



## **REQUEST FOR PRE-QUALIFICATION OF BIDDERS- ENGINEERING, GENERAL BUILDING CONTRACTORS AND SPECIALTY AREAS FOR CONSTRUCTION PROJECTS LESS THAN \$2,000,000 – CREATION OF BIDDERS LIST**

Notice is hereby given that Inland Empire Utilities Agency (IEUA/Agency) has determined that all bidders for construction projects less than \$2,000,000 to be undertaken by the Agency must be pre-qualified for the construction specialty area required by the bid prior to submitting a bid on that project. It is mandatory that all contractors who intend to submit a bid, fully complete the pre-qualification questionnaire, provide all materials requested herein, and be approved by *IEUA* to be on the qualified specialty Bidder's list. No bid will be accepted from a contractor that has failed to comply with these requirements. If interested parties are in a joint venture, the questionnaire needs to include both parties information.

The last date to submit a fully-completed questionnaire is by **4:00 p.m. on March 20, 2014.** Contractors are encouraged to submit pre-qualification packages as soon as possible, so that they may be notified of omissions of information to be remedied or of their pre-qualification status well in advance of the pre-qualification deadline.

IEUA will use the completed questionnaires as the basis of qualifying contractors for future projects based on specialty areas. Specialty areas include: mechanical; electrical, power generation, and distribution; instrumentation and controls; pipeline, civil, and general building and engineering. IEUA reserves the right to check other sources available. A committee will review and analyze the information and make a recommendation on the selection.

While it is the intent of the pre-qualification questionnaire and documents required therewith to assist IEUA in determining a prequalified bidders list prior to bid of future projects and to aid the Agency in selecting the lowest responsible bidder, neither the fact of pre-qualification, nor any pre-qualification rating, will preclude IEUA from a post-bid consideration and determination of whether a bidder has the quality, fitness, capacity, experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness.

The pre-qualification packages must be submitted under seal and marked "CONFIDENTIAL" to:

Inland Empire Utilities Agency  
Attention: David Mendez  
6075 Kimball Avenue  
Chino, CA 91708

Each questionnaire must be signed under penalty of perjury in the manner designated at the end of the form, by an individual who has the legal authority to bind the contractor on whose behalf that person is signing.



IEUA reserves the right to waive minor irregularities and omissions in the information contained in the pre-qualification application submitted and to make all final determinations.

Contractors may submit pre-qualification packages during regular working hours on any day that the administrative offices of *IEUA* are open. Contractors who submit a complete pre-qualification package will be notified electronically of their qualification status on or before April 17, 2014.

The pre-qualification packages will be evaluated by an IEUA committee on a bi-annual basis. Numeric scores will not be provided for each of the categories, but will be a pass/fail for each of the categories. For contractor to be deemed as pre-qualified, all categories must receive a pass by consensus by the IEUA committee. IEUA committee may conduct interviews of selected or all prospective contractors to make the final determination of pre-qualified for the project.

IEUA may refuse to grant pre-qualification approval when the requested information and materials are not provided by the deadline. There is no appeal from a refusal for an incomplete or late application, but re-application is permitted. The closing time for bids will not be changed in order to accommodate supplementation of incomplete submissions, or late submissions.

Where a timely and completed application results in a rating below that necessary to pre-qualify, the decision may be appealed. An appeal is begun by the contractor delivering notice to IEUA of its appeal of the decision with respect to its pre-qualification rating, no later than five business days from IEUA's notification of the qualification status letter. Without a timely appeal, the contractor waives any and all rights to challenge the decision of IEUA, whether by administrative process, judicial process, or any other legal process or proceeding.

