning, coordinating, implementing, training; ordering, purchasing, and distributing protective equipment and supplies, emergency and safety planning, and FEMA invoicing and reporting.	326,097
<b>Agency amount requested for reimbursement &amp; submitted to FEMA on the application</b>		<b>\$ 487,282</b>

As the emergency/disaster evolves, FEMA allows applicants to submit additional costs under the original application using as many sub-project numbers as needed.

#### **IEUA's Second FEMA Reimbursement Request**

After the initial application was submitted, on September 29, 2020, the Grants Department submitted a subproject for additional COVID-19 costs for consideration. The additional costs were for the months after the initial 90-day application period that were extended, but do not include labor costs and is consistent with the updated guidance. Details below:

<b>SUB-PROJECT # 1  COVID-19 Response Efforts – Part 2  Period: June 18, 2020 to September 14, 2020</b>		
<b>Type of Expense</b>	<b>Description</b>	<b>Amount</b>
Professional Services	2 and ½ months of emergency disinfection services of shared/common areas within the buildings	\$ 45,035
Materials & Supplies	20 Medical grade facemasks with elastic ear loops (50 in each box, 20 boxes), 30 Thermometers, and 13 Protective Shield Acrylic Screens	7,298
Equipment	4 Laptops, 2 SSD Hard drives, 40 DUO licenses, 2 Hard drive duplicator, 2 Hard drive duplicator for Solid State Drives, 20 Mice, 21 Laptop power adapters, 2 Docking stations, 2 Adaptors (USB-C to HDMI), 2 Windows 10 Pro Upgrade, 10 Microsoft Office 365, 10 HDMI cables, 10 USB printer cables, 10 Mice, 5 Microsoft Life Cam, 1 Headset, 4 USB headset, 10 USB headset, 1 Windows 10 Pro Upgrade, and 15 Adaptors (Display Port to HDMI)	14,650
<b>Total amount requested for reimbursement from FEMA – Sub-project #1</b>		<b>\$ 66,983</b>



### **Status of FEMA reimbursement**

As of November 2020, the total amount submitted for reimbursement to FEMA/California Governor's Office of Emergency Services (Cal OES) is **\$554,265**. If the FEMA application is approved and using a cost share percentage of 75%, the Agency would be reimbursed approximately \$415K from this application. According to Grants staff, recent discussions with FEMA/Cal OES staff have indicated that IEUA's labor costs submitted are not likely to be reimbursed. Determination of the eligibility for labor costs is pending review by FEMA for the type of activities performed by Agency staff. The final award amount is to be determined, pending FEMA's review. In accordance with this feedback, a more realistic expectation of the potential reimbursement amount is **\$171,125**.

Other factors that could impact the Agency's amount for reimbursement from FEMA is materials and supplies. FEMA *will not fund stockpiling of supplies*, such as Personal Protective Equipment (PPE) for future use. When the grant closeout period occurs, the Agency will have to identify if the supplies were consumed through inventory and usage records. Additionally, if the amount of the remaining supplies is greater than \$5,000, then FEMA funding maybe reduced.

As of November 2020, FEMA has not declared a grant award for the Agency. Currently, FEMA is reviewing the application and any sub-projects. The Agency's initial application for *Request for Public Assistance* (RPA) is in the ***final eligibility review stage*** by FEMA. The sub-project is in the initial stage of review by FEMA/Cal OES staff.

### **Total COVID-19 Expenses: March 2020 – October 2020**

In summary, as of October 31, 2020, in response to COVID-19, the Agency has incurred the following expenses between March and October of 2020, of which \$554,265 have been submitted for possible FEMA reimbursement, through separate requests, as illustrated above. Below is a summary of all Agency expenditures made, in response to COVID-19:

		Agency's Initial application March 2020 - June 2020	Sub- application July 2020- September 2020	Other related costs not submitted on Grants application	
Type of Expense	Description	Amount	Amount	Amount	TOTAL
Professional Services	Disinfection services of shared/common areas within the Agency's buildings	\$ 52,530	\$ 45,035	\$ 34,811	\$ 132,376
Materials & Supplies	Disinfection wipes, Lysol disinfectant and sanitizer gel sanitizer gel and dispensers and stand face masks	20,081	7,298	-	\$ 27,379
Equipment	Laptops, hard drives, software, licenses, and additional computer equipment	88,574	14,650	18,311	\$ 121,535
Labor	Staff time for planning, coordinating, implementing, training; related to COVID-19	326,097	None planned	None planned	326,097
<b>TOTAL</b>		<b>\$ 487,282</b>	<b>\$ 66,983</b>	<b>\$ 53,122</b>	<b>\$607,387</b>

\*Additional details can be found in **Attachment 1**.

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## Conclusion

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The Grants Department has effectively collected and monitored Agency expenses related to the Agency's response to the ongoing global pandemic, COVID-19. Grants will continue to collect expenditure information and report as appropriate for FEMA's consideration for funding. IA will continue to work with staff and plan to report in a final report total expense amounts and potentially grant decision information.

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*The Interim Report: Review of Agency COVID-19 Expenses is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment, and Business Practices by providing an independent evaluation of the expenses incurred by the Agency and procurement activities in response to COVID-19, suggesting recommendations for improvements, monitoring the internal control environment of the Agency, and assisting Agency management in achieving organizational goals and objectives.*

### **Attachment 1:**

Costs submitted on the FEMA Grant application and the forthcoming tables are based on “estimated costs” and/or amounts paid by the Agency. When the grant is awarded and funded, the Grants Department will submit reimbursement requests based on invoice and amount paid. Figures in the forthcoming tables are subject to change once all costs have been finalized and funding approved.

The tables outline the Agency costs related to the COVID-19 pandemic between March 19 to October 31, 2020:

<b><i>Purchased to support Agency staff to work from home</i></b>	
<b>Computer Equipment, Software, and related items</b>	
<b>Description</b>	<b>Amount</b>
42 laptops*	\$ 69,582.57
Software Licenses (DUO and 20 DocuSign)	24,325.80
Microsoft Office 365 licenses/Windows 10 Pro upgrades	3,878.67
6 Docking stations and 2 adaptors	1,366.68
Solid State Drives & Hard drives (Multiple kinds – Replacement, Replicator, and Duplicator)	1,131.15
Cables and Adaptors (Multiple Kinds – laptop power adaptors, USB-C to HDMI adaptors, HDMI, USB printer, Display port to HDMI, etc.)	990.03
5 Web cameras	764.16
15 Headsets	549.67
30 Mice	477.68
Cell phone	50.70
<b>Total of Computer equipment and related items</b>	<b>\$ 103,116.11</b>
<b>Additional COVID-19 purchases to support staff upon return to work and hybrid work schedule*:</b>	
20 Monitors and 20 Docking stations	\$ 9,034.85
5 Laptops (to replace older retired laptops that were returned to service for work from home)	7,437.35
15 Laptop bags, 20 Keyboard, 20 Mice and 20 Headsets	1,835.75
5 Laptop bags	\$110.83
<b>Total for additional COVID-19 related purchases</b>	<b>\$ 18,418.78</b>
<b>Overall Total for Computer Equipment, Software, and related items</b>	<b>\$ 121,534.89</b>

\*Includes a purchase for 10 laptops, but 2 laptops received were defective and dead on arrival. Currently, those 2 laptops are in the process of being exchanged. If applicable, any amount paid or additional costs will be adjusted accordingly.

\*\*Additional purchases are to support that staff that will return to the office but will partially work from home.

In total, 28 retired laptops were brought back into service to support work from home for COVID-19.

Five new laptops are needed to replace 5 retired laptops that were brought back into service to support work home

<b>Professional Services for Emergency Disinfection Services for COVID-19</b>	
<b>Calendar Year 2020</b>	<b>Amount</b>
March	\$ 5,574
April	17,510
May	18,010
June	18,010
July	18,060
August	18,404
September	18,404
October*	18,404
<b>Total paid for COVID-19 Disinfection Costs.</b>	<b>\$132,376</b>

\*Amount based on PR/PO information, invoice has not yet been paid by the Agency

Services performed:

Detailed cleaning and disinfection will be conducted on the following surfaces:

- Door handles/knobs interior and exterior
- Kitchen/break room tables and counter tops, microwaves, water dispensers, vending machines, refrigerator handles, trash receptacles
- Faucets
- Restroom facilities - counters, sinks, toilets
- Light switches, elevator call buttons, etc.
- Copier machine and other office machines
- Conference rooms and Assembly rooms tables

Disinfectant Cleaning products:

Chemicals used are on the Environmental Protection Agency's (EPA) List N: Disinfectants for Use Against SARS-CoV-2.

- Spic and Span® Disinfecting All-Purpose Spray & Glass Cleaner (EPA Registration #3573-96)
- Comet® Disinfecting - Sanitizing Bathroom Cleaner (EPA Registration #3573-54).

Locations serviced:

- Headquarters Buildings A and B, Water Quality Lab
- Regional Plant (RP) #1: Administration building, T-Plant, Warehouse, Office trailer, Maintenance Building/PMT building office, Training room, Belt press building, Centrifuge building, DCS trailer, and Plant No. 2 restroom
- RP-2: Operations building, Maintenance building, and Dewatering building
- CCWRF: Operations building and Electric shop
- RP-4: Operations building, Maintenance building, and DCS trailer
- RP-5: Construction Management Trailer
- Inland Empire Regional Composting Facility: Admin building, Operations building, Maintenance building, and Trailer

Materials and Supplies purchased to prevent the spread of COVID-19	
Description	Amount
Purell Sanitizer Gel, Dispensers and Stand	\$ 4,176.72
Medical grade facemasks with elastic ear loops, 50 in each of 48 boxes	3,159.51
Medical grade facemasks with elastic ear loops, 50 in each of 60 boxes	3,039.99
Non-Contact Infrared Forehead Thermometers	2,747.63
Lysol Disinfectant and Purell Instant Hand Sanitizer Gel to prevent the spread of COVID-19 virus	2,673.92
Protective Shield Acrylic Screen for Accounts Payable workstations	1,785.60
Facemasks with elastic ear loops, 50 in each of 40 boxes	1,616.25
Protective Shield Acrylic Screen for Receptionist	1,425.23
Medical Grade - Surgical Face Masks - 50 pieces per box (IERCF)	1,339.79
Protective Equipment -Cotton, breathable, facemasks	1,242.00
Lysol Disinfectant Spray (12/19 oz/case, 10 cases)	\$ 904.02
Purell Instant Hand Sanitizer Gel (24/4oz flip cap/case, 39 cases)	1,722.50
HandyClean Steridol Disinfecting Wipes (160/can, 6 can/case)	969.62
Purell Sanitizer Gel, Dispensers and Stand	887.69
Medical grade facemasks with elastic ear loops, 50 in each of 12 boxes	819.87
Karat 3-Ply Face Mask with Elastic Ear Loop – 50 pieces per box	659.48
3 ply facemasks with elastic ear loops, 50 in each of 20 boxes	659.48
3M Dust Masks, 50 in each of 20 boxes	176.71
<b>Total paid for COVID-19 Materials and Supplies</b>	<b>\$ 27,379.49</b>

According to the Grants Department, costs submitted under FEMA's Emergency Protective Measures (Category B) are usually for a *short window of time*, such **30, 60 or 90 days**, not long-term. The Agency's initially application covered a 90-day period, and the sub-project was for the next 90 days after that. The most recent guidelines extended the original 90-day window for an additional 90 more days.

In the September 2020 guidelines (Requirements, C. Work Eligibility, 4.g. i and ii), FEMA stated there will be ***no funding for stockpiling PPE supplies*** that are beyond a projected 60-day supply. Additionally, as part of the grant closeout process, the Agency will have to identify if all supplies were consumed through inventory and usage records. For any unused and/or remaining supplies, the Agency will need to calculate the current fair market value. If the current fair market value is greater than \$5,000, then FEMA reduces any eligible funding by this amount.

After September 15<sup>th</sup>, 2020, any materials and supplies purchases, as identified in the table above, ***will no longer be eligible for reimbursement***. Materials and supplies considered to be PPE will only be eligible for reimbursement for healthcare workers, first responders or those dealing directly with COVID-19 patients.

Prior to COVID-19, the Agency always purchased supplies, such as Lysol disinfectant wipes, hand sanitizer, disposable face masks, etc., as part of their normal inventory supplies. The Agency continues to purchase and re-stock these items as required and to assist in the prevention of the spread of COVID-19. Going-forward, any supplies purchases, regardless of whether it is related to COVID-19 or not, will no longer be eligible for FEMA reimbursement.

## Attachment 2:

### FACT SHEET

# Coronavirus (COVID-19) Pandemic: Eligible Emergency Protective Measures

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Consistent with the President's national emergency declaration for the coronavirus (COVID-19) pandemic on March 13, 2020, FEMA urges officials to, without delay, take appropriate actions that are necessary to protect public health and safety pursuant to public health guidance and conditions and capabilities in their jurisdictions. FEMA provides the following guidance on the types of emergency protective measures that may be eligible under FEMA's Public Assistance Program in accordance with the COVID-19 Emergency Declaration in order to ensure that resource constraints do not inhibit efforts to respond to this unprecedented disaster.

## FEMA Public Assistance Program

In accordance with section 502 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. 5121-5207 (the "Stafford Act"), eligible emergency protective measures taken to respond to the COVID-19 emergency at the direction or guidance of public health officials may be reimbursed under Category B of FEMA's Public Assistance program. *FEMA will not duplicate assistance provided by the [U.S. Department of Health and Human Services](#) (HHS), to include the [Centers for Disease Control and Prevention](#) (CDC), or other federal agencies.*

State, territorial, tribal, and local government entities and certain private non-profit organizations are eligible to apply for [Public Assistance](#). FEMA assistance will be provided at a 75 percent federal cost share. This assistance will require execution of a FEMA-State/Tribal/Territory Agreement, as appropriate, and execution of an applicable emergency plan. Local governments and other eligible PA applicants will apply through their respective state, tribal or territorial jurisdictions.

## Eligible Assistance

Under the COVID-19 Emergency Declaration described above, FEMA may provide assistance for emergency protective measures including, but not limited to, the following, if not funded by the HHS/CDC or other federal agency. *While some activities listed may be eligible for funding through HHS/CDC, final reimbursement determinations will be coordinated by HHS and FEMA. FEMA will not duplicate any assistance provided by HHS/CDC:*

- Management, control and reduction of immediate threats to public health and safety:
  - Emergency Operation Center costs



# FEMA



- Training specific to the declared event
- Disinfection of eligible public facilities
- Technical assistance to state, tribal, territorial or local governments on emergency management and control of immediate threats to public health and safety
- Emergency medical care:
  - Non-deferrable medical treatment of infected persons in a shelter or temporary medical facility
  - Related medical facility services and supplies
  - Temporary medical facilities and/or enhanced medical/hospital capacity (for treatment when existing facilities are reasonably forecasted to become overloaded in the near term and cannot accommodate the patient load or to quarantine potentially infected persons)
  - Use of specialized medical equipment
  - Medical waste disposal
  - Emergency medical transport
- Medical sheltering (e.g. when existing facilities are reasonably forecasted to become overloaded in the near future and cannot accommodate needs)
  - All sheltering must be conducted in accordance with standards and/or guidance approved by HHS/CDC and must be implemented in a manner that incorporates social distancing measures
  - Non-congregate medical sheltering is subject to prior approval by FEMA and is limited to that which is reasonable and necessary to address the public health needs of the event, is pursuant to the direction of appropriate public health officials and does not extend beyond the duration of the Public Health Emergency
- Household pet sheltering and containment actions related to household pets in accordance with CDC guidelines
- Purchase and distribution of food, water, ice, medicine, and other consumable supplies, to include personal protective equipment and hazardous material suits
- Movement of supplies and persons
- Security and law enforcement
- Communications of general health and safety information to the public
- Search and rescue to locate and recover members of the population requiring assistance
- Reimbursement for state, tribe, territory and/or local government force account overtime costs

## More Information

Further information about eligible emergency protective measures can be found in the [Public Assistance Program and Policy Guide](#), FP 104-009-2 (April 2018).

For more information, visit the following federal government websites:

- [Coronavirus \(COVID-19\) \(CDC\)](#)
- [Centers for Medicare & Medicaid Services](#)



**Attachment 3:**



**FEMA**

# ***Coronavirus (COVID-19) Pandemic: Work Eligible for Public Assistance (Interim)***

**FEMA Policy FP 104-009-19**

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## **BACKGROUND**

Under the President Donald J. Trump's March 13, 2020, Coronavirus (COVID-19) emergency declaration<sup>1</sup> and subsequent major disaster declarations for COVID-19, state, local, tribal, and territorial (SLTT) government entities and certain private nonprofit (PNP) organizations are eligible to apply for assistance under the FEMA Public Assistance (PA) Program.<sup>2</sup>

## **PURPOSE**

This interim policy defines the framework, policy details, and requirements for determining the eligibility of work and costs under the PA Program to ensure consistent and appropriate implementation across all COVID-19 emergency and major disaster declarations. Except where specifically stated otherwise in this policy and other disaster specific COVID-19 policies, assistance is subject to PA Program requirements as defined in Version 3.1 of the *Public Assistance Program and Policy Guide* (PAPPG).<sup>3</sup>

This interim policy supersedes the FEMA Fact Sheet dated March 19, 2020: *Coronavirus (COVID-19) Pandemic: Eligible Emergency Protective Measures*, for work performed on or after September 15, 2020. This interim policy will be updated or revised as required by changes in the status of the COVID-19 pandemic.

## **PRINCIPLES**

- A. FEMA remains committed to providing support to meet emergency needs during the COVID-19 pandemic, specifically those critical actions that are necessary to save lives and protect public health and safety.
- B. FEMA will implement this policy and any assistance provided in a consistent manner through informed decision making and review of an applicant's supporting documentation.
- C. FEMA will engage with interagency partners, including the U.S. Department of Health and Human Services (HHS) Office of the Assistant Secretary for Preparedness and Response (ASPR), the Centers for Disease Control and Prevention (CDC), the Centers for Medicare and Medicaid Services (CMS), and the Health Resources and Services Administration (HRSA); the U.S. Department of Agriculture (USDA), and the U.S. Department of Housing

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<sup>1</sup> <http://www.fema.gov/news-release/2020/03/13/covid-19-emergency-declaration>.

<sup>2</sup> See <https://www.fema.gov/assistance/public/program-overview> for more information.

<sup>3</sup> Version 3.1 of the PAPPG is applicable to all COVID-19 declarations and is available on the FEMA website at [www.fema.gov/sites/default/files/2020-03/public-assistance-program-and-policy-guide\\_v3.1\\_4-26-2018.pdf](http://www.fema.gov/sites/default/files/2020-03/public-assistance-program-and-policy-guide_v3.1_4-26-2018.pdf).



and Urban Development (HUD) among others, to ensure any assistance is provided in a coordinated manner without duplicating assistance.

## REQUIREMENTS

### A. APPLICABILITY

Outcome: To define the declarations, eligible applicants, and work to which this interim policy applies.

1. This policy applies to:
  - a. All Presidential emergency and major disaster declarations under the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. § 5121 *et seq.* (the Stafford Act), as amended, issued for the COVID-19 pandemic.
  - b. This policy does not apply to any other emergency or major disaster declaration
  - c. Eligible PA applicants under the COVID-19 emergency declaration or any subsequent COVID-19 major disaster declaration.<sup>4</sup>
  - d. Work performed on or after September 15, 2020. Prior to this date, policies in place when the work was completed apply.

### B. GENERAL ELIGIBILITY CONSIDERATIONS FOR COVID-19

Outcome: To define the overarching framework for all eligible work related to COVID-19 declarations.

1. Legal Responsibility:
  - a. To be eligible for PA, an item of work must be the legal responsibility of an eligible applicant.<sup>5</sup> Measures to protect life, public health, and safety are generally the responsibility of SLTT governments.
  - b. Legally responsible SLTT governments may enter into formal agreements or contracts with private organizations, including PNP organizations, when necessary to carry out eligible emergency protective measures in response to the COVID-19 pandemic. In these cases, PA funding is provided to the legally responsible government entity, which would then pay the private entity for the provision of services under the formal agreement or contract.
  - c. In limited circumstances, essential components of a facility are urgently needed to save lives or protect health and safety, such as an emergency department of a PNP hospital. In these cases, PNPs that own or operate an eligible facility and perform eligible work, such as providing emergency, medical or custodial care services, may be eligible for reimbursement of costs as a PA applicant. For these PNP facilities and for COVID-19 declarations only, FEMA is waiving the primary use and primary ownership policies normally applicable to PNP

<sup>4</sup> See *Coronavirus (COVID-19) Pandemic: Private Nonprofit Organizations* (April 2, 2020), [www.fema.gov/fact-sheet/coronavirus-covid-19-pandemic-private-nonprofit-organizations](https://www.fema.gov/fact-sheet/coronavirus-covid-19-pandemic-private-nonprofit-organizations), for more information on PNP applicant eligibility.

<sup>5</sup> 44 C.F.R. § 206.223(a)(3).



entities that own or operate mixed-use facilities.<sup>6</sup>

2. To be eligible, claimed costs must be necessary in order to respond to the COVID-19 pandemic and be reasonable pursuant to federal regulations and federal cost principles. A cost is considered reasonable if, in its nature and amount, it does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the cost.<sup>7</sup> All costs are subject to standard PA program eligibility and other federal requirements.<sup>8</sup>

### C. WORK ELIGIBILITY

Outcome: To establish parameters for eligible work for COVID-19 declarations.

1. In accordance with sections 403 and 502 of the Stafford Act, emergency protective measures necessary to save lives and protect public health and safety, may be reimbursed under the PA program.
2. All work must be required as a direct result of the emergency or major disaster in accordance with 44 C.F.R. § 206.223(a)(1).
3. Only work associated with the performance of emergency protective measures specifically listed in this policy is eligible for PA in COVID-19-declared events.
4. FEMA may provide assistance only for the following emergency protective measures in response to COVID-19 declared events<sup>9</sup>:
  - a. Medical care, in accordance with COVID-19 specific policy or subsequent updates.<sup>10</sup>
  - b. Purchase and distribution of food, in accordance with COVID-19 specific policy or subsequent updates.<sup>11</sup>
  - c. Non-congregate medical sheltering, in accordance with COVID-19 specific policy or subsequent updates.<sup>12</sup>
  - d. Operation of Emergency Operations Centers to direct and coordinate resources and response activities for COVID-19 declarations.<sup>13</sup>

<sup>6</sup> See PAPPG V3.1 (2018), at page 16.

<sup>7</sup> 2 CFR § 200.404.

<sup>8</sup> See PAPPG V3.1 (2018), 2 CFR Part 200, and <https://www.fema.gov/grants/procurement> for additional guidance.

<sup>9</sup> See [www.fema.gov/media-collection/public-assistance-disaster-specific-guidance-covid-19-declarations](https://www.fema.gov/media-collection/public-assistance-disaster-specific-guidance-covid-19-declarations) for all COVID-19 related PA policies and other guidance.

<sup>10</sup> Stafford Act, Section 403(a)(3)(B). For COVID-19 specific policy, see *Coronavirus (COVID-19) Pandemic: Medical Care Costs Eligible for Public Assistance (Interim)*, FEMA Policy FP 104-010-04 (May 9, 2020) and *Coronavirus (COVID-19) Pandemic: Alternate Care Site (ACS) "Warm Sites" Fact Sheet* (May 12, 2020).

<sup>11</sup> Stafford Act, Section 403(a)(3)(b). For COVID-19 specific policy, see *Coronavirus (COVID-19) Pandemic: Purchase and Distribution of Food Eligible for Public Assistance*, FEMA Policy FP 104-010-03 (April 11, 2020).

<sup>12</sup> See *Public Assistance: Non-Congregate Sheltering Delegation of Authority Fact Sheet* (March 19, 2020), and *Coronavirus (COVID-19) Pandemic: Non-Congregate Sheltering Frequently Asked Questions* (March 31, 2020).

<sup>13</sup> PAPPG, V3.1 (2018), at page 62.





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- e. Communications to disseminate public information regarding health and safety measures and provide warnings about risks and hazards.<sup>14</sup>
  - f. Mass casualty management, including storage of human remains and mass mortuary services, as necessary to manage fatalities caused by COVID-19.<sup>15</sup>
  - g. Purchase and distribution of Personal Protective Equipment (PPE)<sup>16</sup> that is directly related to the performance of otherwise eligible emergency work,<sup>17</sup> or is provided to healthcare workers, patients with confirmed or suspected COVID-19 infection, and first responders.<sup>18</sup>
    - i. Funding for stockpiling a supply of eligible PPE is limited to a supply that is projected for up to 60 days from date of purchase.
    - ii. Funding for storing eligible PPE is limited to what is necessary to store a projected 60-day PPE supply.
5. FEMA may provide assistance for the following activities in response to COVID-19-declared events only when necessary to perform otherwise eligible emergency work listed in C.4:
- a. Purchase and distribution of face masks,<sup>19</sup> including cloth facial coverings, provided to persons conducting eligible emergency work and/or in facilities where eligible emergency work is performed.
  - b. Temperature scanning, including purchase and distribution of hand-held temperature measuring devices and associated supplies, in facilities where eligible emergency work is performed.
  - c. Disinfection, in accordance with CDC guidance,<sup>20</sup> in facilities where eligible emergency work is performed, including purchase and provision of necessary supplies and equipment, and in excess of current operating costs.
  - d. Acquisition and installation of temporary physical barriers, such as plexiglass barriers, in facilities where eligible emergency work is conducted.

<sup>14</sup> Stafford Act, Section 403(a)(3)(F) and (G), and PAPPG V3.1 (2018), at page 58.

<sup>15</sup> See PAPPG V3.1 (2018), at page 58. For information on COVID-19-related fatality management see <https://asprtracie.hhs.gov/technical-resources/129/covid-19-fatality-management-resources/99>.

<sup>16</sup> For this policy, PPE is defined to include items such as N95 and other filtering respirators, surgical masks, gloves, protective eyewear, face shields, and protective clothing (e.g., gowns).

<sup>17</sup> PAPPG V3.1 (2018), at pages 21 and 63.

<sup>18</sup> See [www.fema.gov/fact-sheet/addressing-ppe-needs-non-healthcare-setting](https://www.fema.gov/fact-sheet/addressing-ppe-needs-non-healthcare-setting), <https://www.cdc.gov/coronavirus/2019-ncov/hcp/using-ppe.html>, <https://www.cdc.gov/coronavirus/2019-ncov/community/organizations/firefighter-FMS.html>, and <https://www.cdc.gov/coronavirus/2019-ncov/community/guidance-law-enforcement.html>. For this policy, the definition of first responder includes: emergency public safety, fire, law enforcement, emergency response, emergency medical, and related personnel that may interact with individuals infected with, or suspected to be infected with, COVID-19.