If the contractor gives the required notice of appeal to request a hearing, the hearing shall be conducted no later than five business days after *IEUA's* receipt of the notice of appeal. The hearing shall be an informal process conducted by a panel to which IEUA has delegated responsibility to hear such appeals (the "Appeals Panel"). Prior to the hearing, the contractor will be advised of the basis for IEUA's pre-qualification determination. The contractor will be given the opportunity to present information and reasons in opposition to the decision. Within one business day after the conclusion of the hearing, the Appeals Panel will render its decision. The contractor may choose to appeal the decision of the "Appeals Panel" with the IEUA Board of Directors at the next scheduled IEUA Board Meeting. The closing time for bids will not be changed in order to accommodate the second appeal process.



## **CREATION OF BIDDERS LIST FOR FUTURE WORK**

IEUA is seeking to prequalify construction contractors that are qualified to construct the following types of public works within these specialty areas:

- General Engineering Contractor
- General Building Contractor
- Pipeline - Sewer and Water Distribution - Repairs and Installation
- Mechanical and Rotating Equipment - Repairs and Installation
- Electrical, Power Generation and Distribution, and Instrumentation and Controls - Repairs and Installation
- Specialty Contractors - See Form D

The prequalification questionnaire with all supporting documents is due by **4:00 p.m. on Thursday, March 20, 2014.**

Contractors are to clearly identify the specialty areas they are requesting for prequalification. A contractor may request prequalification for one, several, or all of the specialty areas. Each specialty area requested will be evaluated individually based on the information provided by the contractor.

If approved, the contractor will remain on the list for a period of up to five years. A contractor can be added to the list on a bi-annual basis; however, they must be prequalified for the required specialty area prior to the issuance of any bid within that specialty in order to bid on the project. Contractors may also be dropped from the prequalification list if they fail to satisfactorily perform on awarded Agency projects.



**BUSINESS INFORMATION**

Firm Name: \_\_\_\_\_ Check One:  Corporation  
(as it appears on license)  Partnership  
 Sole Proprietary  
 Other \_\_\_\_\_

Contact Person: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

If firm is a sole proprietor or partnership:

Owner(s) of Company \_\_\_\_\_

(1) List all California construction license numbers, classifications and expiration dates of the California contractor licenses held by your firm, and associated firm name:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(2) If any of your firm's license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individual(s) listed on the Contractor State License Board records who meet(s) the experience and examination requirements for each license.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(3) If firm is a corporation;

Date incorporated: \_\_\_\_\_ in the State of \_\_\_\_\_

(4) Pre-qualification Areas (Check all that apply). Please note that a completed projects list will need to be filled out for each area checked.

- General Engineering, General Building
- Mechanical and Rotating Equipment - Repairs and Installation: Form A
- Electrical, Instrumentation and Controls Power Generation and Distribution- Repairs and Installation: Form B
  - Instrumentation and Controls Only - Repairs and Installation: Form B
- Pipeline - Sewer and Water Distribution - Repairs and Installation: Form C
- Specialty: Form D
  - C-4 - Boiler, Hot Water Heating and Steam Fitting Contractor
  - C12 - Earthwork and Paving Contractors
  - C13 - Fencing Contractor
  - C20 - Warm-Air Heating, Ventilating and Air-Conditioning Contractor
  - C27 - Landscaping Contractor
  - C33 - Industrial Coating and Painting
  - C39 - Roofing Contractor

**NOTE: Complete and submit only the applicable version of Completed Projects, Forms A, B, C, or D for the category that the Respondent is qualifying for;**

## PROJECT MANAGEMENT AND SCHEDULING

### Submit Preliminary Critical Path Schedule for Pre-Qualification:

Submit an example of a past Critical Path Method (CPM) project schedule to demonstrate a preliminary Critical Path Schedule (preferably Primavera 6.0 V7 or greater) defining Respondents anticipated sequence of construction for a similar Scope of Work. Respondent shall submit (1) hard copy of the Preliminary Schedule in 11x17 format for Agency review and evaluation.

### Standards for Preparation & Evaluation:

Respondent shall provide an example of a Preliminary Schedule in accordance with applicable Industry Standards of Care and Practice, including but not limited to; American Association of Cost Engineers International Professional Practice Guide for Planning and Scheduling, Third Edition; Construction Management Association of America Standards of Practice, 2010 and The Project Management Institutes, Project Management Body of Knowledge.