<sup>19</sup> For this policy, face masks, such as cloth face coverings, that are not regulated by the U.S. Food and Drug Administration (FDA) are not considered PPE. Per FDA guidance, these face masks are not PPE, but may be used to prevent or slow the spread of COVID-19. See <https://www.fda.gov/medical-devices/personal-protective-equipment-infection-control/n95-respirators-surgical-masks-and-face-masks> and <https://www.fda.gov/medical-devices/emergency-situations-medical-devices/fags-emergency-use-authorization-face-masks-non-surgical>.

<sup>20</sup> Work should be consistent with current PAPPG and public health guidance as it relates to disinfection recommendations. CDC provides disinfection guidance online at <https://www.cdc.gov/coronavirus/2019-ncov/community/clean-disinfect/index.html>.



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- e. Law enforcement and security.
- f. Training and technical assistance specific to the declared event.
- g. Reimbursement for force account overtime costs, costs related to hiring temporary employees, and contract labor costs associated with performance of eligible emergency protective measures.<sup>21</sup>
- h. Movement of equipment and supplies, including transportation and storage.
- i. Other work and costs delineated within COVID-19 policies referenced in C.4.

#### **D. COORDINATION OF FUNDING**

Outcome: To provide information on coordinating PA and other sources of federal funding.

1. Congress to date has authorized over \$3 trillion to multiple federal agencies to address the effects of the COVID-19 pandemic.
  - a. FEMA is closely coordinating with other federal agencies about the eligible uses of various COVID-19 funding resources and will continue to provide guidance to eligible applicants about where they can seek funding<sup>22</sup>.
  - b. A list of federal funding for COVID-19 is available at: <https://www.usa.gov/coronavirus>. General information about available federal funding needs may be found at [www.grants.gov](http://www.grants.gov).
2. FEMA may provide PA funding to applicants for eligible work under the COVID-19 declarations that may also be eligible for funding under another federal agency's authorities.
  - a. Potential PA applicants may have the flexibility to determine which source of funding to use for their costs, subject to the purpose and eligibility requirements of each of the federal programs and funding sources.
  - b. If an eligible applicant applies for PA funding and then determines it will instead seek funding from another federal agency, the applicant should notify FEMA as soon as possible.
    - i. If FEMA has not awarded PA funding, the applicant should withdraw or amend their PA project application.
    - ii. If FEMA has already awarded PA funding, the applicant should request an updated version to their project worksheet to amend their PA project.
  - c. PA funding should not be considered funding of last resort. It is advisable that PA funding is considered concurrently with other federal agency programs and sources.
3. For certain types of work that may be eligible for funding under another agency's authorities, FEMA, in coordination with other federal agencies and after close examination of available CARES Act funding, has determined that it will not provide reimbursement through the PA program.

<sup>21</sup> See PAPPG V3.1 (2018) at pages 23-26 for more on FEMA's labor policies.

<sup>22</sup> FEMA has posted a [COVID-19 Resource Summary Report](#) that is a list of resources provided by the federal government since the start of the response to COVID-19. This list is provided as a point of reference and partners should directly consult with each agency to verify the applicability of a specific program.



- a. For example, COVID-19 contact tracing may be an emergency protective measure otherwise eligible for PA funding. However, in coordination with other federal agencies, FEMA has determined that PA is not the appropriate source of funding for COVID-19 contact tracing and there are other more appropriate sources of funding.<sup>23</sup>
4. Section 312 of the Stafford Act prohibits all federal agencies from duplicating benefits for disaster relief.
  - a. Multiple agencies having authority to expend funds for the same purpose is not, by itself, a duplication of benefits under Section 312.<sup>24</sup> However, all federal agencies are prohibited by Section 312 from paying applicants for the same work twice.
  - b. Recipients and subrecipients are ultimately responsible for ensuring that they do not receive payment for the same item of work twice. FEMA applicants must certify in the PA application process that assistance is not being duplicated.

**E. TIME LIMITATIONS**

Outcome: To provide time limitations for the completion of eligible work.

1. For all COVID-19 declarations, FEMA has extended the deadline in accordance with regulatory timeframes for emergency work at 44 C.F.R. § 206.204(d) beyond six months of the date of the declaration and will make notification 30 days prior to establishment of the deadline.

A handwritten signature in blue ink, appearing to read "Keith Turi".

\_\_\_\_\_  
Keith Turi  
Assistant Administrator, Recovery Directorate

\_\_\_\_\_  
September 1, 2020  
Date

<sup>23</sup> See the CDC Epidemiology and Laboratory Capacity for Prevention and Control of Emerging Infectious Disease (ELC) at <https://www.cdc.gov/ncezid/dpeil/epidemiology-laboratory-capacity.html>.

<sup>24</sup> See FEMA Fact Sheet *Coronavirus Disease 2019 (COVID-19) Public Health Emergency: Coordinating Public Assistance and Other Sources of Federal Funding* (July 1, 2020) at <https://www.fema.gov/media-collection/public-assistance-disaster-specific-guidance-covid-19-declarations> for more information.





## ADDITIONAL INFORMATION

### REVIEW CYCLE

FEMA Policy #104-009-19, *Coronavirus (COVID-19) Pandemic: Work Eligible for Public Assistance* (Interim), will be reviewed and evaluated regularly throughout the duration of the COVID-19 pandemic. The Assistant Administrator for the Recovery Directorate is responsible for authorizing any changes or updates. This policy will sunset with the closure of the national emergency declaration for COVID-19 and any subsequent major disaster declarations for COVID-19.

### AUTHORITIES and REFERENCES

#### Authorities

- Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. § 5121-5207, as amended
- Title 44 of the Code of Federal Regulations, Part 206, Subpart H
- Title 2 of the Code of Federal Regulations, Part 200

#### References

- *Public Assistance Program and Policy Guide*, Version 3.1

### DEFINITIONS

To establish consistent terminology for purposes of implementing this policy, the following definitions are provided below. These definitions are specific to this policy and **may differ from definitions prescribed for the same or similar terms in other policies.**

1. **Personal Protective Equipment (PPE):** PPE refers to items such as N95 and other filtering respirators, surgical masks, gloves, protective eyewear, face shields, and protective clothing (e.g., gowns).
2. **First Responder:** First responder refers to emergency public safety, fire, law enforcement, emergency response, emergency medical, and related personnel that may interact with individuals infected with, or suspected to be infected with, COVID-19.

### MONITORING AND EVALUATION

FEMA will closely monitor the implementation of this policy through close coordination with regional and field staff, as appropriate, as well as interagency partners and SLTT stakeholders.

### QUESTIONS

Applicants should direct questions to their respective FEMA regional office.

# Audit Committee Meeting

## Review of Agency COVID-19 Expenses





# Review of Agency COVID-19 Expenses

## Background:

- COVID-19 was declared a National Emergency
- Executive Order N-33-20 “Safer at Home, Stay at Home”
- Potential reimbursement from the Federal Emergency Management Agency (FEMA)
- Agency’s response to global pandemic
- Audit was proposed in the Annual Audit Plan submitted in June 2020

# Review of Agency COVID-19 Expenses

## Audit Objectives:

**Review expenses incurred by the Agency in response to COVID-19 to ensure:**

- Compliance with State/federal guidelines,
- Compliance with Agency policies and procedures,
- Follow adequate internal controls,
- Contain adequate supporting documentation, and
- Identify opportunities for improvement.

# Review of Agency COVID-19 Expenses

The Grants Department is responsible for:

- identifying, seeking, securing low-cost and/or interest funding opportunities that support the Agency's capital projects, programs and business objectives.
- overseeing all grant related activities.
- compiling COVID-19 expenses, in anticipation of a potential reimbursement from the Federal Emergency Management Agency (FEMA).
- pursuing reimbursements for the expenditures in response to COVID-19.

# Review of Agency COVID-19 Expenses

		Agency's Initial application March 2020 - June 2020	Sub- application July 2020- September 2020	Other related costs not submitted on Grants application	
Type of Expense	Description	Amount	Amount	Amount	TOTAL
Professional Services	Disinfection services of shared/common areas within the Agency's buildings	\$ 52,530	\$ 45,035	\$ 34,811	\$ 132,376
Materials & Supplies	Disinfection wipes, Lysol disinfectant and sanitizer gel sanitizer gel and dispensers and stand face masks	20,081	7,298	-	\$ 27,379
Equipment	Laptops, hard drives, software, licenses, and additional computer equipment	88,574	14,650	18,311	\$ 121,535
Labor	Staff time for planning, coordinating, implementing, training; related to COVID-19	326,097	None planned	None planned	326,097
<b>TOTAL</b>		<b>\$ 487,282</b>	<b>\$ 66,983</b>	<b>\$ 53,122</b>	<b>\$607,387</b>

# Review of Agency COVID-19 Expenses

## Comparable Information

### Member Agencies:

- City of Ontario – has applied to FEMA
- City of Chino Hills – is submitting FEMA claims
- City of Chino – will not apply
- City of Fontana – will not apply
- City of Upland – will not apply
- Others –no response

### Other Water/Wastewater Agencies:

- Eastern Municipal Water District – will not apply
- Others – no response

# Review of Agency COVID-19 Expenses

## Agency savings directly due to COVID-19 March 2020- September 2020

### Office & Administration Savings

\$324,000 and \$359,000

Conservative estimate

### Delayed Projects

Project timing does not represent savings

# Review of Agency COVID-19 Expenses

***Overall, Grants Department has effectively collected and monitored Agency expenses related to the Agency's response to the ongoing global pandemic, COVID-19.***

- Interim Audit Report – December 2020
- Additional Report - March 2021 or June 2021
- No observations or recommendations noted at this time



# Review of Agency COVID-19 Expenses

*The Interim Report: Review of Agency COVID-19 Expenses is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment, and Business Practices by providing an independent evaluation of the expenses incurred by the Agency and procurement activities in response to COVID-19, suggesting recommendations for improvements, monitoring the internal control environment of the Agency, and assisting Agency management in achieving organizational goals and objectives.*



**INFORMATION  
ITEM**

**4G**

**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**Committee:** Audit

  
**From:** Teresa Velarde, Manager of Internal Audit

12/07/20

**Manager Contact:** Teresa Velarde, Manager of Internal Audit

**Subject:** Internal Audit Department Quarterly Status Report for December 2020

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**Executive Summary:**

The Audit Committee Charter requires that a written status report be prepared and submitted each quarter. The Internal Audit Department Quarterly Status Report includes a summary of significant internal and external audit activities for the reporting period.

During this quarter, Internal Audit (IA) staff completed several projects, including; the Interim Audit Report: Review of Agency COVID-19 Expenses, the Water Connection Fee Follow Up Audit, the Quality Control Review of the Comprehensive Annual Financial Report and Single Audit Report, the review of the Internal Audit Department and Audit Committee Charters in addition to and various on-going and required administrative projects as well as participating in various ad-hoc committees. IA continues to assist with any requests for audit work, review of Agency policies and procedures, and provide recommendations to improve internal controls. The attached Quarterly Status Report provides details and information of the audit projects.

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**Staff's Recommendation:**

This is an information item.

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**Budget Impact** *Budgeted (Y/N):* N *Amendment (Y/N):* N *Amount for Requested Approval:*

*Account/Project Name:*

N/A

*Fiscal Impact (explain if not budgeted):*

N/A

**Prior Board Action:**

On June 17, 2020, the Board of Directors approved the Fiscal Year 2020/21 Annual Audit Plan. The plan was in accordance with auditing standards and the Charter.

On December 18, 2019, the Board of Directors reconfirmed the approved Audit Committee and the Internal Audit Department Charters.

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

The IA Status Report is consistent with the Agency's Business Goals of Fiscal Responsibility, Workplace Environment, and Business Practices by describing IA's progress in providing independent evaluations and audit services of Agency financial and operational activities and making recommendations for improvement, to promote a strong, ethical internal control environment, safeguarding Agency's assets and fiscal health, providing recommendations to improve efficiencies and to assist management in achieving organizational goals and objectives.

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**Attachments:**

Attachment 1 - Internal Audit Department Quarterly Status Report for December 2020  
Attachment 2 - Results of Internal Audit's Quality Control Review: Fiscal Year 2020  
Comprehensive Annual Financial Report

## Internal Audit Department Quarterly Status Report for December 2020

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### ***Projects Completed This Period***

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**Project:**     **Interim Report: Review of Agency COVID-19 Expenses**

**Scope:**

The purpose of this evaluation was to review the expenses incurred by the Agency in response to the ongoing global pandemic (COVID-19). Expenses include costs incurred to comply with health guidelines, to prevent the spread of COVID-19, protect Agency personnel and the public, cost related to transitioning employees to work from home and ensuring proper on-site precautions. The objectives of the review were to evaluate if expenses are in compliance with internal controls, contain the required supporting documentation, and are in compliance with all guidelines.

**Status:**            **Complete**

Internal Audit (IA) worked closely with the Grants Department to evaluate the expenditures that have been compiled and hope to be reimbursed by the Federal Emergency Management Agency (FEMA). As of October 31, 2020, the Agency has incurred approximately \$600,000 in expenses for the following items: laptops, computer-related equipment and accessories, personal protective equipment (PPE), disinfection/cleaning services, etc. The Grants Department have submitted over \$550,000 in costs for potential reimbursement, while they continue to work with other departments to compile additional costs.

An interim audit report is presented under a separate cover. A final report will be presented in March 2021.

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**Project:**     **Water Connection Revenue Follow-Up Review**

**Scope:**

The purpose of this follow up review was to evaluate the implementation status of the five open audit recommendations from the original audit dated February 25, 2019. The objectives of the review were to evaluate the process for collecting Water Connection fees. Additionally, to determine compliance with the required policies and evaluate adequate internal controls were in place.

**Status:**            **Complete**

Since the Agency began collecting water connection fees on January 1, 2016, the Agency has collected over \$29M in revenue related to Water Connection Fees. The original audit provided 7 recommendations. The recommendations required the Planning & Environmental Resources Department to work with the contracting agencies and water districts on a periodic basis, to ensure the water connection fees information in the Agency's system is reconciled to the building permits and/or water meters that have been issued by the contracting agencies and water districts. Planning & Environmental Resources Department has worked closely with all agencies and this has resulted in positive relationships. Additionally, the audit recommended Planning & Environmental Resources Department propose any updates or revised wording to the ordinance and resolution based on the experience. IA encouraged Planning & Environmental Resources Department staff to finalize their draft Standard Operating Procedures that documents the various tasks and procedures with the fee collection process. Planning & Environmental Resources Department has also worked diligently to pursue the collection of any uncollected water connection fees. Planning & Environmental Resources Department has done so through the improved relationships, issuing notice letters and as a last resort, placing liens on properties. Due to this effort, Planning & Environmental Resources Department has collected an additional \$1.8 million through the lien process.

The final report with details of the observations and recommendations is presented under a separate cover.

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**Project:**            **Fiscal Year (FY) 2019/20 Financial and Single Audit**

**Scope:**

The Finance and Accounting Department complete the Comprehensive Financial Audit Report (CAFR) and all related financial statements and information. The Grants Department compile and monitor all information

## Internal Audit Department Quarterly Status Report for December 2020

related to expenditures used to claim State/Federal funding. The Agency's external auditors, Lance, Soll & Lunghard, LLP (LSL), are contracted to perform the Agency's Financial and Single Audit, as required by the Agency's Fiscal Ordinance and State requirements, to audit the financial statements, complete the Single Audit and express an opinion on the fair presentation of the financial statements.

**Status:**                **Complete**

LSL performed interim work during the summer and completed final audit field work in October. The firm has reviewed the financial statements and CAFR documents prepared by the Finance and Accounting Department as well as financial information compiled by the Grants Department. LSL will issue a final opinion on the financial statements by December 31, 2020 and issue an unmodified opinion with no reportable findings. IA coordinates with Finance and Accounting, the Grants Departments, and the External Auditors to perform a quality control review of both the CAFR and Single Audit report. IA also performs the regulatory filing of the audits to the required regulatory agencies.

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**Project:**                **Quality Control Review of the FY 2019/20 Comprehensive Annual Financial Report**

**Scope:**

IA performs a Quality Control Review of the CAFR. The role of IA is one of an added quality control review for quality and professional presentation to ensure that financial information transfers accurately between the financial schedules and to ensure a professional presentation. IA also reviews the requirements of the GFOA for the annual award and the disclosures required by the Agency's outstanding bonds, if necessary.

IA's review includes the following procedures:

- Verified that the FY 2020 amounts and totals on the financial statements were transferred accurately from the FY 2020 Trial Balance.
- Verified that the FY 2019 amounts/totals for all financial statements were transferred accurately from the FY 2019 published CAFR to the FY 2020 CAFR.
- Recalculated the FY 2020 totals on all financial statements for mathematical accuracy.
- Checked titles and years to ensure updates were made for the years they correspond to.
- Reviewed narratives for completeness, spelling and grammatical accuracy.
- Reviewed all sections of the CAFR to ensure consistency and a professional presentation.

IA provided comments and recommendations to the Finance and Accounting department when items were identified for their review. The compilation of the CAFR is an Agency-wide team effort. IAs report to Finance and Accounting's management is included as an attachment.

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**Project:**                **Audit Committee and Internal Audit Department Charter Updates**

**Scope:**

To review and make necessary updates to the Internal Audit Department (IAD) Charter and the Audit Committee (AC) Charter as required to ensure they meet best practices and the Agency's goals.

**Status:**                **Complete**

The purpose of the AC and IAD Charters is to document the purpose, authority and responsibilities of each. A role of the IAD is to further ensure that the responsibilities of the AC are fulfilled. According to best practices, the authority of the IAD should be documented in a Charter to align the role of the department in a way to provide independent assurance and assistance to the Board and Executive Management in ensuring organizational goals are achieved. Best practices and both Charters require that IA completes a review of the Charters annually and makes necessary edits/changes. IA does not propose any changes at this time. IA is bringing the charters in final form under separate cover. If the committee agrees, the Charters will be finalized.

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## Internal Audit Department Quarterly Status Report for December 2020

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**Project:**      **FY 2018/19 Single Audit Revised Filing**

**Scope:**

The FY 2018/19 Single Audit was revised and had to be re-filed with the corresponding regulatory agencies, which was completed in September 2020. The change was due to the State/Federal funding reclassification and the filing was routine.

**Status:**      **Complete**

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**Project:**      **Sewer System Management Plan Audit**

**Scope:**

IA was invited to participate in the ad-hoc committee to perform the biannual review of the Agency's Sewer System Management Plan (SSMP) as required by the State Water Regional Control Board (SWRCB) adopted Order No. 2006-0003. Statewide General Wastewater Discharge Requirements (WDR) for Sanitary Sewer Systems. This Order requires that owners of wastewater collection systems with more than a mile of pipeline have in place a SSMP to comply with the terms of this Order, which is to reduce the number and severity of Sanitary Sewer Overflows (SSOs), to audit the program every two years, and revise the SSMP every five years.

**Status:**      **Complete & On-going**

This project required approximately 40 hours of staff time. The project is owned by the Operations Department, IA participated in the capacity of a review team member providing assistance with tasks and providing recommendations to update, per the review of documents and information. This project did not compromise the independence of the auditor or the Internal Audit Department.

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**Project:**      **Asset Management Steering Committee & Safety Committee**

**Scope:**

IA participates in meetings related to the Agency's Asset Management program and the Safety programs. To gain an understanding of the Agency's priorities, updates and information regarding the topics.

**Status:**      **Complete & On-going**

IA staff participated in monthly meetings for each. Participation includes reviewing information. Listening to potential risks that could be incorporated into audits and providing suggestions or input about the items discussed. These meetings require approximately 1 hour of audit staff time each and do not compromise the independence of the auditor or the Internal Audit Department.

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**Project:**      **Request for Proposal – Human Resources**

**Scope:**

IA staff participated in the ad-hoc review team to review professional services proposals in response to the Agency's Request for Proposals (RFP) for consulting services to conduct a comprehensive review of Agency documents, policies, MOUs and make recommendations for a policy management framework and documents.

**Status:**      **Complete**

IA staff participated in the review of the RFP and related documents, which required attendance to meetings and interviews to make recommendations and assist with the selection evaluation. This project required approximately 20 hours of staff time. The project is owned by the Human Resources Department. This project did not compromise the independence of the auditor or the Internal Audit Department.

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## Internal Audit Department Quarterly Status Report for December 2020

### ***Projects in Progress***

**Project:**     **Request for Proposal for External Financial and Single Auditing Services for IEUA  
Request for Proposal for Financial Auditing Services for the Chino Basin Regional  
Financing Authority (CBRFA)**

**Scope:**

To secure the professional services of an independent accounting firm to perform the annual financial audit and single audit of IEUA to ensure compliance with all regulatory requirements and the Agency's Fiscal Ordinance. The accounting firm selected will also be required to perform the financial audit of the CBRFA. The Audit Committee is responsible for oversight of the audit services.

**Status:**             **IN PROGRESS**

The current contract with LSL for External Financial Audit Services will expire on December 31, 2020. LSL has been the Agency's external financial auditors since 2016. State law requires that the Agency must rotate the External Financial Auditor every 5 years.

In accordance with preferred practices and good internal controls, it is in the best interests of the Agency to request proposals from capable certified public accounting firms for the external financial audit services contract. IA takes the lead on evaluating potential audit firms.

IAD will work closely with the Contracts and Procurement Department to ensure the RFP is issued to qualified firms and will coordinate the RFP process, including ensuring there is a knowledgeable evaluation panel. The new audit firm should be established by Spring 2021 to ensure that preliminary audit work begins on a timely basis, shortly thereafter. IA will continue to keep the Audit Committee informed of the process at each committee meeting.

**Project:**     **Report on Open Recommendations as of December 2020\***

**Scope:**

The IAD Charter requires IA to follow-up on the status of outstanding recommendations to determine if corrective actions have been implemented. Follow-up reviews are scheduled through the Board-approved Annual Audit Plan. Executive Management supports the implementation efforts of the recommendations previously provided and/or the development of alternative controls to address the original risks identified.

**Status:**             **Complete & On-going-** Status of outstanding recommendations as of December 2020.

The table below provides the number of recommendations outstanding as of this Status Report. Additional details about each of the outstanding recommendations is submitted with the Annual Audit Plan each June. This summary provides an updated count of the outstanding recommendations. This summary includes new recommendations provided during this fiscal year as well as considers any recommendations cleared during the year (up to the prior quarter). A follow up review is typically scheduled between 12–24 months from the date of the original audit, to allow time for full implementation. If a recommendation is deemed not implemented, the recommendation remains outstanding or alternate controls to mitigate any risks are evaluated to determine if the risk has been addressed and the recommendation is no longer applicable. A follow up review is also scheduled sooner, if requested by the Audit Committee or Executive Management. The audited business units are encouraged to submit additional information for IA review if they determine the recommendation has been satisfied.

As shown on the following table, of the 79 recommendations:

- 15 recommendations are planned to be reviewed in the current fiscal year (approximately 19%)
- 19 recommendations are expected to be reviewed in the following fiscal year (approximately 24%)
- 45 recommendations that are highlighted in gray do not require follow-up as the issuance date is within the last 18 months (approximately 57%).

## Internal Audit Department Quarterly Status Report for December 2020

Area Audited	Report Issued Date	No. of Recs. Remaining to be Verified by IA*	Planned Follow-Up
Accounts Payable Follow-Up (Deferred Recommendations related to Agency Policies)	August 29, 2013	2	FY 2021
Follow-Up – IT Equipment Audit – ISS	February 29, 2016	2	FY 2021
Master Trade Contracts	September 1, 2016	5	FY 2021
Follow-Up – IT Equipment Audit – FAD	December 5, 2016	6	FY 2021
2017 Petty Cash Audit & Follow-Up Review	June 5, 2017	7	FY 2022
Water Use Efficiency Programs Audit	June 5, 2017	6	FY 2022
Contracts and Procurement Follow-Up Audit	August 30, 2017	1	FY 2022
Payroll Operations Audit	August 30, 2017	5	FY 2022
Procurement Card Audit	March 1, 2018	7	FY 2022
Wire Transfers Audit	March 1, 2018	4	FY 2022
Inter-fund Transactions Audit	August 30, 2018	1	FY 2023
Agency Vehicle Operational Follow-up audit: Review of Vehicle Inventory Procedures	November 29, 2018	3	FY 2023
Water Connection Fees Audit	February 25, 2019	5	FY 2023
Accounts Payable Follow-Up Audit	May 30, 2019	4	FY 2024
Voyager Fuel Card Audit	May 30, 2019	4	FY 2024
Human Resources Audit: Hiring and Promotions Operations Review	December 2, 2019	7	FY 2024
Recycled Water Revenues Audit	May 28, 2020	3	FY 2024
Human Resources: Workload Indicators Review	May 29, 2020	2	FY 2024
Human Resources Operational Audit: Administration of Employee Training and Development Programs	August 31, 2020	5	FY 2025
<b>Total Outstanding Audit Recommendations</b>		<b>79</b>	

<b>Recommendations related to the Regional Contract Review</b> (these recommendations are planned for full implementation with the renegotiation of the Regional Contract IA will not follow up on these items until the renegotiation has been finalized)			
Regional Contract Review – Final Audit Report	December 16, 2015	31	Pending – Renegotiation of the Regional Contract

\*The Outstanding Recommendations Table does not update the status of recommendations resolved by follow-up audits during the current quarter or add any new recommendations resulting from the projects completed during this quarter (as noted in this Status Report). The table is revised for those changes once all items have been received by the Audit Committee and the Board of Directors which is when items are determined to be final.

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**Project:**      **Management Requests**

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**Scope:**

Assist Agency Management with requests for analysis, evaluations and verification of information, assist with the interpretation of policies and procedures, and/or provide review and feedback on new policies or procedures. These services are provided according to the IAD Charter, the Annual Audit Plan, and best practices. The management request projects are short-term projects, typically lasting no more than 60–75 hours where IA determines it has the necessary staff, skills and resources to provide the requested assistance without having to delay/defer scheduled audits and priority projects. The scope of each review is agreed upon between the department manager requesting the evaluation, review, analysis or assistance, the Manager of IA and when deemed appropriate by Executive Management. Projects and committee participation has been described above.



## Internal Audit Department Quarterly Status Report for December 2020

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**Project:**        ***Special Projects***

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**Scope:**

Perform special reviews and projects including analyzing transactions, evaluating documents and policies, verifying information, assisting with interpretation of Agency Policies or other required procedures, and providing recommendations and feedback on results of the analysis, engaging necessary assistance if and/or when necessary, reporting to the General Manager and the Audit Committee. These services are provided according to the IA and Audit Committee Charters, the Annual Audit Plan, and/or best practices.

Special Projects can be short or long-term projects, typically requiring more than 80 hours of staff time and requiring setting aside or delaying work on scheduled audit projects. The scope of the review is not generally known, and the work must be handled with the highest degree of confidentiality and care, as with all audit projects. Special Projects are usually considered highly confidential.

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***Internal Audit Department Staffing***

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**Internal Audit Staff Professional Development Activities:**

As required by the *International Standards for the Professional Practice of Internal Auditing*, and the IA Charter, auditors must regularly enhance their knowledge, skills, and other competencies through continuing professional development. During the past quarter, IA staff has continued to stay abreast of industry developments through review of industry literature and participation in on-line webinars.

In October, IA staff attended a 2-hour virtual training on Emotional Resilience. In November, staff attended a 2-hour training on required Ethics for Internal Auditing as required by the profession and current certifications. Additionally, in November, staff attended a 1-hour Panel Discussion on “The Challenges of the Covid-19 Era to the Internal Audit Profession”. All training hours met the requirements of Continuing Professional Education (CPE) and earned CPE credits.

The Internal Audit Manager is a member of the governing board of the Inland Empire Chapter of the IIA. The governing board sets direction for the chapter. One Senior Auditor serves in the same Chapter and holds an officer position, Chapter Secretary.

Two IA members are preparing for the 3-part Certified Internal Auditor (CIA) examination and certification. The CIA is the only globally recognized certification for internal audit professionals and is the highest certification that can be attained by an internal auditor.

The Manager of IA has a Master’s degree in Public Administration (MPA). The Manager of IA and One Senior Auditor are Certified Government Audit Professionals (CGAP®). The CGAP® certification program is designed for auditors working in the public sector and demonstrates government knowledge and expertise.

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The Internal Audit Department is staffed as follows:

- 1 Full-time Manager of Internal Audit
  - 1 Full-time Senior Internal Auditor
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**Future Audit Committee Meetings:**

- *Monday, March 8, 2021 – Regularly Scheduled Audit Committee Meeting*
  - *Monday, June 7, 2021 – Regularly Scheduled Audit Committee Meeting*
  - *September 2021 - Special Audit Committee Meeting (Date to be finalized)*  
*(this year, the regularly scheduled date falls on a Holiday)*
  - *Monday, December 6, 2021 – Regularly Scheduled Audit Committee Meeting*
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Date: November 20, 2020

To: Christina Valencia, Executive Manager of Finance & Administration/AGM  
Javier Chagoyen-Lazaro, Manager of Finance and Accounting  
Suresh Malkani, Principal Accountant

From: Teresa V. Velarde, Manager of Internal Audit  
Sapna Nangia, Senior Internal Auditor

**DRAFT**

Subject: Results of Internal Audit's Quality Control Review:  
Fiscal Year 2020 Comprehensive Annual Financial Report (CAFR)

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The Internal Audit Department (IA) performs an annual quality control review of the Agency's Comprehensive Annual Financial Report (CAFR) prepared by the Finance and Accounting Department (FAD). IA's review is performed on "working draft" sections of the CAFR as FAD continues to finalize the information presented in the financial statements and the various sections of the CAFR.

As of November 20, 2020, IA recently received a copy of a draft CAFR for review with the additional areas that had not been previously provided. IA continues to review the required sections and will provide any comments to FAD for consideration. IA and does anticipate a full review of the CAFR by December 16, 2020 (the date of the Board of Director's Meeting). This report will be updated and finalized for the December 16, 2020 Board packet with the results of the final review.

For the Fiscal Year (FY) 2020 CAFR, IA completed the initial review prior to the December 7, 2020 Audit Committee Meeting and provided comments, edits and recommendations to FAD for consideration prior to finalizing the CAFR. This memo documents IA's quality control review and provides a general summary of the results.

IA's review includes a review of the trial balances for each fund, the individual fund statements, Management's Discussion and Analysis (MD&A), the Introductory Section, the Financial Statements and Notes, the Required Supplementary Information and the Statistical sections of the CAFR. IA applied the following procedures to conduct the quality control review of the CAFR:

- Reviewed for math accuracy and consistency in the referencing of dollar amounts and totals among the narratives, notes and statements, and for overall professional presentation.
- Verified that the FY 2020 amounts and totals on the financial statements were transferred accurately from the FY 2020 Trial Balance.

- Verified the consistency of the amounts between the overall Basic Combining Financial Statements and Combining Non-Major Financial Statements as compared to the Individual Fund financial statements.
- Verified that the FY 2019 amounts/totals for all financial statements were transferred accurately from the FY 2019 published CAFR to the FY 2020 CAFR.
- Recalculated for mathematical accuracy the FY 2020 totals on all financial statements.
- Checked titles and years to ensure updates were made for the years they correspond to.
- Reviewed narratives for consistency, completeness, spelling and grammatical accuracy.

IA was recently provided with additional sections of the CAFR and is working on a review of:

- Management's Discussion and Analysis
- Statistical Section.

Items that have yet to be provided by Finance and Accounting for IA review is:

- The Continuing Disclosure Compliance Report for the outstanding bonds owed by IEUA and compared them to the prior year's disclosures.

Additionally, IA reviewed the comments made by the Government Finance Officers Association (GFOA) on the prior year (FY 2019) CAFR to determine whether those comments had been addressed in the current year (FY 2020) CAFR.

### **Criteria and Observations**

IA did not perform tests of financial transactions, nor audit the accounts or any financial information and did not assess the reliability or accuracy of the information reported in the financial statements. The Agency relies on the professional work of the External Auditors, Lance, Soll and Lunghard, LLP (LSL), who are the Agency's Certified Public Accountants, contracted to provide reasonable assurance that the financial statements are free of material misstatement. The External Auditors and IA rely on Agency's management for the completeness and reliability of the information presented in the CAFR. Consistent with the requirements of the IA Charter and the Audit Committee Charter requirements, IA's review is intended to provide an additional level of quality control.

During IA's quality control review, observations that IA noted were promptly communicated to FAD staff for appropriate corrective action. IA did not verify that all comments/recommendations were addressed or incorporated because FAD continues to finalize the CAFR. The observations IA considered most significant and requiring attention prior to finalizing and publishing the CAFR (details have been provided to FAD under separate correspondence, additional copies can be requested from IA) are:

- Noted rounding differences and inconsistencies between the 2019 CAFR and the 2020 CAFR within the financials and the narratives.

- Noted rounding differences and inconsistencies between and among the schedules and in the narratives.
- Noted some presentation differences in the financial statements compared to the prior year.
- Provided edits, made various comments and recommendations to all sections.
- Noted instances where there were differences in amounts between different sections of the CAFR, for example among the schedules.
- Noted some mathematical errors, including percentage calculations.

#### **Bond Disclosure Compliance Review**

IA has not been provided with all the information necessary to complete this review, but does anticipate full review by December 16, 2020 (the date of the Board of Director's Meeting)

#### **Government Finance Officers Association (GFOA) comments**

IA is in the process of finalizing this review and does anticipate a full review by December 16, 2020 (the date of the Board of Director's Meeting).

#### **Chino Basin Regional Financing Authority**

IA has not been provided information to complete this review and does anticipate a full review by December 16, 2020 (the date of the Board of Director's Meeting).

#### **Conclusion**

IA appreciates the opportunity to provide the quality control review of the draft FY 2020 CAFR and looks forward to the final version of the FY 2020 CAFR that will be presented for Board of Directors' approval on December 16, 2020. IA appreciates staff's cooperation during this review.

IA looks forward to receiving the final CAFR documents for IA's regulatory filing purposes.

Please contact any member of the Internal Audit Department if you have questions.

INFORMATION  
ITEM

**4H**

**Date:** December 16, 2020

**To:** The Honorable Board of Directors

**From:** Shivaji Deshmukh, General Manager

**Committee:** Community & Legislative Affairs

12/09/20

**Executive Contact:** Kathy Besser, Executive Manager of Ext. Aff. & Policy Dev./AGM

**Subject:** Public Outreach and Communication

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**Executive Summary:**

- December 5, World Soil Day
- December 16, IEUA End-of-Year Celebration (Virtual), 2:00 p.m. – 3:30 p.m.
- December 17, MWD Water is Life Recognition Event via Zoom, Time TBD

On November 18, staff facilitated a virtual Water Discovery field trip for AP Environmental Science students from Chino Hills High School.

Staff worked with member agencies to submit the 2021 Solar Cup interest form to MWD. Solar Cup 2021 will be an online STEAM-focused program where students will learn skills by working as a team and selecting from a menu of activities that cover a wide range of STEAM fields including robotics, solar power vehicles, utilizing CAD software, building online gaming, social media messaging, visual arts, and dream job skills. At the end of the Solar Cup 2021 program, there will be a virtual competition using the vehicles the students built. To date, Chino Hills High School has confirmed participation.

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**Staff's Recommendation:**

This is an informational item for the Board of Directors to receive and file.

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**Budget Impact** *Budgeted (Y/N):* Y *Amendment (Y/N):* N *Amount for Requested Approval:*

*Account/Project Name:*

*Fiscal Impact (explain if not budgeted):*

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**Prior Board Action:**

N/A

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**Environmental Determination:**

Not Applicable

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**Business Goal:**

IEUA is committed to providing a reliable and cost-effective water supply and promoting sustainable water use throughout the region.

IEUA is committed to enhancing and promoting environmental sustainability and the preservation of the region's heritage.

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**Attachments:**

Attachment 1 - Background

## Background

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Subject: Public Outreach and Communication

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### December

- December 5, World Soil Day
- December 16, IEUA End-of-Year Celebration (Virtual), 2:00 p.m. – 3:30 p.m.
- December 17, MWD *Water is Life* Recognition Event via Zoom, Time TBD

### Media and Outreach

- Staff created outreach content for Military Family Appreciation Month.
- Staff highlighted National STEM/STEAM Day by promoting the Agency's educational activities and videos. The post received approximately 700 impressions on Facebook.
- Staff highlighted Veterans Day by featuring three of IEUA's veterans on social media channels. Combined, the posts received over 3,000 impressions.
- Staff posted an animated video short on the wastewater treatment process, developed in-house, in recognition of America Recycles Day on November 15.
- The Agency continues to publish content on LinkedIn and has gained 35 followers since October 2020 with 550-page views in the last 30 days.
- Staff posted recognition content for the three winners from IEUA's service area that will be featured in Metropolitan Water District's 2021 calendar for the Water is Life Student Art Contest.
- The Agency continues to educate the public on water terminology with the Water Word Wednesday campaign.
- The Agency reminded the public of the proper way to dispose of fats, oils and greases (FOG) for the upcoming holiday season in order to prevent sewer overflows and backups.
- November: 27 posts were published to the IEUA Facebook page, 27 posts were published to IEUA's Instagram grid, 27 tweets were sent on the @IEUAWater Twitter handle, and 8 posts were published to the IEUA LinkedIn page.
  - The top three Facebook posts, based on reach and engagement, in the month of November were:
    - 11/8 National STEM/STEAM Day
    - 11/4 Water Word Wednesday
    - 11/4 Project Manager I Hiring
  - The top three Twitter tweets, based on reach and engagement, in the month of November were:
    - 11/15 America Recycles Day Wastewater Treatment Process Animated Video
    - 11/18 California African American Water Education Foundation Event Promotion
    - 11/11 Veterans Day Employee Recognition
  - The top three Instagram posts, based on reach and engagement, in the month of November were:



- 11/4 Project Manager I Hiring
- 11/5 Chino Creek Wetlands Amphitheater Throwback
- 11/11 Veterans Day Employee Recognition
- The top three LinkedIn posts, based on impressions and reactions, in the month of November were:
  - 11/11 Veterans Day Employee Recognition
  - 11/5 Project Manager I Hiring
  - 11/17 Collection System Operator I-III (DOQ) Hiring
- A Water-Wise Education ad ran in the *Chino Valley Champion's Chino Connection Magazine* section on November 26.
- A Water-Wise Education ad ran in the *Fontana Herald News* on November 13 through November 19.
- A Water-Wise Education ad ran in *La Opinion* on November 15.
- A Water-Wise Education digital banner ad is featured on the *Fontana Herald News* website.
- An animated education banner ran in *La Opinion* from November 7 to November 22.

For the month of November, there were 5,865 searches for a park in IEUA's service area on Yelp, where Chino Creek Wetlands and Educational Park was viewed 1,046 times on a mobile device.

#### Education and Outreach Updates

- On November 18, staff facilitated a virtual Water Discovery field trip for AP Environmental Science students from Chino Hills High School.
- Staff worked with member agencies to submit the 2021 Solar Cup interest form to MWD. Solar Cup 2021 will be an online STEAM-focused program where students will learn skills by working as a team and selecting from a menu of activities that cover a wide range of STEAM fields, including robotics, solar power vehicles, utilizing CAD software, building online gaming, social media messaging, visual arts, and dream job skills. At the end of the Solar Cup 2021 program, there will be a virtual competition using the vehicles the students built. To date, Chino Hills High School has confirmed participation. The deadline to submit confirmed schools to MWD is December 2.

#### Agency-Wide Membership Updates

- Richard Lao, Senior Environmental Resources Planner, attended the California Association of Sanitation Agencies (CASA) Water Regulatory Working Group Committee Meeting on October 15.
- Richard Lao, Senior Environmental Resources Planner, attended the California Association of Sanitation Agencies (CASA) Air Quality, Climate Change and Energy Workgroup Meeting on October 22.
- Richard Lao, Senior Environmental Resources Planner, attended the California Alliance of Publicly Owned Treatment Works (SCAP) Air Quality Committee Meeting on October 27.
- Randy Lee, Executive Manager of Operations/AGM, attended Isle Utilities meetings on November 16 and 17.
- Randy Lee, Executive Manager of Operations/AGM, attended the NWRI Clarke Prize 2020 Virtual Award Ceremony on November 10.

**INFORMATION  
ITEM**

**41**



**November 22, 2020**

**To:** Inland Empire Utilities Agency

**From:** Michael Boccadoro  
Beth Olhasso  
Maddie Munson

**RE:** November Report

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Overview:

Dry conditions continue to persist throughout the state keeping reservoirs in serious need of replenishment. Carryover storage in California's reservoirs has gotten the state through the record breaking heat this summer and could really use a strong winter snowpack to replenish supplies after a dismal winter of 2020. San Luis Reservoir, the main south-of-Delta storage facility for the State Water Project, is at 79 percent of average for this time of the year and 45 percent capacity. Lake Oroville is at 64 percent of average and 39 percent capacity.

As part of the implementation of the Water Resilience Portfolio, the California Water Commission has started working on a white paper and recommendations for state policymakers related to water conveyance. Commission staff has made clear that this will not include Delta Conveyance because that has its own ongoing process. The recommendations are slated to be completed mid-2021.

On November 17, the US EPA invited California to apply for \$500 million (of \$695 million available) in Water Infrastructure Finance and Innovation Act (WIFIA) loans through US EPA's new state infrastructure financing authority WIFIA (SWIFIA) program. The funds are exclusively available through the CA State Revolving Fund (SRF).

The Public Policy Institute of California (PPIC) held a three-session virtual conference to "explore ways to boost resilience to multiple stressors while supporting economic recovery and workforce development." The conference panelists offered insight into some of the state's 2021 priorities including Integrated Regional Water Management, Voluntary Agreements, water affordability and others.

The California Air Resources Board (CARB) recently received a staff informational report titled "CA's GHG Goals and Deep Decarbonization." The presentation discussed how the state plans to move towards the 100 percent clean energy goal and what tools will be needed to hit that target. Notably the presentation included information about the transition to electric vehicles. The Governor's Executive Order was unclear concerning the transition of medium and heavy-duty vehicles, leaving confusion as to whether the goal was to require complete fleet transition by 2045, or just limiting the new sale of vehicles starting in 2045. The presentation highlights that the 2045 requirement for medium and heavy-duty vehicles is limited to new sales. While this is a welcome clarification, the report was silent on off-road and heavy equipment vehicles leaving significant ambiguity about the EO's goal of 100 percent zero-emission by 2035.

There remains a few races in the Senate that haven't yet been called, but it looks like the scene is set for the 2021-2022 Legislative Session. Democrats maintain their super majorities in both houses and all in Sacramento are eagerly awaiting the announcement of committee chairs and membership in both houses. Legislators will return to Sacramento briefly in December for an "Organizational Session" then

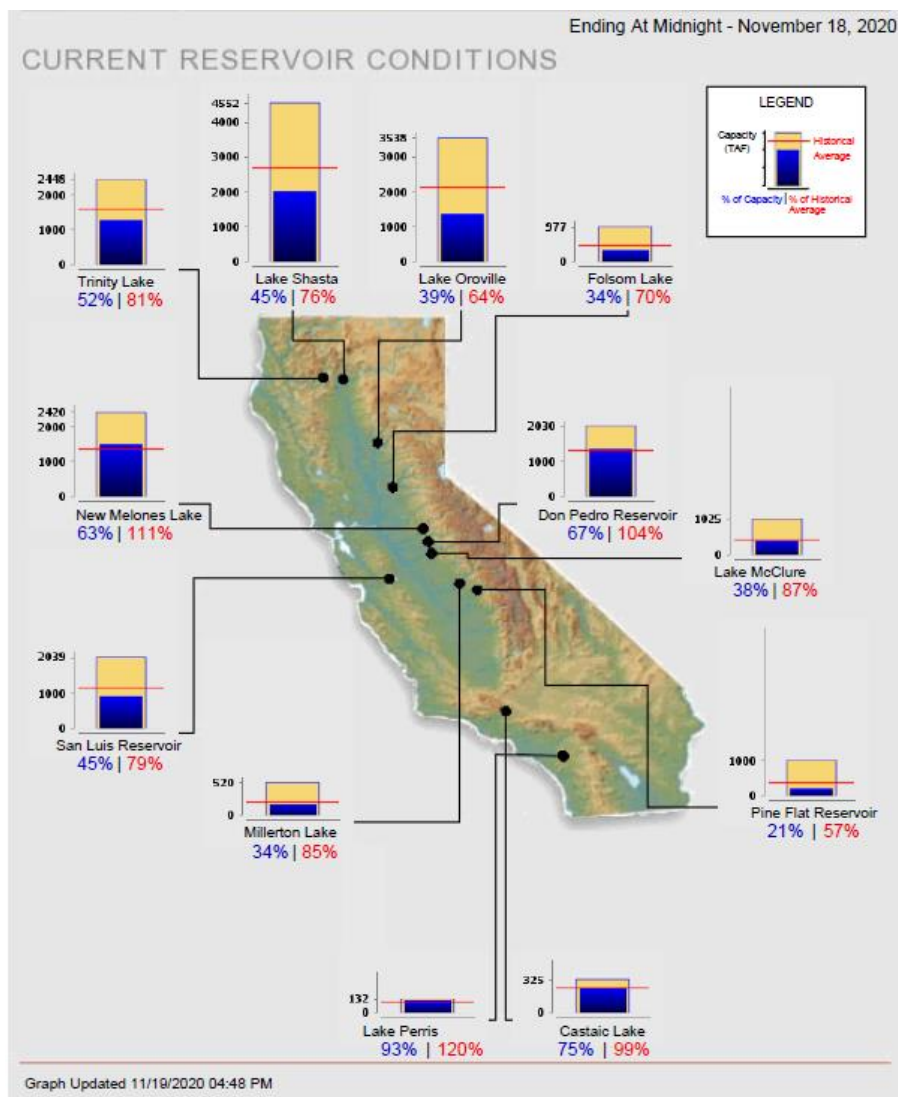
will return in earnest in January. The legislature is expected to start the new year the same way it ended 2020, in a virtual legislative session.

## Inland Empire Utilities Agency Status Report – November 2020

### *Water Supply Conditions*

The first major storms of the winter hit Northern California recently with a good dump of snow in the sierras. The “wildfire season ending storm” was welcome, but many more storms are needed for the state to make up for a dry 2020. Carryover storage in California’s reservoirs has gotten the state through the record-breaking heat this summer and the state could really use a strong winter snowpack to replenish supplies after a dismal winter of 2020. San Luis Reservoir, the main south-of-Delta storage facility for the State Water Project, is at 79 percent of average for this time of the year and 45 percent capacity. Oroville is at 64 percent of average and 39 percent capacity.

Drought conditions are about normal for this time of the year, with about 84 percent of the state experiencing at least abnormally dry conditions. Surprisingly, it is the northern portion of the state that is struggling with more serious drought conditions, where normally Southern California is more dry.



## ***CA Water Commission Implements Water Resilience Portfolio in Delta***

As part of the implementation of the Water Resilience Portfolio, the California Water Commission has started working on a white paper and recommendations for state policymakers related to water conveyance. Commission staff has made clear that this will not include Delta Conveyance because that has its own ongoing process. The recommendations are slated to be completed mid-2021. Below is a graphic with the schedule for the effort.

The CWC just completed Phase 1 which included a [policy brief](#) that outlines guiding questions for the eventual white paper. The three main sections included: 1) Resilience and conveyance in the context of climate change; 2) Determining and assessing public benefits; and 3) Assessing financing mechanisms and challenges. To develop the brief, they interviewed stakeholders including disadvantaged communities, tribal nations, growers, NGOs, legislators, state and federal agencies, water agencies, and others.

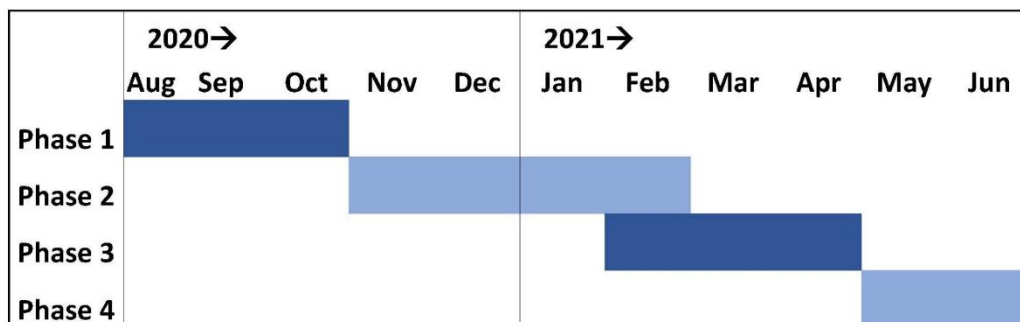
Phase 2 is about to get underway which will include collecting public input through expert panels at upcoming meetings as well as regional workshops held at different locations around the state.

DWR also provided presentations, noting that they are taking steps on Water Resilience Portfolio action 19.3 which directs DWR to conduct a feasibility analysis for improved and expanded capacity of federal, state, and local conveyance facilities to enhance water transfers and water markets and that incorporates climate change projections of hydrologic conditions.

There was another meeting 11/18 with multiple [presentations](#). The next Commission meeting is Dec 16 but the agenda is not yet available so it is unclear if this topic will be covered. More presentations and discussion on the topic are likely in the future.

## **Workplan**

- **Phase 1:** Staff Research and Background Document
- **Phase 2:** Gather Public Input
- **Phase 3:** Draft Paper
- **Phase 4:** Commission Approval



### ***US EPA Invites SWRCB to Apply for \$500M in SRF Funds***

On November 17, the US EPA invited California to apply for \$500 million (of \$695 million available) in Water Infrastructure Finance and Innovation Act (WIFIA) loans through US EPA's new state infrastructure financing authority WIFIA (SWIFIA) program. The funds are exclusively available through the CA State Revolving Fund (SRF).

As IEUA is well aware, there is a tremendous waiting list/backlog in the Clean and Drinking Water SRF programs at the State Water Resources Control Board (SWRCB). While it is unclear how the funds would be administered, including conditions on the federal funding, it will go a long way to help clear the backlog and make way for more projects to receive funding.

In other SRF news, there is significant talk of more funding to the SRF program from the federal government. Matthew Muirragui, majority staff director, Subcommittee on Water, Oceans, and Wildlife, US House Committee on Natural Resources, mentioned in the 11/19 PPIC session "Priorities for a water-resilient California," that as the federal government considers an infrastructure package, a bipartisan group of members are strongly pushing for significant funding to SRF or the Title 16 program as a means for economic recovery.

As the state budget will remain strained for the coming years, this federal funding would be very welcome because it may likely be difficult to secure voter approval for a resilience bond.

### ***PPIC Holds Virtual Conference***

The Public Policy Institute of California (PPIC) held a three-session virtual conference to "explore ways to boost resilience to multiple stressors while supporting economic recovery and workforce development."

#### **Session 1: Funding water systems while ensuring affordability and equity**

- Gloria Gray, board chair, MWD
- Greg Pierce, UCLA Luskin Center for Innovation
- Kathryn Sorensen, former director, Phoenix water Services

#### **Session 2: Collaborative approaches to foster groundwater sustainability**

- Eric Averett, General manager, Rosedale-Rio Bravo Water District
- Paul Gosselin, director, Butte County Department of Water and Resource Conservation
- Angela Islas, community development specialist, Self-Help Enterprises
- Sandi Matsumoto, director, California Water Program, The Nature Conservancy

#### **Session 3: Priorities for a water resilient California**

- Joaquin Esquivel, chair, State Water Resources Control Board
- Karla Nemeth, director, California Department of Water Resources
- Matthew Muirragui, majority staff director, Subcommittee on Water, Oceans, and Wildlife, US House Committee on Natural Resources

While all three panels were interesting, the third session is worth watching- it's only an hour long and the video can be found [here](#). Chair Esquivel talked about water affordability by breaking it down into two categories. He noted that there is a short-term emergency assistance need for the pandemic crisis and a long-term water rate assistance program need. He did not get into details about how either of these would be funded.

Director Nemeth, when asked what the most important things her department is doing highlighted two very important efforts for 2021. First, she noted how important collaboration, science and governance

in the Voluntary Agreement process is going to be. She also noted how IRWM 2.0 is going to be a necessary tool to achieve the collaboration necessary to meet the state's water and climate goals. She specifically called out San Bernardino as an example for how to collaborate.