### Evaluation Criteria:

<b>Preliminary Critical Path Schedule</b>
Work Breakdown Structure
Activity Work Sequence
Activity Relationship Use & Definition
Appropriate Use of Constraints
Critical Path Definition & Integrity

- Provide a written project approach for construction of the example project as an attachment. Based on the project description, outline the task, any special approaches and the plans for completion. Include a description of the roles and responsibilities of the proposer's Project Team members and how the team will work together to achieve the objectives of the project. Innovation and alternatives to the proposed project scope are welcome.

- (5) Provide all the following information for each person who is either (a) an officer of the corporation (e.g., president, vice president, secretary, treasurer, etc.), or (b) the owner of at least ten percent or more of the business or of the corporation's stock.

Name	Position	Years with Co.	% Ownership

- (6) Provide the firm's resumes for the key personnel (for example Project Manager and Field Superintendent).

- (7) How many years has your organization been in business in California as a contractor under your present business name and license number? \_\_\_\_\_ Years

- (8) State your firm's gross revenues for each of the last three calendar years.

\_\_\_\_\_

- (9) Bonding capacity: Provide documentation from your surety identifying the following:

Name of bonding company/surety: \_\_\_\_\_

Name of surety agent, address, and telephone number:

\_\_\_\_\_

- (10) If your firm was required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which your firm worked at any time during the last three calendar years, state the percentage that your firm was

required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so.

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- (11) List all other sureties (name and full address) that have written bonds for your firm during the last five calendar years, including the dates during which each wrote the bonds:
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- 

**If answers to any of the following questions are "YES," please provide an attachment detailed information regarding the circumstances. The time frame, unless otherwise stated, for all questions is a minimum of ten years:**

- |  | <b>Yes</b>               | <b>No</b>                |
|--|--------------------------|--------------------------|
| (12) Has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (13) Have there been any warranty claims filed in the past seven years for work completed by your firm or partnership?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (14) Contractor has a liability insurance policy with a policy limit of at least \$3,000,000 per occurrence and \$6,000,000 aggregate.   | <input type="checkbox"/> | <input type="checkbox"/> |
| (15) Contractor has current workers' compensation insurance policy   | <input type="checkbox"/> | <input type="checkbox"/> |
| (16) Attach latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information.   | <input type="checkbox"/> | <input type="checkbox"/> |
| (17) Attach a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states that your current bonding capacity is sufficient for the project for which you seek pre-qualification. | <input type="checkbox"/> | <input type="checkbox"/> |

- |   | <b>Yes</b>               | <b>No</b>                |
|---|--------------------------|--------------------------|
| (18) Has your contractor's license been revoked?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (19) Has a surety firm completed a contract on your behalf, or paid for completion because your firm was default terminated by the project owner?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (20) At the time of submitting this pre-qualification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract? If Yes, please explain.  | <input type="checkbox"/> | <input type="checkbox"/> |
| <hr/>   |                          |                          |
| (21) Has your firm or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (22) Has your firm, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? | <input type="checkbox"/> | <input type="checkbox"/> |
| (23) Has your firm been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (24) Has your firm or any of its owners, officers, or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?                                     | <input type="checkbox"/> | <input type="checkbox"/> |
| (25) Has your firm or any of its owners, officers, or partners ever been convicted of a crime involving any federal, state, or local laws related to construction?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (26) Have there been any changes in ownership of the firm?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (27) Is the firm a subsidiary, parent, holding company or affiliate of another construction firm?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (28) Are any corporate officers, partners, or owners connected to any other construction firms?   | <input type="checkbox"/> | <input type="checkbox"/> |