### ***CARB Gets Update on GHG Goals***

In a previous report, a story was included about the Governor's Executive Order to mandate the sale of electric vehicles. The order mandates new light-duty vehicle sales to be all electric by 2035. The order also mandated the transition of medium and heavy-duty vehicles by 2045, but was not specific on if all vehicles had to be electric or if it was new sale like the light-duty part of the order. On November 19, the California Air Resources Board (CARB) heard an information item titled to "CA's GHG Goals and Deep Decarbonization." The [presentation](#) included on slide 16, the line "100% zero-emissions medium and heavy duty vehicle SALES by 2045." While there are still a significant number of steps and regulations to go through before any of this is final, this is a good first step into ensuring that it is the new SALE, and not a total changeover of medium and heavy-duty vehicles that will be required in 2045. CARB has, however, not provided any clarity off-road and heavy equipment (construction).

### ***Election Update***

There are still a few outstanding races, with final election results not due to the Secretary of State until the beginning of December. As shown below, unless something drastic happens, the legislature looks pretty set.

- SD 21(Santa Clarita) Scott Wilk (R-Incumbent) leads Kipp Mueller (D) by about 6,000 votes
- SD 37 (Costa Mesa) Dave Min (D) leads John Moorlach (R-Incumbent) by about 12,000 votes

Within IEUA's service territory:

#### **SD 23 (Rancho Cucamonga)- Ochoa Bogh likely to hold onto lead with a 20,000 vote lead**

Abigail Medina (D)- School Board President- 47.4%

Rosilicia Ochoa Bogh (R)- School Board Member 52.6%

#### **SD 29 (Chino Hills)- Newman likely to hold on to lead with a 10,000 vote lead**

Ling Ling Chang (R)- Incumbent- 48.7%

Josh Newman (D)- Veterans' Advocate/former Senator- 51.3%

There is soon to be a vacancy when Senator Holly Mitchell (D-Los Angeles) resigns her seat as she is sworn into the LA County Board of Supervisors. Senator Mitchell is the chair of the Senate Budget Committee, so a new chair will be named. This is a big loss because Senator Mitchell is a very well-respected chair and the Senate will miss her leadership.

There could be other vacancies, as there are rumors of several members being considered for posts within the Biden Administration.

### ***Legislative Update***

The Legislature will return to Sacramento in early December for an "Organizational Session" to swear in members. A few bills will be introduced on that day, with additional measures introduced in January when the legislature returns. Committee assignments are expected sometime in December. All of the committee chairs on the committees IEUA frequents have been re-elected or were not on the ballot. There could be some shuffling in the Senate with the departure of Senator Mitchell. Committee shuffling could make room for John Laird to become a chair in Natural Resources and Water. But this is only speculation at this point. More details will be reported when Senate and Assembly leadership make their announcements.

**INFORMATION  
ITEM**

**4J**



# INNOVATIVE FEDERAL STRATEGIES, LLC

*Comprehensive Government Relations*

## MEMORANDUM

**To:** IEUA Community and Legislative Affairs Committee

**From:** Letitia White, Jean Denton, Drew Tatum, and Sarah Persichetti

**Date:** November 27, 2020

**Re:** November Monthly Legislative Update

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### ***Joe Biden Wins Presidential Race***

Vice President Joe Biden has been projected to win enough votes to serve as the nation's 46th president, defeating President Donald Trump and ending his presidency by winning a series of tight contests across national battlegrounds.

Five major news outlets called the race for Biden around 11:30am on Saturday, November 7 after a grueling vote count that had the country waiting for a result for days after election day.

The projections came seconds after Biden's lead in Pennsylvania grew to more than 30,000 votes after Philadelphia reported about 3,000 ballots. Biden won 85 percent of that count.

In a statement, Biden said he was "honored and humbled."

"In the face of unprecedented obstacles, a record number of Americans voted. Proving once again, that democracy beats deep in the heart of America," Biden said.

"With the campaign over, it's time to put the anger and the harsh rhetoric behind us and come together as a nation. It's time for America to unite. And to heal. We are the United States of America. And there's nothing we can't do, if we do it together," Biden added.

President Trump has refused to concede and has mounted various legal challenges in multiple battleground states.

"The simple fact is this election is far from over. Joe Biden has not been certified as the winner of any states, let alone any of the highly contested states headed for mandatory recounts, or states where our campaign has valid and legitimate legal challenges that could determine the ultimate victor," Trump said in a prepared statement.

On Monday, November 23, the General Services Administration (GSA), the federal agency responsible for many of the federal government's buildings, formerly notified President-elect Biden that the Trump Administration was ready to begin the transition. GSA had refused to make the official ascertainment despite mounting pressure from Democrats and some Republicans.

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Following the announcement by GSA Administrator Emily Murphy, President Trump said he was recommending that his administration begin the transition, though he did not concede his loss to Biden and said he would keep fighting.

Senator Kamala Harris's election as Vice President elevates her to become the highest elected woman in American history, ahead of Speaker Nancy Pelosi (D-CA).

President-elect Biden has begun to name members of his staff and announce members of his cabinet that he plans to formally nominate. Among these officials are Ron Klain, who will serve as Biden's Chief of Staff. Klain served as Biden's Chief of Staff when he was Vice President.

Additionally, Representative Cedric Richmond (D-LA) will serve as Senior Adviser and director of the White House Office of Public Engagement, resigning from his House seat come January.

After the majority of networks called states that made Biden the apparent winner of at least 270 electoral votes, President-elect Biden cited "the battle to save the climate" among his top five priorities.

Early action on climate change from President-elect Joe Biden is likely to start with a series of executive orders reversing President Trump's environmental policies, laying the groundwork for an administration that has vowed to sharply curb emissions.

He certainly faces a monumental task: The Trump administration has rolled back more than 100 environmental regulations and encouraged deregulation of polluting industries.

But his pledge to undo Trump administration rollbacks will likely require lengthy rulemaking of his own, while implementing his ambitious plan for reaching net-zero emissions by 2050 could run up against GOP senators, who appear poised to keep their majority in the Senate.

President-elect Biden has long said he will re-join the Paris climate accord on Day One of his presidency, and that he will move to halt new leases for drilling oil on public lands.

Additionally, in another move that had been discussed during his campaign, Biden announced on Monday, November 23 that John Kerry, former Secretary of State during the Obama Administration, would serve as special presidential envoy for climate.

The position will be embedded on the National Security Council (NSC), a sign of the gravity with which the administration views the issue.

"This marks the first time that the NSC will include an official dedicated to climate change, reflecting the president-elect's commitment to addressing climate change as an urgent national security issue," the Biden transition team said in a statement announcing Kerry's selection among other top security officials.

Biden has consistently framed climate as part of his economic vision, a way to inject as much as \$2 trillion into renewable energy, retrofitting homes and buildings, and investing in infrastructure like public transit and electric vehicle charging stations.

### ***COVID-19 Relief Negotiations Remain at a Standstill as White House Takes Back Seat***

Negotiations between congressional leaders on a fifth coronavirus relief bill are at a standstill, further dimming prospects for an end-of-year deal. White House Chief of Staff Mark Meadows said on Wednesday, November 18 that it's up to Congress to proceed with any talks.

Chief of Staff Meadows and Treasury Secretary Steven Mnuchin had been the primary negotiators on COVID-19 relief with House Speaker Nancy Pelosi (D-CA) before the election. His remarks are further evidence that the White House is pulling back from the discussions after Trump's loss to President-elect Joe Biden. He said getting another stimulus deal "has been a priority for the president."

He spoke a day after Speaker Pelosi and Senate Minority Leader Chuck Schumer (D-NY) wrote Majority Leader McConnell on Tuesday, November 17<sup>th</sup> calling for him to engage in talks on a potential deal. Their letter stated they were "encouraged" by Leader McConnell's comments on the importance of another relief package and asked him to return to the negotiating table.

For his part, Majority Leader McConnell dismissed the \$2.4 trillion Democratic bill that Speaker Pelosi and Minority Leader Schumer have said should be the starting point for negotiations. Majority Leader McConnell, speaking from the Senate floor, said Republicans have had an "entirely consistent" position. "There's no reason why doing right by struggling families should wait until we resolve every difference on every issue," he added. Leader McConnell reiterated that any package be "targeted" and around \$500 billion in new spending.

Leader McConnell hasn't responded to the letter from Speaker Pelosi and Minority Leader Schumer. However, on Thursday, November 19, Minority Leader Schumer said that McConnell has agreed to resume negotiations with House Democrats yet both sides remain far apart on key issues.

On Friday, November 20, Treasury Secretary Steven Mnuchin and Senate Majority Leader McConnell discussed the stimulus strategy. Secretary Mnuchin is bidding to revive stalled coronavirus stimulus talks with congressional Democrats by proposing the use of untapped Federal Reserve relief funding as part of a new package of aid. McConnell has backed Mnuchin's proposal to use \$580 billion that was allocated for Federal Reserve loan guarantees, small business aid and other virus relief programs that is unspent.

"Congress should repurpose this money toward the kinds of urgent, important, and targeted relief measures that Republicans have been trying to pass for months, but which Democrats have repeatedly blocked with all-or-nothing demands," he said.

For his part, Mnuchin has asked the Federal Reserve to return the unused money before the end of the year that has gone unspent from programs established in the CARES Act meant to provide

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additional liquidity to financial markets. The funds will be returned to the Treasury's general account—putting it out of easy reach for the next administration, as money spent from the fund requires Congressional approval.

In addition to differences over the price tag, the Democrats and Republicans are still at odds over more help for state and local governments, legal protections against coronavirus related lawsuits and unemployment insurance.

The Senate recessed for the Thanksgiving holiday on Wednesday and will not return until November 30<sup>th</sup>, leaving little hope that an agreement will be reached any time soon.

Additionally, the odds of relief legislation passing before the end of the year remain very unlikely because when both chambers return from the Thanksgiving holiday, efforts will be focused on passing legislation to fund the government prior to the expiration of the Continuing Resolution on December 11<sup>th</sup>.

### ***Senate Appropriators Release Fiscal Year 2021 Bills as Funding Deadline Approaches***

On Tuesday, November 10, the Senate Appropriations Committee released the Chairman's recommendations for all 12 of the fiscal year 2021 appropriations bills.

The Committee had not released any of their bills prior to the Chairman's recommendations, and there are no plans to mark up the bills before they are conferenced with the House. These bills serve as the opening offer from Senate Republicans for negotiations with the House on an end of year omnibus or series of minibus packages.

The Republican-led Senate is proposing modest spending increases for environmental agencies compared to last year's budget, diverging from proposed cuts that the Trump White House put forward earlier this year.

In its \$38 billion Interior-environment spending bill for fiscal 2021, the Senate Appropriations Committee proposed giving about \$13.6 billion to the Interior Department and about \$9.09 billion to the Environmental Protection Agency (EPA), including \$2.35 billion for the wildfire suppression cap adjustment funding.

That's up from the \$13.5 billion given to Interior last year and the about \$9.06 billion appropriated for the EPA in the last fiscal year. The Senate has also proposed increasing the Energy Department's budget to about \$42 billion, an approximately \$3.45 billion increase over last year.

While the House bills included a greater funding increase for these agencies, both the House and Senate included more funding than originally proposed by the Administration.

The Senate bill does not include policy provisions in the House-passed bill that would ban fiscal 2021 funds for several administration initiatives that Democrats object to, including an overhaul

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of the National Environmental Policy Act and oil and gas drilling leases on the coastal plain of the Arctic National Wildlife Refuge.

The bill also did not include language from the House bill that would ban fiscal 2021 money for offshore oil and gas drilling in areas currently off limits.

The Senate bill would fund the Clean Water and Drinking Water State Revolving Funds at \$2.765 billion, billions less than the House figure, though the additional money provided for in the House bill came from “emergency” spending.

The Interior-Environment section of the House package includes \$15 billion for a supplemental critical infrastructure fund, with the bulk of that going toward EPA’s Clean Water and Drinking Water state revolving funds.

The Senate bill would provide \$20 million to the agency to help address per- and polyfluoroalkyl substances (PFAS) contamination and remediation.

Under the Senate’s funding bills, the Energy Department would also increase spending on energy efficiency and renewable energy as well as nuclear energy research and development, along with maintaining levels for fossil energy research and development.

Republicans and Democrats have disagreed over how much money to spend in several of the annual funding bills. Those disagreements focus largely on the coronavirus response. House Democrats included \$234.9 billion in emergency spending, exempt from statutory spending limits, which Republicans cast as largely unrelated to the COVID pandemic. Senate Republicans didn’t include any of those spending cap exemptions in their bills, though the two sides did agree on a \$12.5 billion cap exemption for the implementation of the VA MISSION Act.

As a reminder, House Democrats included cap-exempt emergency spending in the following amounts.

- Energy and Water: \$43.5 billion
- Financial Services: \$67 billion
- Interior-Environment: \$15 billion
- Labor-HHS-Education: \$24.4 billion
- State and Foreign Operations: \$10 billion
- Transportation-HUD: \$75 billion

Appropriations staff are also now working on paperwork that will help negotiations, such as side-by-side comparisons of the two chambers’ spending bills. “Side-by-sides” aren’t a required step in negotiations, but are particularly useful to help conferees identify and compare the corresponding House and Senate provisions of large and complex bills.

Congress needs to pass fiscal 2021 spending bills, or a continuing resolution, before the December 11 deadline to fund the government.

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On Thursday, November 19<sup>th</sup> staff from the offices of Senate Majority Leader Mitch McConnell, House Speaker Nancy Pelosi (D-CA), Senate Minority Leader Chuck Schumer (D-NY), and House Minority Leader Kevin McCarthy (R-CA) met in an effort to work on an agreement that would set the topline figures for the 12 individual appropriations bills that would make up the end-of-year omnibus spending bill.

While there had been concerns about where President Trump would come down on an omnibus appropriations package, Republican leaders have indicated that he has expressed a willingness to consider a package. Earlier in his administration, Trump indicated he'd never again sign an omnibus appropriations package—leading Congress to send minibuss packages to the White House over the last several years.

"It's our hope ... that we can come together on an omnibus and pass it," Majority Leader Mitch McConnell (R-KY) said, adding that he believed that was also the "preference of the White House."

One sticking point of the funding negotiations, which led to the shutdown in 2019, is funding for the border wall. The White House in its fiscal year 2021 budget request asked for about \$2 billion to continue building the wall, while Pelosi and House Democrats have countered with no new money for construction.

The Senate Appropriations Committee has recommended \$1.96 billion for 82 miles for the “border wall system,” in their version of the fiscal year 2021 bills released earlier in the month.

Senate Appropriations Committee Chairman Richard Shelby (R-AL) and House Appropriations Committee Chairwoman Nita Lowey (D-NY) reportedly agreed to a topline agreement before the Thanksgiving recess—including on some level of emergency spending—but details have not yet been released. Additionally, House Majority Leader Kevin McCarthy (R-CA) reportedly rejected the agreement framework, leaving questions about the viability of the agreement.

Once lawmakers return on November 30<sup>th</sup>, they will have roughly 10 working days to find a compromise on funding packages before the current CR expires.

### ***House Passes FEMA COVID-19 and Disaster Aid Legislation***

On Tuesday, November 17, the House passed the FEMA Assistance Relief Act of 2020 under suspension of the rules. If the legislation, which is now under consideration in the Senate, becomes law, the Federal Emergency Management Agency (FEMA) would have to provide full federal funding for virus-related emergency aid instead of requiring recipients to cover some costs.

FEMA's cost share would be at least 90% for other emergencies and natural disasters declared in 2020. It typically covers at least 75% of costs for disaster aid under the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

The provisions would apply to funds appropriated following the bill's enactment.

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“Our state and local governments in my district and up and down the West Coast have been hit with dual crises – the Coronavirus pandemic with a devastating economic impact and some of the worst fires in our history. The Federal government needs to provide every resource and dollar possible to help with the recovery process.” Representative Mike Thompson (D-CA) said of the legislation.

Additionally, the legislation would:

- Clarify that FEMA could provide assistance for certain costs incurred by state, local, and tribal governments during the Covid-19 crisis, including payments for first responders, remote test sites, personal protective equipment, medical supplies, and food distribution.
- Direct FEMA to help individuals and households pay for disaster-related funeral expenses during the pandemic, subject to appropriation.
- Allow FEMA to provide advance assistance to eligible applicants to ensure they can carry out covered activities. Advance payments would be audited by the Homeland Security Department inspector general.
- Require FEMA to enter into an agreement with the National Academy of Sciences to convene an expert panel to study the use of federal disaster aid following emergencies that don't cause physical damages, such as the coronavirus emergency.
- Extend by one year, through October 5, 2021, FEMA's authority under a 2018 disaster aid law (Public Law 115-254) to carry out a pilot program establishing a new process for state and tribal governments to administer federal disaster aid for temporary and permanent housing.

While the legislation is unlikely to move as a stand-alone bill, it may be considered in a larger coronavirus relief bill either later this year or in early 2021.

### ***Legislation Introduced to Create Conservation Corps***

On Thursday, November 12, Representative Bobby Rush (D-IL) introduced legislation that would establish a conservation corps in the Departments of the Interior and Agriculture in an effort to boost employment related to outdoor recreation and green infrastructure.

The Restore Employment in Natural and Environmental Work (RENEW) Conservation Corps Act establishes the new conservation corps program at the U.S. Departments of Interior and Agriculture and authorizes more than \$55 billion over a five-year period to put one million Americans to work to address the backlog of deferred conservation projects.

To ensure a diverse workforce, the bill requires participants be reflective of the demographics in the area where the project is being completed. In addition, those participating in the program that complete a full year of work would be eligible to receive up to \$5,500 credit for post-secondary education.

The bill provides anyone 16 years or older at least two weeks of training for positions lasting a minimum of twelve weeks, but no longer than one year. All participants will receive at least \$15 an hour, with some wages determined based on work performed.



A companion bill was introduced by Senator Dick Durbin (D-IL) in September.

Representative Rush says the legislation “would deliver much-needed workforce training and employment opportunities within our communities by tackling the backlog of deferred conservation projects.”

### ***House Democrats, Republicans Hold Leadership Elections***

House Democrats chose their leadership team last week on the heels of a dispiriting election cycle when they’d expected significant gains to pad their majority in the 117th Congress.

House Democrats voted to nominate current Speaker Nancy Pelosi (D-CA) to another term as speaker. Speaker Pelosi said Wednesday that her next term as Speaker will be her last, making good on a promise she’d made in 2018 to relinquish power after the next midterm elections.

To secure the gavel, Speaker Pelosi will still need to secure a majority of the full House in January. Depending on the outcome of the remaining uncalled House races, Pelosi may only be able to lose a handful of Democrats and still secure another term as Speaker.

The caucus on Wednesday also reelected Speaker Pelosi’s top lieutenants, Majority Leader Steny Hoyer (D-MD) and Majority Whip James Clyburn (D-SC). Representative Hakeem Jeffries (D-NY) also ran unopposed and won a second term as House Democratic Caucus chairman.

Additionally, Representative Katherine Clark (D-MA), currently Democratic Caucus Vice Chairwoman, was elected as Assistant Speaker. She will succeed current Assistant Speaker Ben Ray Lujan (D-NM) who was recently elected to the Senate. To fill Representative Clark’s role as Democratic Caucus Vice Chair, Representative Pete Aguilar (D-CA) was elected on Thursday.

The race for Democratic Congressional Campaign Committee (DCCC) chair has been postponed until the week after Thanksgiving to give the candidates, Representatives Sean Patrick Maloney (D-NY) and Tony Cárdenas (D-CA), more time to campaign and lock down votes. Current DCCC Chair Cheri Bustos (D-IL) announced that she would not seek a second term for the post after Democrats underperformed expectations in the elections. Pelosi has announced that she will appoint Bustos to serve as Chair of the Democratic Caucus Steering Committee.

For House Republicans, House Minority Leader Kevin McCarthy (R-CA), Minority Whip Steve Scalise (R-LA) and House Republican Conference Chair Liz Cheney (R-WY) will all remain in the House GOP’s leadership spots. Each ran unopposed for their post.

Three other Republicans ran unopposed for spots in leadership: Representative Mike Johnson (R-LA), head of the conservative Republican Study Committee, will be vice chair of the conference; Representative Richard Hudson (R-NC), a deputy whip, will serve as conference secretary; and Representative Gary Palmer (R-AL) will serve another two-year term as policy chair.



### ***Forest Service Finalizes Rule Weakening Environmental Review of Its Projects***

The U.S. Forest Service (USFS) on Wednesday, November 18 finalized its decision to weaken environmental analysis of many of its plans, excluding a number of actions from scientific review or community input.

The new rule allows the service to use a number of exemptions to sidestep requirements of the bedrock National Environmental Policy Act (NEPA), something critics say will speed approval of logging, roads, and pipelines on Forest Service land.

Agriculture Secretary Sonny Perdue said the changes “will ensure we do the appropriate level of environmental analysis to fit the work, locations and conditions,” arguing the streamlining could better help the Forest Service aid areas hurt by wildfires, and quickly repair roads, trails and campgrounds.

The White House Council on Environmental Quality also finalized a rule this summer gutting NEPA and setting the stage for additional rollbacks at various agencies.

The Forest Service rule has been scaled back since it was first proposed last year, cutting the scale of projects that are eligible for the so-called categorical exclusions that allow them to proceed with little review.

### ***Colorado River Users Expect Biden Administration to Put Focus on Climate Change***

The incoming Biden administration will lead efforts to craft a new water-management regime for the seven-state Colorado River Basin, and people involved in the process expect any changes to reflect the impact of climate change in the basin.

The Bureau of Reclamation, under the Interior Department, will lead negotiations to replace 13-year-old interim guidelines used to operate the basin’s two major reservoirs, Lake Powell and Lake Mead. The Interior secretary also manages the lower basin, containing all the water below Hoover Dam.

Revisions should reflect ecological values, water rights of American Indian tribes, and the need for more conservation measures by users in the seven states—Arizona, California and Nevada in the lower basin and Colorado, New Mexico, Utah and Wyoming in the upper basin, those involved in the process said.

Recently, President-elect Biden named someone to his Interior Department transition team who knows about the Colorado River—Tanya Trujillo, a water lawyer who works as a project director for the conservation group Colorado River Sustainability Campaign.

Trujillo was previously the Interior Department’s counselor to the assistant secretary for water and science and senior counsel to the U.S. Senate Energy and Natural Resources Committee. She also has served as executive director of the Colorado River Board of California, which represents the state negotiations about the river basin.

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The 2007 guidelines, which expire on December 31, 2025, provide flexibility to conserve and store water in the basin and certainty to water users about the timing and volumes of potential reductions in state water allotments.

Mitchell said the states will need to have negotiations wrapped up by 2023 to allow time to get new guidelines in place by 2026.

The guidelines are one set of principles among the multiple compacts, federal laws, court decisions, decrees, contracts, and regulations known collectively as the “Law of the River,” determining water allotments and governing water use and management by the seven basin states and Mexico. The Endangered Species Act and numerous Native American water rights settlements also influence water use in the basin.

Bureau of Reclamation officials in the Trump administration recently reviewed the 2007 guidelines and will release its final conclusions before the end of the year. Those conclusions will serve as a reference point and source of data for negotiations between the Biden administration, the seven states, and Mexico.

### ***Bipartisan Energy Legislation Close to Moving Ahead in the Senate***

Broad bipartisan energy legislation is close to moving ahead in the Senate but faces one last obstacle in Senator Rand Paul (R-KY).

Senator Chris Coons (D-DE) said the only thing holding up the energy innovation package now is “one recalcitrant and difficult senator from Kentucky.”

Senator Coons, who has four bills included in the American Energy Innovation Act, said lawmakers, including sponsor Senator Lisa Murkowski (R-AK), discussed the legislation on the Senate floor last week. “If we get floor time, we can roll Rand, and that can become law.”

Senator Coons said the bill will resurface and move early next year if it isn’t passed in the lame-duck session. “It is strongly bipartisan, and it has taken years to be put together,” he added.

Senator Joe Manchin (D-WV) is the lead Democrat Senator on the bill. The legislation is a compilation of more than 50 energy-related measures considered and individually reported by the Senate Energy and Natural Resources Committee last year.

### ***States Can Kill Land Protection Efforts Under New Interior Order***

Interior Secretary David Bernhardt on Friday, November 13 signed an order giving states and local counties veto power over land acquired under the Land and Water Conservation Fund.

The order requires the affected state governor and the local county government to sign off on any fund-acquired land, effectively giving local jurisdictions authority to kill any individual conservation effort proposed under the fund.

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Secretary Bernhardt's order gives states and local governments veto power as a way to "be a good neighbor," Interior spokesman Ben Goldey said Friday.

"We have lots of needs and opportunities for acquisitions across the country and we want to focus on areas where there are willing sellers and a welcoming community," Goldey said. "This is intended to limit the conflicts between the government and the local communities."

Congressional Democrats quickly condemned the directive.

"This order is a perfect example of why they can't be trusted to protect our environment," Representative Raul Grijalva (D-AZ), chair of the House Natural Resources Committee, said in a statement. "They have no interest in conservation; even with clear direction and guidance from Congress they are doing their best to sabotage the Land and Water Conservation."

Congress approved \$900 million in permanent annual funding for the Land and Water Conservation Fund this summer when it passed the bipartisan Great American Outdoors Act. The fund pays for federal land acquisition as well as parks, wildlife refuges, ball fields, and other conservation projects in states and local communities across the country, both rural and urban.

The order says "a written expression of support by both the affected Governor and local county or county government-equivalent (e.g. parish, borough) is required for the acquisition of land, water, or an interest in land or water under the Federal LWCF program."

The order echoes calls by Sen. Mike Lee (R-UT), who opposed the bill and proposed amendments that, if they had been included in the final bill, would have required states to approve of LWCF land acquisitions.

Senator Lee said Friday after Bernhardt signed the order that he appreciates the Trump administration's "collaboration" with state and local governments.

"The federal government already controls nearly 70 percent of the land in my state and Utahns deserve a say in whether the federal government acquires yet more land," Senator Lee commented.

The order will likely be rescinded in the incoming administration.

### ***Lawmakers Begin to Map out Priorities for Next Congress***

Lawmakers have begun speculating on priorities for the 117th Congress, potential leadership positions on key committees, and what a new balance of power in the White House and Congress could mean for legislative priorities.

House Democrats this year passed measures on rejoining the Paris climate accord and banning offshore drilling, but the Senate hasn't taken them up and has no plans to do so in the new Congress, especially if they keep majority in the Senate, which is likely that they will unless they lose both runoff elections in Georgia in early January.

This year's COVID-19 relief packages did not include any renewable energy provisions, but with the apparent victory of Vice President Joe Biden, the odds of inclusion in future bills increases if additional legislation is passed in 2021.