- |   | <b>Yes</b>               | <b>No</b>                |
|---|--------------------------|--------------------------|
| (29) Was your firm in bankruptcy at any time during the last ten years or currently the debtor in a bankruptcy case?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (30) Has your firm changed names or license number?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (31) Has any owner, partner, or (for corporations:) officer of your firm operated a construction firm under any other name?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (32) Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (33) Has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (34) Has any claim against your firm concerning your firm's work on a construction project been filed?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (35) Has your firm made any claim for additional compensation against a project owner concerning work on a project or for a contract or submitted request for equitable adjustment payment?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (36) Has any surety company made any payments on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf, in connection with a construction project, either public or private? | <input type="checkbox"/> | <input type="checkbox"/> |
| (37) Has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (38) Has CAL OSHA cited and assessed penalties against your firm for any "serious," "willful" or "repeat" violations of its safety or health regulations?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (39) Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (40) Has the EPA, Air Quality Management District, any Regional Water Quality Control Board, or any regulatory agencies cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor?                    | <input type="checkbox"/> | <input type="checkbox"/> |

(41) How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

\_\_\_\_\_

(42) List your firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:

Current year: \_\_\_\_\_

Previous year: \_\_\_\_\_

Year prior to previous year: \_\_\_\_\_

If your EMR for any of these three years is or was 1.00 or higher you may, if you wish, attach a letter of explanation.

- |   | <b>Yes</b>               | <b>No</b>                |
|---|--------------------------|--------------------------|
| (43) Within the last ten years has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance?              | <input type="checkbox"/> | <input type="checkbox"/> |
| (44) During the last ten years, has there been more than one occasion in which your firm was required to pay either back wages or penalties for your own firm's failure to comply with: |                          |                          |
| a. State's prevailing wage laws?  | <input type="checkbox"/> | <input type="checkbox"/> |
| b. Federal Davis Bacon prevailing wages?  | <input type="checkbox"/> | <input type="checkbox"/> |

(45) Provide the name, address and telephone number of the apprenticeship program (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your company for use on any public work project for which you are awarded a contract by *IEUA*.

\_\_\_\_\_

\_\_\_\_\_

(46) If your firm operates its own State-approved apprenticeship program:

(a) Identify the craft or crafts in which your firm provided apprenticeship training in the past year.

\_\_\_\_\_

(b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).

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(c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

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- |   | <b>Yes</b>               | <b>No</b>                |
|---|--------------------------|--------------------------|
| (47) At any time during the past ten years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works? | <input type="checkbox"/> | <input type="checkbox"/> |
| (48) Does your firm have any current stop notices filed?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (49) Do your subcontractors have any stop notices filed against current open contracts you have with them?  | <input type="checkbox"/> | <input type="checkbox"/> |

(50) For each specialty area selected checked (see number 4), a completed projects list will need to be filled out.

## CONSTRUCTION PROJECTS WITHIN THE PAST SEVEN YEARS COMPLETED PROJECTS LIST

### **A - General Engineering Contractor**

A general engineering contractor is a contractor whose principal contracting business is in connection with fixed works requiring specialized engineering knowledge and skill, including the following divisions or subjects: irrigation, drainage, water power, water supply, flood control, inland waterways, harbors, docks and wharves, shipyards and ports, dams and hydroelectric projects, levees, river control and reclamation works, railroads, highways, streets and roads, tunnels, airports and airways, sewers and sewage disposal plants and systems, waste reduction plants, bridges, overpasses, underpasses and other similar works, pipelines and other systems for the transmission of petroleum and other liquid or gaseous substances, parks, playgrounds and other recreational works, refineries, chemical plants and similar industrial plants requiring specialized engineering knowledge and skill, powerhouses, power plants and other utility plants and installations, mines and metallurgical plants, land leveling and earthmoving projects, excavating, grading, trenching, paving and surfacing work and cement and concrete works in connection with the above mentioned fixed works

### **B - General Building Contractor**

Except as provided in this section, a general building contractor is a contractor whose principal contracting business is in connection with any structure built, being built, or to be built, for the support, shelter, and enclosure of persons, animals, chattels, or movable property of any kind, requiring in its construction the use of at least two unrelated building trades or crafts, or to do or superintend the whole or any part thereof.