The Republican chairs of the Senate Energy and Natural Resources Committee, and possibly the Environment and Public Works Committee, which review nominees and legislation before floor votes, face a potential leadership shake-up. Senator Lisa Murkowski's (R-AK) tenure as chair of the Senate Energy and Natural Resources Committee will come to an end next year under conference rules limiting the number of years Senators can serve as Chair or Ranking Member, freeing up a spot that will be occupied by Senator John Barrasso (R-WY).

Extra coronavirus relief and several reauthorization bills, including for child nutrition programs, lead the list of priorities in January for the Senate Agriculture, Nutrition, and Forestry Committee.

Senator Michael Bennet (D-CO), Ranking Member of the Subcommittee on Conservation, Forestry, and Natural Resources Subcommittee said focus in the 117th Congress should be on forest health, wildfire recovery, and climate change. "As a Committee, we should consider how to dramatically increase our investment in broadband, water, and other rural infrastructure," he said.

With Senator Barrasso becoming Chairman of the Senate Energy and Natural Resources Committee, Senator Shelley Moore Capito (R-WV) is in line to become the next Chair of the Senate Environment and Public Works Committee.

Senator Capito has stated that a multi-year highway bill is "probably my top priority" and joined Democrats in calling for more stringent regulation of per- and polyfluoroalkyl substances (PFAS). Capito played a major role in the creation of the Water Resources Development Act in the Senate in 2020.

She vowed to push the EPA to speed up the agency's efforts to set limits for the contaminants in drinking water. "I personally am going to hold EPA's feet to the fire until they do a protective standard," Senator Capito. "I don't think we can wait five years to find out what this is doing to our water. I'm going to be on EPA's heels on this—and I don't think I'll be alone in that."

Senator Tom Carper (D-DE), the Senate Environment and Public Works Ranking Member, who will remain the ranking member in the new congress, said he will continue to push for climate action where possible in committee, including making transportation infrastructure more resilient to rising sea level and other climate effects.

He also touted his ability to reach across the aisle to advance water infrastructure; more aggressive regulation of so-called forever chemicals; a still-in-the-works highway bill reauthorization; and a 2015 law that streamlined environmental permitting.

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On the House side, House Energy and Commerce Democrats expect to return to Congress next year with a similar agenda: advance environmental protection and clean energy, strengthen the Affordable Care Act, and protect consumers' data privacy.

Chairman Frank Pallone Jr. (D-NJ) plans to formally introduce climate legislation that aims to achieve a 100% clean economy by 2050, which some progressives say isn't far-reaching enough.

Chairman Pallone has worked over the last year to refine the CLEAN Future Act with Energy Subcommittee Chairman Bobby Rush (D-IL) and Environment and Climate Change Subcommittee Chairman Paul Tonko (D-NY), both of whom expect to retain their leadership positions in the next Congress.

Democrats and Republicans also will seek to improve energy access, affordability, and reliability—critical issues to the country's recovery from the Covid-19 pandemic, and to parts of the U.S. that continue to suffer severe natural disasters.

### ***Trump Administration Misses Deadline on Conservation Projects***

On Monday, November 9, the Trump administration submitted a list of projects that will receive funding under a conservation program — a week after it was due to Congress.

The Great American Outdoors Act, bipartisan legislation enacted on Aug. 4, gave the Interior Department 90 days to prepare two lists of projects that would receive money under two separate conservation funds established by the legislation. Interior has failed to provide one of the lists, according to House Natural Resources Committee Chairman Raúl Grijalva (D-AZ). The deadline for submission was Monday, November 2.

The statute permanently provides \$900 million in annual funding for the Land and Water Conservation Fund (LWCF), which helps the federal government acquire new land for parks and trails and works to protect sensitive forest and endangered species habitat.

It also provides up to \$1.9 billion annually for five years dedicated to addressing a maintenance backlog at existing national parks.

The new list came as the White House also on Monday, November 9 formally delegated the responsibility to submit the list to the Interior and Agriculture departments and Interior Secretary David Bernhardt said in a letter accompanying the list that it was benign submitted "pursuant to the president's delegation."

The document submitted to Congress on Monday lists 20 Fish and Wildlife Service projects and 26 National Park Service projects that will receive LWCF funding. They're located at places including part of the Everglades in Florida, the Cuyahoga Valley National Park in Ohio and the Glacier Bay National Park and Preserve in Alaska.

President Trump's support for funding the LWCF was something of a reversal after previously proposing significant cuts to the fund.

The Interior Department met the deadline for the list of projects that would be prioritized for maintenance, but Chairman Grijalva said officials failed to submit a list of projects that would get funds through the LWCF.

“Congress sent clear instructions to the administration: transmit a detailed LWCF project list 90 days after enactment. This is a routine task the bureaus do every year, so the fact that it’s missing is somewhat perplexing and raises a lot of questions about this administration’s intent,” he said in a statement.

### ***U.S. Officially Exits Paris Climate Accord***

On Wednesday, November 4, the U.S. officially left the Paris Climate Accord. The withdrawal, set in motion by a letter from President Trump exactly one year ago, caps a long-promised move from the president and leaves the U.S. as the only country in the world to withdraw from the landmark climate agreement.

“The Paris Climate Accord is simply the latest example of Washington entering into an agreement that disadvantages the United States to the exclusive benefit of other countries, leaving American workers — who I love — and taxpayers to absorb the cost in terms of lost jobs, lower wages, shuttered factories and vastly diminished economic production,” Trump said at a speech in the Rose Garden in June 2017.

The move was celebrated by many in Republican circles, even as Democrats and environmentalists lament the implications the U.S. exit will have for the climate and the American economy.

Republicans on the House Energy and Commerce Committee, however, said the deal “would have disadvantaged the U.S. economy and compromised American competitiveness.”

Former Vice President Joe Biden has said he favors signing the U.S. back up to the Paris Accord. Because the deal was set up as an executive agreement, not a treaty, congressional approval is not required. Vice President Biden has pledged to rejoin the Paris agreement on Day 1 in office if elected, a move that would leave the U.S. out of the deal for a little more than three months.

“I will bring us back into the Paris Agreement. I will put us back in the business of leading the world on climate change. And I will challenge everyone to up the ante on their climate commitments,” Vice President Biden said in a September speech on climate change as wildfires ravaged California.

### ***Administration Transition***

Please see below for a table of potential officials that President-elect Biden may pick for various roles in his cabinet, as well as officials that he has announced his intention to nominate.

Officials already announced by President-Elect Biden:

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<b>Position</b>	<b>Official</b>	<b>Career History</b>
<b>Chief of Staff</b>	Ron Klain	Former Chief of Staff to Joe Biden when he was VP; former Chief of Staff to VP Al Gore
<b>Chief of Staff to Jill Biden</b>	Julissa Reynoso Pantaleon	Partner at the law firm Winston & Strawn; former U.S. Ambassador to Uruguay and deputy Assistant Secretary of State in the Obama Administration
<b>Counsel</b>	Dana Remus	General counsel to Joe Biden's campaign; deputy assistant and deputy counsel for ethics to President Obama.
<b>Counselor</b>	Steve Ricchetti	Chief of Staff to Vice President Joe Biden during the Obama administration and Deputy Chief of Staff for Operations under President Bill Clinton.
<b>Deputy Chief of Staff</b>	Jennifer O'Malley Dillon	Joe Biden's presidential campaign manager, Former Executive Director of DNC, deputy manager of President Obama's 2012 campaign.
<b>Director of Oval Office Operations</b>	Annie Tomasini	Currently Joe Biden's traveling Chief of Staff. Former deputy Press Secretary for Joe Biden when he was VP.
<b>Director of White House Office of Intergovernmental Affairs</b>	Julie Rodriguez	Deputy campaign manager of Joe Biden's presidential campaign. served as special assistant to the president and senior deputy director of public engagement in the Obama Administration.
<b>Senior Adviser</b>	Mike Donilon	chief strategist for the Biden campaign; previously served as a counselor to then-Vice President Biden in the Obama White House
<b>Senior Adviser to Jill Biden</b>	Anthony Bernal	Deputy campaign manager and Chief of Staff to Jill Biden during the presidential campaign.
<b>Senior Advisor and Director of the White House Office of Public Engagement</b>	Cedric Richmond	Currently U.S. Representative to Louisiana's 2 <sup>nd</sup> congressional district who also served as co-chairman of President-Elect Biden's campaign.
<b>National Security Adviser</b>	Jake Sullivan	Former National Security Adviser to VP Biden
<b>Department of State</b>	Antony Blinken	Served in various roles in the Obama Administration including deputy secretary of state, assistant to the president and principal deputy national security adviser
<b>Department of Homeland Security</b>	Alejandro Mayorkas	Deputy secretary of Homeland Security during the Obama administration, and served as the director of the Department of Homeland Security's United States Citizenship and Immigration Services.
<b>Director of National Intelligence</b>	Avril Haines	Former Deputy NSA, Former Deputy Director of the CIA
<b>Special Presidential Envoy for Climate</b>	John Kerry	Former Secretary of State
<b>Treasury</b>	Janet Yellen	Served as the Chair of the Federal Reserve from 2014 to 2018
<b>UN Ambassador</b>	Linda Thomas-Greenfield	Deputy Assistant Secretary, Bureau of Population, Refugees and Migration (2004–2006), Ambassador to Liberia (2008–2012), and Director General of the Foreign Service and Director of Human Resources (2012–2013)
<b>White House Deputy Director for Legislative Affairs</b>	Shuwanza Goff	Currently Deputy Director for Legislative Operations for House Majority Leader Steny Hoyer.



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Officials under consideration for various cabinet positions:

<b>Position</b>	<b>Possible Candidate</b>	<b>Prior Administration Experience / Current Role</b>
<b>Agriculture</b>	Heidi Heitkamp	Former U.S. Senator from North Dakota
<b>Agriculture</b>	Karen Ross	Secretary of CDFA
<b>Agriculture</b>	Marcia Fudge	Current U.S. Representative from Ohio's 11th congressional district
<b>Agriculture</b>	David Zuckerman	Lieutenant Governor of Vermont
<b>Agriculture</b>	Cheri Bustos	Current U.S. Representative from Illinois's 17th congressional district
<b>Agriculture</b>	Chellie Pingree	Current U.S. Representative from Maine's 1st congressional district
<b>Agriculture</b>	Amy Klobuchar	Current Senator from Minnesota
<b>Education</b>	Randi Weingarten	President of the American Federation of Teachers, AFL-CIO
<b>Education</b>	Lily Esklsen Garcia	A former teacher and former president of the National Education Association
<b>Education</b>	Tim Walz	Governor of Minnesota
<b>Education</b>	Michael Bennet	Current U.S. Senator from Colorado
<b>Education</b>	Pete Buttigieg	Former Mayor of South Bend, Indiana
<b>Education</b>	Linda Darling-Hammond	Professor of Education at the Stanford Graduate School of Education
<b>Education</b>	Jahana Hayes	Current U.S. Representative from Connecticut's 5th congressional district
<b>Education</b>	Tony Thurmond	California State Superintendent of Public Instruction
<b>Education</b>	Donna Shalala	Current U.S. Representative from Florida's 27th congressional district
<b>Energy</b>	Jay Inslee	Governor of Washington
<b>Energy</b>	Jennifer Granholm	Former Governor of Michigan
<b>Energy</b>	Mitch Landrieu	Former Mayor of New Orleans
<b>Energy</b>	Katie McGinty	Former Secretary of the Pennsylvania Dept. of Environmental Protection
<b>Energy</b>	Dan Reicher	Former Assistant Secretary for Energy Efficiency and Renewable Energy, Dept. of Energy
<b>Energy</b>	Andy Levin	Current U.S. Representative for Michigan's 9th congressional district
<b>Energy</b>	Ernest Moniz	Former Secretary of Energy
<b>Energy</b>	Elizabeth Sherwood-Randall	Former Deputy Secretary of Energy
<b>Energy</b>	Arun Majumdar	First Director of the Advanced Research Projects Agency-Energy
<b>EPA Administrator</b>	Jay Inslee	Inslee is the governor of Washington state, and previously served in the US House of Representatives.
<b>EPA Administrator</b>	Heather McTeer Toney	National Field Director for Moms Clean Air Force
<b>EPA Administrator</b>	Mary Nichols	Chair of the California Air Resources Board
<b>EPA Administrator</b>	Jared Blumenfeld	California Secretary of Environmental Protection



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<b>EPA Administrator</b>	Tom Carper	Current U.S. Senator from Delaware
<b>EPA Administrator</b>	Daniel Esty	Former Commission of the Connecticut Department of Energy & Environmental Protection
<b>EPA Administrator</b>	Collin O'Mara	CEO of the National Wildlife Federation
<b>EPA Administrator</b>	Richard Revesz	NYU Law Professor
<b>EPA Administrator</b>	Mustafa Santiago Ali	Vice President at the National Wildlife Federation
<b>HHS</b>	Michelle Lujan Grisham	Former Rep. Grisham served three terms in the U.S. Congress representing New Mexico's 1st congressional district.
<b>HHS</b>	Vivek Murthy	Murthy is the co-chair of Biden's coronavirus advisory board. He previously served as the US surgeon general in the Obama Administration
<b>HHS</b>	Gina Raimondo	Raimondo is the first woman governor of Rhode Island. She previously served as the general treasurer of the state.
<b>HHS</b>	Karen Bass	Current U.S. Representative from California's 37 <sup>th</sup> congressional district
<b>HHS</b>	Nicole Lurie	Former HHS Assistant Secretary
<b>HHS</b>	Ezekiel Emanuel	Vice Provost for Global Initiatives at the University of Pennsylvania, Former Chief of the Dept. of Bioethics, NIH, Former Special Advisor for Health Policy to the OMB
<b>HHS</b>	Andy Slavitt	Former Acting Administrator of CMS
<b>HHS</b>	Jack Markell	Former Governor of Delaware, Former State Treasurer of Delaware
<b>HHS</b>	Andrea Palm	Secretary of the Wisconsin Dept. of Human Services, Former Assistant Secretary for Health and Chief of Staff, HHS
<b>HHS</b>	Mandy Cohen	Secretary of the North Carolina Dept. of Health and Human Services, Former COO and Chief of Staff of CMS
<b>HHS</b>	Chiquita Brooks-LaSure	former HHS official who helped lead the department's steps to carry out the ACA
<b>HUD</b>	Keisha Lance Bottoms	Mayor of Atlanta
<b>HUD</b>	Eric Garcetti	Mayor of Los Angeles
<b>HUD</b>	Karen Bass	Current U.S. Representative from California's 37 <sup>th</sup> congressional district
<b>HUD</b>	Jesus "Chuy" Garcia	Current U.S. Representative from Illinois's 4th congressional district
<b>HUD</b>	Alvin Brown	Former Mayor of Jacksonville
<b>HUD</b>	Maurice Jones	Former Deputy Undersecretary of HUD

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<b>HUD</b>	Diane Yentel	President and CEO of the National Low Income Housing Coalition; Former director of the public housing management and occupancy division at HUD
<b>Interior</b>	Deb Haaland	Current congresswoman from New Mexico, and leads the Subcommittee on National Parks, Forests, and Public Lands.
<b>Interior</b>	Tom Udall	Current U.S. Senator from New Mexico who is retiring at the end of 2020.
<b>Interior</b>	Martin Heinrich	Current U.S. Senator from New Mexico
<b>Interior</b>	Jamie Rappaport Clark	President and CEO of Defenders of Wildlife
<b>Interior</b>	John Berry	Former United States Ambassador to Australia
<b>Interior</b>	Michael Connor	Former Interior Deputy Secretary
<b>Labor</b>	Andy Levin	Current Representative for Michigan's 9th Congressional district
<b>Labor</b>	Bernie Sanders	Current third-term U.S. Senator from Vermont.
<b>Labor</b>	Sara Nelson	international president of the Association of Flight Attendants-CWA
<b>Labor</b>	Marty Walsh	Mayor of Boston, Massachusetts
<b>Labor</b>	Bill Spriggs	Former Assistant Secretary of Labor for Policy
<b>Labor</b>	Sharon Block	Former Head of Policy at the Department of Labor
<b>Labor</b>	Julie Su	Secretary of the California Labor and Workforce Development Agency
<b>Labor</b>	Tom Perez	Chair of the Democratic National Committee
<b>Labor</b>	Seth Harris	Former Deputy Labor Secretary
<b>Transportation</b>	Rahm Emanuel	Former Chicago Mayor and Chief of Staff in Obama Administration.
<b>Transportation</b>	Eric Garcetti	Los Angeles Mayor
<b>Transportation</b>	John Porcari	Former Deputy Secretary of Transportation
<b>Transportation</b>	Janette Sadik-Khan	former NYC Transportation Commissioner
<b>Transportation</b>	Jay Inslee	Governor of Washington
<b>Transportation</b>	Earl Blumenauer	Current U.S. Representative from Oregon's 3rd congressional district
<b>Transportation</b>	Beth Osborne	Director of Transportation for America
<b>Transportation</b>	Eric Garcetti	Mayor of Los Angeles

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H.R. 7608	Rep. Nita Lowey (D-NY)	First House Minibus Appropriations Package: State, Foreign Operations, Agriculture, Rural Development, Interior, Environment, Military Construction, and Veterans Affairs Appropriations Act, 2021	<p>The \$259.5 billion four appropriation bill package included \$36.76 billion for the Interior, Environment spending bill in which the Environmental Protection Agency would receive a near-record \$9.38 billion and Interior would get \$13.83 billion in discretionary appropriations under the legislation.</p> <p>The measure includes \$15 billion for a new emergency critical infrastructure fund, \$2.35 billion for the wildfire suppression cap adjustment, and \$12.9 million in additional funds for research on PFAS, “forever chemicals” found in nonstick consumer goods and firefighting foam.</p>	<p>Representative Lowey, Chair of the House Appropriations Committee, introduced the first minibus on July 13, 2020.</p> <p>Amendments from members were due to the Committee on July 20, and the legislation passed in the House on July 24 by a vote on 224-189. Republicans voted against the measure unanimously, objecting to the "emergency" spending titles, which they say violates the Bipartisan Budget Act agreed to last year.</p> <p>The current continuing resolution — a stopgap measure that extends current funding levels — funds the government until December 11th. At that time, Congress will pass the appropriations packages, or pass another continuing resolution to avoid a government shutdown.</p>

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H.R. 7617	Rep. Pete Visclosky (D-IN)	Second House Minibus Appropriations Package: Defense, Commerce, Justice, Science, Energy and Water Development, Financial Services and General Government, Homeland Security, Labor, Health and Human Services, Education, Transportation, Housing, and Urban Development Appropriations Act, 2021	The measure totals over \$1.3 trillion and included \$60 million for WaterSMART Grants; \$2.1 million for Water Conservation Field Services Program; \$4.179 million for Cooperative Watershed Management; \$2 million for Basin Studies; \$5 millions Drought Responses & Comprehensive Drought Plans; \$5 million for Basin Studies and \$63.665 million for Title XVI Water Reclamation and Reuse Program and an additional \$300 million in emergency appropriations for WaterSMART grants and \$50 million for the Title XVI program.	<p>The legislation was introduced in the House on July 16, 2020</p> <p>The measure passed the House by a vote of 217 to 197, with no Republicans supporting the bill and 12 Democrats joining them in opposition.</p> <p>The current continuing resolution — a stopgap measure that extends current funding levels — funds the government until December 11th. At that time, Congress will pass the appropriations packages, or pass another continuing resolution to avoid a government shutdown.</p>
N/A	Senator Richard Shelby (R-AL)/Senator Pat Leahy (D-VT)	Senate Fiscal Year 2021 Appropriations bills	The measure included \$55 million for WaterSMART Grants; \$4 million for Water Conservation Field Services Program; \$2.250 million for Cooperative Watershed Management; \$5.2 million for Basin Studies; \$4 million for Drought Responses & Comprehensive Drought Plans; \$63.617 million for Title XCCI Water Reclamation and Reuse Program; and \$20 million for WIIN 4009(c) projects.	The Chairman's marks of the fiscal year 2021 appropriations bills were released by the Senate Appropriations Committee on November 10th. The Committee does not have plans to mark up the legislation before they are conferenced with the House.
H.R.8746/ S.4538	Rep. Bobby Rush (D-IL)/Sen. Dick Durbin (D-IL)	Restore Employment in Natural and Environmental Work (RENEW) Act	The legislation would establish a Restore Employment in Natural and Environmental Work Conservation Corps in the Department of the Interior and the Department of Agriculture and authorizes more than \$55 billion over a five-year period to put one million Americans to work to address the backlog of deferred conservation projects.	The House version of the legislation was introduced on November 12, 2020, and the Senate version was introduced on September 8, 2020.

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
S.4862	Sen. Mike Lee (R-UT)	Undoing NEPA's Substantial Harm by Advancing Concepts that Kickstart the Liberation of the Economy (UNSHACKLE Act)	The legislation would comprehensively reform the National Environmental Policy Act (NEPA) by combining five other previously introduced NEPA reform acts into one bill.	The legislation was introduced on October 25, 2020.
H.R. 8632	Rep. Raul Grijalva (D-AZ)	Ocean-Based Climate Solutions Act	The legislation would direct the Secretary of Commerce, acting through the Administrator of the National Oceanic and Atmospheric Administration, to provide for ocean-based climate solutions to reduce carbon emissions and global warming; to make coastal communities more resilient; and to provide for the conservation and restoration of ocean and coastal habitats, biodiversity, and marine mammal and fish populations; and for other purposes.	<p>The legislation was introduced on October 20, 2020.</p> <p>The Committee on Natural Resources held hearings on the legislation on November 17.</p>
H.R. 8569/ S.4815	Rep. Katie Porter (D-CA)/ Sen. Brian Schatz (D-HI)	Disaster Learning and Lifesaving Act	The legislation would establish a National Disaster Safety Board (NDSB) modeled after the National Transportation Safety Board. The NDSB would be an independent, non-partisan agency, responsible for studying preparedness and emergency response during natural disasters. It would break down the silos between federal, state, and local government, and private industry to develop better and more complete lessons learned and improve planning for future emergencies.	<p>The legislation was introduced on October 9, 2020 and referred to the House Committees on Transportation and Infrastructure, and Energy and Commerce.</p> <p>The bill has bipartisan cosponsorship.</p>

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H.R. 8406	Rep. Nita Lowey (D-NY)	The Heroes Act	The legislation introduced by House Democrats is \$1.2 trillion less than the original bill passed by the House last spring — includes \$436 billion in emergency aid for state and local governments; \$225 billion for schools and child care; an additional round of \$1,200 stimulus checks for most Americans; money to restore \$600 expanded unemployment payments through January; \$75 billion for testing, contact tracing and other health care efforts; billions for housing assistance; and funding to shore up the census, U.S. Postal Service and elections.	<p>The legislation was introduced by Rep. Nita Lowey, Chair of the House Appropriations Committee, on Monday, September 29.</p> <p>The legislation passed the House on Thursday, October 1, by a vote of 214-207 with every Republican member and 18 Democrat lawmakers voting against the legislation.</p> <p>The bill is unlikely to move forward in its current state, as both Republican Senators and the White House have expressed disapproval of the legislation.</p>
H.R. 4447	Rep. O'Halleran, Tom (D-AZ)	Expanding Access to Sustainable Energy Act of 2019 [Clean Economy Jobs and Innovation Act]	The legislation combines several bills that aim to boost green infrastructure and energy efficiency in buildings, and invest in renewable technologies, energy sources, and workforce training. The measure includes provisions to improve the electricity grid and broaden investments and access to electric vehicles. A section on environmental justice includes several grant programs for underserved communities.	<p>The package was originally introduced by Representative Tom O'Halleran on September 20, 2019. A mark-up session on the legislation was held on September 9, 2020, and the legislation passed the House on September 24th by a vote of 220-185.</p> <p>The House vote fell down partisan lines with criticism from House Republicans. The White House has threatened to veto the bill.</p> <p>The legislation has been referred to the Committee on Energy and Natural Resources in the Senate.</p>

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H.R. 3884/ S.2227	Rep. Jerry Nadler (D-NY) / Sen. Kamala Harris (D-CA)	Marijuana Opportunity Reinvestment and Expungement Act of 2019	This legislation removes marijuana from the list of scheduled substances under the Controlled Substances Act and eliminates criminal penalties for an individual who manufactures, distributes, or possesses marijuana.	<p>In the House, the legislation was introduced on July 23, 2019 by Rep. Jerry Nadler. On November 21, 2019, the House Judiciary Committee held a mark-up of the legislation.</p> <p>While the House had hoped to consider the legislation during the week of September 21, 2020, disagreements within the Democratic Caucus have temporarily delayed the legislation from coming to the floor, though Majority Leader Steny Hoyer has promised the bill's sponsors that he will bring the legislation to the floor before the end of the year.</p> <p>The Senate legislation was introduced by Sen. Kamala Harris on July 23, 2019 and referred to the Committee on Finance. While the legislation faces long odds in the GOP-led Senate, Senator Minority Leader Chuck Schumer has expressed his commitment to getting the legislation passed.</p>

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
S.4596	Sen. Ernst, Joni (R-IA)	Disaster Tax Relief Act of 2020	<p>The legislation includes several tax provisions that would apply to individuals and businesses in regions that are designated as presidentially declared disaster areas from July 1 through 60 days after the bill's enactment.</p> <p>The legislation includes provisions to remove penalties on early withdrawal from retirement accounts, suspend limits on deductions for certain charitable contributions and provide an employee retention tax credit. It would also allow low-income individuals to use their previous year's income when claiming certain tax credits, so that they don't receive smaller credits for 2020 if their incomes declined as a result of the disaster.</p>	<p>The legislation was introduced by Sen. Joni Ernst on September 16, 2020.</p> <p>The legislation has bipartisan support.</p>



Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H.R. 7575	Rep. DeFazio (D-OR)	Water Resources Development Act of 2020	<p>The legislation would help build, repair, and maintain a wide variety of water infrastructure projects.</p> <p>In addition, the U.S. Army Corps of Engineers would be authorized to start several navigation, flood control, storm damage, and other water-related infrastructure projects. The bill also directs the Corps to undertake an inventory of water resources development projects and associated properties that are or may be contaminated with PFAS, and to develop a plan to remediate and limit potential human exposure to the contamination. In Southern California, the Corps would be required to expedite a study on the Seven Oaks Dam related to adding additional authorized purposes.</p>	<p>The bill was introduced July 13, 2020.</p> <p>The measure was favorably approved out of committee on July 24, 2020 and passed the House on July 31, 2020 by voice vote.</p> <p>After passing the House the bill is now awaiting consideration in the Senate. Senate EPW Committee Chairman John Barasso (R-WY) has indicated a willingness to consider the House-passed bill.</p> <p>Lawmakers have expressed support for passing the legislation by the end of the year.</p>
H.R. 6084	Rep. Suzanne Bonamici (D-OR)	Water Power Research and Development Act	<p>To provide for a program of hydropower, pumped storage, and marine energy research, development, demonstration, and commercial application, and for other purposes.</p>	<p>The legislation was introduced on March 4, 2020 and referred to the Subcommittee on Energy of the House Committee on Science, Space, and Technology.</p> <p>On March 12, 2020, the Subcommittee on Energy held a Mark-up Session, and the legislation forwarded by the Subcommittee to Full Committee for Voice Vote.</p> <p>No actions on the bill have been taken since March.</p>

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H.R. 2	Rep. DeFazio (D-OR)	Moving Forward Act	<p>The bill combines multiple pieces of legislation to authorize funds for Federal-aid highways, highway safety programs, and transit program.</p> <p>The measure includes \$25 billion for drinking water, \$100 billion for broadband, \$70 billion for clean energy projects, \$100 billion for low income schools, \$30 billion to upgrade hospitals, \$100 billion in funding for public housing and \$25 billion for the postal service and allocates approximately \$500 billion to further green efforts.</p>	<p>The legislation was introduced in the House on June 11, 2020.</p> <p>On June 17, 2020 the Subcommittee on Highways and Transit discharged the measure and the bill for consideration by the Committee on Transportation and Infrastructure.</p> <p>Following the mark up the bill was ordered to be reported out of committee. On Monday, June 29, 2020 the Rules Committee met to discuss the procedure and rules for the bill's consideration on the House floor.</p> <p>The House voted on the measure on Wednesday, July 1 and passed the legislation by a vote of 233 – 188. The Administration opposes the legislation and the President will likely veto the bill in its current form.</p>
H.R. 6622	Rep. David McKinley (R-WV)	Assuring Quality Water Infrastructure Act	<p>The bill amends the Safe Water Drinking Act to establish a grant program for improving operational sustainability by small public water systems.</p> <p>The bill would authorize \$5 million to execute this program for each of the fiscal years 2021 - 2025.</p>	<p>The legislation was introduced on April 24, 2020, and referred to the House Committee on Energy and Commerce.</p>

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H.R.6800	Rep. Nita Lowey (D-NY)	The HEROES Act	<p>The bill provides over \$3 trillion to federal agencies, state and local governments, small businesses, and individuals.</p> <p>The measure also would authorize and provide \$5 billion for the Housing and Urban Development Department's Community Development Block Grant program. The grant funds would have to be allocated to state and local recipients within 30 days of the bill's enactment according to an existing formula. Emergency grants could be made over 121 consecutive months, instead of three months, for entities that provide families with food, clothing, housing, and more.</p> <p>In addition, outlined within the legislation, and some would say key to successfully reopening, the measure would authorize and appropriate \$75 billion for a Covid-19 National Testing and Contact Tracing initiative.</p>	<p>The legislation was introduced on May 12, 2020.</p> <p>On May 15, 2020 the measure passed the House by a vote of 208 - 199.</p> <p>The bill has not been well received in the Senate. Majority Leader Mitch McConnell(R-KY) has indicated that a Senate version will likely be notably different than the House passed version.</p> <p>The Senate Committee on Small Business held hearings on the legislation on July 23.</p>

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
S.3752 / H.R.6954	Sen. Robert Menendez (D-NJ) / Sen. Bill Cassidy (R-LA)  Reps. Mikie Sherril (D- NJ) / Rep. Peter King (R-NY)	SMART Act	<p>The State and Municipal Assistance for Recovery and Transition (SMART) Act targets \$500 billion in emergency funding to every state, county and community in the country, while prioritizing assistance to the areas with the greatest need.</p> <p>These funds could be used to help state and local governments meet the current demand, expand testing capacity and contact tracing, provide further assistance to residents, local hospitals, small businesses and schools, in addition to maintaining critical services residents depend upon. The funding would be divided into equal thirds to provide funding based on population size, infection rates, and revenue losses.</p>	<p>The legislation was introduced on May 18 in the Senate and on May 19 in the House.</p> <p>Either a substitute amendment or new legislation negotiated by the bipartisan group of Members is expected to be introduced reflecting updated provisions agreed to by the coalition.</p> <p>The legislation has been referred to the respective committees of jurisdiction in the House and Senate.</p> <p>The Senate Committee on Banking, Housing, and Urban Affairs held a hearing on the legislation on June 2.</p>
H.R.7073/ S.4308	Rep. John Garamendi (D-CA)/ Sen. Krysten Sinema (D-AZ)	Special Districts Provide Essential Services Act	<p>The legislation would provide special districts access to the Coronavirus Relief Fund established in the CARES Act (H.R.748). The Coronavirus Relief Fund, as enacted, provided \$150 billion for the remainder of fiscal year 2020, which ends on September 30, 2020, for State and Tribal governments and units of local governments.</p> <p>Special districts would also be determined “eligible issuers” for the Federal Reserve’s Municipal Liquidity Facilities Program.</p>	<p>The House legislation was introduced on June 1, 2020 and referred to the House Committee on Oversight and Reform and Committee on Financial Services.</p> <p>Companion legislation was introduced in the Senate on July 23, 2020 and referred to the Senate Committee on Finance.</p> <p>The Senate version of the legislation also has bipartisan cosponsorship.</p>

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
S.3591	Sen. John Barrasso (R-WY) / Tom Carper (D-DE)	America's Water Infrastructure Act of 2020	Provides roughly \$17 billion in new federal authorizations to invest in infrastructure projects across the country, sets a 2-year goal for completing feasibility studies for potential projects by the Corps, and reauthorizes the WIFIA program administered by the EPA.	<p>The legislation was introduced on May 4, 2020, and referred to the Committee on Environment and Public Works.</p> <p>On May 11, 2020, the legislation was reported by Senator Barrasso with an amendment in the nature of a substitute, and placed on the Senate Legislative Calendar under General Orders.</p> <p>The legislation has bipartisan cosponsorship.</p> <p>Senator Barrasso filed a written report on the legislation on November 9, 2020.</p>
S.3590	Sen. John Barrasso (R-WY) / Tom Carper (D-DE)	Drinking Water Infrastructure Act of 2020	The measure would provide roughly \$2.5 billion in authorizations, including reauthorizing a Safe Drinking Water Act emergency fund, and \$300 million in grants for cleaning drinking water of emerging contaminants, particularly toxic PFAS.	<p>The legislation was introduced on May 4, 2020, and referred to the Committee on Environment and Public Works.</p> <p>On May 11, 2020, the legislation was reported by Senator Barrasso with an amendment in the nature of a substitute, and placed on the Senate Legislative Calendar under General Orders.</p> <p>The legislation has bipartisan cosponsorship.</p> <p>Senator Barrasso filed a written report on the legislation on October 23, 2020.</p>

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
S.1932	Sen. Cory Gardner (R-CO) / Sen. Dianne Feinstein( D-CA)	Drought Resiliency and Water Supply Infrastructure Act	<p>This legislation would authorize and/or reauthorize surface and groundwater storage and supporting projects, water recycling and reuse projects, and desalination projects. It would also establish an infrastructure finance and innovation pilot program at the Bureau of Reclamation. The legislation would also establish a process to deauthorize Bureau of Reclamation projects that have failed to receive a minimum federal investment or initiate construction.</p> <p>The bill would increase support for water infrastructure projects that are likely to provide a more-reliable water supply and increase the water management flexibility and water reliability.</p>	<p>The legislation was introduced on June 20, 2019. The Water and Power Subcommittee of the Energy and Natural Resources Committee held hearings on July 18, 2019.</p> <p>A markup has not been scheduled due to disagreements between the Ranking Member and Sponsors of the legislation.</p> <p>Senator Dianne Feinstein is expected to release an updated version of the bill.</p>
Draft Legislation	Rep. Jared Huffman (D-CA)	FUTURE Drought Act	Representative Huffman's draft legislation includes three titles on: Infrastructure Development, Improved Technology and Data, and Ecosystem Protection and Restoration. The draft legislation would create a water storage program, includes authorization of funding for desalination projects, created an water infrastructure fund, and extends and expands the WaterSMART program.	Much of this legislation was incorporated into H.R.2 (information above).
S. 2356	Sen. Mike Braun (R-IN)	Define WOTUS Act of 2019	The measure would create a new WOTUS definition the void the previous Obama era definition that is currently tied up in litigation.	The legislation was introduced on July 31, 2019 and referred to the Committee on Environment and Public Works.

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
S. 1087	Sen. John Barrasso (R-WY)	Water Quality Certification Improvement Act of 2019	The measure would amend the Federal Water Pollution Control Act to make changes with respect to water quality certification.	<p>The measure was introduced in the Senate on April 19, 2019 and was referred to Committee on Environment and Public Works.</p> <p>On November 19, 2019 the committee held a hearing to discuss impacts of the act if implemented.</p>
H.R. 1764	Rep. John Garamendi (D-CA)	The bill to amend the Federal Water Pollution Act	The legislation would amend the Federal Water Pollution Control Act with respect to permitting terms, and for other purposes.	<p>Introduced on March 15, 2019 and then referred to the Subcommittee on Water Resources and Environment.</p> <p>This legislation has bipartisan cosponsor ship and hearings were held on the measure in November 2019.</p>

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H.R.1497	Rep. Peter DeFazio (D-OR)	Water Quality Protection and Job Creation Act of 2019	<p>Requires a report to Congress on the current and future workforce needs for publicly owned treatment works and information on steps taken to meet those needs.</p> <p>Reauthorizes sections of the Federal Water Pollution Control Act that provide grants to States and interstate agencies, including:  State Management Assistance: Section 106(a);  Watershed Pilot Projects: Section 122(c);  Alternative Water Source Projects Pilot Program: Section 220(d);  Sewer Overflow and Stormwater Reuse Municipal Grants: Section 221(f)1); and  State Water Pollution Control Revolving Funds.</p> <p>Changes the length of permits for NPDES permits to not exceeding 10 years in certain circumstances.</p>	<p>Introduced on March 6, 2019 and referred to the Subcommittee on Water Resources and Environment of the House Transportation Committee.</p> <p>The Committee marked up the legislation and reported it with an amendment in the nature of a substitute--expanding the scope of the legislation.</p> <p>On September 4, 2020, the legislation was placed on the House Calendar.</p>



Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H.R. 1508 / S. 146	Rep. Blumenauer (D-OR) / Sen. John Hoeven (R-ND)	Move America Act of 2019	The measure would amend the Internal Revenue Code of 1986 to provide for Move America bonds and Move America credits which would be applicable to projects relating to flood diversions, inland waterways, sewage facilities.	<p>The House bill was introduced on March 5, 2019 and then referred to the House Committee on Ways and Means.</p> <p>Note: All tax issues are likely to be addressed as part of a larger tax package. The Ways and Means Committee is considering forming a tax extenders package, which would be the most likely venue for this legislation.</p> <p>The Senate bill was introduced in the Senate on January 16, 2019 and referred to the Senate Committee on Finance.</p>
H.R.1162	Rep. Grace Napolitano (D-CA)	Water Recycling Investment and Improvement Act	This legislation would create a competitive grant program for the funding of water recycling and reuse projects by raising the authorization cap for the Title XVI program from \$50 million to \$500 million. The legislation would also raise the authorization cap from \$20 million to \$30 million for the Reclamation Wastewater and Groundwater Study and Facilities Act.	<p>Introduced in the House on February 13, 2019.</p> <p>The House Natural Resources Subcommittee on Water, Oceans, and Wildlife held a hearing on the legislation on June 13, 2019.</p> <p>On March 11, 2020, the House Natural Resources Committee held a mark-up on the legislation and it was ordered to be reported out of Committee by a vote of 19-12.</p> <p>Elements of the bill were incorporated into H.R.2 (above).</p>
S. 361/H.R. 807	Sen. Cory Gardner (R-CO) / Rep. Ken Buck (R-CO)	Water and Agriculture Tax Reform Act of 2019	The measure would work to amend the Internal Revenue Code of 1986 to facilitate water leasing and water transfers to promote conservation and efficiency.	Introduced and referred to the Committee on Finance (Senate) and Ways and Means Committee (House). Neither chamber has recently engaged on the measures.

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H.R.579	Rep. Scott Tipton (R-CO)	Water Rights Protection Act of 2019	This bill would prohibit the conditioning of any permit, lease, or other use agreement on the transfer of any water right to the United States by the Secretaries of the Interior and Agriculture, and for other purposes.	Introduced in the House on January 15th. Referred to the Conservation and Forestry Subcommittee of the Agriculture Committee on 2/7 and to the Water, Oceans, and Wildlife Subcommittee of the House Natural Resources Committee on 2/4.  A similar amendment was submitted to the House Rules Committee for consideration in the Interior-Environment Appropriations bill, but was not made in order by the Committee for floor consideration.
H.R.34	Rep. Eddie Bernice Johnson (D-TX)	Energy and Water Research Integration Act of 2019	The legislation would ensure consideration of water intensity in the Department of Energy's energy research, development, and demonstration programs to help guarantee efficient, reliable, and sustainable delivery of energy and clean water resources.	The bill was introduced in the House on January 3, 2019. It was marked up and ordered to be reported by the House Science and Technology Committee on May 1, 2019 and was passed by the House on July 23, 2019 by voice vote. On July 24, 2019 the bill was referred to the Senate Committee on Energy and Natural Resources.
H.R. 2313	Rep. Jared Huffman (D-CA)	Water Conservation Rebate Tax Parity Act	The measure would amend the Internal Revenue Code of 1986 to expand the exclusion for certain conservation subsidies to include subsidies for water conservation or efficiency measures and storm water management measures.	The bill was introduced in the House on April 12, 2019 and then referred to the Committee on Ways and Means.  Note: All tax issues are likely to be addressed as part of a larger tax package. The Ways and Means Committee is considering forming a tax extenders package, which would be the most likely venue for this legislation.

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H.R.1747	Rep. Rob Wittman (R-VA)	National Fish Habitat Conservation Through Partnerships Act	The measure aims to achieve measurable habitat conservation results through strategic actions of Fish Habitat Partnerships that lead to better fish habitat conditions and increased fishing opportunities, establish a consensus set of national conservation strategies as a framework to guide future actions and investment by Fish Habitat Partnerships, broaden the community of support for fish habitat conservation, fill gaps in the National Fish Habitat Assessment and the associated database of the National Fish Habitat Assessment, and communicate to the public and conservation partners.	A hearing has been held in the House Natural Resources Committee on the legislation and the legislation was ordered to be reported out of committee on September 25, 2019.
S.1419	Sen. James Lankford (R-OK)	Early Participation in Regulations Act	The legislation would direct agencies to issue advanced notices for rules costing more than \$100 million annually. The bill would require agencies must outline the problem the rule intends to solve and listen to the public's input on the subject.	<p>On May 13, 2019 the bill was introduced into the Senate. It was then referred to the Committee on Homeland Security and Governmental Affairs. Hearings on the bill were held in both the Committee on Homeland Security and the Committee on Small Business. On July 19 the Committee on Homeland Security and Governmental Affairs ordered the measure to be reported favorably with an amendment in the nature of a substitute.</p> <p>On September 10, 2019 the bill was placed on the Senate Legislative Calendar under General Orders. However the bill has yet to be considered on the Senate floor.</p> <p>The legislation has bipartisan cosponsor ship.</p>

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
S. 1087	Sen. John Barrasso (R-WY)	Water Quality Certification Improvement Act of 2019	The measure would amend the Federal Water Pollution Control Act to make changes with respect to water quality certification.	<p>The measure was introduced in the Senate on April 19, 2019 and was referred to Committee on Environment and Public Works.</p> <p>On November 19, 2019 the committee held a hearing to discuss impacts of the act if implemented.</p>
H.R. 1695	Rep. Betty McCollum (D-MN)	Community Services Block Grant Reauthorization Act of 2019	The legislation would amend the Community Services Block Grant Act to reauthorize and modernize the Act.	<p>The measure was introduced in the House on March 12, 2019 to the House Committee on Education and Labor.</p> <p>The legislation has bipartisan cosponsor ship.</p>
H.R. 1744	Rep. Mark Takano (D-CA)	S.T.O.R.A.G.E. Act (Storage Technology for Operational Readiness And Generating Energy Act) Energy Storage Systems by Electric Utilities	The bill would provide for the consideration of energy storage systems by electric utilities as part of a supply side resource process, and for other purposes.	The bill was introduced on March 13, 2019 and the referred to the Committee on Energy and Commerce, and in addition to the Subcommittee on Energy of the Committee on Science, Space, and Technology.
H.R.579	Rep. Scott Tipton (R-CO)	Water Rights Protection Act of 2019	This bill would prohibit the conditioning of any permit, lease, or other use agreement on the transfer of any water right to the United States by the Secretaries of the Interior and Agriculture, and for other purposes.	Introduced in the House on January 15th. Referred to the Conservation and Forestry Subcommittee of the Agriculture Committee on February 7, 2019 and to the Water, Oceans, and Wildlife Subcommittee of the House Natural Resources Committee on February 4, 2019.

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H. R. 855	Rep. Scott Peters (D-CA)	STRONG (Strengthening the Resiliency of our Nation on the Ground Act) Act	The bill would work to minimize the economic and social costs resulting from losses of life, property, well-being, business activity, and economic growth associated with extreme weather events by ensuring that the United States is more resilient to the impacts of extreme weather events in the short- and long-term, and for other purpose	Introduced in the House and referred to the Subcommittee on Economic Development, Public Buildings, and Emergency Management of the House Transportation Committee on February 7, 2019.
H.R. 420	Rep. Earl Blumenauer (D-OR)	Regulate Marijuana Like Alcohol Act	The bill would decriminalize marijuana and sets up legal framework to regulate marijuana.	Introduced in the House on January 9, 2019 and referred to the Committees on Energy and Commerce, Ways and Means, Natural Resources, and Agriculture.
S.420 /H.R. 1120	Sen. Ron Wyden (D-OR) / Rep. Earl Blumenauer (D-OR)	Marijuana Revenue and Regulation Act	A bill to amend the Internal Revenue Code of 1986 to provide for the taxation and regulation of marijuana products, and for other purposes.	The bill was introduced in the Senate on February 7, 2019 and was referred to the Finance Committee.  Introduced in the House on February 8, 2019 and was referred to the Committees on Judiciary, Agriculture, and Natural Resources.

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H.R. 3794	Rep. Paul A. Gosar (R-AZ)	Public Land Renewable Energy Development Act of 2019	The bill would work to promote the development of renewable energy on public lands	<p>The measure was introduced in the House on July 17, 2019 and was then referred to both the Committee on Natural Resources and the Committee on Agriculture. A hearing on the bill was held on July 25 by the Subcommittee on Energy and Mineral Resources. On August 9th, the bill was referred to the Subcommittee on Conservation and Energy of the House Agriculture Committee.</p> <p>The measure was later referred to the Subcommittee on Energy and Mineral Resources where a hearing was held on the legislation. On November 20, 2019, a Mark-up session was held and the bill was ordered to be Reported by Voice Vote.</p> <p>The legislation has bipartisan cosponsor ship.</p>
S. 1344	Sen. Cory Booker (D-NJ) and Tim Scott (R-SC)	Reinstate Opportunity Zone Data Mandates	<p>The bill would require the Secretary of the Treasury to collect data and issue a report on the opportunity zone tax incentives enacted by the 2017 tax reform legislation.</p> <p>The reporting requirements were part of the original legislation as introduced, but they were not incorporated in H.R.1 (the tax package) when it was advanced in the House and Senate.</p>	<p>The legislation was introduced in the Senate on May 7, 2019 and referred to the Finance Committee.</p> <p>The legislation has bipartisan cosponsor ship.</p>

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
H.R.535	Rep. Debbie Dingell (D-MI)	PFAS Action Act of 2019	<p>The legislation combines 12 different bills that had previously been introduced into one legislative package that would change the way the federal government regulates “forever chemicals” known as PFAS.</p> <p>The consolidated version of H.R. 535 would place these chemicals on the Superfund hazards substances list from the Environmental Protection Agency, force the agency to set nationwide drinking water standards for PFAS, and block companies from producing new chemicals in this class.</p>	<p>The legislation was reported out of the House Energy and Commerce Committee on November 20, 2019 by a vote of 31 to 19, largely along party lines. The bill passed the House on January 10, 2020 by a vote of 247 - 159. The bill was received in the Senate on January 13, 2020 and referred to the Senate Committee on Environment and Public Works. The legislation is not expected to be considered in the Senate in its current form.</p> <p>Note: Senators have pushed for PFAS provisions to be included in the final fiscal year 2021 National Defense Authorization Act.</p>
H.R.4236	Rep. Quigley, Mike (D-IL)	Reducing Waste in National Parks Act	<p>The legislation would encourage recycling and reduction of disposable plastic bottles in units of the National Park System.</p>	<p>The bill was introduced on September 6, 2019 and was referred to the House Subcommittee on National Parks, Forests, and Public Lands.</p> <p>The House Natural Resources Subcommittee on National Parks, Forests, and Public Lands held hearings on the legislation on February 27, 2020.</p>

Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
S.3263 / H.R.5845	Sen. Tom Udall (D-N.M.) and Rep. Alan Lowenthal (D-CA)	Break Free from Plastic Pollution Act of 2020	This legislation would require plastic producers to take responsibility for collecting and recycling materials, require nationwide container deposits, ban certain pollutant products, impose a fee on the distribution of non-reusable carryout bags, create a new minimum recycled content requirement, protect state and local governments by allowing them to enact more stringent standards, requirements, and additional product bans, and give environmental agencies the valuable time needed to investigate the cumulative impacts of new plastic-producing facilities on the air, water, and climate.	The bills were introduced in the House on 2/12/2020 and in the Senate on 2/11/2020. In the House, the legislation has been referred to the following committees: Energy and Commerce, Ways and Means, Transportation and Infrastructure, and Foreign Affairs.  In the Senate, the legislation has been referred to the Finance Committee.
Enacted Legislation (removed from report after 2 months)				
H.R. 8337	Rep. Nita Lowey (D-NY)	Making continuing appropriations for fiscal year 2021, and for other purposes.	This bill provides continuing FY2021 appropriations to federal agencies through December 11, 2020, and extends several programs. The CR funds most programs and activities at the FY2020 levels with several exceptions that provide funding flexibility and additional appropriations to various programs.	The legislation was introduced on September 22, 2020 by Representative Lowey, Chair of the House Appropriations Committee.  The bill passed in the House under suspension of the rules by a vote of 359-57 in the evening of September 22nd. The Senate passed the legislation on September 30th, the last day of the fiscal year.  President Trump signed the legislation into law late in the early morning hours of October 1, 2020.



Bill Number	Sponsor/ Cosponsor	Title and/or Summary	Summary/Status	Latest Action
S. 3051	Sen. John Barrasso (D-WY)	America's Conservation Enhancement Act	The bill reauthorizes the North American Wetlands Conservation Act, National Fish and Wildlife Foundation Act and Chesapeake Bay Program through 2025. The bill also authorizes funding to combat invasive species, creates grants to help states and tribes pay farmers for livestock that was attacked by protected species and aims to tackle a neurological disease that impacts deer, elk and moose.	<p>The legislation was introduced on December 12, 2019.</p> <p>It passes the Senate on September 16, 2020, and passed the House on October 1, 2020. The legislation was not controversial - easily passing both chambers.</p> <p>President Trump signed the legislation into law on October 30, 2020.</p>

INFORMATION  
ITEM

**4K**



CALIFORNIA STRATEGIES, LLC

Date: November 30, 2020  
To: Inland Empire Utilities Agency  
From: John Withers, Jim Brulte  
Re: California Strategies, LLC November 2020 Activity Report

1. This month Jim Brulte and John Withers participated in the monthly senior staff meeting November 3rd via Microsoft Teams due to the Coronavirus.
2. There was ongoing discussion of the MEU rate and the issues associated with fee calculation and billing. IEUA received a letter from five member agencies requesting that IEUA bill the agencies directly rather than through Water Facilities Authority.
3. There was a discussion related to the Chino Basin Project. Staff is awaiting a response from member agencies and reconfiguring the Program with corresponding outreach plan. IEUA will continue to work with the Cal Water Commission and MWD to address stakeholder concerns. Goal is to make a go/no go decision.
4. Urban Water Management Plan. City of Ontario is taking the lead on the study. IEUA General Manager meeting with Scott Burton Nov 4 to discuss.

(Withers left the meeting at this time)

5. Calstrat was asked about its relationships with members of the San Antonio Water Company. Our firm has strong relationships with 3 of the Board members. Follow-up discussions with staff were held regarding the agency.
6. Senator Brulte (Ret.) had a follow up conversation with Kathy Besser regarding surveying local elected officials.
7. Senator Brulte (Ret.) had a follow up conversation with the General Manager about ways to reach out to local elected officials.

**AGENCY  
REPRESENTATIVES'  
REPORTS**

**5A**



# Santa Ana Watershed Project Authority

## SAWPA MEETING SUMMARY

### December 1, 2020, Regular Meeting | Commission of the Santa Ana Watershed Project Authority

- Received the following informational reports:
  - Fiscal Year 2021-2022 and Fiscal Year 2022-2023 Budget Schedule
  - Disadvantaged Communities Involvement (DCI) Program Status
- Took the following action:
  - Approved a task order in the amount of \$23,800 with Project Partners, Inc. to attain right of entry to parcels for future Arundo donax removal, consistent with governing policy.
  - Received and accepted the Comprehensive Annual Financial Report (CAFR) for the Fiscal Year Ended June 30, 2020 and 2019, including the Report on Audit prepared by Teaman, Ramirez & Smith, Inc., directed staff to file the Report with the respective government agencies as required by law, and directed staff to submit the CAFR, with any necessary changes, for the Certificate of Achievement for Excellence in Financial Reporting Award.
  - Received a final report on the Santa Ana River Watershed Weather Modification for Water Supply Feasibility Study by North American Weather Consultants Inc. and supported including a placeholder budget for the ground seeding site selection analysis and CEQA development in the FY 2021-2022 SAWPA Budget, pending further Commission discussion at the March 2, 2021 meeting.