Check all that apply

Contractor shall provide information about all of the projects completed over the last seven years for general building or engineering projects. Names, references, and phone numbers must be current and verifiable.

**Use separate sheets of paper for each project and include all of the following information:**

Project Name: \_\_\_\_\_

Location: \_\_\_\_\_

Owner: \_\_\_\_\_

Owner Contact (name and current phone number):  
\_\_\_\_\_

Architect or Engineer: \_\_\_\_\_

Architect or Engineer Contact (name and current phone number):  
\_\_\_\_\_

Construction Manager (name and current phone number):  
\_\_\_\_\_

Description of Project, Scope of Work Performed:  
\_\_\_\_\_  
\_\_\_\_\_

Total Value of Construction (including change orders): \_\_\_\_\_

Original Scheduled Completion Date: \_\_\_\_\_

Time Extensions Granted (number of days): \_\_\_\_\_

Actual Date of Completion: \_\_\_\_\_



## **FORM A**

### **MECHANICAL AND ROTATING EQUIPMENT REPAIRS AND INSTALLATION COMPLETED PROJECTS**

The intent is to shortlist contractors or specialty subcontractors for projects that meet the contractor or specialty contractor classification limited to a field and scope of operations of specialty contracting for which an applicant is qualified. Bid packages will only be made available to the shortlisted contractors and subcontractors.

NOTE: Complete and submit only the applicable version of Form A, B, C, or D for the category that the Respondent is qualifying for.

List five construction projects completed in the last five years involving repair or rebuilding of turbines, pumps, valves, or compressors, or dynamic balancing of rotating apparatus. IEUA encourages respondents to list projects that meet the following criteria: combination of repair, refurbishment, or rebuilding of various mechanical equipment in any one project completed within shorter duration shutdown windows relative to the work required which utilized more complex construction methods (names, references, and phone numbers must be current and verifiable).



**FORM A**

**MECHANICAL AND ROTATING EQUIPMENT REPAIRS AND INSTALLATION  
COMPLETED PROJECTS**

Use separate sheets of paper for each project and include all of the following information:

Project Name: \_\_\_\_\_

Location: \_\_\_\_\_

Owner: \_\_\_\_\_

Contact: \_\_\_\_\_ Phone: \_\_\_\_\_ EMail: \_\_\_\_\_

Engineer: \_\_\_\_\_

Contact: \_\_\_\_\_ Phone: \_\_\_\_\_ EMail: \_\_\_\_\_

Description of Project, Scope of Work Performed:

\_\_\_\_\_  
\_\_\_\_\_

Type of equipment repaired or refurbished: \_\_\_\_\_

Did the project involve equipment design? \_\_\_\_\_ Yes \_\_\_\_\_ No

Functional testing of repaired or refurbished equipment \_\_\_\_\_ Yes \_\_\_\_\_ No

Equipment adjustments made due to functional testing failure \_\_\_\_\_ Yes \_\_\_\_\_ No

Warranty claims by Owner on installed equipment \_\_\_\_\_ Yes \_\_\_\_\_ No

Work Done During Shutdown: \_\_ Yes \_\_ No

Shutdown Duration: \_\_\_\_\_

Shutdown Completed on Schedule: \_\_ Yes \_\_ No

Performed Work as Prime Contractor: \_\_\_\_\_ Subcontractor: \_\_\_\_\_

Total Value of Construction (including Change Orders): \_\_\_\_\_

Scheduled Completion Date: \_\_\_\_\_ Actual Completion Date: \_\_\_\_\_

Extension Time Granted (Number of Days): \_\_\_\_\_



## FORM B

### **ELECTRICAL, INSTRUMENTATION, TELECOMMUNICATIONS, POWER GENERATION, CONSTRUCTION AND REPAIRS COMPLETED PROJECTS**

The intent is to shortlist contractors or specialty subcontractors for projects that meet the contractor or specialty contractor classification limited to a field and scope of operations of specialty contracting for which an applicant is qualified. Bid packages will only be made available to the shortlisted contractors and subcontractors.

Check one

- Electrical, Instrumentation, Telecommunications, Power Generation, Construction And Repairs
- Instrumentation and Controls Only - Repairs and Installation

NOTE: Complete and submit only the applicable version of A, B, C, or D for the category that the Respondent is qualifying for.

List five construction projects completed in the last ten years involving electrical, instrumentation, telecommunications, power generation construction and repairs of the highest voltage installed. IEUA encourages respondents to list projects that meet the following criteria: repair or retrofit of 230 kV circuit breakers, disconnect switches, power transmission lines, switchgears, and substations of similar voltage, repair/ rewinding/ testing of power transformers, reactors, and generator switchgears, electrical field testing, connections or tie-ins completed within shorter duration shutdown windows relative to the work required which utilized more complex construction methods, including functional and technical requirement related to installation of instrumentation and telecommunication equipment (names, references, and phone numbers must be current and verifiable).





**FORM B**

**ELECTRICAL, INSTRUMENTATION, TELECOMMUNICATIONS, POWER GENERATION,  
CONSTRUCTION AND REPAIRS  
COMPLETED PROJECTS**

**Use separate sheets of paper for each project and include all of the following information:**

Project Name: \_\_\_\_\_

Location: \_\_\_\_\_

Owner: \_\_\_\_\_

Owner Contact (name and current phone number):

\_\_\_\_\_

Architect or Engineer: \_\_\_\_\_

Architect or Engineer Contact (name and current phone number):

\_\_\_\_\_

Construction Manager (name and current phone number):

\_\_\_\_\_

Description of Project, Scope of Work Performed:

\_\_\_\_\_

Voltage Requirement: \_\_\_\_\_

Electrical Field Testing Required: \_\_\_\_\_ Complex \_\_\_\_\_ Simple \_\_\_\_\_ None

Any damaged equipment during testing? \_\_\_\_\_ Yes \_\_\_\_\_ No

Failure by Owner-provided Testing Agency to start or complete testing due to Respondent's inability to provide testing plan or documentation requirement \_\_\_\_\_ Yes \_\_\_\_\_ No

Were adjustments to the system needed or any re-testing required? \_\_\_\_ Yes \_\_\_\_ No

Work Done During Shutdown: \_\_\_\_\_ Yes \_\_\_\_\_ No

Shutdown Duration: \_\_\_\_\_

Shutdown Completed on Schedule: \_\_\_\_\_ Yes \_\_\_\_\_ No

Performed Work as Prime Contractor: \_\_\_\_\_ Subcontractor: \_\_\_\_\_

Total Value of Construction (including Change Orders): \_\_\_\_\_

Scheduled Completion Date: \_\_\_\_\_ Actual Completion Date: \_\_\_\_\_

Extension Time Granted (Number of Days): \_\_\_\_\_



## **FORM C**

### **PIPELINE - SEWER AND WATER DISTRIBUTION REPAIRS/INSTALLATION COMPLETED PROJECTS**

The intent is to shortlist contractors or specialty subcontractors for projects that meet the contractor or specialty contractor classification limited to a field and scope of operations of specialty contracting for which an applicant is qualified. Bid packages will only be made available to the shortlisted contractors and subcontractors.

NOTE: Complete and submit only the applicable version of A, B, C, or D for the category that the Respondent is qualifying for.

List five construction projects completed in the last five years involving sewer and water pipelines ranging in sizes between 30 inches and 72 inches. IEUA encourages respondents to list projects that meet the following criteria: larger diameter pipeline repairs; more complex traffic control; shorter duration windows relative to the work required which utilized more complex construction methods (shutdown, shoring or groundwater control), (names, references, and phone numbers must be current and verifiable).