PLEASE NOTE: This summary may not include all agenda items and should not be construed as official minutes. Any questions please call or email Kelly Berry at 951.354.4230 – [kberry@sawpa.org](mailto:kberry@sawpa.org)



# SAWPA

## SANTA ANA WATERSHED PROJECT AUTHORITY

11615 Sterling Avenue, Riverside, California 92503 • (951) 354-4220

**PURSUANT TO THE PROVISIONS OF EXECUTIVE ORDER N-29-20 ISSUED BY GOVERNOR GAVIN NEWSOM, THIS MEETING WILL BE CONDUCTED VIRTUALLY. ALL VOTES TAKEN DURING THIS VIRTUAL MEETING WILL BE CONDUCTED BY ORAL ROLL CALL.**

**This meeting will be accessible as follows:**

<b>Meeting Access Via Computer (Zoom)*:</b>	<b>Meeting Access Via Telephone*:</b>
<ul style="list-style-type: none"><li>• <a href="https://sawpa.zoom.us/j/96032848417">https://sawpa.zoom.us/j/96032848417</a></li></ul>	<ul style="list-style-type: none"><li>• 1 (669) 900-6833</li></ul>
<ul style="list-style-type: none"><li>• Meeting ID: 960 3284 8417</li></ul>	<ul style="list-style-type: none"><li>• Meeting ID: 960 3284 8417</li></ul>
* Participation in the meeting via the Zoom app (a free download) is strongly encouraged; there is no way to protect your privacy if you elect to call in by phone to the meeting.	

## **REGULAR COMMISSION MEETING TUESDAY, DECEMBER 15, 2020 – 9:30 A.M.**

### **AGENDA**

**1. CALL TO ORDER/PLEDGE OF ALLEGIANCE (David J. Slawson, Chair)**

**2. ROLL CALL**

**3. PUBLIC COMMENTS**

Members of the public may address the Commission on items within the jurisdiction of the Commission; however, no action may be taken on an item not appearing on the agenda unless the action is otherwise authorized by Government Code §54954.2(b).

**4. ITEMS TO BE ADDED OR DELETED**

Pursuant to Government Code §54954.2(b), items may be added on which there is a need to take immediate action and the need for action came to the attention of the Santa Ana Watershed Project Authority subsequent to the posting of the agenda.

**5. WORKSHOP DISCUSSION AGENDA**

**A. LEGISLATIVE REPORT**

**Presenter:** Michael Boccadoro, President, West Coast Advisors.

**Recommendation:** Receive and file.

**6. CONSENT CALENDAR**

All matters listed on the Consent Calendar are considered routine and non-controversial and will be acted upon by the Commission by one motion as listed below.

**A. APPROVAL OF MEETING MINUTES: DECEMBER 1, 2020**

**Recommendation:** Approve as posted.

**7. NEW BUSINESS**

**A. CONTRACT AMENDMENT | RICH HALLER (CM#2020.79)**

**Presenter:** Rich Haller

**Recommendation:** Approve the Third Amendment to Employment Agreement between the Santa Ana Watershed Project Authority and Rich Haller (Third Amendment) and authorize the Commission Chair to execute the Third Amendment.

**B. REGIONAL WATER QUALITY MONITORING TASK FORCE | SANTA ANA RIVER REGIONAL BACTERIA MONITORING PROGRAM – CONSULTANT TASK ORDER (CM#2020.82)**

**This item is subject to the provisions of Project Agreement 26**

**Presenter:** Rick Whetsel

**Recommendation:** Authorize the General Manager to execute (1) General Services Agreement with CDM Smith; and, (2) Task Order No. CDM386-16 with CDM Smith, a three-year task order with an option to exercise a two-year extension for an annual amount not to exceed \$356,845, totaling \$1,070,535 over the three-year period, for FY 2021-22 through FY 2023-24 to oversee and implement the Santa Ana River Regional Bacteria Monitoring Program.

**8. INFORMATIONAL REPORTS**

**Recommendation:** Receive for information.

**A. CASH TRANSACTIONS REPORT – OCTOBER 2020**

**Presenter:** Karen Williams

**B. INTER-FUND BORROWING – OCTOBER 2020 (CM#2020.80)**

**Presenter:** Karen Williams

**C. PERFORMANCE INDICATORS/FINANCIAL REPORTING – OCTOBER 2020 (CM#2020.81)**

**Presenter:** Karen Williams

**D. GENERAL MANAGER REPORT**

**E. STATE LEGISLATIVE REPORT**

**Presenter:** Rich Haller

**F. CHAIR'S COMMENTS/REPORT**

**G. COMMISSIONERS' COMMENTS**

**H. COMMISSIONERS' REQUEST FOR FUTURE AGENDA ITEMS**

## 9. CLOSED SESSION

### A. PURSUANT TO GOVERNMENT CODE SECTION 54957 – PERSONNEL MATTERS

Title: General Manager

### B. CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION PURSUANT TO GOVERNMENT CODE SECTION 54956.9(d)(2)

Name of Party: Larry McKenney

## 10. ADJOURNMENT

Americans with Disabilities Act: If you require any special disability related accommodations to participate in this meeting, call (951) 354-4220 or email [kberry@sawpa.org](mailto:kberry@sawpa.org). 48-hour notification prior to the meeting will enable staff to make reasonable arrangements to ensure accessibility for this meeting. Requests should specify the nature of the disability and the type of accommodation requested.

Materials related to an item on this agenda submitted to the Commission after distribution of the agenda packet are available for public inspection during normal business hours at the SAWPA office, 11615 Sterling Avenue, Riverside, and available at [www.sawpa.org](http://www.sawpa.org), subject to staff's ability to post documents prior to the meeting.

### Declaration of Posting

I, Kelly Berry, CMC, Clerk of the Board of the Santa Ana Watershed Project Authority declare that on December 8, 2020, a copy of this agenda has been uploaded to the SAWPA website at [www.sawpa.org](http://www.sawpa.org) and posted at the SAWPA office, 11615 Sterling Avenue, Riverside, California.

## 2021 SAWPA Commission Meetings/Events

First and Third Tuesday of the Month

(NOTE: All meetings begin at 9:30 a.m., unless otherwise noticed, and are held at SAWPA.)

<b>January</b> 1/5/21 Commission Workshop 1/19/21 Regular Commission Meeting	<b>February</b> 2/2/21 Commission Workshop 2/16/21 Regular Commission Meeting
<b>March</b> 3/2/21 Commission Workshop 3/16/21 Regular Commission Meeting	<b>April</b> 4/6/21 Commission Workshop 4/20/21 Regular Commission Meeting
<b>May</b> 5/4/21 Commission Workshop 5/18/21 Regular Commission Meeting 5/4 – 5/7/21 ACWA Spring Conference, Monterey	<b>June</b> 6/1/21 Commission Workshop 6/15/21 Regular Commission Meeting
<b>July</b> 7/6/21 Commission Workshop 7/20/21 Regular Commission Meeting	<b>August</b> 8/3/21 Commission Workshop 8/17/21 Regular Commission Meeting
<b>September</b> 9/7/21 Commission Workshop 9/21/21 Regular Commission Meeting	<b>October</b> 10/5/21 Commission Workshop 10/19/21 Regular Commission Meeting
<b>November</b> 11/2/21 Commission Workshop 11/16/21 Regular Commission Meeting	<b>December</b> 12/7/21 Commission Workshop 12/21/21 Regular Commission Meeting 11/30 – 12/3/21 ACWA Fall Conference, Pasadena



**AGENCY  
REPRESENTATIVES'  
REPORTS**

**5B**



THE METROPOLITAN WATER DISTRICT  
OF SOUTHERN CALIFORNIA

# MWD MEETING AGENDA

**REVISION NO. 1**

## **Regular Board Meeting**

**December 8, 2020**

**12:00 p.m.**

Tuesday, December 8, 2020

### Meeting Schedule

9:30 AM	RP&AM
10:00 AM	C&L
11:30 AM	Break
12:00 PM	Board

Live streaming is available for all board and committee meetings on our [mwdh2o.com](http://mwdh2o.com) website  
([Click to Access Board Meetings Page](#))

**Public Comment Via Teleconference Only:** Members of the public may present their comments to the Board on matters within their jurisdiction as listed on the agenda via teleconference only. To participate call (404) 400-0335 and use Code: 9601962.

MWD Headquarters Building

•

700 N. Alameda Street

•

Los Angeles, CA 90012

### **1. Call to Order**

- (a) Invocation: Kelli Shope, Assistant Ethics Officer
- (b) Pledge of Allegiance: Director David De Jesus, Three Valleys Municipal Water District

### **2. Roll Call**

### **3. Determination of a Quorum**

- 4. Opportunity for members of the public to address the Board on matters within the Board's jurisdiction. (As required by Government Code Section 54954.3(a))

### **5. OTHER MATTERS AND REPORTS**

- A. Report on Directors' events attended at Metropolitan expense for month of November 2020
- B. Chairwoman's Monthly Activity Report
- C. General Manager's summary of activities for the month of November 2020

- D. General Counsel's summary of activities for the month of November 2020
  - i. Brown Act Compliance: Social Media Update
- E. General Auditor's summary of activities for the month of November 2020
- F. Ethics Officer's summary of activities for the month of November 2020

<b>CONSENT CALENDAR ITEMS — ACTION</b>
--

**6. CONSENT CALENDAR OTHER ITEMS — ACTION**

- A. Approval of the Minutes of the Meeting for November 10, 2020  
(Copies have been submitted to each Director)  
Any additions, corrections, or omissions
- B. Approve committee assignments

**7. CONSENT CALENDAR ITEMS — ACTION**

- 7-1** Authorize an increase of \$203,100, for a new maximum amount payable of \$1,002,270, to an existing services agreement with ViaTRON Systems, Inc. for conversion of hard copy documents into electronic images for migration into the planned Enterprise Content Management System; the General Manager has determined the proposed action is exempt or otherwise not subject to CEQA. (OP&T)
- 7-2** Adopt the COVID-19 Member Agency Payment Deferment Program and amend the Administrative Code to add Section 4519 delegating authority to the General Manager to administer the Program; the General Manager has determined the proposed action is exempt or otherwise not subject to CEQA. (F&I)
- 7-3** Adopt Legislative Priorities and Principles for 2021; the General Manager has determined that the proposed action is exempt or otherwise not subject to CEQA. (C&L)

- 7-4** Authorize execution of a Funding Agreement with the California Department of Water Resources, commit funds for Metropolitan's share of the Delta Conveyance Project planning and pre-construction costs, and authorize execution of an amendment to the Joint Powers Agreement for Delta Conveyance Design and Construction Authority; the General Manager has determined that the proposed action is exempt or otherwise not subject to CEQA. (Bay-Delta)
- 7-5** Review and consider the City of Long Beach's certified Final EIR and Addenda and take related CEQA actions, and authorize the General Manager to enter into a Stormwater for Direct Use Pilot Program Agreement with the City of Long Beach and Rancho Los Cerritos. (WP&S)
- 7-6** Review and consider the City of Culver City's approved Final Initial Study/Mitigated Negative Declaration and take related CEQA actions, and authorize the General Manager to enter into a Stormwater for Direct Use Pilot Program Agreement with the City of Culver City and West Basin Municipal Water District. (WP&S)

Moved to  
Board Action

- ~~**7-7** Authorize General Manager to enter into an agreement with Southern Nevada Water Authority to support the development of the Regional Recycled Water Program; the General Manager has determined that the proposed action is exempt or otherwise not subject to CEQA. (WP&S)~~
- 7-8** Authorize an extension of the agricultural leases to D&L Farms and Sierra Cattle Company in the Sacramento-San Joaquin Bay Delta; the General Manager has determined that the proposed action is exempt or otherwise not subject to CEQA. (RP&AM)  
[Conference with real property negotiators; properties total approximately 8,331 acres of land in areas known as Bacon Island and the eastern portions of Bouldin Island in Northern California, also identified as San Joaquin County Assessor Parcel Nos. 129-050-01; 129-050-02; 129-050-03; 129-050-04; 129-050-05; 129-050-06; 129-050-07; 129-050-08; 129-050-09; 129-050-11; 129-050-12; 129-050-13; 129-050-14; 129-050-15; 129-050-16; 129-050-17; 129-050-18; 129-050-19; 129-050-24; 129-050-25; 129-050-26; 129-050-27; 129-050-28; 129-050-52; 129-050-54; 129-050-55; 129-050-56; 129-050-60; 069-030-39; 069-100-01; and 069-100-02; agency negotiators: Anna Olvera, Kevin Webb and Lilly Shraibati; negotiating parties: Leisha and David Robertson dba D&L Farms; Robert Hilardes dba Sierra Cattle Company; under negotiation: price and terms; to be heard in closed session pursuant to Government Code Section 54956.8.]

**END OF CONSENT CALENDAR ITEMS**

## 8. OTHER BOARD ITEMS — ACTION

Added  
(Moved from  
Consent Section)

8-1

Authorize General Manager to enter into an agreement with Southern Nevada Water Authority to support the development of the Regional Recycled Water Program; the General Manager has determined that the proposed action is exempt or otherwise not subject to CEQA

## 9. BOARD INFORMATION ITEMS

9-1 Update on Conservation Program

## 10. FOLLOW-UP ITEMS

## 11. FUTURE AGENDA ITEMS

## 12. ADJOURNMENT

**NOTE:** Each agenda item with a committee designation will be considered and a recommendation may be made by one or more committees prior to consideration and final action by the full Board of Directors. The committee designation appears in parentheses at the end of the description of the agenda item e.g., (E&O, F&I). Committee agendas may be obtained from the Board Executive Secretary.

Writings relating to open session agenda items distributed to Directors less than 72 hours prior to a regular meeting are available for public inspection at Metropolitan's Headquarters Building and on Metropolitan's Web site <http://www.mwdh2o.com>.

Requests for a disability related modification or accommodation, including auxiliary aids or services, in order to attend or participate in a meeting should be made to the Board Executive Secretary in advance of the meeting to ensure availability of the requested service or accommodation.

**AGENCY  
REPRESENTATIVES'  
REPORTS**

**5C**



## **Regional Sewerage Program Policy Committee Meeting**

**Thursday, December 3, 2020**

**3:30 p.m.**

**Teleconference Call**

PURSUANT TO THE PROVISIONS OF EXECUTIVE ORDER N-25-20 ISSUED BY GOVERNOR GAVIN NEWSOM ON MARCH 12, 2020, AND EXECUTIVE ORDER N-29-20 ISSUED BY GOVERNOR GAVIN NEWSOM ON MARCH 17, 2020 ANY COMMITTEE MEMBER MAY CALL INTO THE COMMITTEE MEETING WITHOUT OTHERWISE COMPLYING WITH ALL BROWN ACT'S TELECONFERENCE REQUIREMENTS.

In effort to prevent the spread of COVID-19, the Regional Sewerage Program Policy Committee Meeting will be held remotely by teleconference

**Teleconference: 1-415-856-9169/Conference ID: 552 973 583#**

This meeting is being conducted virtually by video and audio conferencing. There will be no public location available to attend the meeting; however, the public may participate and provide public comment during the meeting by calling into the number provided above. Alternatively, you may email your public comments to the Recording Secretary Sally H. Lee at [shlee@ieua.org](mailto:shlee@ieua.org) no later than 24 hours prior to the scheduled meeting time. Your comments will then be read into the record during the meeting.

---

### **Call to Order/Flag Salute**

### **Roll Call**

### **Public Comment**

Members of the public may address the Committee on any item that is within the jurisdiction of the Committee; however, no action may be taken on any item not appearing on the agenda unless the action is otherwise authorized by Subdivision (b) of Section 54954.2 of the Government Code. Comments will be limited to three minutes per speaker.

### **Additions/Deletions to the Agenda**

In accordance with Section 54954.2 of the Government Code (Brown Act), additions to the agenda require two-thirds vote of the legislative body, or, if less than two-thirds of the members are present, a unanimous vote of those members present, that there is a need to take immediate action and that the need for action came to the attention of the local agency subsequent to the agenda being posted.

**1. Technical Committee Report**

*The November 26, 2020 Technical Committee Meeting was cancelled due to the Thanksgiving Holiday. The next Special Technical Committee meeting is scheduled for December 7, 2020.*

**2. Action Item**

A. Meeting Minutes for November 5, 2020

**3. Informational Items**

A. Regional Contract Negotiations Update (Oral)  
B. Debt Overview

**4. Receive and File**

A. Building Activity Report  
B. Recycled Water Distribution – Operations Summary

**5. Other Business**

A. IEUA General Manager's Update  
B. Committee Member Requested Agenda Items for Next Meeting  
C. Committee Member Comments  
D. Next Meeting – January 7, 2020

**6. Adjournment**

In compliance with the Americans with Disabilities Act, if you need special assistance to participate in this meeting, please contact the Recording Secretary (909) 993-1926, 48 hours prior to the scheduled meeting so that the Agency can make reasonable arrangements.

**DECLARATION OF POSTING**

I, Sally H. Lee, Executive Assistant of the Inland Empire Utilities Agency, A Municipal Water District, hereby certify that a copy of this agenda has been posted to the IEUA Website at [www.ieua.org](http://www.ieua.org) and posted in the foyer at the Agency's main office at 6075 Kimball Avenue, Building A, Chino, CA, on Wednesday, November 25, 2020.

  
Sally H. Lee



**AGENCY  
REPRESENTATIVES'  
REPORTS**

**5D**

**CHINO BASIN WATERMASTER  
WATERMASTER BOARD MEETING**

11:00 a.m. – November 19, 2020

*Mr. Jeff Pierson – Chair*

*Mr. Jim Curatalo – Vice-Chair*

**Meeting Available by Remote Access Only\***

Click on this [link](#) to access by PC/Smart Device

OR

Conference Call: (786) 535-3211

Code: 634-232-117

**AGENDA**

**CALL TO ORDER**

**ROLL CALL**

**PUBLIC COMMENTS**

**RECOGNITION OF OUTGOING MEMBER'S SERVICE ON WATERMASTER BOARD**

**AGENDA - ADDITIONS/REORDER**

**I. CONSENT CALENDAR**

**Note:** All matters listed under the Consent Calendar are considered to be routine and non-controversial and will be acted upon by one motion in the form listed below. There will be no separate discussion on these items prior to voting unless any members, staff, or the public requests specific items be discussed and/or removed from the Consent Calendar for separate action.

**A. MINUTES**

Approve as presented:

1. Minutes of the Watermaster Board Special Meeting held October 20, 2020
2. Minutes of the Watermaster Board Meeting held October 22, 2020

**B. FINANCIAL REPORTS**

Receive and file as presented:

1. Cash Disbursements for the month of September 2020
2. Watermaster VISA Check Detail for the month of September 2020
3. Combining Schedule for the Period July 1, 2020 through September 30, 2020
4. Treasurer's Report of Financial Affairs for the Period September 1, 2020 through September 30, 2020
5. Budget vs. Actual Report for the Period July 1, 2020 through September 30, 2020
6. Cash Disbursements for October 2020 (Information Only)

**C. ANNUAL FINDING OF SUBSTANTIAL COMPLIANCE WITH THE RECHARGE MASTER PLAN**

Adopt the finding that Watermaster is in substantial compliance with the Recharge Master Plan.

**D. 2019/20 ANNUAL REPORT OF THE GROUND-LEVEL MONITORING COMMITTEE**

Approve the 2019/20 Annual Report of the Ground-Level Monitoring Committee, along with filing a copy with the Court.

## **II. BUSINESS ITEMS**

### **A. FISCAL YEAR 2020/21 ASSESSMENT PACKAGE**

Approve the Fiscal Year 2020/21 Assessment Package as presented.

### **B. RESOLUTION TO LEVY REPLENISHMENT AND ADMINISTRATIVE ASSESSMENTS FOR FISCAL YEAR 2020/21**

Adopt Resolution 2020-07 as presented.

### **C. FISCAL YEAR 2020/21 COST OF LIVING ADJUSTMENT**

Adopt the revised Fiscal Year 2020/21 pay schedule as presented.

### **D. WEI CONTRACT ASSIGNMENT TO WEST YOST**

Authorize the General Manager to execute the assignment of the WEI contract to West Yost.

## **III. REPORTS/UPDATES**

### **A. LEGAL COUNSEL REPORT**

1. San Bernardino County Superior Court Emergency Order
2. Motion of Appropriate Pool Member Agencies Re: Agricultural Pool Legal and Other Expenses
3. January 8, 2021 Hearing
4. Kaiser Lawsuit

### **B. ENGINEER**

1. 2020 OBMP CEQA – Local Storage Limitation Solution

### **C. CHIEF FINANCIAL OFFICER**

1. Fiscal Year 2020/21 Assessment Invoicing

### **D. GENERAL MANAGER**

1. Status Report: OAP Contest
2. History of Basin Storage Estimates
3. Holiday Meeting Schedule
4. Other

## **IV. BOARD MEMBER COMMENTS**

## **V. OTHER BUSINESS**

## **VI. CONFIDENTIAL SESSION - POSSIBLE ACTION**

Pursuant to Article 2.6 of the Watermaster Rules & Regulations, a Confidential Session may be held during the Watermaster Board meeting for the purpose of discussion and possible action.

### **PURSUANT TO GOVERNMENT CODE SECTION 54956.9(a) – CONFERENCE WITH LEGAL COUNSEL – EXISTING LITIGATION**

1. Kaiser Foundation Health Plan, et al. v. Chino Basin Water Conservation District, et al., San Bernardino County Superior Court Case No: CIVDS1933655

**VII. FUTURE MEETINGS AT WATERMASTER\***

11/17/20 Tue 9:00 a.m. Groundwater Recharge Coordinating Committee (GRCC)  
11/19/20 Thu 9:00 a.m. Advisory Committee  
11/19/20 Thu 11:00 a.m. Watermaster Board\*\*

\* Due to the uncertainty related to COVID-19, and for the safeguarding of all, Watermaster meetings will continue to be held remotely until further notice. Remote access to the open portions of the meetings will be provided with each meeting notice. Access for Confidential Session will be distributed separately.

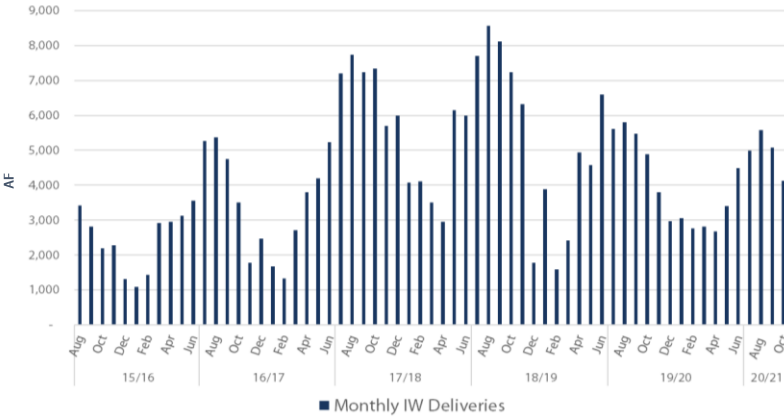
\*\* Rescheduled from November 26, 2020 due to the Thanksgiving Holiday

**ADJOURNMENT**

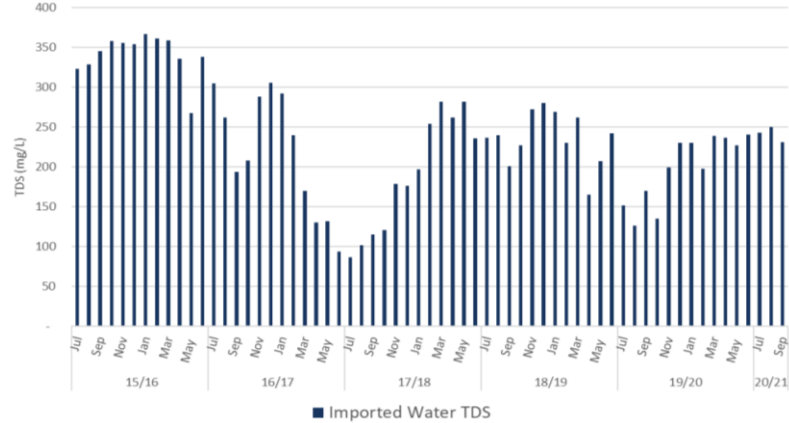
**GENERAL  
MANAGER'S  
REPORT**

### Imported Water

Full Service Imported Water Deliveries Summary  
(FY 2015/16 to 2020/21)

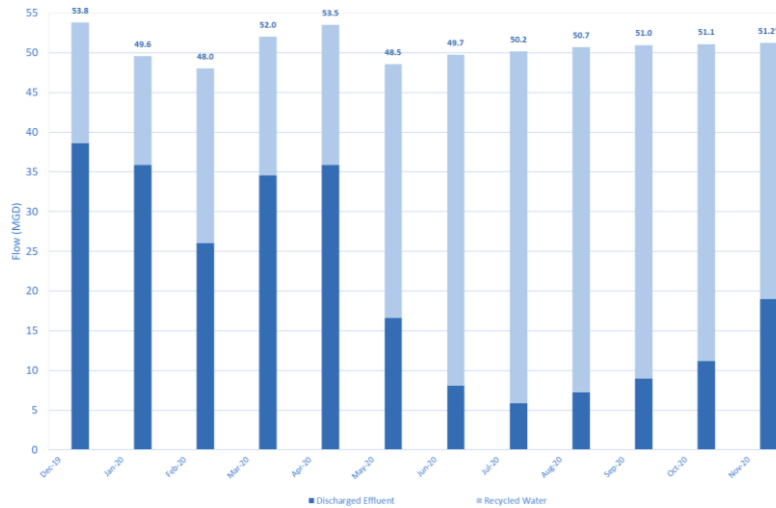


Imported Water TDS Summary  
(FY 2015/16 to 2020/21)



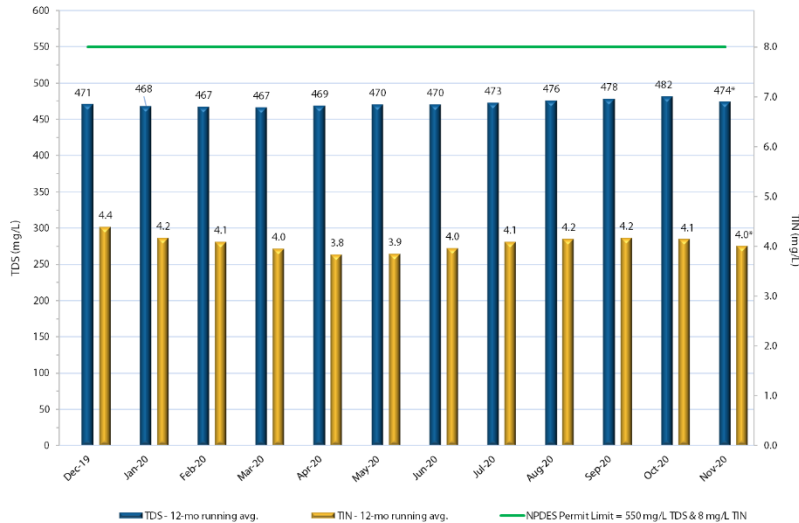
### Recycled Water

Recycled Water Use



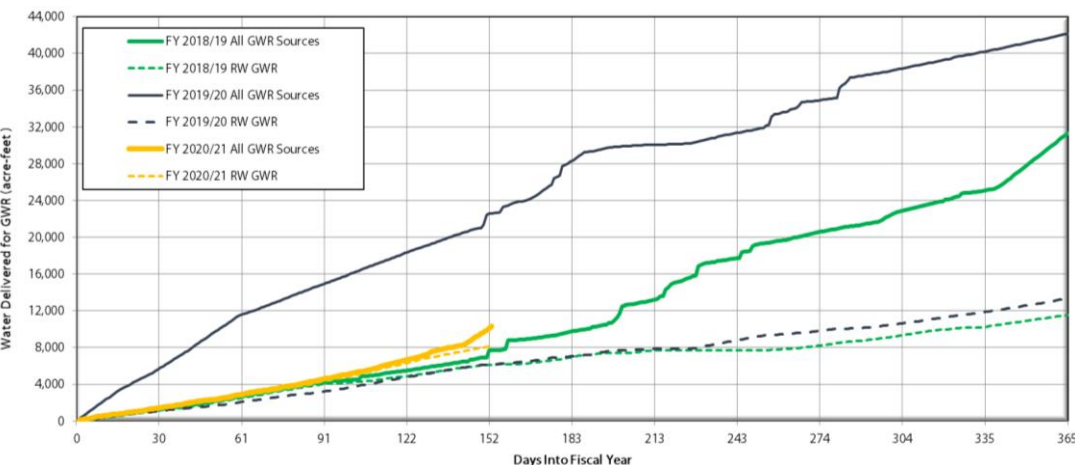
\*Preliminary Data

Agency-Wide Effluent TDS & TIN – 12-Month Running Average



\* Preliminary Data

### Total Groundwater Recharge & Recycled Water Delivered to Groundwater Recharge

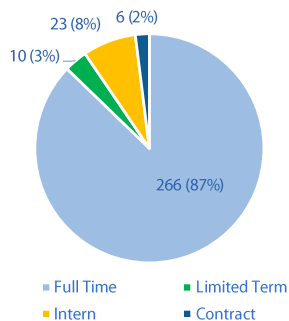


#### NOVEMBER 2020 NOTES:

- Recycled water delivery for recharge totaled 1,748 acre-feet
- There were two rain events in November. The total stormwater and dry weather flow capture is preliminarily estimated at 397 acre-feet
- Recharge of Imported Water from MWD totaled 1,378 acre-feet
- Chino Basin Watermaster will remove 1.5% for evaporation losses for imported water and recycled water during the month of November
- Considering evaporation losses, total recharge for the month is preliminarily estimated at 3,476 acre-feet

## Human Resources

### Pulse of the Organization Staffing Levels



**COVID-19 Response:**  
Management team and key staff members continue to meet regularly to discuss Agency impacts from COVID-19.