**FORM C**

**PIPELINE - SEWER AND WATER DISTRIBUTION REPAIRS/INSTALLATION  
COMPLETED PROJECTS**

Use separate sheets of paper for each project and include all of the following information:

Project Name: \_\_\_\_\_

Location: \_\_\_\_\_

Owner: \_\_\_\_\_

Contact: Phone: \_\_\_\_\_ EMail: \_\_\_\_\_

Engineer: \_\_\_\_\_

Contact: Phone: \_\_\_\_\_ EMail: \_\_\_\_\_

Description of Project, Scope of Work Performed:

Pipe Sizes: \_\_\_\_\_ Pipe Types: \_\_\_\_\_

Depths of Excavation: \_\_\_\_\_ Method of shoring used: \_\_\_\_\_

Length of Pipeline Sections Repaired/Installed: \_\_\_\_\_

Traffic Control Condition: \_\_\_\_\_ Complex \_\_\_\_\_ Simple \_\_\_\_\_ None

Groundwater Control: \_\_\_\_\_ Yes \_\_\_\_\_ No

Work Done During Shutdown: \_\_\_\_\_ Yes \_\_\_\_\_ No

Shutdown Duration: \_\_\_\_\_

Shutdown Completed on Schedule: \_\_\_\_\_ Yes \_\_\_\_\_ No

Performed Work as Prime Contractor: \_\_\_\_\_ Subcontractor: \_\_\_\_\_

Total Value of Construction (including Change Orders): \_\_\_\_\_

Scheduled Completion Date: Actual Completion Date: \_\_\_\_\_

Extension Time Granted (Number of Days): \_\_\_\_\_



## FORM D

### SPECIALTY CONTRACTORS COMPLETED PROJECTS

The intent is to shortlist contractors or specialty subcontractors for projects that meet the contractor or specialty contractor classification limited to a field and scope of operations of specialty contracting for which an applicant is qualified. Bid packages will only be made available to the shortlisted contractors and subcontractors.

Prequalified bidders will be required to meet the requirements included in the prequalification questionnaire and have the following active and current California contractor's license:

NOTE: Complete and submit only the applicable version of A, B, C, or D for the category that the Respondent is qualifying for;

- C-4 - Boiler, Hot Water Heating and Steam Fitting Contractor
- C12 - Earthwork and Paving Contractors
- C13 - Fencing Contractor
- C20 - Warm-Air Heating, Ventilating and Air - Conditioning Contractor
- C27 - Landscaping Contractor
- C33 - Industrial Painting and Coating
- C39 - Roofing Contractor

Check all that apply

List five construction projects completed in the last ten years involving the specialty classification selected. IEUA encourages respondents to list projects that meet the following criteria (names, references, and phone numbers must be current and verifiable).



**FORM D**

**SPECIALTY CONTRACTORS  
COMPLETED PROJECTS**

Use separate sheets of paper for each project and include all of the following information:

Project Name: \_\_\_\_\_ +

Location: \_\_\_\_\_

Owner: \_\_\_\_\_

Owner Contact (name and current phone number):

\_\_\_\_\_

Architect or Engineer: \_\_\_\_\_

Architect or Engineer Contact (name and current phone number):

\_\_\_\_\_

Construction Manager (name and current phone number):

\_\_\_\_\_

Description of Project, Scope of Work Performed:

\_\_\_\_\_

Work Done During Shutdown: \_\_\_\_\_ Yes \_\_\_\_\_ No

Shutdown Duration: \_\_\_\_\_

Shutdown Completed on Schedule: \_\_\_\_\_ Yes \_\_\_\_\_ No

Performed Work as Prime Contractor: \_\_\_\_\_ Subcontractor: \_\_\_\_\_

Total Value of Construction (including change orders): \_\_\_\_\_

Original Scheduled Completion Date: \_\_\_\_\_

Time Extensions Granted (number of days): \_\_\_\_\_

Actual Date of Completion: \_\_\_\_\_



\* \* \* \* \*

I, the undersigned, certify and declare that I have read all the foregoing answers to this prequalification questionnaire and know their contents. The matters stated in the questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

Dated: \_\_\_\_\_

\_\_\_\_\_  
(Name)

\* \* \* \* \*