[Bid & Look Ahead Schedule](#)  
[Active Capital Improvement Project Status](#)  
[Emergency Projects](#)

## Agency Highlights

- Biennial Budget Process and Budget Message – In preparation of the new Biennial Budget, the Finance department provided an overview of the Biennial Budget Process for FYs 2021/22 and 2022/23. A high-level outline of the budget process and the timeline to receive key information from different departments, with special accent on department goals and objectives, staffing, operating expenses and project expenses was reviewed. The budget message for the preparation of the Biennial Budget, which referenced major guidelines and support documents elaborating the two-year budget for FYs 2021/22 and FY 2022/23, was sent out.
- RP-1 Disinfection Improvements Project - The State Water Resources Control Board approved the RP-1 Disinfection Improvements Project for low-interest funding through the Clean Water State Revolving Fund (SRF) Loan Program. The project, approved for \$8.4 million in SRF funding, will implement infrastructure and process upgrades to the disinfection system at the RP-1 facility.
- 2020 Service Awards - On November 4, 123 Agency staff with several directors participated in a virtual ceremony honoring fellow employees in reaching a milestone anniversary with the Agency. Service Award pins were awarded to 33 employees celebrating 5, 10, 15, 20, and 30 years of service. Five employees were specially recognized with certificates for completing 30 years of service with IEUA. Congratulations to all!
- California Air Resources Board (CARB) - On November 19, General Manager and staff testified before the California Air Resources Board (CARB) on proposed new Air Toxic Emissions Reporting Regulations. CARB staff subsequently agreed to consider a proposed sector-by-sector approach, review short vs. long term reporting requirements, and provide adequate time to complete the statewide pooled two-step process. These changes are expected to result in significant savings for both IEUA and IERCF.
- Southern California Water Coalition's Annual Event – A Board Member and IEUA staff attending the Southern California Water Coalition's 36<sup>th</sup> Annual Event & Awards Presentation event on November 12.

## Grants

### Applications/Award Update

#### GRANTS/LOANS COMPLETED, CLOSED OUT

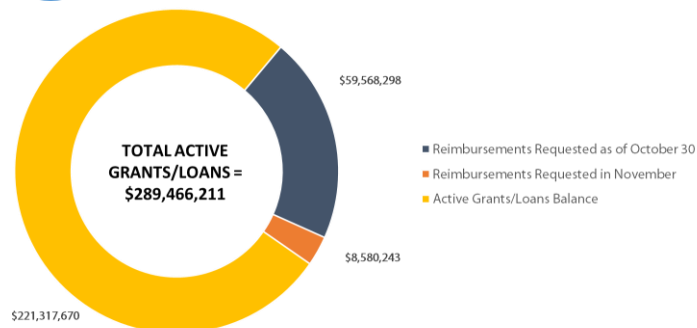
**\$78.8  
MILLION**

SWRCB (SRF Loan) – New Water Quality Lab (\$24.6M)  
SWRCB – Recycled Water Planning Grant (\$75K)  
CDPH – CDA Phase 3 Expansion (\$53.9M)  
CA DPR – Water Discovery Field Trip Program (\$207K)

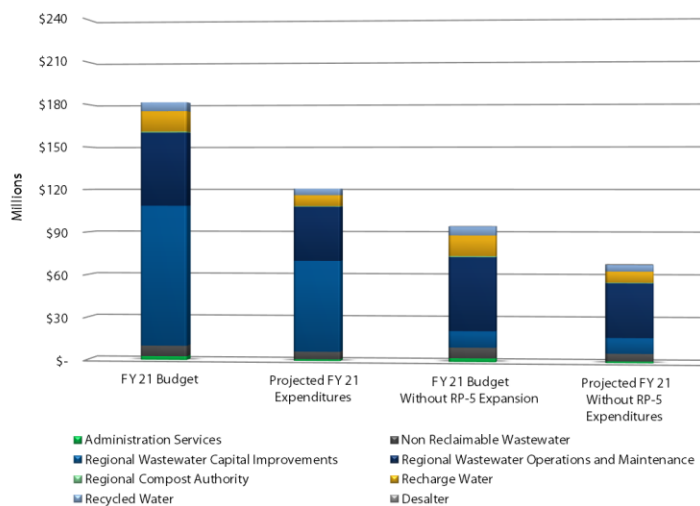
#### GRANT/LOAN AGREEMENTS EXECUTED

**\$9.8  
MILLION**

SWRCB (SRF Loan) – RP-5 Bottleneck (\$3.1M)  
SWRCB (SRF Loan) – RP-1 1158 RW Pump Station (\$6.7M)

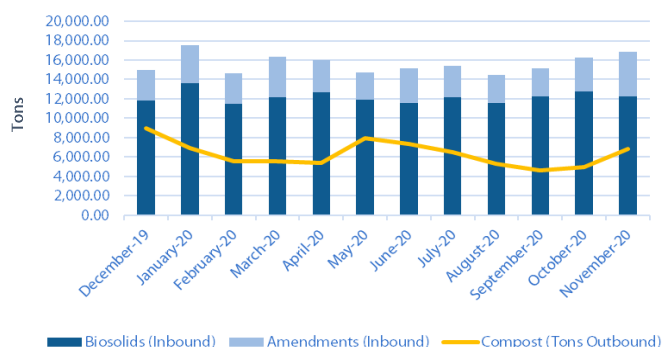


## Engineering & Construction Management FY 20/21 Budget Status Update



## Biosolids/Compost

### IERCF Inbound & Outbound



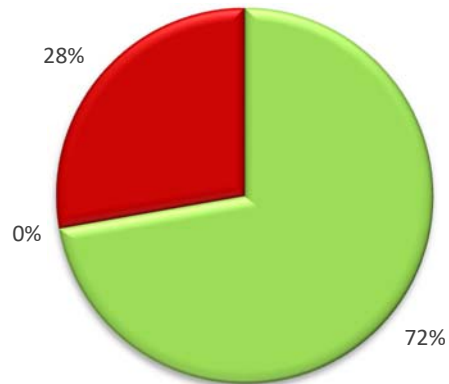
## Bid and Award Look Ahead Schedule

Bid and Award Look Ahead Schedule			
	Project Name	Projected Bid Opening Date	Projected Bid Award Date
	Jan-21		
1	EN21014.00\EN21015.00 NRWS Manhole/Collection Systems Upgrades FY 20/21	03-Dec-20	20-Jan-21
	Feb-21		
2	RW15003.03 Montclair Basin Improvements	13-Jan-21	17-Feb-21
3	EN17041.00 Orchard Recycled Water Turnout Improvements	10-Dec-20	17-Feb-21
4	EN20056.00 RSS Haven Avenue Repairs	8-Jan-21	17-Feb-21
5	RW15003.06 Wineville/Jurupa/Force Main Improvements	14-Jan-21	17-Feb-21
6	EN19027.00 NRW Pipeline Relining Along Cucamonga Creek	30-Dec-20	17-Feb-21
	May-21		
7	EN23002.00 Philadelphia Lift Station Force Main Improvements	29-Mar-21	19-May-21
	Jul-21		
8	EN20051.00 RP-1 MCB and Old Lab Building Rehab	22-Apr-21	21-Jul-21
	Aug-21		
9	FM21005.01 Agency Wide Roofing Phase IV	28-Jun-21	18-Aug-21
	Sep-21		
10	EN20040.00 HQ Driveway Improvements	27-Aug-20	15-Sep-21
	Dec-21		
11	EN17006.00 CCWRF Asset Management and Improvements	3-Nov-21	15-Dec-21



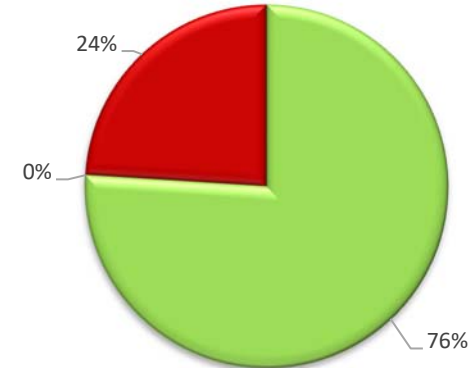
## Active Capital Improvement Project Status

### Design Schedule Performance



■ On-Time 
 ■ Recovery in Progress 
 ■ Behind Schedule

### Construction Schedule Performance



■ On-Time 
 ■ Recovery in Progress 
 ■ Behind Schedule

### Agency-Wide

No.	Project ID	Project Title	Total Expenditures thru 11/30 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
1	FM21005.01	Agency Wide Roofing Phase IV	890	1,500,000	On-Time	Project Evaluation	
2	EN20034.03	Solids Handling Facility Evaluation	90,566	228,865	On-Time	Consultant Contract	
3	EN19023.00	Asset Management Planning Document	380,706	750,000	On-Time	Pre-Design	
4	EN19024.00	Regional System Asset Management (Assessment Only)	354,435	2,800,000	On-Time	Pre-Design	
5	EN19051.00	RW Hydraulic Modeling	57,100	225,282	On-Time	Design	
6	EN20038.00	Agency Wide Pavement Management Study	149,428	300,000	On-Time	Design	
7	EN19030.00	WC Asset Management (Assessment Only)	62,837	130,000	On-Time	Construction	
8	FM21005.00	Agency Wide Roofing	649,755	3,585,000	On-Time	Construction	
Totals			1,745,717	9,519,147			

## Carbon Canyon

No.	Project ID	Project Title	Total Expenditures thru 11/30 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
9	EN17006.00	CCWRF Asset Management and Improvements	2,650,835	26,803,299	On-Time	Design	
		Totals	2,650,835	26,803,299			

## Chino Desalter Authority (CDA)

No.	Project ID	Project Title	Total Expenditures thru 11/30 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
10	EN16021.00	TCE Plume Cleanup	25,343,619	26,200,000	On-Time	Construction	
		Totals	25,343,619	26,200,000			

## Collections

No.	Project ID	Project Title	Total Expenditures thru 11/30 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
11	EN0000000066	Preserve Lift Station Improvements	27,940	-	On-Time	Project Development	
12	EN21058.00	Regional Sewer-Hydraulic Modeling	9,490	70,000	On-Time	Pre-Design	
13	EN20064.00	NSNT Odor Complaints Mitigation	12,766	500,000	On-Time	Design	
14	EN19027.00	NRW Pipeline Relining Along Cucamonga Creek	215,681	2,395,000	Behind Schedule	Design	In addition to permitting delays, the design took longer than anticipated due to shortage of the design consultant's resources. The schedule will be re-baselined once the construction contract is awarded.
15	EN20056.00	RSS Haven Avenue Repairs	145,091	5,850,000	Behind Schedule	Design	Additional evaluation was conducted to review the extent of relining Haven Avenue Sewer or utilizing the Cucamonga bypass sewer. The most viable alternative is now finalized. The project will be re-baselined at construction contract award
16	EN23002.00	Philadelphia Lift Station Force Main Improvements	600,909	18,258,000	Behind Schedule	Design	The City of Ontario requested a different alignment for the project due to a future conflict with a city storm drain. IEUA and the City have finally agreed on an alignment that will meet the project's needs and avoid conflicts. Once the new alignment is approved by the City of Ontario, the project will be re-baselined at construction contract award.
17	EN21014.00	NRWS Manhole Upgrades FY 20/21	10,847	180,000	On-Time	Bid and Award	
18	EN21015.00	Collection System Upgrades FY 20/21	12,317	500,000	On-Time	Bid and Award	
19	EN19025.00	Regional Force Main Improvements	518,920	4,800,000	On-Time	Construction	
20	EN19028.00	NRW Manhole and Pipeline Condition Assessment	102,325	915,000	On-Time	Construction	
21	EN22002.00	NRW East End Flowmeter Replacement	888,989	3,600,000	On-Time	Construction	
		Totals	2,545,275	37,068,000			

## Groundwater Recharge

No.	Project ID	Project Title	Total Expenditures thru 11/30 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
22	EN21051.00	Ely Monitoring Well	3,653	585,000	On-Time	Project Evaluation	
23	RW15003.03	Montclair Basin Improvements	274,825	1,788,100	Behind Schedule	Bid & Award	Final Army Corp permit is in progress and is expected to be completed within two months. However, the project recently applied for a United States Bureau of Reclamation (USBR) grant, and if awarded the project will likely defer the project's start of construction to July 2021 in order to fully utilize grant funds. The current plan is to complete the additional Corps permit and wait on grant award status. The project will likely be re-baselined at construction contract award.
24	RW15003.06	Wineville/Jurupa/Force Main Improvements	1,308,970	14,517,842	Behind Schedule	Bid & Award	Final permitting requirement is nearing completion. Notices from the Flood Control District found the issued permits from Army Corps and Regional Water quality Control Board acceptable. No additional regulatory documents are needed for the project. However, IEUA is waiting on the District's formal acceptance and issuance of its construction permit. Once received, the project will proceed in construction bidding. When a contract is awarded the project will be re-baselined.
25	RW15004.00	Lower Day Basin Improvements	2,172,478	4,008,000	On-Time	Construction	
26	EN21057.00	Recharge Basin Clean-up of Illegally Dumped Materials	-	245,538	N/A	Not Started	
		Totals	3,760,239	21,144,480			

Headquarters							
No.	Project ID	Project Title	Total Expenditures thru 11/30 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
27	EN21049.00	Main HDQ Improvements Office Addition	615	140,000	On-Time	Project Evaluation	
		Totals	615	40,000			
IERCF							
No.	Project ID	Project Title	Total Expenditures thru 11/30 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
28	RA17007.01	IERCF Design Build Wash Pad Cover	335,691	457,318	Behind Schedule	Construction	A revised permit approval from the City of Rancho Cucamonga was required for field changes. In addition, COVID-19 related delays were experienced by the steel fabricator. Finally, wind caused damage to some of the roof panels that were being installed at the end of November. Due to the COVID-19 delays and the wind event, additional time will be granted to the contractor.
29	RA20008.00	DGlulam Repair Project - Roof Repair	265,484	330,000	On-Time	Project Acceptance	
		Totals	601,174	787,318			
Regional Water Recycling Plant No. 1 (RP-1)							
No.	Project ID	Project Title	Total Expenditures thru 11/30 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
30	EN21042.00	RP-1 East Influent Gate Replacement	400	450,000	On-Time	Project Evaluation	
31	EN21044.00	RP-1 Dewatering Centrate and Drainage Valves (MOV)	2,531	320,000	On-Time	Project Evaluation	
32	EN21053.00	RP-1 Old Effluent Structure Rehabilitation	2,926	630,000	On-Time	Project Evaluation	
33	EN22029.00	RP-1 Repurpose Lab Assessment	-	50,000	On-Time	Project Evaluation	
34	PA17006.03	Agency-Wide Aeration (Budget is in PA17006)	125	2,956,605	On-Time	Project Evaluation	
35	EN21052.00	RP-1 Evaporative Cooling for Aeration Blower Building Study	875	40,000	On-Time	Pre-Design	
36	EN21055.00	RP-1 Blower Lamella Silencers Upgrades	2,094	300,000	On-Time	Pre-Design	
37	EN13016.05	SCADA Enterprise System - (Regional Water Recycling Plant No. 1)	1,611,770	7,091,113	Behind Schedule	Pre-design	The project is behind schedule because the RP-4 SCADA migration was re-sequenced and completed before RP-1. The project will be re-baselined once the construction contract is awarded for RP-1. There is no recovery plan.
38	EN20045.00	RP-1 TP-1 Level Sensor Replacement	31,309	200,000	On-Time	Pre-Design	
39	EN19043.00	RP-1 Centrifuge Foul Air Line	79,183	445,000	Behind Schedule	Bid And Award	Staff conducted additional evaluation for the replacement of the centrifuge outer casing to allow for a new connection for the foul airline. The current project schedule will be re-baselined at construction contract award.
40	EN20041.00	RP-1 TP-1 Bleach Mixing Repairs	137,923	680,000	Behind Schedule	Construction	The design took longer than anticipated, which has delayed the bid date. The project will be re-baselined at construction contract award.
41	EN20065.00	RP-1 Solids Hot Water Loop Valves	390,524	1,360,000	On-Time	Construction	
42	EN20058.00	RP-1 TP-1 Waste Wash Water Basin Pumps Replacement	194,363	750,000	On-Time	Construction	
43	EN17044.00	RP-1 12 kV Switchgear and Generator Control Upgrades	6,170,002	6,220,248	Behind Schedule	Construction	The Final Site Acceptance Test (SAT) was attempted on November 18th but was incomplete. In addition to close out the project, SCE will be required to shut down and inspect their portion of the gear which is scheduled to be completed in early February 2021. However, due to limited remaining run time for generators, these tests cannot be completed by the end of 2020. The project will need to be extended to the end of February 2021. The contractor will be provided with a non-compensable time extension due delays caused by the limited run time remaining on the generators. No recovery is possible at this time.

Regional Water Recycling Plant No. 1 (RP-1) (Cont.)							
No.	Project ID	Project Title	Total Expenditures thru 11/30 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
44	EN17082.00	Mechanical Restoration and Upgrades	4,937,959	10,146,000	Behind Schedule	Construction	The project is behind schedule due to COVID-19 delaying pump manufacturing. The construction contract will be extended 47 calendar days. There is no recovery plan.
45	EN18006.00	RP-1 Flare Improvements	1,889,192	7,650,000	On-Time	Construction	
46	EN17042.00	Digester 6 and 7 Roof Repairs	4,598,309	6,731,000	Behind Schedule	Construction	Multiple construction delays, including the loss of the coatings sub-contractor, have placed the project behind schedule, and recovery is not possible. A non-compensable time extension change order has been prepared for this purpose. Digester 6 repairs have been completed, and startup is underway. Digester 7 activities will follow.
47	EN15012.01	RP-1 Plant No. 2 Effluent Conveyance Improvements	2,772,839	3,107,881	On-Time	Project Acceptance	
48	EN19009.00	RP-1 Energy Recovery	-	4,425,000	N/A	Not Started	
		Totals	22,822,324	53,552,847			
Regional Water Recycling Plant No. 2 (RP-2)							
No.	Project ID	Project Title	Total Expenditures thru 11/30 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
49		Non currently					
		Totals	-	-			
Regional Water Recycling Plant No. 4 (RP-4)							
No.	Project ID	Project Title	Total Expenditures thru 11/30 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
50	EN21041.00	RP-4 Contact Basin Cover & Wet Well Passive Overflow Replacement	4,684	3,920,000	On-Time	Project Evaluation	
51	EN19010.00	RP-4 Influent Screen Replacement	1,547,303	3,040,000	Behind Schedule	Construction	The contractor received a non-compensable contract extension for a combination of manufacturer delays and IEUA requested work stoppage to allow another contractor to perform work in the area. No recovery is possible.
52	EN17043.00	RP4 Primary Clarifier Rehab	1,909,389	7,681,542	On-Time	Construction	
53	EN17110.00	RP-4 Process Improvements	3,965,059	10,233,139	On-Time	Construction	
54	EN17110.03	RP-4 Aeration Basin Wall Repair	951,262	5,052,448	On-Time	Construction	
		Totals	8,377,698	29,927,129			
Regional Water Recycling Plant No. 5 (RP-5)							
No.	Project ID	Project Title	Total Expenditures thru 11/30 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
55	EN21047.00	RP-5 Bar Screen Inlet Gate Actuators Replacement	-	370,000	On-Time	Project Evaluation	
56	EN19001.00	RP-5 Expansion to 30 mgd	16,126,392	175,000,000	On-Time	Construction	
57	EN19006.00	RP-5 Biosolids Facility	14,869,730	165,400,000	On-Time	Construction	
		Totals	30,996,122	340,770,000			

## Recycled Water

No.	Project ID	Project Title	Total Expenditures thru 11/30 (\$)	Total Project Budget (\$)	Project Schedule Performance	Status	Schedule Recovery Plan
58	EN15002.00	1158 Reservoir Site Cleanup	90,943	1,215,000	Behind Schedule	Project Evaluation	IEUA has contracted EnvApps, an environmental consulting firm, to perform excavation, soil sampling, and remediation in the contaminated area. A work plan will be submitted to the Department of Toxic Substances Control (DTSC) for review and approval. The project will be re-baselined upon completion of investigations and overall scope has been defined.
59	EN21045.00	Montclair Force Main Improvements	5,901	6,800,000	On-Time	Project Evaluation	
60	EN21050.00	8th Street RW Turnout Connection to the 1630 W Pipeline	2,576	835,000	On-Time	Project Evaluation	
61	EN17041.00	Orchard Recycled Water Turnout Improvements	184,760	477,000	Behind Schedule	Design	IEUA has obtained the U.S. Army Corps of Engineers (USACE) permit. The permit restricts work in the channel between October 15, 2020, and April 15, 2021. The project is scheduled to be awarded in February of 2021 with construction starting in April 2021. The project schedule will be re-baselined at construction contract award.
62	EN15043.00	SBCFCD Recycled Water Easement	584,450	935,897	Behind Schedule	Land Acquisition	San Bernardino County was not able to provide easement information in the time they had originally indicated. IEUA received the easements from San Bernardino Flood Control District (SBCFCD) and immediately got approval by the IEUA Board in November 2020. The checks for the easements and SBCFCD Labor were also submitted. Currently, approval by the County Board of Supervisors is scheduled for January 2021 with recording and project completion estimated by March of 2021. No recovery is possible.
63	EN22004.00	1158 East Reservoir Re-coating/painting and Upgrades	793,426	1,750,000	On-Time	Construction	
64	EN17049.00	Baseline RWPL Extension	6,762,001	6,921,000	On-Time	Project Acceptance	
		Totals	8,424,057	18,933,897			
		Overall Totals	107,267,674	564,846,897			

## Emergency Projects

FY19/20 Emergency Projects									
	Project ID	Contractor	Task Order Description (Details of Circumstance and Cause of the Emergency)	Location	TO #	Original Not-to-Exceed /Estimate	Actual Cost thru 11/30	Date of Award	Status
1	RA20008.00	W.A. Rasic Construction	Damaged Glulam Roof Support	IERCF	TO-0025	21,150	265,484	6/17/2020	Active
Totals						21,150	265,484		

FY20/21 Emergency Projects									
	Project ID	Contractor	Task Order Description (Details of Circumstance and Cause of the Emergency)	Location	TO #	Original Not-to-Exceed /Estimate	Actual Cost thru 11/30	Date of Award	Status
CCWRF									
1	EN21019.02	SCW Contracting Corp	Sewer Line Repair Crossing Apartment Complex Chino	CCWRF	TO-0001	41,598	45,947	8/5/2020	Completed
Sub Totals						41,598	45,947		
Recycled Water System									
2	EN21017.01	Norstar Plumbing	San Antonio Channel Segment B Pipeline	RW System	TO-0001	34,000	40,922	8/13/2020	Completed
3	EN21017.02	Norstar Plumbing	Prado Dechlor Leak	RW System	TO-0002	7,900	8,914	10/27/2020	Active
Sub Totals						41,900	49,836		
RP-1									
4	EN21019.03	W.A. Rasic Contracting	RP-1 Dual Line Hot Water Loop Repair	RP-1	TO-0026	90,765	104,366	8/12/2020	Completed
Sub Totals						90,765	104,366		
RP-2									
5	EN21019.01	Ferreira Construction	RP-2 Drain line replacement	RP-2	TO-0008	29,050	32,112	7/8/2020	Completed
Sub Totals						29,050	32,112		
Grand Total						203,313	232,260		

November Emergency						
Contractor	Task Order Description	Details of the Circumstances/Cause of Emergency	Scope of Repair	Location	Date of Call Out	Not-to-Exceed /Estimate
None this month						